Test sequence of CSF and MRI biomarkers for prediction of AD in subjects with MCI

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Test sequence of CSF and MRI biomarkers for prediction of AD in subjects with MCI

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Abstract

Our aim was to identify the best diagnostic test sequence for predicting Alzheimer’s disease (AD)-type dementia in subjects with mild cognitive impairment (MCI) using cerebrospinal fluid (CSF) and magnetic resonance imaging (MRI) biomarkers. We selected 153 subjects with mild cognitive impairment from a multicenter memory clinic-based cohort. We tested the CSF beta amyloid (Aβ)1–42/tau ratio using enzyme-linked immunosorbent assay (ELISA) and hippocampal volumes (HCVs) using the atlas-based learning embeddings for atlas propagation (LEAP) method. Outcome measure was progression to AD-type dementia in 2 years. At follow-up, 48 (31%) subjects converted to AD-type dementia. In multivariable analyses, CSF Aβ1–42/tau and HCV predicted AD-type dementia regardless of apolipoprotein E (APOE) genotype and cognitive scores. Test sequence analyses showed that CSF Aβ1–42/tau increased predictive accuracy in subjects with normal HCV (p = 0.001) and abnormal HCV (p = 0.025). HCV increased predictive accuracy only in subjects with normal CSF Aβ1–42/tau (p = 0.014). Slope analyses for annual cognitive decline yielded similar results. For selection of subjects for a prodromal AD trial, the best balance between sample size and number of subjects needed to screen was obtained with CSF markers. These results provide further support for the use of CSF and magnetic resonance imaging biomarkers to identify prodromal AD.

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Keywords: Alzheimer’s disease (AD); Dementia; Mild cognitive impairment (MCI); cerebrospinal fluid (CSF); Aβ1–42; Tau; Magnetic resonance imaging (MRI); Volumetry; Hippocampus; Diagnostic test sequence

1. Introduction

Diagnosis of Alzheimer’s disease (AD) in the stage of mild cognitive impairment (MCI) is important in order to give patients a prognosis. Moreover, disease-modifying drugs for AD might be most effective in this early course of
the disease. Several biomarkers of AD are now available that can help to identify AD pathology including abnormal levels of beta amyloid (Aβ1–42) and tau in cerebrospinal fluid (CSF; Blennow and Hampel, 2003; Hansson et al., 2009; Herukka et al., 2005; Mattsson et al., 2009; Shaw et al., 2009) and medial temporal lobe atrophy on magnetic resonance imaging (MRI; Devanand et al., 2007; Geroldi et al., 2006; Jack et al., 1999; Visser et al., 2002).

For clinical practice and for selection of subjects for prodromal AD trials, it is of major importance to investigate which combination of CSF and MRI biomarkers could best predict AD-type dementia in subjects with MCI. Some studies found that CSF biomarkers could best predict AD-type dementia (Bouwman et al., 2007; Eckerström et al., 2010; Galluzzi et al., 2010; Landau et al., 2010), but others found that MRI biomarkers were the best predictor (Ewers et al., 2010; Vemuri et al., 2009). Moreover, several studies showed that a combination of these biomarkers could predict AD-type dementia in subjects with MCI more accurately than each biomarker alone (Bouwman et al., 2007; Eckerström et al., 2010; Ewers et al., 2010; Galluzzi et al., 2010; Heister et al., 2011; Vemuri et al., 2009).

These previous studies, however, had several limitations. They had a small sample size or were performed in a highly selected research sample (Bouwman et al., 2007; Eckerström et al., 2010; Ewers et al., 2010; Galluzzi et al., 2010; Heister et al., 2011; Vemuri et al., 2009). Moreover, some studies included only subjects with amnestic MCI (aMCI) while subjects with MCI due to AD may also present as nonamnestic MCI (naMCI) (Visser et al., 2009). The use of a broad definition of MCI is also recommended in the new criteria for MCI due to AD of the National Institute on Aging and Alzheimer’s Association Work Group (NIA-AA) (Albert et al., 2011).

Our aim was to identify the best combination of CSF and MRI biomarkers to predict conversion to AD-type dementia after 2 years in a large clinically representative sample of subjects with MCI, such that the number of tests could be reduced and as a consequence reduce patients’ burden and costs (Hampel et al., 2011). We performed test sequence analyses of CSF and MRI measures and examined how the use of different combinations of biomarkers as inclusion criterion influenced the sample size and number of subjects required for screening for a fictive trial in prodromal AD. Although conversion to AD-type dementia after a 2-year follow-up is a clinically relevant outcome, the potential of CSF and MRI biomarkers to identify prodromal AD may be underestimated as subjects may convert at longer follow-up intervals. Therefore, we additionally performed slope analyses with annual cognitive decline as outcome measure (Aisen et al., 2011).

2. Methods

2.1. Subjects

Subjects were recruited from the DESCRIPA (Development of Screening Guidelines and Clinical Criteria for Pre-dementia AD) study and the Alzheimer Center of the VU University Medical Center (VUmc). For the present study, inclusion criteria were baseline diagnosis of MCI, age ≥ 55 years, availability of data on the CSF ratio of Aβ1–42 to total tau (t-tau) and automatically measured hippocampal volumes (HCVs) on MRI, and being newly referred for assessment of cognitive complaints. Exclusion criteria were diagnosis of dementia at baseline or any other somatic, psychiatric, or neurological disorder that might have caused the cognitive impairment.

DESCRIPA is a European multicenter study performed in a memory clinic setting (Visser et al., 2008). Subjects were recruited from 6 centers, as both MRI and CSF data were only available at these centers. Of the 207 eligible subjects enrolled between 2003 and 2005 at these centers, 74 had data for both measures. The VUmc center was 1 of the DESCRIPA centers and contributed an additional sample of subjects that were seen outside the DESCRIPA inclusion period. Of the 217 additional eligible subjects that were included between 1998 and 2007 at this center, 91 had baseline data for both MRI and CSF.

Subjects for whom both MRI and CSF data were available differed from subjects for whom MRI and CSF data were not available with regard to age (69.3 vs. 71.2 years; p < 0.001), Mini Mental State Examination (MMSE) score (26.6 vs. 27, p = 0.019), and MCI type (68% vs. 59% aMCI; p = 0.016) at baseline. The CSF Aβ1–42/tau ratio and HCV were similar in both groups. The total sample size was 165. The medical ethics committee at each center approved the study. All patients provided informed consent.

2.2. Clinical and cognitive assessment

Assessment of the subjects included a clinical history, medical and neurological examination, laboratory tests, functional evaluation with the Clinical Dementia Rating scale, rating scales for neuropsychiatric symptoms, scoring on the MMSE, and neuropsychological assessment (Bouwman et al., 2007; Visser et al., 2008). All data were collected by investigators who were blinded to the results of biomarker analyses. Follow-up assessment was performed annually up to 5 years. Primary outcome measure was conversion to AD-type dementia after 2 years according to the DSM-IV Diagnostic and Statistical Manual of Mental Disorders (American Psychiatric Association, 1994) and NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke-Alzheimer’s Disease and Related Disorders Association) criteria (McKhann et al., 1984). Secondary outcome measure was annual cognitive decline on the MMSE and a cognitive composite score.

Baseline diagnosis of MCI was made according to the criteria of Petersen (Petersen, 2004). Raw scores on neuropsychological tests were corrected for age, education, and sex, in accordance with locally collected or published normative data and expressed as z-scores; the z-scores were used for further analysis (Visser et al., 2008). Subjects with
a z-score < −1.5 SD on the learning measure or delayed recall of a word list learning test or equivalent memory test were classified as having aMCI (n = 102). Subjects with a z-score < −1.5 SD on the trail making test (TMT) Part A, TMT Part B, verbal fluency, Rey figure copy test or equivalent test but no memory impairment were classified as having naMCI (n = 48; see Appendix S1 [Visser et al., 2008]). The MCI subtype diagnosis was missing for 3 subjects, as they did not undergo a neuropsychological assessment. We calculated a cognitive composite score as the average z-score of 5 tests (learning and delayed recall score of the word learning list, TMT A and B, verbal fluency, copy figures), if scores were available for at least 3 cognitive tests (Visser et al., 2009).

2.3. CSF analyses

CSF was collected by lumbar puncture, centrifuged, and stored at −80 °C in polypropylene tubes. One sample was thawed twice but analyses without this sample revealed similar results. CSF Aβ1–42 and t-tau were measured using commercially available sandwich enzyme-linked immunosorbent assays (ELISAs) (Innotech β-amyloid 1–42; Innotech hTAU-Ag; Innogenetics, Ghent, Belgium), specially constructed to measure Aβ1–42 and t-tau (Andreasen et al., 1999; Blennow et al., 1995) by experienced technicians at the laboratory in Gothenburg for the DESCRIPA cohort and in Amsterdam for the VUmc cohort. We corrected for interlaboratory enzyme-linked immunosorbent assay differences by analyzing 33 samples at both laboratories and we adjusted VUmc values to those of DESCRIPA using the following formula: Gothenborg average VUmc) (Kolen and Brennan, 1995).

As a CSF measure, we used the ratio of Aβ1–42 to t-tau, which is the best CSF biomarker according to a recent meta-analysis (van Rossum et al., 2010) and accepted by the European Medical Agency for inclusion of subjects for prodromal AD trials (Isaac et al., 2011). The CSF ratio was dichotomized by defining a cutoff point (1.14) that could best predict AD-type dementia after 2 years based on the Youden index from a time-dependent ROC curve in R (R Development Core Team, 2009), including all subjects with HCV data in both cohorts (n = 339). In 6 subjects, MRI was performed at follow-up. As these subjects had a normal HCV score, they were considered to have a normal HCV score at baseline as well.

2.5. APOE genotype

Apolipoprotein E (APOE) genotype was determined by polymerase chain reaction of genomic DNA extracted from EDTA anticoagulated blood in 138 subjects. Subjects were classified as APOE-ε4 carriers or noncarriers.

2.6. Statistical analyses

Statistical analyses were done with SPSS version 16.0 (Chicago, IL, USA) and statistical software package R version 2.10.1 (R Development Core Team, 2009). Significance was set at p < 0.05 and 95% confidence intervals (CIs) were calculated. Differences between groups were analyzed using a t test for continuous variables and χ² test for categorical variables.

Univariable and multivariable logistic regression analyses were performed for dichotomized CSF and MRI markers to assess whether a combination of markers was better predictive for AD-type dementia. Sensitivity, specificity, positive predictive value, negative predictive value, Youden index (sensitivity + specificity − 1), and odds ratio (OR) were calculated. Multivariable analyses with correction for center yielded similar findings. Therefore, we did not correct for center in the final analyses.

Change on the MMSE and cognitive composite score were assessed by use of slope analyses with mixed models. The analyses included the baseline score and available follow-up scores up to 5 years after baseline. We used an unstructured covariance structure with center as a random
effect as this model provided the best $-2 \log$ likelihood compared with models with simpler covariance structures (Visser et al., 2009). We examined whether the slopes of cognition were different for subjects with and without abnormal CSF or MRI biomarker scores and whether the combination of both biomarkers increased predictive accuracy for cognitive decline.

Test sequence analyses were performed to investigate the added predictive value of a second biomarker for AD-type dementia or cognitive decline in specific subgroups. We identified the best test sequence of CSF Aβ1–42/tau ratio and HCV assessment and visualized it using probability-modifying plots (Severens et al., 2001).

The relation between biomarkers, the sample size, and number of subjects required for screening, was tested for a fictive placebo-controlled AD drug trial targeting amyloid pathology in subjects with MCI. The number of subjects was calculated in such a way that the study could detect a relative decrease of 25% in conversion rate to AD-type dementia over a 2-year period in subjects with AD pathology, which was defined as an abnormal CSF Aβ1–42/tau ratio, with a power of 90%, a 2-sided alpha of 5%, a relative decrease of 25% in conversion rate to AD-type dementia after 2 years, and were included in the non-AD group. Subjects with and 6 subjects (4%) converted to other types of dementia and were included in the non-AD group. Subjects with MCI who did not convert to AD-type dementia at follow-up, 14 had a follow-up of less than 1.5 years. When we repeated analyses after exclusion of these subjects, results were similar.

3. Results

3.1. Sample characteristics

One hundred fifty-three subjects had at least 1 follow-up assessment (average 3.7 years; SD = 1.4). Forty-eight subjects (31%) converted to AD-type dementia after 2 years, and 6 subjects (4%) converted to other types of dementia and were included in the non-AD group. Subjects with at least 1 follow-up had similar baseline characteristics as those without follow-up (Supplementary Table S1).

3.2. Predictors of AD-type dementia

3.2.1. Univariable predictors

Subjects with AD-type dementia at 2-year follow-up had lower MMSE scores ($p = 0.014$), lower cognitive composite score ($p = 0.009$), lower CSF levels of Aβ1–42 ($p < 0.001$), higher CSF levels of t-tau ($p < 0.001$), a lower CSF Aβ1–42/tau ratio ($p < 0.001$), smaller HCV ($p < 0.001$), a higher frequency of APOE-e4 alleles ($p = 0.011$), and tended to have more often aMCI (75% vs. 65%, $p > 0.10$), compared with subjects without AD-type dementia (Table 1). Scores on verbal fluency ($p = 0.052$) and delayed recall ($p = 0.069$) tended to be lower for subjects who progressed to AD-type dementia compared with those who did not progress. The overall predictive accuracy (OR) was more than twice as high for the CSF Aβ1–42/tau ratio (OR, 9.2; 95% CI, 3.9–22; $p < 0.001$) than for HCV (OR, 3.7; 95% CI, 1.8–7.6; $p < 0.001$, Table 2). Of the subjects without dementia at follow-up, 14 had a follow-up of less than 1.5 years. When we repeated analyses after exclusion of these subjects, results were similar.

3.2.2. Multivariable predictors

When both the CSF Aβ1–42/tau ratio and HCV were entered in the same model, the markers predicted AD-type dementia independently from each other (OR CSF ratio = 7.9; 95% CI, 3.3–19; $p < 0.001$; OR HCV = 2.8; 95% CI, 1.3–6.2; $p = 0.01$). The combination increased the predictive accuracy relative to the model with only the CSF Aβ1–42/tau ratio ($\chi^2 = 6.6; df = 1; p = 0.01$). Next, we tested the added diagnostic value of CSF Aβ1–42/tau ratio and HCV compared with models with other markers that could predict AD-type dementia in the univariable analyses and age. When age, MMSE score, and APOE genotype were entered in the first step, CSF Aβ1–42/tau ratio and HCV increased the predictive accuracy in the second step ($\chi^2 = 24.1; df = 2; p < 0.001$). Both markers were significant predictors (OR CSF ratio = 7.9; 95% CI, 2.8–22; $p < 0.001$; OR HCV = 2.8; 95% CI, 1.1–6.9; $p = 0.03$), whereas age, MMSE score, and APOE genotype no longer predicted outcome ($p > 0.42$). When we tested a multivariable model in which the cognitive composite score was entered in the first step ($p = 0.012$) and CSF Aβ1–42/tau

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<table>
<thead>
<tr>
<th>Table 1</th>
<th>Baseline characteristics of subjects with MCI by outcome at 2-year follow-up</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MCI non-AD</td>
</tr>
<tr>
<td>$n$</td>
<td>105</td>
</tr>
<tr>
<td>Age, y</td>
<td>68.8 (7.3)</td>
</tr>
<tr>
<td>Female, n (%)</td>
<td>43 (41.0)</td>
</tr>
<tr>
<td>aMCI, n (%)</td>
<td>67 (65.0)</td>
</tr>
<tr>
<td>Years of education</td>
<td>10.7 (3.2)</td>
</tr>
<tr>
<td>MMSE</td>
<td>26.9 (2.6)</td>
</tr>
<tr>
<td>Delayed recall, z-score</td>
<td>–1.34 (1.1)</td>
</tr>
<tr>
<td>Learning, z-score</td>
<td>–1.15 (1.1)</td>
</tr>
<tr>
<td>Verbal fluency, z-score</td>
<td>–0.66 (1.1)</td>
</tr>
<tr>
<td>TMT A, z-score</td>
<td>–0.44 (1.6)</td>
</tr>
<tr>
<td>TMT B, z-score</td>
<td>–0.60 (1.3)</td>
</tr>
<tr>
<td>Visuconstruction, z-score</td>
<td>0.26 (1.2)</td>
</tr>
<tr>
<td>Cognitive composite score, z-score</td>
<td>–0.63 (0.7)</td>
</tr>
<tr>
<td>APOE e4 carrier, n (%)</td>
<td>42 (44.2)</td>
</tr>
<tr>
<td>Hippocampal volume, cm³</td>
<td>5.7 (0.7)</td>
</tr>
<tr>
<td>Aβ1–42, pg/ml</td>
<td>682 (309)</td>
</tr>
<tr>
<td>T-tau, pg/ml</td>
<td>409 (219)</td>
</tr>
<tr>
<td>Ratio Aβ1–42/t-tau</td>
<td>2.3 (1.7)</td>
</tr>
</tbody>
</table>

Data are mean (SD), unless specified otherwise. Group size is different for cognitive tests. APOE, hippocampal volume, and CSF measures. Key: Aβ, amyloid beta; AD, Alzheimer’s disease; aMCI, amnestic mild cognitive impairment; APOE, apolipoprotein E; CSF, cerebrospinal fluid; MCI, mild cognitive impairment; MCI-AD, subjects with MCI who converted to AD-type dementia at follow-up; MCI non-AD, subjects with MCI who did not convert to AD-type dementia at follow-up; MMSE, Mini-Mental State Examination; T-tau, total tau; TMT, Trail Making Test.

* $p < 0.001$ compared with MCI non-AD.
** $p < 0.05$, compared with MCI non-AD.
*** $p < 0.01$, compared with MCI non-AD.
ratio and HCV in the second step, both biomarkers predicted AD-type dementia (p < 0.05) but the cognitive composite score not (p = 0.13) (Tables 1 and 2).

3.2.3. Test sequence

In further analyses, we only included the CSF and HCV measures because other covariates did not contribute to the overall predictive accuracy.

The added predictive value of the CSF Aβ1–42/tau ratio and HCV to the other marker is presented in Supplementary Table S2, and Fig. 1. If the CSF Aβ1–42/tau ratio was analyzed first, assessment of HCV increased predictive accuracy for AD-type dementia in subjects with a normal CSF Aβ1–42/tau ratio (OR, 7.1; *p = 0.014), but not in subjects with an abnormal CSF Aβ1–42/tau ratio (OR, 2.0; p = 0.13). If HCV was analyzed first, assessment of CSF Aβ1–42/tau ratio increased predictive accuracy both in subjects with normal HCV (OR, 14.4; p < 0.001) and with abnormal HCV (OR, 4.1; p = 0.025).

Table 2

<table>
<thead>
<tr>
<th>Markers</th>
<th>Sensitivity</th>
<th>Specificity</th>
<th>PPV</th>
<th>NPV</th>
<th>Youden indexa</th>
<th>Odds ratiob</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSF Aβ1–42/tau ratio</td>
<td>0.83 (0.73–0.94)</td>
<td>0.65 (0.56–0.74)</td>
<td>0.52 (0.41–0.63)</td>
<td>0.89 (0.83–0.96)</td>
<td>0.48 (0.34–0.62)</td>
<td>9.2 (3.9–22)</td>
</tr>
<tr>
<td>HCV</td>
<td>0.56 (0.42–0.70)</td>
<td>0.74 (0.66–0.83)</td>
<td>0.5 (0.37–0.63)</td>
<td>0.79 (0.71–0.87)</td>
<td>0.31 (0.14–0.47)</td>
<td>3.7 (1.8–7.6)</td>
</tr>
<tr>
<td>CSF Aβ1–42/tau ratio or</td>
<td>0.94 (0.87–1.00)</td>
<td>0.52 (0.43–0.62)</td>
<td>0.47 (0.37–0.57)</td>
<td>0.95 (0.89–1.00)</td>
<td>0.46 (0.34–0.58)</td>
<td>17 (4.8–56)</td>
</tr>
<tr>
<td>HCV abnormal</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CSF Aβ1–42/tau ratio and</td>
<td>0.46 (0.32–0.60)</td>
<td>0.87 (0.80–0.93)</td>
<td>0.61 (0.45–0.77)</td>
<td>0.78 (0.70–0.85)</td>
<td>0.33 (0.17–0.48)</td>
<td>5.5 (2.5–12)</td>
</tr>
<tr>
<td>HCV abnormal</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Results are presented with 95% confidence intervals.

Key: Aβ, amyloid beta; AD, Alzheimer’s disease; CSF, cerebrospinal fluid; HCV, hippocampal volume; NPV, negative predictive value; PPV, positive predictive value.

a Youden index = (sensitivity + specificity – 1).

b All p-values < 0.001.

Fig. 1 shows probability plots of AD-type dementia for different sequences of CSF Aβ1–42/tau ratio and HCV assessment, thereby visualizing the change in AD probability after adding the results for each biomarker.

3.3. Predictors of cognitive decline

Although conversion to AD-type dementia after 2-year follow-up is a clinically relevant outcome measure, the potential of CSF and MRI biomarkers to identify prodromal AD may be underestimated as subjects may convert at longer follow-up intervals. Therefore, we additionally performed slope analyses for annual cognitive decline over the 5-year follow-up period.

3.3.1. Univariable predictors

The CSF Aβ1–42/tau ratio at baseline predicted cognitive decline on the MMSE and cognitive composite score (all *p < 0.001), while HCV only predicted decline on the MMSE (*p < 0.008; Table 3). The CSF Aβ1–42/tau ratio and HCV assessed together predicted cognitive decline on the MMSE (p = 0.005) and cognitive composite score (p = 0.025).

---

Fig. 1. Probability of Alzheimer’s disease (AD)-type dementia in 2 years related to the sequence of cerebrospinal fluid (CSF) and hippocampal volume (HCV) assessment. The graph shows the change in AD probability according to biomarker scores. The starting point represents the AD conversion rate in the total mild cognitive impairment (MCI) population. Dotted lines represent negative (normal) test results and full lines represent positive (abnormal) test results. (A) First CSF assessment then HCV assessment; (B) first HCV assessment then CSF assessment. Thirty-six subjects had both abnormal CSF ratio and HCV (with 22 converters to AD-type dementia), 41 subjects had an abnormal CSF ratio and a normal HCV (18 converters), 18 subjects had a normal CSF ratio and an abnormal HCV (5 converters), 58 subjects had both a normal CSF ratio and HCV (3 converters). * p < 0.05; † p < 0.001: difference in AD probability in 2 years between subjects with normal and abnormal biomarkers after second biomarker assessment.
tau ratio was a better predictor for decline on the MMSE compared with HCV (CSF $F = 27.6, p < 0.001$; MRI $F = 7.5, p = 0.008$).

3.3.2. Multivariable predictors

Multivariable analyses were performed with decline on the MMSE as outcome, because only for this outcome measure both biomarkers predicted decline in univariable analyses. When the CSF Aβ1–42/tau ratio was entered first, addition of HCV significantly increased the overall predictive accuracy (decrease in $-2 LL = 6.5; F = 4.72; p = 0.011$).

3.3.3. Test sequence

The added predictive value for decline on the MMSE of the CSF Aβ1–42/tau ratio and HCV to the other marker is presented in Supplementary Table S3, and Fig. 2. If the CSF Aβ1–42/tau ratio was analyzed first, assessment of HCV increased predictive accuracy for cognitive decline in subjects with a normal CSF Aβ1–42/tau ratio ($p = 0.001$), but not in subjects with an abnormal CSF Aβ1–42/tau ratio ($p > 0.3$). If HCV was analyzed first, assessment of CSF Aβ1–42/tau ratio increased predictive accuracy both in subjects with normal HCV ($p < 0.001$) and with abnormal HCV ($p = 0.038$).

3.4. Biomarkers as inclusion criterion for prodromal AD trial

We used the different combinations of the CSF Aβ1–42/tau ratio and HCV shown in Table 2 to select subjects with MCI for a fictive amyloid targeting AD trial with

<table>
<thead>
<tr>
<th>CSF Aβ142/tau ratio</th>
<th>MMSE</th>
<th>Cognitive composite score</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Baseline score</td>
<td>Slope</td>
</tr>
<tr>
<td>Normal</td>
<td>27.5 (0.82)*</td>
<td>$-0.28 (0.13)^{**\ast\ast\ast}$</td>
</tr>
<tr>
<td>Abnormal</td>
<td>26.6 (0.83)</td>
<td>$-1.24 (0.12)^{****}$</td>
</tr>
<tr>
<td>HCV</td>
<td>Normal</td>
<td>27.3 (0.73)^{****}</td>
</tr>
<tr>
<td>Abnormal</td>
<td>26.1 (0.80)</td>
<td>$-1.17 (0.16)^{****}$</td>
</tr>
</tbody>
</table>

Data are mean (standard error of the mean). Slope refers to annual change on the test. A negative slope indicates cognitive decline.

Key: CSF = cerebrospinal fluid, HCV = hippocampal volume, MMSE = Mini Mental State Examination.

* $p < 0.05$ for baseline score or slope compared with baseline score or slope in abnormal biomarker group.

** $p < 0.05$, for slope different from 0 (this means a statistically significant change over time in test score).

*** $p < 0.001$, for baseline score or slope compared with baseline score or slope in abnormal biomarker group.

**** $p < 0.001$ for slope different from 0 (this means a statistically significant change over time in test score).

***** $p < 0.01$, for baseline score or slope compared with baseline score or slope in abnormal biomarker group.

Fig. 2. Average annual cognitive decline on Mini Mental State Examination (MMSE) related to the sequence of cerebrospinal fluid (CSF) and hippocampal volume (HCV) assessment. The graph shows change in annual cognitive decline according to biomarker scores. The starting point represents the decline on MMSE in the total mild cognitive impairment (MCI) population regardless of biomarker results. Dotted lines represent negative (normal) test results and full lines represent positive (abnormal) test results. (A) First CSF assessment then HCV assessment; (B) first HCV assessment then CSF assessment. As the sample size of the subgroups was different, different slopes may be presented for the same final groups. $^\ast p < 0.05$; $^{\dagger} p < 0.001$: difference in annual cognitive decline on MMSE between subjects with normal and abnormal biomarkers after second biomarker assessment.
conversion to AD-type dementia after 2 years as outcome. The sample sizes, numbers required for screening, and screening failure rates varied considerably between the different combinations (Table 4). The sample size was smallest for the strategy that required both an abnormal CSF $\beta$-42/tau ratio and abnormal HCV at baseline (642 vs. 880 – 1381). The number required for screening was lowest if only the CSF $\beta$-42/tau ratio was required to be abnormal (1761 vs. 2228 – 3931). The screening failure rate was lowest if either the CSF $\beta$-42/tau ratio or HCV was required to be abnormal (38% vs. 50%–76%). The strategy that required only abnormal CSF markers had the best trade-off between sample size ($n = 880$) and number required for screening ($n = 1761$), as numbers were best (screening) or second best (sample size).

### 4. Discussion

We showed that the CSF $\beta$-42/tau ratio was the best predictor for AD-type dementia at follow-up and for cognitive decline in subjects with MCI. HCV could increase the predictive accuracy of the CSF $\beta$-42/tau ratio. This increase in predictive accuracy for AD-type dementia and cognitive decline was because HCV predicted AD-type dementia and cognitive decline in subjects with a normal CSF $\beta$-42/tau ratio.

Test sequence analyses suggested that CSF assessment should be performed first for prediction of AD-type dementia at follow-up. HCV assessment may be considered in subjects who have a normal CSF $\beta$-42/tau ratio. A strategy in which HCV assessment is performed first and CSF assessment next seems less efficient as CSF assessment would further increase predictive accuracy regardless of the outcome of the HCV analysis. However, with respect to feasibility, MRI scanning may be more acceptable than CSF collection in daily practice. MRI is often already routinely done to exclude other diseases. Still, volumetric assessment of the hippocampus is not widely available.

Furthermore, the current study showed that for a fictive AD trial targeting amyloid pathology in subjects with MCI, subjects could be best selected based on CSF biomarkers because this provided the best balance between sample size and number of subjects required for screening. Still, the sample size was smaller if both CSF $\beta$-42/tau ratio and HCV were required to be abnormal. However, the screening failure rate was very high for this combination, which may limit its clinical utility. The screening failure rate was lowest if subjects were required to have either an abnormal CSF $\beta$-42/tau ratio or an abnormal HCV. A disadvantage of this approach was the much higher sample size compared with that of the selection based on an abnormal CSF $\beta$-42/tau ratio alone. Selection based on an abnormal HCV alone was least efficient because both the number required for screening and sample size were among the highest of the 4 approaches. This may be partly because the fictive trial was designed for targeting amyloid pathology such that only subjects with an abnormal CSF $\beta$-42/tau ratio were supposed to respond to therapy.

This is the first large-scale multicenter study conducted in a memory clinic setting of subjects classified according to a broad definition of MCI, including aMCI (68%) and naMCI that compared CSF and MRI markers for prediction of cognitive decline. Our study corroborates the findings of studies of subjects with aMCI (Ewers et al., 2010; Heister et al., 2011; Vemuri et al., 2009), that the combination of the CSF ratio and measure of medial temporal lobe atrophy predicted AD-type dementia better than each biomarker alone. This also confirms the findings of smaller single-center studies performed in subjects with MCI (Bouwman et al., 2007; Eckerström et al., 2010; Galluzzi et al., 2010). It validates the use of biomarkers for prediction of AD-type dementia in subjects who meet the criteria of a broad definition of MCI, including aMCI and naMCI. This supports the new NIA-AA criteria for MCI due to AD, which uses a broad definition of MCI as well (Albert et al., 2011). The conversion rate of 31% to AD-type dementia after 2 years in the present study is comparable to conversion rates in previous studies with a similar follow-up period (Eckerström et al., 2010; Galluzzi et al., 2010; Vemuri et al., 2009).

The overall predictive accuracy (OR) for AD-type dementia of the CSF $\beta$-42/tau ratio was twice as high as that of the HCV. This was mainly due to the higher sensitivity of the CSF $\beta$-42/tau ratio compared with that of the HCV (Table 2). This is in line with the hypothetical dynamic model of AD biomarkers (Jack et al., 2010), stating that CSF biomarkers become abnormal at an earlier stage than MRI biomarkers. We also found that the specificity of the HCV was higher than that of the CSF $\beta$-42/tau ratio. This may be considered unexpected as medial temporal lobe atrophy is not specific for AD and also observed in other neurodegenerative and vascular disorders. However, the

### Table 4

<table>
<thead>
<tr>
<th>Biomarkers</th>
<th>Number required for screening</th>
<th>Sample size for trial</th>
<th>Screening failure rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>CSF $\beta$-42/tau ratio abnormal</td>
<td>1761</td>
<td>880</td>
<td>0.5</td>
</tr>
<tr>
<td>HCV abnormal</td>
<td>3931</td>
<td>1376</td>
<td>0.65</td>
</tr>
<tr>
<td>CSF $\beta$-42/tau ratio or HCV abnormal</td>
<td>2228</td>
<td>1381</td>
<td>0.38</td>
</tr>
<tr>
<td>CSF $\beta$-42/tau ratio and HCV abnormal</td>
<td>2675</td>
<td>642</td>
<td>0.76</td>
</tr>
</tbody>
</table>

The assumptions with regard to trial design are described in the methods section. Screening failure rate = 1 (sample size divided by number required for screening), i.e., the proportion of subjects that were screened but not included in the AD trial, as they did not have abnormal biomarkers. Note that this failure rate does not take into account failure rates of CSF collection.

Key: $\beta$, amyloid beta; AD, Alzheimer’s disease; CSF, cerebrospinal fluid; HCV, hippocampal volume.
higher specificity of the HCV could also be explained by the hypothetical dynamic model of AD biomarkers. As CSF markers are expected to become abnormal before the HCV (Jack et al., 2010), there will be more MCI subjects with prodromal AD with an abnormal CSF Aβ1–42/tau ratio who have not yet converted to AD-type dementia within 2 years than there will be MCI subjects with prodromal AD with an abnormal HCV who have not yet converted to AD-type dementia within 2 years.

Test sequence analyses revealed the presence of a small group of subjects (n = 18, 12% of the total sample) with a normal CSF Aβ1–42/tau ratio but abnormal HCV and a conversion rate of 28% to AD-type dementia after 2 years. This is an interesting group because it is not in line with the hypothetical dynamic model of AD biomarkers (Jack et al., 2010), as abnormal CSF biomarkers did not precede medial temporal lobe atrophy. Further research is needed to investigate whether these subjects have an atypical presentation of AD or whether they are misclassified cases either because of the applied cutoffs or because AD is not the cause of the dementia.

MMSE score, a cognitive composite score, and APOE genotype predicted AD-type dementia in univariable analyses, however, in the combined model with CSF and MRI markers, these variables were no longer significant predictors. This indicates that CSF and MRI can be used independent of cognitive scores and APOE genotype for short-term prediction of AD-type dementia. Nevertheless, our findings are inconsistent with some previous studies of subjects with aMCI. One study showed that MRI and APOE genotype did not significantly add to the predictive accuracy of neuropsychological tests (Fleisher et al., 2008). Another study found that the optimal biomarker combination and neuropsychological tests were equally predictive for AD-type dementia (Ewers et al., 2010). The more severely impaired cohort that was used in the former study and the inclusion of only subjects with aMCI in both studies could explain the inconsistent findings.

Although a 2-year follow-up is clinically relevant, the potential of CSF and MRI biomarkers to identify prodromal AD may be underestimated (Aisen et al., 2011). Therefore, we also performed slope analyses for annual cognitive decline over 5 years. These analyses yielded very similar findings as our analysis on conversion to AD-type dementia (Ewers et al., 2010). The more severely impaired cohort that was used in the former study and the inclusion of only subjects with aMCI in both studies could explain the inconsistent findings.

In sum, test sequence analyses may lead to cost reduction and to a decrease in patients’ burden. Future research is needed to evaluate the ratio of costs and effectiveness for patients regarding biomarker assessment, as this may eventually require adjustments to patient policy.

Also the combination of CSF and MRI biomarkers with Pittsburgh compound B (PIB)-positron emission tomography (PET), and fluorodeoxyglucose (FDG) PET should be investigated, because this may further aid to define an algorithm of markers for the accurate prediction of AD-type dementia in subjects with MCI. While hippocampal atrophy and CSF markers are given equal diagnostic accuracy in recent criteria for prodromal AD or MCI due to AD, our findings suggest that this may not be the case and a CSF Aβ1–42/tau ratio may be preferred over HCV assessment because of its higher sensitivity.
Disclosure statement

Dr. Burns and Dr. L’Italien are employees of Bristol-Myers Squibb. Dr. Scheltens serves/has served on the advisory boards of: Genentech, Novartis, Roche, Danone, Nutricia, Baxter and Lundbeck. He has been a speaker at symposia organized by Lundbeck, Merz, Danone, Novartis, Roche and Genentech. For all his activities he receives no personal compensation. He serves on the editorial board of Alzheimer’s Research and Therapy and Alzheimers Disease and Associated Disorders, is a member of the scientific advisory board of the EU Joint Programming Initiative and the French National Plan Alzheimer. Dr. Tsolaki serves on scientific advisory boards for Innogenetics SA. Dr. Barkhof has received consulting fees or honoraria from UCB, Bayer Schering, Sanofi-Aventis, Novartis, Roche, Merck-Serono, Synthon BV, Janssen Research, Lundbeck, Biogen-Idec, and is a member of UCB, Bayer Schering, Sanofi-Aventis, Novartis, and Roche advisory boards. Dr. Blennow has served on advisory boards for Innogenetics, Ghent, Belgium. Dr. Wolz is consultant for IXICO. Dr. Rueckert is founder and consultant for IXICO. Dr. Visser has served as an advisory board member of Myriad, Guiduage study Ilsen, and Bristol-Myers Squibb. The remaining authors disclose no conflicts.

The medical ethics committee at each center approved the study. All patients provided informed consent.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found in the online version, at doi:10.1016/j.neurobiolaging.2011.12.017.

References


