

Prospective Evaluation of Health Care Provider and Patient Assessments in Chemotherapy-Induced Peripheral Neurotoxicity

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Prospective Evaluation of Health Care Provider and Patient Assessments in Chemotherapy-Induced Peripheral Neurotoxicity

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Abstract

Background and Objective

There is no agreement on the gold standard for detection and grading of chemotherapy-induced peripheral neurotoxicity (CIPN) in clinical trials. The objective is to perform an observational prospective study to assess and compare patient-based and physician-based methods for detection and grading of CIPN.

Methods

Consecutive patients, aged 18 years or older, candidates for neurotoxic chemotherapy, were enrolled in the United States, European Union, or Australia. A trained investigator performed physician-based scales (Total Neuropathy Score—clinical [TNSc], used to calculate Total Neuropathy Score—nurse [TNSn]) and supervised the patient-completed questionnaire (Functional Assessment of Cancer Treatment/Gynecologic Oncology Group—Neurotoxicity [FACT/GOG-NTX]). Evaluations were performed before and at the end of chemotherapy. On participants without neuropathy at baseline, we assessed the association between TNSc, TNSn, and FACT/GOG-NTX. Considering a previously established minimal clinically important difference (MCID) for FACT/GOG-NTX, we identified participants with and without a clinically important deterioration according to this scale. Then, we calculated the MCID for TNSc and TNSn as the difference in the mean change score of these scales between the 2 groups.

Results

Data from 254 participants were available: 180 (71%) had normal neurologic status at baseline. At the end of the study, 88% of participants developed any grade of neuropathy. TNSc, TNSn, and FACT/GOG-NTX showed good responsiveness (standardized mean change from baseline to end of chemotherapy >1 for all scales). On the 153 participants without neuropathy at baseline and treated with a known neurotoxic chemotherapy regimen, we verified a moderate correlation in both TNSc and TNSn scores with FACT/GOG-NTX (Spearman correlation index $r = 0.6$). On the same sample, considering as

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Go to Neurology.org/N for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.

CI-PeriNomS group coinvestigators are listed in appendix 2 at the end of the article.

Glossary

CI = confidence interval; CIPN = chemotherapy-induced peripheral neurotoxicity; CRO = clinician-reported outcome; EORTC = European Organization for Research and Treatment of Cancer; FACT/GOG-NTX = Functional Assessment of Cancer Treatment/Gynecologic Oncology Group–Neurotoxicity; MCID = minimal clinically important difference; NCI-CTCAE = National Cancer Institute Common Terminology Criteria for Adverse Events; NCS = nerve conduction studies; PRO = patient-reported outcome; QST = quantitative sensory testing; TNS = Total Neuropathy Score; TNSc = Total Neuropathy Score, clinical version; TNSn = Total Neuropathy Score, nurse-assessed version.

clinically important a change in the FACT/GOG-NTX score of at least 3.3 points, the MCID was 3.7 for TNSc and 2.8 for the TNSn.

Conclusions

MCID for TNSc and TNSn were calculated and the TNSn can be considered a reliable alternative objective clinical assessment if a more extended neurologic examination is not possible. The FACT/GOG-NTX score can be reduced to 7 items and these items correlate well with the TNSc and TNSn.

Classification of Evidence

This study provides Class III evidence that a patient-completed questionnaire and nurse-assessed scale correlate with a physician-assessed scale.

Chemotherapy-induced peripheral neurotoxicity (CIPN) from widely used anticancer drugs is a major issue in oncology daily practice.¹⁻³ CIPN has a significant effect on participants both during⁴ and after antineoplastic treatment.⁵⁻¹² Prevention or treatment of CIPN are important unmet clinical needs.¹³ A major reason for the lack of effective treatments is the incomplete knowledge of CIPN pathogenesis.^{6-9,14} However, another issue in clinical trials is the lack of a gold standard for CIPN detection and grading,¹⁵ leading to multiple and different rating instruments. To fill these gaps, we performed a longitudinal study on a real-life population of participants with cancer from baseline (i.e., before chemotherapy administration) to treatment completion. Based on several previous methodologic studies,¹⁶⁻²⁴ a combination of clinician-reported outcome (CRO) as well as patient-reported outcome (PRO) measures seems to be the most reliable approach. Based on these results, our aim was to address several questions about currently used assessment tools: Are the National Cancer Institute Common Terminology Criteria for Adverse Events (NCI-CTCAE), the Total Neuropathy Score clinical version (TNSc) and its novel nurse-assessed version (TNSn), and the Functional Assessment of Cancer Treatment/Gynecologic Oncology Group–Neurotoxicity (FACT/GOG-NTX) scales responsive to the occurrence of CIPN in this population? How do the TNSc and TNSn compare? What are the correlations among the variation from baseline to end of treatment of TNSc, TNSn, and FACT/GOG-NTX in a population of participants receiving anticancer drugs? Are there shorter versions of FACT/GOG-NTX that might be as valuable as the complete version? What is the minimal clinically important difference (MCID) for the TNSc and TNSn?

Methods

Study Design

This is an international, multicenter (14 sites) trial involving European, American, and Australian centers primarily aimed at definition of the MCID for TNSc and TNSn and at the assessment of the possibility to use reduced FACT/GOG-NTX versions at the same level of reliability of the full version.

Standard Protocol Approvals, Registrations, and Patient Consents

Adult participants were enrolled at each participating center after approval from local institutional review boards/ethics committees and written informed consent was obtained from each participant before entering the study.

Study Design

Consecutive participants were age 18 years or older and candidates for neurotoxic chemotherapy for colorectal, breast, or lung cancers with noninvestigational drugs. Participants with potential confounding factors for CIPN were excluded (i.e., brain metastases, peripheral nerve damage due to other cause). At each center, a specifically trained investigator performed the selected health care provider–assessed scales, NCI-CTCAE (items “peripheral neuropathy–motor” and “peripheral neuropathy–sensory” of NCI-CTCAE v4.0 were used) and TNSc, and supervised the patient-completed questionnaire, FACT/GOG-NTX (version 4, items NTX1-9 and item HI12 and item An6), at baseline (before first chemotherapy cycle, T0) and at the end of all chemotherapy cycles (T1). Participants were evaluated before chemotherapy initiation and at its completion. Demographic and medical

Table 1 Responsiveness of Scales Assessed by Score Changes Between Baseline and the End of Treatment

NCI-CTCAE scale	T0, n (%)	T1, n (%)	Deteriorated, n (%; 95% CI)	
Motor			51 (23.4%; 17.9–29.6)	
0	218 (100)	167 (76.6)		
1	0	20 (9.2)		
2	0	31 (14.2)		
3	0	0		
Sensory			170 (78.0%; 71.9–83.3)	
0	214 (98.2)	48 (22.0)		
1	4 (1.8)	68 (31.2)		
2	0	95 (43.6)		
3	0	7 (3.2)		

Scale	Mean (SD)			Measures of effect size (95% CI ^a)				Paired <i>t</i> test <i>p</i> Value
	T0	T1	T1 – T0	Cohen <i>d</i> I	Cohen <i>d</i> II	Cohen <i>d</i> III	SRM	
TNSc	0.72 (1.42)	5.20 (3.26)	4.48 (3.36)	3.15 (2.30–3.88)	1.38 (1.21–1.53)	1.78 (1.56–1.99)	1.33 (1.18–1.48)	<0.001
TNSn	0.53 (1.11)	3.44 (2.55)	2.91 (2.60)	2.62 (1.93–3.23)	1.14 (1.01–1.26)	1.48 (1.30–1.65)	1.12 (0.99–1.25)	<0.001
FACT/GOG-NTX	2.13 (3.29)	10.24 (8.07)	8.11 (7.87)	2.47 (1.85–3.02)	1.01 (0.89–1.11)	1.32 (1.16–1.46)	1.03 (1.02–1.23)	<0.001

Abbreviations: CI = confidence interval; FACT/GOG-NTX = Functional Assessment of Cancer Treatment/Gynecologic Oncology Group–Neurotoxicity; NCI-CTCAE = National Cancer Institute Common Terminology Criteria for Adverse Events; SRM = standardized response mean; TNSc = Total Neuropathy Score, clinical version; TNSn = Total Neuropathy Score, nurse-assessed version.

For NCI-CTCAE, the sample of 218 patients with nonmissing values of the 2 items (motor and sensory) at T0 and T1 is described. For TNSc and TNSn, the sample of 231 patients with nonmissing values of all items at T0 and T1 is described. For FACT/GOG-NTX, the sample of 214 patients with nonmissing values of all items at T0 and T1 is described. Cohen *d* I: mean(T1 – T0)/SD(T0); Cohen *d* II: mean(T1 – T0)/SD(T1); Cohen *d* III: mean(T1 – T0)/(pooled SD); SRM: mean(T1 – T0)/SD(T1 – T0).

^a CIs are based on 1,000 bootstrap replicates.

history were recorded. As TNSn is calculated from 5 of the 7 items of the TNSc, the TNSn was calculated for each participant at each visit where the TNSc was obtained. eTable 1 (available at Bicocca Open Archive Research Database [BOARD],²⁵ board.unimib.it/research-data/) provides a detailed description of TNSc and TNSn items and eTable 2 (available at BOARD²⁵) FACT-GOG-NTX items.

We first assessed the internal responsiveness of NCI-CTCAE, TNSc, TNSn, and FACT/GOG-NTX on the complete sample including participants with neuropathy at entry. We then used the sample of participants without neuropathy at entry (i.e., TNSc score 0 at baseline) to compare TNSc and TNSn; to assess correlations among TNSc, TNSn, and FACT/GOG-NTX in a population of participants receiving platinum, taxanes, or a combination of the 2 drugs; to assess whether shorter versions of FACT/GOG-NTX might provide the same information as the complete version; and to calculate the MCID for TNSc and TNSn.

Statistical Analysis

Characteristics of the participants were summarized using numbers and percentages for categorical variables and mean

with SD for continuous variables. The flow chart depicted in eFigure 1 (available at BOARD,²⁵ board.unimib.it/research-data/) describes the size of the subsample of participants used in each analysis.

The responsiveness of TNSc, TNSn, and FACT/GOG-NTX scales was assessed by estimating several measures of the effect size of the score change between baseline and the end of treatment (table 1). The analysis of the internal responsiveness of NCI-CTCAE, TNSc, TNSn, and FACT/GOG-NTX was performed using all the available information, i.e., for each scale, data of participants with nonmissing values on every item at all visits were used. For NCI-CTCAE, a binomial test comparing the proportion of worsened participants according to each item was applied. For the other scales, a paired *t* test was performed and the effect size measures described in Husted et al.²⁶ were estimated together with a 95% confidence interval (CI). All these measures consist of a ratio between the mean score change from T0 to T1 and an estimate of the score variability.

The following analyses were performed on the 153 participants treated with a specified neurotoxic chemotherapy regimen, with a normal neurologic status at baseline, and with

nonmissing items of TNSc, TNSn, and FACT/GOG-NTX at every time point. We compared the TNSc and the TNSn at T1 both graphically and using the Spearman correlation index. Differences in neurologic deterioration at the end of the follow-up according to TNSc and TNSn (categorization was based on a TNSc severity group subdivision,²⁷ as follows: score 0, score 1–8, score 9–16, higher than 16; however, the highest score in our population was 15, therefore, we had 3 groups: 0, 1–8, and 9–15 according to Total Neuropathy Score [TNS]) between chemotherapy regimens were assessed using Fisher test. The association between deterioration according to FACT/GOG-NTX and TNSc or TNSn groups at T1 was checked using Kruskal-Wallis test and drawing boxplots. This analysis was repeated after stratifying by chemotherapy regimen. We then assessed whether shorter versions of FACT/GOG-NTX might provide the same information as the complete version. This was done by checking the association between deterioration of each single FACT/GOG-NTX item and TNSc or TNSn groups at T1, using the χ^2 test for trend. Lastly, an anchor-based approach was applied to assess the MCID for TNSc and TNSn scale. This approach is recommended over distribution-based approaches (focusing purely on a “statistically relevant” change) when at least 1 external indicator of the smallest clinically meaningful change, serving as the anchor, is available.²⁸ The idea consists of defining a group of participants with a relevant change based on the anchor measure and then comparing values of the scale of interest in this group with the group of participants where no change was observed. The direction of change (i.e., participants getting worse or getting better) should be taken into account. We relied on a previously established MCID for FACT/GOG-NTX to identify participants with and without a clinically important deterioration according to this scale. Then, we calculated the MCID for TNSc and TNSn as the difference in the mean change score of these scales between the 2 groups.

All analysis was carried out using R statistical package (version 3.6.0).

Data Availability

Data will be made available upon request to the corresponding author.

Results

Description of the Study Population

Among the whole sample of 254 participants, about 50% had breast cancer (eTable 3, available at BOARD,²⁵ board.unimib.it/research-data/). About 80% of participants were women with a mean age of \approx 56 years. Colorectal cancer made up the next largest group, with about 22% in each population. About 50% of participants received a taxane alone, \approx 34% received a platinum-containing agent, and just under 20% received both.

Analysis of the Internal Responsiveness of NCI-CTCAE, TNSc, TNSn, and FACT/GOG-NTX Based on the Whole Sample of Participants With Nonmissing Values of the Scales at T0 and T1

As an initial analysis, we evaluated on participants of the whole population with completely measured scales at T0 and T1 the internal responsiveness of NCI-CTCAE (218 participants), TNSc (231), TNSn (231), and FACT/GOG-NTX (214) scales selected as study outcome measures. A description of the overall population and of the populations analyzed for each scale is provided in eTable 3 and eFigure 1 (available at BOARD,²⁵ board.unimib.it/research-data/). Concerning the responsiveness of NCI-CTCAE, the percentage of participants with an increased score was 23.4% and 78.0% for motor and sensory items, respectively (both significantly higher than 0) (table 1). For all scales, the final score consistently increased on average by more than 1 SD, regardless of which type of SD is considered in the calculation (SD of the score at T0, SD at T1, an average of the previous 2, or SD of the change T1–T0). In other words, all effect sizes were greater than 1 and all the lower bounds of the corresponding 95% CIs were above 0.8, which is commonly considered as a threshold for large responsiveness.²⁶

Descriptive Statistics of the Selected Study Population (No Neuropathy at T0, No Missing Data on TNSc, and FACT/GOG-NTX, Treated With a Known Neurotoxic Regimen)

When stratifying for the neurologic status at study entry, 171 participants out of the original cohort of 254 had normal neurologic status at study entry and among these 155 had a complete FACT/GOG-NTX score at each time point. Among these, for 2 participants, information about the chemotherapy regimen received was missing. Thus, we excluded these 2 participants and analyzed the final sample of 153 participants. eTable 4 (available at BOARD²⁵) gives a general overview of the study population, overall and stratified by TNSc category at T1. Breast cancer and colorectal cancer were most prevalent; therefore, the regimens administered contained taxanes, platinum compounds, or a combination of both classes.

Neuropathy Course Over the Observational Period and Comparison Between TNSc and TNSn at T1

Neurologic status at the end of observational period was impaired in a substantial proportion of our population: 88% of participants showed any grade neuropathy as assessed via TNSc and 82% according to TNSn. In table 2, end treatment neurologic status is stratified according to chemotherapy regimen administered; there was borderline evidence of difference among the 3 groups in neuropathy severity both according to TNSc and TNSn (table 2). A comparison between TNSc and TNSn values at T1 is shown in figure 1. The Spearman correlation index was 88.7%, indicating that the variability of TNSc is almost fully captured by TNSn.

Table 2 Neurologic Deterioration at the End of the Observational Period According to the Total Neuropathy Score, Clinical Version (TNSc) and Total Neuropathy Score, Nurse-Assessed Version (TNSn) Score and Chemotherapy Regimen

TNSc or TNSn	Type of chemotherapy, n (%)			p Value, Fisher test
	Combined (n = 31)	Platinum drugs (n = 44)	Taxanes (n = 78)	
Total TNSc at T1				
0	5 (16.1)	4 (9.1)	9 (11.5)	0.071
1-8	16 (51.6)	30 (68.2)	60 (76.9)	
9-15	10 (32.3)	10 (22.7)	9 (11.5)	
Total TNSn at T1				
0	7 (22.6)	6 (13.6)	15 (19.2)	0.057
1-8	22 (71.0)	34 (77.3)	63 (80.8)	
9-15	2 (6.5)	4 (9.1)	0	

0 = No Deterioration; 1-8 = Moderate Deterioration; 9-15 = Severe Deterioration.

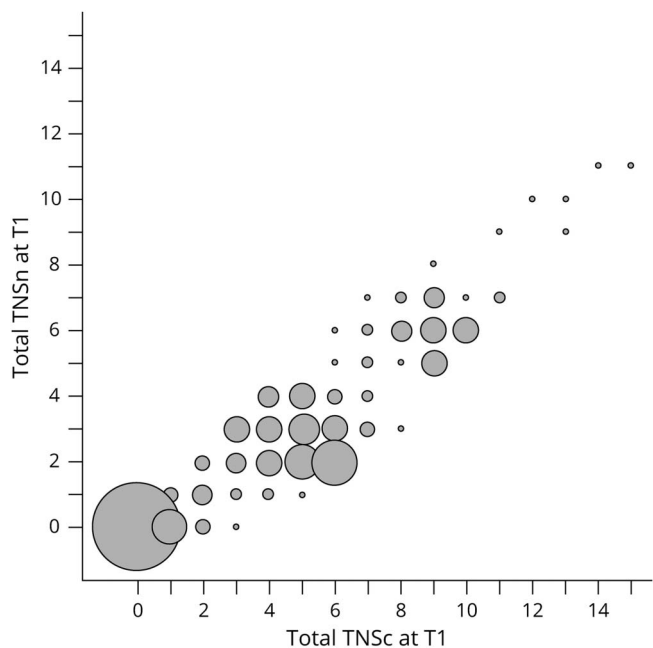
Relationship Between Physician and Patient-Reported Outcome Measure

As shown in table 3, we then explored the association between the deterioration of each single FACT/GOG-NTX item and TNSc or TNSn. Table 3 shows data for the overall population; to see data stratified for drug class, see eTable 5 (available at BOARD,²⁵ board.unimib.it/research-data/): significance is the same as for the overall population, even when analyzing each class. Again, the triple categorization of TNSc or TNSn was used while deterioration for FACT/GOG-NTX items was intended as a score at end of treatment higher than baseline by at least 1 point. Only the first 4 items of FACT/GOG-NTX (items Ntx1-4) and the last 3 items (Ntx8, Ntx9, and An6) showed a moderate grade of association with TNSc and TNSn, both in the whole population and in each chemotherapy regimen subgroup. A strong association between deterioration of FACT/GOG-NTX taken as a whole and TNSc was observed. As shown in figure 2, the number of deteriorated FACT/GOG-NTX items tended to increase along with TNSc score, overall and in all the chemotherapy regimen subgroups. Again, these findings largely overlap with results regarding the association between FACT/GOG-NTX and TNSn (figure 3).

Minimal Clinically Important Difference

Using an anchor-based approach, considering as clinically important a change in the FACT/GOG-NTX score of at least 3.3 points (0.3 per item) as described by Yost and Eton,⁴⁸ the MCID was calculated for the TNSc and for the TNSn. When using the TNSc the MCID is about 3.7, and it was 2.8 for the TNSn, as shown in table 4.

Figure 1 Comparison Between Total Neuropathy Score, Clinical Version (TNSc) and Total Neuropathy Score, Nurse-Assessed Version (TNSn) at T1



The radius of the bubbles is proportional to the absolute frequency. Spearman correlation index = 88.7%.

Discussion

Physicians' and participants' perceptions of CIPN, and particularly its effect on quality of life, are different yet complementary.^{20,29} Several groups have addressed CIPN assessment issues³⁰⁻³⁹ considering CRO as well as PRO measures. CROs enable the recognition of CIPN based on the objective treating physician evaluation, whereas PROs provide the individual perception of the patient of her or his condition, a subjective feeling that does not always match clinicians' objective evaluation.²⁰ PROs have gained growing attention in recent years for new drug approval and in 2009 the Food and Drug administration delivered specific guidelines to determine what qualifies minimum PRO requisites to be applicable for drug approval.⁴⁰ Between 2011 and 2015, nearly 7% out of 182 new drug approvals had PRO labeling, and more than 75% of PRO labeling was based on primary endpoints. Kluetz et al.⁴¹ analyzed the issue of incorporating patient experience into the regulatory process in cancer research and recognized the importance and relevance of PROs in benefit/risk assessment in cancer treatment, but also pointed out that a history of poorly defined PRO objectives and methodologies have hampered their usefulness. Therefore, a joint effort of all stakeholders was suggested to improve their reliability and efficacy in CIPN research. On this background, the National Cancer Institute Symptom Management and Health-Related Quality of Life Steering Committee Clinical Trials Planning Meeting was established in 2017 specifically to improve the quality of CIPN clinical research. Dorsey et al.⁴² recently summarized the conclusions of this working group, emphasizing the absence of a validated gold

Table 3 Comparison Between Total Neuropathy Score, Clinical Version (TNSc) and Total Neuropathy Score, Nurse-Assessed Version (TNSn) With Single Functional Assessment of Cancer Treatment/Gynecologic Oncology Group-Neurotoxicity (FACT/GOG-NTX) Item Deterioration

Patients with worsened FACT/GOG-NTX item from T0 to T1 (n = 153)	Total TNSc at T1, n (%)			p Value
	0 (n = 18)	1-8 (n = 106)	9-15 (n = 29)	
Ntx1. I have numbness or tingling in my hands	0 (0.0)	66 (62.3)	27 (93.1)	<0.001
Ntx2. I have numbness or tingling in my feet	1 (5.6)	79 (74.5)	29 (100.0)	<0.001
Ntx3. I feel discomfort in my hands	0 (0.0)	60 (56.5)	27 (93.1)	<0.001
Ntx4. I feel discomfort in my feet	1 (5.6)	65 (61.3)	28 (96.6)	<0.001
Ntx5. I have joint pain or muscle cramps	3 (16.7)	45 (42.5)	14 (48.3)	0.050
HI12. I feel weak all over	8 (44.4)	46 (43.4)	20 (69.0)	0.049
Ntx6. I have trouble hearing	1 (5.6)	15 (14.2)	3 (10.3)	0.777
Ntx7. I get a ringing or buzzing in my ears	1 (5.6)	19 (17.9)	5 (17.2)	0.381
Ntx8. I have trouble buttoning buttons	0 (0.0)	26 (24.5)	27 (93.1)	<0.001
Ntx9. I have trouble feeling the shape of small objects when they are in my hand	0 (0.0)	28 (26.4)	23 (79.3)	<0.001
AN6. I have trouble walking	1 (5.6)	23 (21.7)	21 (72.4)	<0.001
Patients with worsened FACT/GOG-NTX item from T0 to T1 (n = 153)	Total TNSn at T1			p Value
	0 (n = 28)	1-8 (n = 119)	9-15 (n = 6)	
Ntx1. I have numbness or tingling in my hands	1 (3.6)	86 (72.3)	6 (100.0)	<0.001
Ntx2. I have numbness or tingling in my feet	2 (7.1)	101 (84.9)	6 (100.0)	<0.001
Ntx3. I feel discomfort in my hands	3 (10.7)	78 (65.5)	6 (100.0)	<0.001
Ntx4. I feel discomfort in my feet	4 (14.3)	84 (70.6)	6 (100.0)	<0.001
Ntx5. I have joint pain or muscle cramps	7 (25.0)	51 (42.9)	4 (66.7)	0.030
HI12. I feel weak all over	14 (50.0)	56 (47.1)	4 (66.7)	0.818
Ntx6. I have trouble hearing	2 (7.1)	15 (12.6)	2 (33.3)	0.136
Ntx7. I get a ringing or buzzing in my ears	1 (3.6)	23 (19.3)	1 (16.7)	0.080
Ntx8. I have trouble buttoning buttons	0 (0.0)	47 (39.5)	6 (100.0)	<0.001
Ntx9. I have trouble feeling the shape of small objects when they are in my hand	0 (0.0)	45 (37.8)	6 (100.0)	<0.001
AN6. I have trouble walking	3 (10.7)	37 (31.1)	5 (83.3)	<0.001

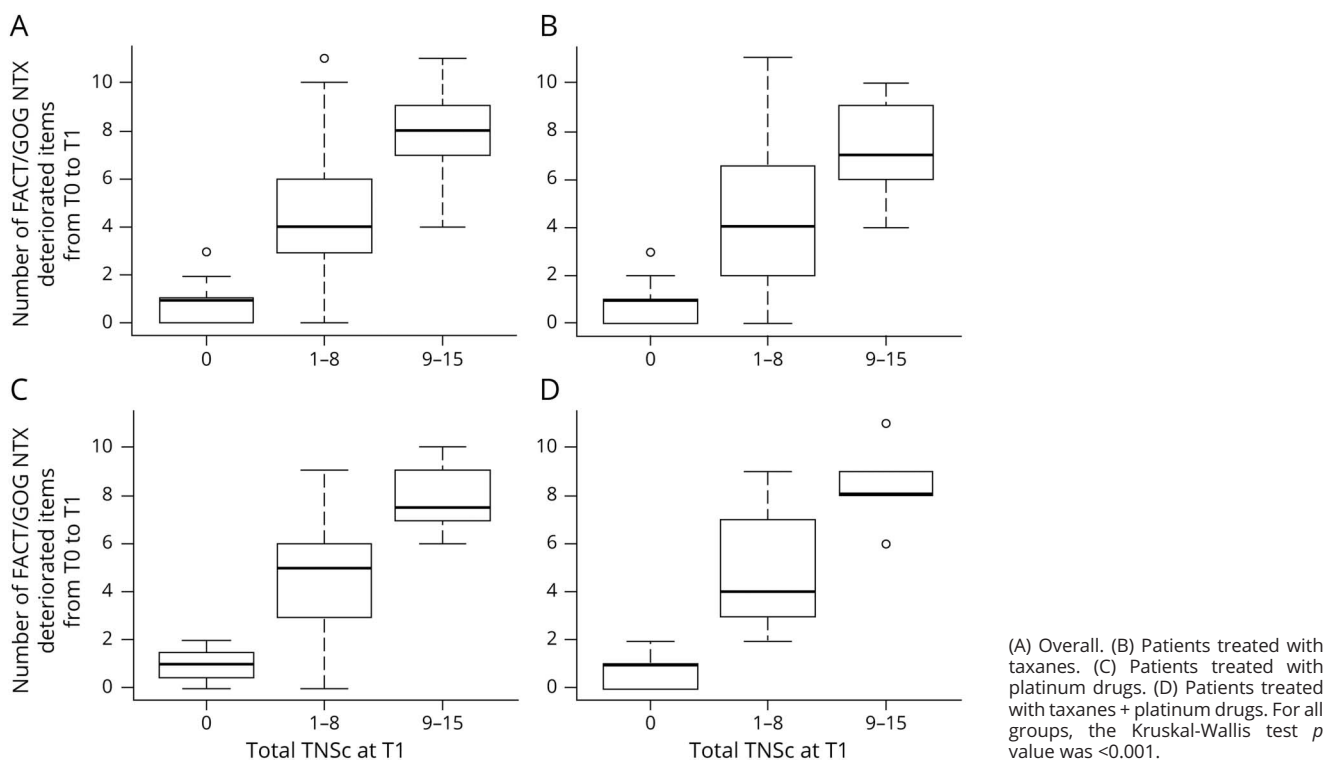
p Values of the χ^2 test for trend are reported.

standard and the crucial need of interdisciplinary efforts to unravel CIPN research methodologic issues. The Analgesic, Anesthetic, and Addiction Clinical Trial Translations, Innovations, Opportunities and Networks (ACTTION) Consortium on Clinical Endpoints and Procedures for Peripheral Neuropathy Trials (CONCEPT) meeting, attended by neurologists, oncologists, pharmacists, clinical trialists, statisticians, and regulatory experts, also analyzed the issue of outcome measures in CIPN clinical trials, giving the recommendation to combine PROs and CROs.¹⁷

Among PROs, the FACT/GOG-NTX and the European Organization for Research and Treatment of Cancer (EORTC) CIPN20⁴³ have gained the most widespread use;

among CROs, the TNS or one of its versions such as the TNSc^{17,31,38} showed valid psychometric properties. By contrast, despite being widely used in oncology clinical trials, the NCI-CTCAE raised concerns for appropriateness in CIPN grading and detection^{15,23} and it cannot be suggested as a sole outcome measure to evaluate CIPN. While the TNSc had a significant correlation with the NCI-CTCAE in scoring the severity of CIPN, it showed a higher sensitivity to CIPN changes.⁴⁴ Moreover, the NCI-CTCAE evaluation can overestimate the occurrence of motor neuropathy, possibly because of the presence of confounding factors (e.g., fatigue, depression, cachexia), which might be difficult to rule out without a formal neurologic examination.²³

Figure 2 Distribution of Deteriorated Functional Assessment of Cancer Treatment/Gynecologic Oncology Group-Neurotoxicity (FACT/GOG-NTX) Items by Total Neuropathy Score, Clinical Version (TNSc) Category



Haryani et al.³⁸ performed a detailed psychometric evaluation of different available assessment tools in CIPN addressing validity (criterion, construct, discriminant validity), reliability, and practicability; by their extensive investigation, 2 tools emerged as most adequate: a PRO (the FACT/GOG-NTX⁴⁵) and a CRO (the TNS or one of its versions such as the TNSc).^{17,31,38} FACT/GOG-NTX—with respect to other scales such as EORTC CIPN20—has been suggested to be easier to use,^{38,46} and the TNS has been recognized as a fair option for CIPN evaluation by a Delphi survey,³⁰ as well as reviews by CIPN experts.^{15,33} Therefore, in our study we focused our attention on these 2 assessment tools.

The original TNS was designed to be performed by trained neuromuscular physicians and included the results of nerve conduction studies (NCS) and a specific quantitative sensory testing (QST) device.⁴⁷ Cavaletti et al.^{44,e1-e3} and others^{31,e4-e7} then studied other versions in which either the QST device was removed or both the QST device and NCS were removed to make the assessment simpler. These have various names, including TNS modified,^{e4,e8} TNS reduced, short-form TNS reduced, or the most popular, TNSc.^{44,e3,e4} Because the TNSc as originally designed required a physician to perform the strength and reflex testing, Cornblath et al. developed the TNSn (unpublished data). This version retains only the original 5 components of the TNS and thus can be done by a trained health care professional. This version has been used extensively in

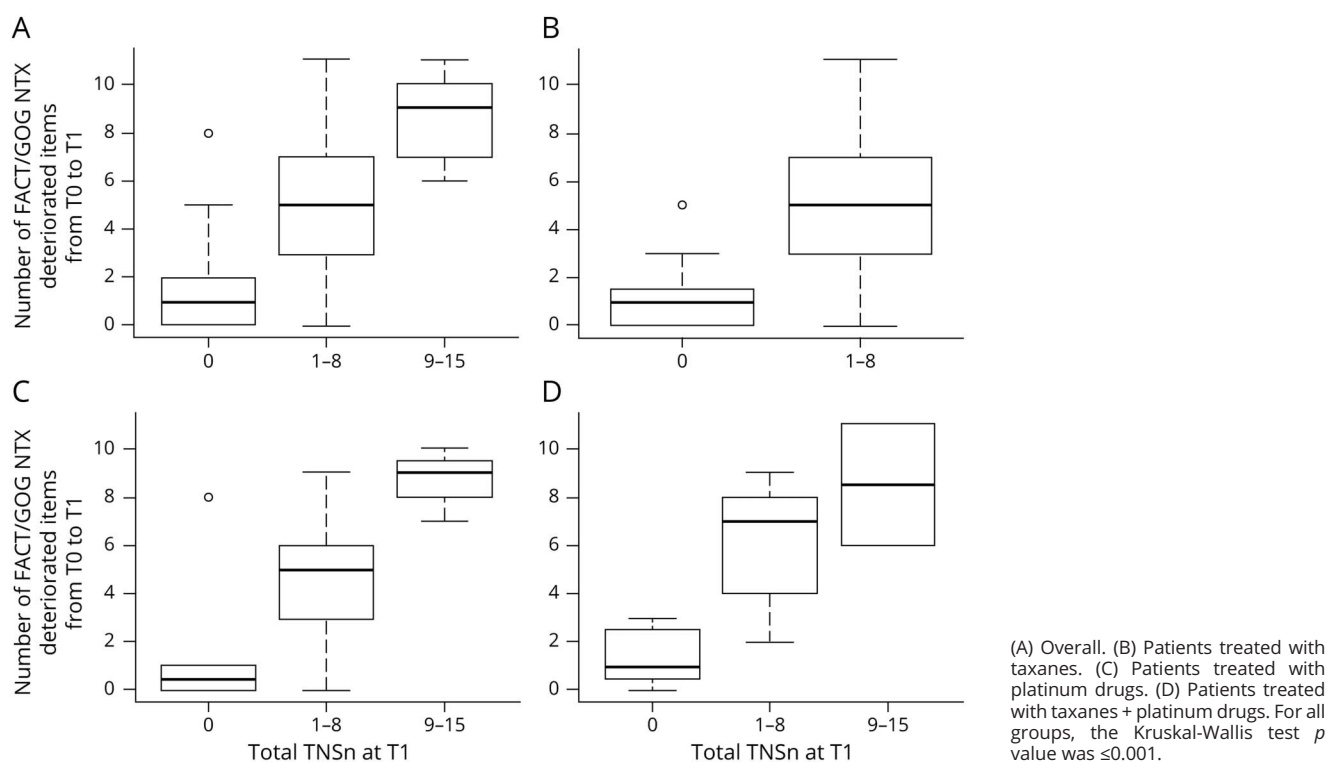
clinical trials, but there is little formal evaluation of it and, in particular, comparison to other CIPN assessments.

Another important concept is emerging in the assessment of CIPN and the effects of treatments (MCID; i.e., the smallest difference in score in the domain of interest that participants perceive as important), either beneficial or harmful, and which would lead the clinician to consider a change in the patient's management.^{e9} The MCID has recently been calculated for FACT/GOG-NTX and EORTC CIPN20,^{48,e10} but this has not been done for any physician-based assessment in CIPN, including any TNS version.

Our data are intended to explore all these issues related to CIPN assessment and to shed light on the best clinimetric approach to this nosographic entity in clinical trials; in the same population of patients with cancer undergoing neurotoxic chemotherapy, we used one of the most recommended PROs, the FACT/GOG-NTX, and the most recommended physician-based outcome scale, TNSc, together. Because of its frequent use in industry and government-sponsored trials, we also employed the NCI-CTCAE.

All 3 scales show that CIPN is a frequent occurrence in this population. We confirmed the internal responsiveness of the 3 outcome measures. However, other studies have shown that the NCI-CTCAE neurotoxicity scales, commonly used in

Figure 3 Distribution of Deteriorated Functional Assessment of Cancer Treatment/Gynecologic Oncology Group–Neurotoxicity (FACT/GOG-NTX) Items by Total Neuropathy Score, Nurse-Assessed Version (TNSn) Category



clinical trials, are poorly informative in terms of quality of neurologic impairment.¹⁵ Thus, we would endorse the growing consensus that FACT/GOG-NTX and a form of the TNS be the primary assessment tools in CIPN without NCI-CTCAE.

The original version of the FACT/GOG-NTX is an 11-item questionnaire aimed at exploring positive and negative neuropathy symptoms in CIPN and the consequent functional impairment.^{45,e11} Its clinimetric properties are known^{e10} and the MCID for the FACT/GOG-NTX has been calculated.⁴⁸ Huang et al.^{e11} reexamined the scale with the hypothesis that some of the 11 items might be redundant. They validated a reduced version of the questionnaire based on the first 4 items only (positive and negative neuropathy symptoms in upper and

lower limbs). To verify whether other questions might better characterize CIPN, we tested the association between neurologic examination, as assessed by the TNSc, and all single FACT/GOG-NTX items. We confirmed the results obtained by Huang et al.,^{e11} who described significant association between worsening of neurologic status and the first 4 items of the FACT/GOG-NTX; moreover, we verified that there the same association is present with the last 3 items of FACT/GOG-NTX, the ones exploring fine sensory perception and sensory ataxia (i.e., loss of proprioception, relevant to hamper manipulation and balance): Ntx8 “having trouble buttoning buttons,” Ntx9 “having trouble feeling the shape of small objects,” and An6 “having trouble walking.” No association was observed with the remaining items. We conclude that the complete 11-

Table 4 Minimal Clinically Important Difference (MCID) in Total Neuropathy Score, Clinical Version (TNSc) and Total Neuropathy Score, Nurse-Assessed Version (TNSn)

Scales	No change ^a (n = 55)	Deterioration ^b (n = 98)	MCID
TNSc mean change score (95% CI)	2.582 (1.875–3.289)	6.296 (5.684–6.908)	3.714
TNSn mean change score (95% CI)	1.455 (0.956–1.953)	4.245 (3.756–4.734)	2.790

Abbreviation: CI = confidence interval.

For each scale, this measure was calculated as the difference between the mean change score in the group of patients who had a clinically important increment according to the Functional Assessment of Cancer Treatment/Gynecologic Oncology Group–Neurotoxicity (FACT/GOG-NTX) (group “deterioration”) and the mean change score in the group of patients who did not have a clinically important increment according to the FACT/GOG-NTX (group “no change”).

^a Patients with a change of <3.3 points in the total FACT/GOG-NTX score from T0 to T1.

^b Patients with a change (increase) of at least 3.3 points in the total FACT/GOG-NTX score from T0 to T1.

item FACT/GOG-NTX is not needed, but rather a 7-item reduced version is the most informative.

We also tested a shorter version of the TNSc—the TNSn—that could be easily and rapidly employed in any oncologic center by a trained health care professional. The TNSn had significant responsiveness and showed the same association with FACT/GOG-NTX items as observed with the full TNSc.

As a final analysis aimed at providing information regarding a widely used physician-based outcome measure in CIPN, we defined the MCID for both the TNSc and TNSn scales. This provides cutoff values for a relevant change that could drive clinical practice and allow better definition of relevant endpoints in CIPN clinical trials. In order to perform this analysis, we used the MCID for the FACT/GOG-NTX⁴⁸ as a reference. As expected, the MCID was higher using the TNSc if compared with the TNSn (approximately 3.7 vs 2.8), reflecting the different value range of the 2 scales (0–28 vs 0–20, respectively) maintaining indeed a similar “relative” MCID ($3.7/28 = 1.3\%$ vs $2.8/20 = 1.4\%$).

This study provides Class III evidence that for participants receiving neurotoxic chemotherapy, a patient-completed questionnaire and nurse-assessed scale moderately correlate with a physician-assessed neuropathy scale. Our study adds important and new information to an evidence-based selection of the most appropriate tools in the assessment of CIPN. We show that both FACT/GOG-NTX and TNSc can measure neuropathy in a real-life population of participants with cancer recruited in a multisite, international study. These results were consistent among different drugs and drug combinations, suggesting they could be used across multiple cancer treatment regimens. Our data support the use of a shorter FACT/GOG-NTX scale, indicating that a 7-item scale would be the most suitable option to capture sensory ataxia and its effect on daily life activities. Lastly, we defined the MCID for the TNSc and demonstrated that the TNSn can be considered a reliable alternative if a formal neurologic examination by physicians or specifically trained nurses are not possible in a specific center. The selected simple set of measures for CIPN are clinimetrically valid, do not need complex training, and can be used easily in trials anywhere.

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Appendix 1 (continued)

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Appendix 1 (continued)

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Continued

Appendix 1 (continued)

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Appendix 1 (continued)

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