

# Social comparison affects reward-related brain activity in the human ventral striatum

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## Social Comparison Affects Reward-Related Brain Activity in the Human Ventral Striatum

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LexA alone, activated a *LacZ* reporter gene driven by the *LexA* operator (Fig. 3A). Two amino acid-substituted FHY3 proteins corresponding to the *fhy3-9* Gly<sup>305</sup>→Arg<sup>305</sup> (G305R) and *fhy3-10* Asp<sup>283</sup>→Asn<sup>283</sup> (D283N) mutant alleles (5) failed to activate the *LacZ* reporter gene (Fig. 3A), despite comparable levels of expression for the wild-type and mutant FHY3 fusion proteins. In addition, wild-type FHY3 protein, but not the G305R or D283N mutant proteins, activated a luciferase reporter gene driven by the *FHY1* promoter in *Arabidopsis* protoplasts (Fig. 3B). Further, fusion with the VP16 activation domain of herpes simplex virus restored the transcriptional activation activity of G305R and D283N (Fig. 3A), and the fusion proteins conferred a complete or partial rescue of the *fhy3-4* mutant phenotype (Fig. 3C). These results suggest that the intrinsic transcriptional activation activity of FHY3 is essential for its biological function. Domain deletion analysis revealed that the C-terminal region of FHY3 and FAR1 that lacks the N-terminal zinc finger motif is necessary and fully capable of activating the reporter gene expression in yeast, whereas their N-terminal DNA binding domains are unable to activate the reporter gene (fig. S11). These observations suggest that FHY3 and FAR1 have separable DNA binding and transcriptional activation domains.

Finally, we examined how FR light regulates the expression of *FHY3* and *FAR1* using quantitative RT-PCR. In a wild-type background, the transcript levels of *FHY3* declined rapidly after exposure to FR light. Expression of *FAR1* was also down-regulated by FR light, although with slower kinetics and to a lesser degree (Fig. 4A). Expression of *FHY1* and *FHL* displayed a pattern similar to that of *FHY3* (Fig. 4B), which is consistent with their being the direct target genes of *FHY3* and *FAR1*. In contrast, expression of *FHY3* and *FAR1* remained high in the *phyA-211* mutant under FR light (Fig. 4, C and D). These results indicate that expression of *FHY3* and *FAR1* is subject to a negative feedback regulation by phyA signaling and suggest that FHY3 and FAR1 act at a focal point of a feedback loop that maintains the homeostasis of phyA signaling (fig. S12).

Our phylogenetic and functional analyses support a scenario whereby one or several related MULE transposases gave rise to the *FHY3*/*FAR1*-related genes during the evolution of angiosperms through a process termed “molecular domestication” (20), with concomitant loss of the ability to transpose (21) (fig. S13). Similar to this, DAYSLEEPER, an *Arabidopsis* *hAT*-like transposase, has been shown to act as a DNA binding protein and is essential for plant development (22). However, it is not known whether this protein can directly regulate gene expression. Our results demonstrate that a transposase-derived protein can bind to a promoter region and directly stimulate the transcription of that gene. Innovation of phyA, which occurred before

the origin of angiosperms, has been hypothesized to confer an adaptive advantage to the successful colonization of the first angiosperms on Earth (23). The domestication of FHY3 and FAR1 from an ancient transposase(s) might mark an event in the evolution of angiosperms serving to meet the challenges of changing light environments. Our results also provide functional evidence to support the proposition that transposable elements, which are prevalent throughout the genomes of many plants and animals, can serve as a source of new transcription factors that allow populations to adapt and species to evolve (24).

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#### Supporting Online Material

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Materials and Methods

Figs. S1 to S13

Tables S1 and S2

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## Social Comparison Affects Reward-Related Brain Activity in the Human Ventral Striatum

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Whether social comparison affects individual well-being is of central importance for understanding behavior in any social environment. Traditional economic theories focus on the role of absolute rewards, whereas behavioral evidence suggests that social comparisons influence well-being and decisions. We investigated the impact of social comparisons on reward-related brain activity using functional magnetic resonance imaging (fMRI). While being scanned in two adjacent MRI scanners, pairs of subjects had to simultaneously perform a simple estimation task that entailed monetary rewards for correct answers. We show that a variation in the comparison subject's payment affects blood oxygenation level-dependent responses in the ventral striatum. Our results provide neurophysiological evidence for the importance of social comparison on reward processing in the human brain.

The absolute consumption level, or alternatively the absolute level of income, is the most important determinant of individual well-being in traditional economic models of decision-making. These models

typically assume that social comparisons, and therefore relative income, play no role. This view has long been challenged by social psychologists and anthropologists, who have argued that comparison with other individuals

is a central phenomenon within human societies (1, 2). The question of whether social comparison affects individuals' subjective well-being, and thus behavior, is of fundamental importance, with far-reaching implications for the positive and normative predictions of economic theories. Examples include patterns of consumption and savings, the design of optimal taxation and redistribution schemes, labor supply, and the optimal provision of incentives in firms (3–6). Social comparison is also a premise of any model of social preferences, because these theories model fairness judgments and resulting reciprocal responses based on an individual's outcomes relative to the outcomes of relevant others (7, 8).

Despite the importance of distinguishing the roles of absolute and relative income levels for subjective well-being, and thus for human decision-making, the underlying neurobiological basis of social comparison is not well understood. One of the reasons is that the role of relative comparison for subjective well-being has mainly been investigated using predicted comparison income from self-reported survey data or actual outcomes of a priori defined reference groups (9). Several methodological problems plague research in this area, including the measurement of relevant incomes and reference groups, the measurement of subjective well-being, and the potential endogeneity of income (10, 11). Combining functional magnetic resonance imaging (fMRI) and behavioral experiments allows us to circumvent most of these empirical problems by measuring neurophysiological responses to absolute and relative incomes in the human brain. Brain regions that are engaged in the prediction and registration of rewards include midbrain-striatal and midbrain-prefrontal dopaminergic projections (12). Activity in these brain regions is influenced both by primary rewards such as food delivery (13) and by more abstract forms of rewards such as monetary incentives (14, 15). We tested the hypothesis that activity in these brain areas increases with higher relative payments. This hypothesis is in contrast to the traditional economic paradigm, which predicts differential activation only in response to changes in one's own rewards but not to changes in others' rewards. In the experiment, two subjects simultaneously and repeatedly performed a simple work task in two adjacent MRI scanners (Fig. 1). Nineteen subject pairs were included, and data from 33 subjects could be analyzed (16). The task involved estimating the number of dots on a screen. At the end of each of 300

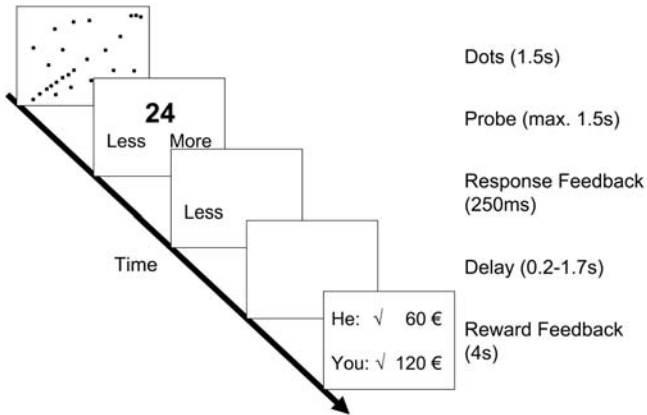
trials, both subjects received a feedback. This feedback provided information about both subjects' performance (whether the estimates were correct or incorrect), as well as about both subjects' payments in a given trial. Payment conditions are illustrated in Table 1. Conditions C1 to C5 applied when the estimate of at least one subject was incorrect. These conditions were used to identify reward-sensitive brain regions independently of the conditions of interest, C6 to C11. The latter applied if both subjects solved the task correctly. For these trials, rewards were chosen from a 2 by 3 factorial design in which we varied the absolute level of payment (high versus low) (factor 1) and the relation of a subject's payment to that of the other subject (1:2, 1:1, or 2:1) (factor 2). Our set-up allows us to study the impact of relative payments on reward

processing in a clean and controlled way: In each trial the task was exactly the same for both subjects, and the subjects knew that. Moreover, because both subjects were scanned, they were performing under the same circumstances. Thus, the experimental environment provides no basis or justification for differential payment and is therefore well suited to study the consequences of relative income differences for the same performance under identical conditions.

Subjects solved the estimation task correctly in 81 percent of the trials (SD 0.07), matching almost exactly the intended probability of correct task performance of 0.8. Conditions C1 to C5 of our design allow for a functional definition of reward-related structures in our group of subjects independent of a priori information. For this purpose,

**Fig. 1.** Single-trial settings.

Subjects saw a number of blue dots for 1500 ms (screen 1). Immediately afterward, a number was presented and subjects had to decide by button press whether the number of dots on the first screen had been lower or higher within a time limit of 1500 ms (screen 2). After a response feedback (250 ms, screen 3) and a short delay (blank screen 4), a feedback screen informed subjects about their and the other subject's performance (correct or incorrect), together with the respective monetary rewards (screen 5).



**Table 1.** Payoff conditions. Subjects received a payment only if they solved the estimation task correctly. When both subjects' estimates were incorrect, both received nothing (experimental condition C1). When only one of the subjects solved the estimation task correctly, he received either about 30 € (low level) or about 60 € (high level) while the other received no payment (see conditions C2 to C5). The conditions of interest (bold) were those in which both subjects solved the estimation task correctly and received payments according to one of the six conditions C6 to C11. These conditions were randomly drawn, and thus occurred with approximately equal frequency. Note that frequencies in conditions C2,3 and C4,5 are not identical because five subjects were dropped from the analysis.

Accuracy	Relative reward level (A:B)	Absolute reward level	Payoffs in Euros (subject A—subject B)	Condition	Percentage of occurrence
Both subjects incorrect			0 – 0	C1	6.5
Subject A correct		High	60 – 0	C2	14.3
		Low	30 – 0	C3	
Subject B correct		High	0 – 60	C4	13.3
		Low	0 – 30	C5	
Both subjects correct	<b>1:2</b>	<b>High</b>	<b>60 – 120</b>	<b>C6</b>	<b>65.9</b>
		<b>Low</b>	<b>30 – 60</b>	<b>C7</b>	
(conditions of interest)	<b>1:1</b>	<b>High</b>	<b>60 – 60</b>	<b>C8</b>	
		<b>Low</b>	<b>30 – 30</b>	<b>C9</b>	
	<b>2:1</b>	<b>High</b>	<b>120 – 60</b>	<b>C10</b>	
		<b>Low</b>	<b>60 – 30</b>	<b>C11</b>	

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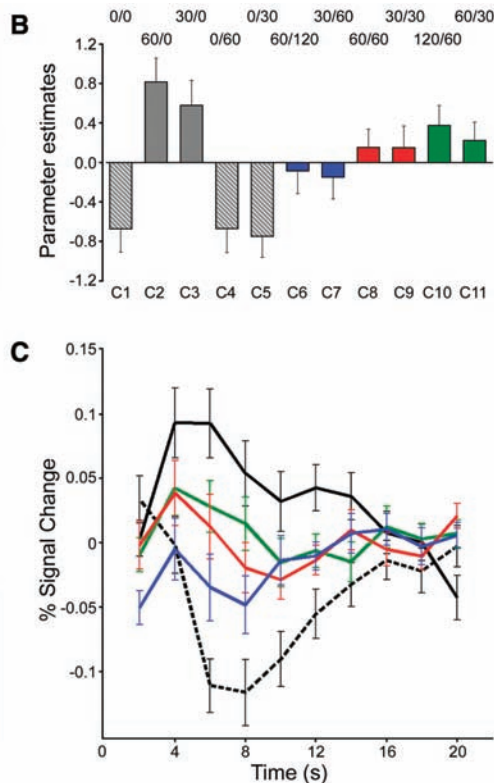
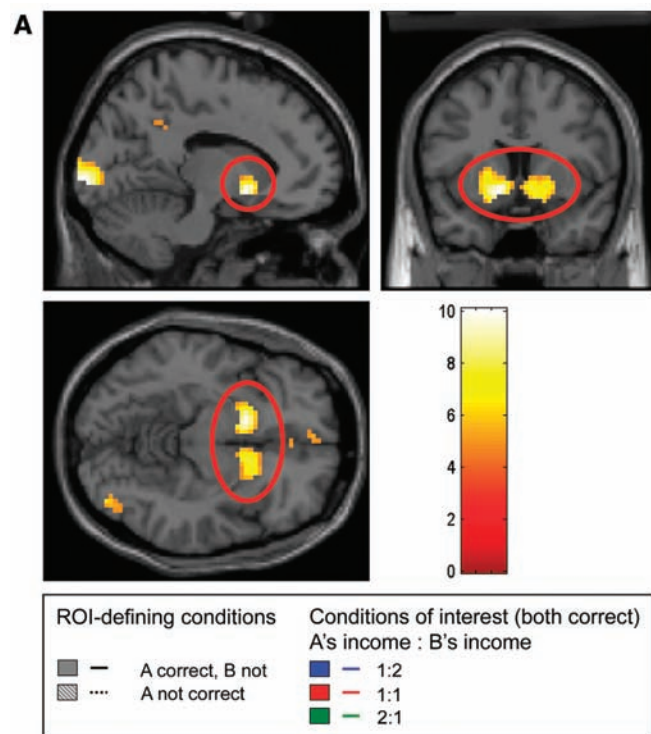
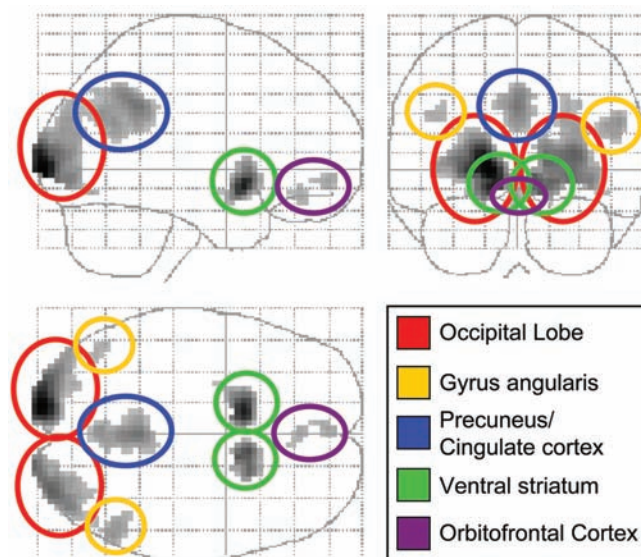
conditions in which a subject solved the task correctly and received a payment while the other subject did not (C2 and C3) were contrasted with conditions in which a subject received no payment (C1, C4, and C5). This contrast yielded significant activation in three bilateral and three medial regions, which defined our regions of interest: left and right occipital cortex, left and right angular gyrus, left and right ventral striatum, precuneus, and medial orbitofrontal cortex (two distinct activations) (Fig. 2), thus including the regions

known to be critically involved in the processing of reward (12). The opposite contrast (C1,4,5 > C2,3) yielded right and left insular activation, but only when using a less strict threshold (16). Time-course analyses of the blood oxygen level-dependent (BOLD) response showed a strong positive response to the onset of the task (fig. S1). This is consistent with temporal difference models of ventral striatal function, given that the task served as a cue for a possible upcoming reward, thus inducing reward expectation (17). To appropriately model the response

to the reward feedback, we therefore controlled for task-related activity by including task-onset times as an additional regressor in our analysis. The resulting time courses for the reward feedback are shown in Fig. 3C. There is a positive hemodynamic response in conditions C2 and C3 (subject receives payment, the other does not) and a decrease of the BOLD signal for conditions C1, C4, and C5 (subject receives no payment). Intermediate response levels were observed in the conditions of interest in which both subjects received money (C6 to C11). In these conditions, the BOLD signal was strongest when a subject received more than the other subject (2:1), followed by equal payments (1:1) and trials in which a subject received less than the other subject (1:2).

In the following, we exclusively analyze the conditions of interest, that is, conditions C6 to C11. For these conditions, we extracted mean parameter estimates for the above-mentioned regions of interest. They were subjected to a 2 by 3 repeated-measurements analysis of variance (ANOVA) with different absolute payment levels (low or high) and relative payments (1:2, 1:1, or 2:1) as factors. In case of bilateral activations, we included the side of the brain as a cofactor. In the ventral striatum, the BOLD response strongly depended on relative payment. The main effect for this factor is:  $F_{2,31} = 8.0$ ,  $P < 0.001$ . According to our hypothesis, the parameter estimates increased with the ratio between a subject's reward and the other subject's reward: They were lowest for the conditions in which less

**Fig. 2.** Glassbrain projection of brain regions showing stronger BOLD responses in conditions in which a subject received a reward while the other did not (C2 and C3) compared with conditions in which a subject did not receive a reward at all (C1, C4, and C5).



money was earned, followed by the conditions with equal payment, and were highest in the conditions in which a subject earned more money (Fig. 3B) (see table S2 for means and standard errors). All other main effects and interactions of the ANOVA analysis turn out to be insignificant. This holds for the main effect of high versus low payment condition as well as its interaction with relative payment. The latter result suggests that the importance of relative comparison is independent of the level of payment. In addition, there was no significant impact of the side of the activation or the scanner type. All posterior regions (occipital lobe, angular gyrus, and precuneus/cingulate cortex) showed a different pattern, with response intensity significantly varying with both absolute and relative payment. In these regions, responses were highest for the high-payment condition in the 1:2 and the 2:1 conditions, that is, in situations when high amounts of money were unequally paid regardless of which of the subjects received more. A similar pattern was found in the two orbitofrontal regions. The posterior of the two orbitofrontal regions additionally showed a significant interaction between absolute and relative payment (table S3 and fig. S2). In the insular regions identified by the contrast C1,4,5 > C2,3, activation intensity did not depend on relative or absolute rewards level or an interaction between the two factors (all  $P > 0.5$ ). To test whether, in addition to the regions of interest, other regions were affected by relative comparison, the same ANOVA as described above was run in a whole-brain analysis. The strongest main effect of relative reward level was found in the left and right ventral striatum. The left activation cluster extended into the left amygdala and putamen (fig. S3). In addition, significant activations were found in several bilateral parietal and occipital regions and in the right middle lateral prefrontal cortex (Brodmann areas 8 and 9) (table S4). In contrast to the striatal activations, none of these regions showed a systematic increase of activation with increasing relative income.

Right after the experiment, subjects completed a short questionnaire, which contained questions about willingness to reciprocate kind or unkind actions as well as personality measures (16). Reciprocity is a particularly important type of social preference and is based on the comparison of outcomes relative to those of relevant others (8). In this sense, social comparison is a prerequisite of reciprocal behavior, and we would expect that the more a person is concerned with relative outcomes the more he should be willing to reciprocate. To explore this, we regressed the variability of ventral striatal responses to relative reward differences on the willingness to reciprocate, controlling for personality traits (table S5). This variability turned out to be stronger for subjects who report

stronger overall reciprocal inclinations ( $t = 2.10$ ,  $P = 0.048$ ).

This study shows a relationship between relative income and hemodynamic responses in the ventral striatum. Receiving less than another subject was associated with a reduced BOLD signal in this area. Relative reward processing has previously been demonstrated in the striatum (18) and orbitofrontal cortex (19) of primates, where neuronal responses to a given reward depend on alternative reward outcomes. Similarly, context dependency of ventral striatal responses in humans has been demonstrated with fMRI. Responses to the same rewards differ depending on the sequence of previous rewards and losses (20, 21) and on the set of possible outcomes from which the actual reward is chosen (14, 22). Our study introduces two critical new aspects of relative reward processing in the ventral striatum. First, it shows that social context is an important factor for reward processing. Second, in contrast to previous studies, the differences in reward activation cannot be explained by a mismatch between expected and received reward.

Whereas in previous studies reward expectation has been manipulated, in our study reward expectation in the conditions of interest should be the same. The differential activation in response to these conditions shows an immediate impact of contextual social information on ventral striatal responses. Although null results in fMRI studies must be interpreted with caution, the fact that no other brain area showed a similar response pattern suggests that this impact is not mediated by brain areas known to be involved in social cognition.

The temporal difference model of ventral striatal function assumes that this brain region is involved in the comparison of predicted and actually received rewards (i.e., reward prediction error) (23). In our study, we find a strong positive response to the onset of the task. We assume that the task serves as a cue for a possible upcoming reward and thus induces reward expectation. Consistent with the temporal difference model, we observed a decrease in the BOLD signal if a subject did not receive a payment and thus reward expectations were not met. Likewise, the BOLD signal increased when a subject was the only one to receive a payment. We hypothesize that outperforming someone else or the “joy of winning” contributes to this response in addition to the monetary reward. For the conditions of interest in which both subjects are correct, the response increases with increasing relative reward. These trials are not confounded with the aspect of winning and losing, because both subjects have solved the same task correctly.

By showing that social comparisons affect activation levels in the ventral striatum, our findings complement recent work on other-

regarding preferences such as reciprocity or empathy (24). It has been shown, for example, that reciprocal action in the form of punishing norm violations is associated with ventral striatal activation (25). Similarly, a recent hyperscanning fMRI experiment revealed specific brain activations for benevolent versus malevolent decisions in the dorsal striatum, highlighting the role of this part of the basal ganglia for reciprocal interactions (26). Subjects in these studies made decisions that revealed their preferences. In our study, in contrast, we show that social comparison affects ventral striatal activity even if subjects are not actively engaged in decision-making. In this sense, our study shows that mere contextual information about another person has an immediate impact on motivation-related brain processes.

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## Supporting Online Material

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Materials and Methods

SOM Text

Figs. S1 to S3

Tables S1 to S5

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