Behavior and Evolution of Young ONset Dementia part 2 (BEYOND-II) study

Citation for published version (APA):

van Duinen-van den IJssel, J. C. L., Appelhof, B., Zwijsen, S. A., Smalbrugge, M., Verhey, F. R. J., de Vugt, M. E., Zuidema, S. U., Koopmans, R. T. C. M., & Bakker, C. (2018). Behavior and Evolution of Young ONset Dementia part 2 (BEYOND-II) study: an intervention study aimed at improvement in the management of neuropsychiatric symptoms in institutionalized people with young onset dementia. *International Psychogeriatrics*, 30(3), 437-446. <https://doi.org/10.1017/S1041610217000941>

Document status and date:

Published: 01/03/2018

DOI:

[10.1017/S1041610217000941](https://doi.org/10.1017/S1041610217000941)

Document Version:

Publisher's PDF, also known as Version of record

Document license:

Taverne

Please check the document version of this publication:

- A submitted manuscript is the version of the article upon submission and before peer-review. There can be important differences between the submitted version and the official published version of record. People interested in the research are advised to contact the author for the final version of the publication, or visit the DOI to the publisher's website.
- The final author version and the galley proof are versions of the publication after peer review.
- The final published version features the final layout of the paper including the volume, issue and page numbers.

[Link to publication](#)

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal.

If the publication is distributed under the terms of Article 25fa of the Dutch Copyright Act, indicated by the "Taverne" license above, please follow below link for the End User Agreement:

www.umlib.nl/taverne-license

Take down policy

If you believe that this document breaches copyright please contact us at:

repository@maastrichtuniversity.nl

providing details and we will investigate your claim.

PROTOCOL-ONLY PAPER

Behavior and Evolution of Young ONset Dementia part 2 (BEYOND-II) study: an intervention study aimed at improvement in the management of neuropsychiatric symptoms in institutionalized people with young onset dementia

J. C. L. van Duinen-van den IJssel,^{1,2} B. Appelhof,^{1,2,3} S. A. Zwijsen,⁴ M. Smalbrugge,⁴ F. R. J. Verhey,⁵ M. E. de Vugt,⁵ S. U. Zuidema,⁶ R.T.C.M Koopmans^{1,2,7} and C. Bakker^{1,2,8}

¹Department of Primary and Community Care, Centre for Family Medicine, Geriatric Care and Public Health, Radboud University Nijmegen, Medical Centre, Nijmegen, the Netherlands

²Radboud Alzheimer Centre, Nijmegen, the Netherlands

³Archipel, Landrijt, Knowledge Centre for Specialized Care, Eindhoven, the Netherlands

⁴Department of General Practice and Elderly Care Medicine, Amsterdam Public Health research institute, VU University Medical Center, Amsterdam, the Netherlands

⁵School for Mental Health and Neuroscience, Alzheimer Centre Limburg, Maastricht University Medical Centre+, Maastricht, the Netherlands

⁶Department of General Practice and Elderly Care Medicine, University of Groningen, University Medical Centre Groningen, Groningen, the Netherlands

⁷De Waalboog “Joachim en Anna”, Centre for Specialized Geriatric Care, Nijmegen, the Netherlands

⁸Centre for Specialized Care in Young Onset Dementia, Florence, Mariahoeve, the Hague, the Netherlands

ABSTRACT

Background: Both neuropsychiatric symptoms (NPS) and psychotropic drug use (PDU) are common in institutionalized People with Young Onset Dementia (PwYOD) and can produce negative outcomes such as reduced quality of life and high workload. In community-dwelling PwYOD, NPS are found to be associated with unmet care needs. This emphasizes the importance of a care program for the management of NPS in institutionalized PwYOD that also addresses unmet care needs and PDU. The objectives of the Behavior and Evolution of Young ONset Dementia part 2 (BEYOND-II) study are to develop a care program for the management of NPS in institutionalized PwYOD and to evaluate its effectiveness.

Methods: The care program consists of an educational program combined with an intervention to manage NPS with the following five steps: the evaluation of psychotropic drug prescription, detection, analysis (including the detection of unmet needs), treatment and the evaluation of NPS. A stepped wedge design will be used to evaluate its effectiveness. The primary outcomes are agitation and aggression and other NPS. The secondary outcomes are PDU, quality of life, the workload of nursing staff and job satisfaction. Additionally, a process analysis and a cost-consequence analysis will be conducted.

Conclusions: The study protocol of the Beyond-II study describes the development, implementation and evaluation of a care program for the management of NPS in institutionalized PwYOD. This care program provides a structured method for the management of NPS, in which unmet needs and PDU are also addressed.

Key words: young onset dementia, NPS, care program, nursing home, nursing staff

Introduction

In approximately, 6–9% of all people with dementia the first symptoms develop before the age of 65 (WHO, 2012). This so-called Young Onset Dementia (YOD) poses challenges that are specifically related to the particular life phase in which the dementia occurs, such as a delay in

Correspondence should be addressed to: Christian Bakker, PhD, MSc, Department of Primary and Community Care, Centre for Family Medicine, Geriatric Care and Public Health, Radboud University Medical Centre, Nijmegen, P.O. Box 9101, 6500 HB Nijmegen, the Netherlands. Phone: 024-3655307. Email: christian.bakker@radboudumc.nl. Received 20 Jun 2016; revision requested 25 Oct 2016; revised version received 24 Jan 2017; accepted 8 May 2017. First published online 9 June 2017.

Trial Registration: Netherlands Trial Register (NTR): NTR5018

obtaining a diagnosis and a consequential delay in the initiation of appropriate care and support (Van Vliet *et al.*, 2013; Draper *et al.*, 2016). Furthermore, People with YOD (PwYOD) have specific needs that are frequently unmet; these needs involve day-time activities, social interaction, and mobility (Bakker *et al.*, 2014). In community-dwelling PwYOD these unmet care needs have been found to be related to the onset of, and increase in neuropsychiatric symptoms (NPS). This finding emphasizes the importance of addressing unmet care needs in care programs for NPS in order to improve the management of these behaviors in PwYOD (Bakker *et al.*, 2014).

NPS such as agitation, apathy, or depression are common in PwYOD and can be burdensome for the person with dementia and/or the environment (e.g. nursing staff, informal caregivers, and other residents). A recent Dutch study found that 88% of the institutionalized PwYOD showed clinically relevant NPS, with agitation and apathy being the most prevalent symptoms (Mulders *et al.*, 2014; Mulders *et al.*, 2016). This prevalence rate could be related to the high proportion of people with frontotemporal dementia, in which NPS is the most predominant symptom (Rossor *et al.*, 2010; Mulders *et al.*, 2014; Mulders *et al.*, 2016). Furthermore, 50% of institutionalized PwYOD are men, and research shows that extremely NPS and agitated and aggressive behaviors are more likely to occur in men below the age of 70 (Brodaty *et al.*, 2003).

NPS in late onset dementia (LOD) are associated with negative health outcomes for the person with dementia as well as for healthcare professionals. These outcomes include reduced quality of life (QoL), increased healthcare costs, and increased nursing staff burden (Murman *et al.*, 2002; Gauthier *et al.*, 2010; Wetzels *et al.*, 2010; Zwijsen *et al.*, 2014b). These negative health outcomes could also apply to YOD. Moreover, compared to LOD, nurses caring for PwYOD are presumably more often confronted with severe or extreme NPS, especially given the higher prevalence of aggressive behavior in PwYOD (Mulders *et al.*, 2016). In particular, agitated and aggressive behavior appears to be strongly related to perceived burden (Zwijsen *et al.*, 2014b). It is likely that these behaviors will increase both the burden and workload of nurses caring for PwYOD and have a negative impact on their feelings of competence. Additionally, it is possible that the impact of NPS lowers the threshold for the use of psychotropic drugs for treatment. Indeed, Mulders *et al.* (2016) found that in YOD 87.6% of residents used one or more psychotropic drug(s). This is concerning because, even though psychotropic drugs can be

effective in reducing NPS, they are also associated with negative health outcomes and reduced QoL (Ballard and Howard, 2006; Schneider *et al.*, 2006; Wetzels *et al.*, 2010). Other studies have shown that non-pharmacological interventions with less adverse effects are also effective in the management of NPS (Livingston *et al.*, 2005; Cohen-Mansfield *et al.*, 2007; Davison *et al.*, 2007). Therefore, the high prevalence rates of NPS and psychotropic drug use (PDU) in institutionalized PwYOD and the negative consequences for residents and nursing staff stresses the importance of the systematic non-pharmacological management of NPS.

The “Grip on challenging behavior” care program has shown effectiveness in the management of NPS in dementia (Zwijsen *et al.*, 2011; Zwijsen *et al.*, 2014a). The Grip care program is based on the generic guidelines for the management of NPS in dementia for the professionals most involved in the care of institutionalized people with dementia: nursing staff, elderly care physicians, and psychologists (Verpleegkundigen & Verzorgenden Nederland (V&VN), 2005; Verenso, 2008; Koopmans *et al.*, 2010; Nederlands Instituut van Psychologen (NIP), 2013). The care program consists of a comprehensive educational program combined with a systematic intervention with the following four steps to manage NPS namely, the detection, analysis, treatment, and evaluation. However, this care program is mainly developed for LOD and does not specifically focus on YOD. Therefore, it does not address the possible factors related to a younger age in the management of NPS, such as the different care needs of these younger individuals and the high levels of PDU (Bakker *et al.*, 2014; Mulders *et al.*, 2016). However, the Grip care program offers a promising starting point for the development of a care program to manage NPS in PwYOD. Furthermore, this care program has proven to be effective in decreasing the prevalence of NPS and PDU and in increasing job satisfaction of the nursing staff (Zwijsen *et al.*, 2014c; Zwijsen *et al.*, 2015).

Aim and research questions

The Behavior and Evolution of Young Onset Dementia part 2 (BEYOND-II) intervention study aimed to improve the management of NPS in YOD by researching the effects of a newly developed multi-component care program in institutionalized PwYOD. The care program will be based on the “Grip on challenging behavior” care program. The current paper describes the design of a trial to investigate the effects of the care program, a process evaluation to investigate the implementation of the

care program and a cost-consequence analysis to estimate the monetary value of the care program. Based on previous research on the management of NPS in people with LOD, we expect that the care program will result in a decline in NPS and a decrease in the use of psychotropic drugs.

The research questions are as follows:

1. What is the effect of the care program on the prevalence and severity of NPS, particularly agitation and aggression, compared to care as usual in YOD Special Care Units (SCUs)?
2. What is the effect of the care program on PDU and QoL of institutionalized PwYOD?
3. What is the effect of the care program on nursing staff in terms of workload, absenteeism, and job satisfaction?
4. Was the care program implemented as planned?
5. What are cost-consequences of the implementation of the care program?

Methods

Development of the care program

The care program in this study is based on the “Grip on challenging behavior” care program, which consists of an educational program followed by the implementation of four consecutive steps: detection, analysis, treatment, and evaluation of NPS. At the start of the implementation of the “Grip on challenging behavior” care program all staff (nurses, elderly care physicians, and psychologists) in the SCU received an educational program that consists of two training sessions in which causes and mechanisms of NPS were discussed and the use of the care program was explained. Six months after implementation all staff received a retraining to enhance implementation of the care program. The “Grip on challenging behavior” care program is described in detail elsewhere (Zwijssen *et al.*, 2014a).

The educational program was tailored by the researchers to the specific (clinical) characteristics and context of YOD. In the Netherlands, nurses are only generally educated in dementia care, which does not cover YOD specific issues. The educational program was adapted in consultation with health care psychologists and elderly care physicians working in YOD SCUs. The adapted educational program for the SCU staff covers YOD in general as well as factors influencing the occurrence and persistence of NPS in YOD and the (steps of the) care program.

The four steps of the “Grip on challenging behavior” care program remained the same. However, the evaluation of appropriateness of psychotropic drug prescription was added to the

care program as a separate step and also a tool for the detection of unmet needs was added to the analysis step of the care program. The tool for the evaluation of appropriateness of psychotropic drug prescription is based on the Appropriateness of Psychotropic Drug Prescription In Dementia (APID)-instrument (van der Spek *et al.*, 2013; 2015). The APID is a research tool for evaluating the appropriateness of psychotropic drug prescription prescribed for NPS in patients with dementia in nursing homes. The APID tool was transformed into a self-evaluation tool for use by the elderly care physician. This was done in consultation with the developers of the APID-instrument. A pilot was held in the LOD SCUs of two nursing homes. The results from the pilot were then discussed in a consensus meeting with the developers of the APID-instrument. The tool for the evaluation of appropriateness of the psychotropic drug prescription was adapted based on the results of this consensus meeting.

The tool for the detection of unmet needs is based on the Dutch version of the Camberwell Assessment of Need for the Elderly (CANE) (Reynolds *et al.*, 2000; Orrell and Hancock, 2004). The CANE is a semi-structured interview that covers the needs of people with dementia. Panel group discussions with health care professionals and researchers with experience working with PwYOD were used to adapt the CANE to the specific areas of needs for institutionalized PwYOD. Additionally, the assessment of the CANE was adapted for observational use, allowing for use and interpretation by nurses as a part of the care program. A pilot study was held in a YOD SCU that did not participate in the overall study. The usability of the tool was evaluated using semi-structured qualitative interviews with nurses, PwYOD residing in the SCU, and informal caregivers. The tool for the detection of unmet needs was adapted based on the results of this pilot.

The “Grip on challenging behavior” care program was originally on paper. Currently, in most Dutch nursing homes electronic client files are used. Therefore, the care program is fully digitalized. All elements of the care program are integrated in a web-based environment, which makes it possible for the SCU team to have direct access to the care program. Also, automated notifications can be sent to all involved disciplines.

Intervention

After the above mentioned adaptations, the intervention offered to the SCUs consists of an educational program followed by the implementation of the five-step care program on the management of

Figure 1. The five steps of the care program “Grip on NPS in institutionalized PwYOD.”
NPS = neuropsychiatric symptoms, PD’s = Psychotropic Drugs.

NPS. The steps of the care program are described in Figure 1. The first step of the care program is the *evaluation of appropriateness of psychotropic drug prescription*. This is a separate step, the other four steps are consecutive and form a cycle. This step involves using the tool for the evaluation of appropriateness of psychotropic drug prescription. This will be completed for all residents by the elderly care physician in the first two months after the SCU is enrolled in the intervention condition. After the initial screening, the elderly care physician can consider psychotropic drug prescription monitoring with the self-evaluation tool at his own discretion. *Detection* of NPS, can occur in usual (daily) observations or with the screening tool for the detection of NPS. Residents are systematically screened every six months for NPS by the nurses with the screening tool as part of the care program. After detection, (symptoms of) NPS are *analyzed* by the nurses. The *analysis* contains questions about the behavior (e.g. describe the behavior, describe the frequency) and includes the tool for the detection of unmet needs in order to investigate whether unmet needs could be a cause of NPS. If necessary, the elderly care physician or psychologist continues the analysis. The outcome of the analysis is discussed in multidisciplinary meetings. After the analysis, the options for *treatment* are discussed, and the treatment plan is established. The treatment plan contains a specifically defined, measurable treatment goal. Psychosocial treatments are preferred; psychotropic drugs are prescribed only if psychological treatment has little or no effect. The last step is the *evaluation*

Table 1. Stepped wedge design

	CLUSTER 1	CLUSTER 2	CLUSTER 3
T0	0	0	0
T1	1	0	0
T2	1	1	0
T3	1	1	1

0 = control condition, 1 = intervention condition There are four, half yearly, assessments.
Each cluster consists of four or five YOD-SCUs.

of the treatment. The frequency and severity of NPS before and after treatment are compared. When treatment outcomes are unsatisfactory, other treatments are considered, or the analysis is performed again.

Design

A stepped wedge design (Table 1) will be used to evaluate the effectiveness of the care program. This design allows clusters (in this case, YOD SCUs) to cross-over from a control to an intervention condition over time and assures that all clusters receive the care program and can benefit from the possible positive effects of the intervention (Brown and Lilford, 2006). This increases the motivation of care organizations to participate in the study. Also, a stepped wedge design is considered appropriate when there are practical and logistic constraints to implementing the intervention simultaneously to all participants in the intervention condition, which is applicable to this study (Brown and Lilford, 2006). Moreover, a stepped wedge design increases

the study power by enabling analyses between and within groups (Hussey and Hughes, 2007). In this study, YOD SCUs from nursing homes throughout the Netherlands will be included. The YOD-SCUs will be randomly assigned to one of three groups. There will be four assessments at six month intervals during a period of 18 months. After each assessment, a new group will enter the intervention condition. The control condition will consist of care as usual without the educational program and use of the care program.

Participants

Eligible YOD SCUs will be recruited through nursing homes that are affiliated with the Dutch YOD Knowledge Center (DKC). PwYOD, who have a diagnosis of dementia with symptom onset before the age of 65, and who have been residing in the SCU for at least one month will be included in the study. Diagnoses of dementia subtype will be made according to the regular criteria and retrieved from the medical file (Roman *et al.*, 1993; American Psychiatric Association, 2000; McKeith, 2006; Gorno-Tempini *et al.*, 2011; McKhann *et al.*, 2011; Rascovsky *et al.*, 2011). People with dementia caused by human immunodeficiency virus (HIV), traumatic brain injury, Down syndrome, Korsakov or Huntington's disease will be excluded. All nurses employed in the YOD SCU will be included in the study.

Power calculation

In the power calculation, a 6.8 decrease in NPS as measured with the Cohen-Mansfield Agitation Inventory (CMAI) is considered a clinically relevant effect of the care program. This value corresponds to the 0.4 SD of the CMAI (mean 48.8 (16.7)) of the Beyond-I study (Mulders *et al.*, 2014). We assume an ICC of 0.1, which is based on a Dutch study of institutionalized people with LOD (Zuidema *et al.*, 2010). Based on these assumptions, a significance level (alpha) of 0.05 and a power (beta) of 0.80, 10 YOD SCUs with 20 people each in three groups with four measurements are needed for this study. No further attrition is expected because newly admitted residents will replace those who are discharged or die during the study. However, it is possible that an SCU will drop out, for example, due to relocation or organizational problems. Therefore, 13 YOD SCUs will be recruited.

Outcome measures

PRIMARY OUTCOMES

Agitation and aggression will be assessed with the Dutch version of the Cohen-Mansfield Agitation

Inventory (CMAI-D) (de Jonghe, 1996; Cohen-Mansfield *et al.*, 1989). The CMAI is the only instrument that specifically addresses agitation and aggression that has been translated into Dutch and has well-established validity and reliability (de Jonghe, 1996; Zuidema *et al.*, 2011). The CMAI-D assesses 29 agitated or aggressive behaviors. The frequency of each symptom is rated on a seven-point Likert-scale (1–7) ranging from never to several times a week. A total sum score (range 29–203) can be calculated as well as a score for the subscales of physically non-aggressive behavior, physically aggressive behavior and verbally agitated behavior (Zuidema *et al.*, 2007).

Other NPS will be assessed with the Dutch version of the Neuropsychiatric Inventory-Nursing Home version (NPI-NH) (Wood *et al.*, 2000; Kat *et al.*, 2002). The NPI-NH has a high-interrater reliability and has been found to be a valid measure for NPS (Kat *et al.*, 2002). The NPI-NH contains twelve NPS. For each symptom, a screening question will be used to determine whether the symptom is present. For each symptom, Severity (S) and Frequency (F) will be rated on three-point (1–3) and four-point (1–4) Likert-scales, respectively. Additionally, the occupational disruptiveness of symptoms will be assessed on a six-point Likert-scale ranging from no distress to extreme distress (0–5). Scores for each symptom are calculated as F multiplied by S (range 1–12), and a total score can be calculated by summing the F×S scores ranging from 0 (symptom was absent) to 144. A total score for occupational disruptiveness can be calculated by adding each score (range 0–60). For an overview of all measurements, see Table 2.

SECONDARY OUTCOMES: INSTITUTIONALIZED PwYOD

QoL will be assessed with the Quality of Life in Dementia (QUALIDEM) questionnaire (Ettema *et al.*, 2007a; 2007b). The Qualidem is a 37-item behavior observation scale for people with dementia in residential care. Nursing staff rate the QoL for the PwYOD over the preceding week on a four-point scale, ranging from never to almost daily. The Qualidem has nine subscales: care relationship, positive affect, negative affect, restless tense behavior, positive self-image, social relations, social isolation, feeling at home, and having something to do.

Dementia severity will be assessed with the Global Deterioration Scale (GDS) (Reisberg *et al.*, 1982). The GDS is a validated seven-point scale that describes seven different stages of dementia

Table 2. Flowchart of measures used during the assessments

OUTCOME MEASURE	OPERATIONALIZATION (TYPE OF INSTRUMENT)	TIME OF ASSESSMENT				
		S	T0	T1	T2	T3
PwYOD						
Primary outcome						
Agitation and aggression	CMAI-D ^a (SI)		f	f	f	f
Frequency and severity of neuropsychiatric symptoms	NPI-NH ^b (SI)		f	f	f	f
Secondary outcome						
Quality of life	Qualidem ^c (SI)		f	f	f	f
Severity of dementia	GDS ^d (RS)		f	f	f	f
Psychotropic drug use	ATC code, dose, frequency		f	f	f	f
Additional variables						
Inclusion/ exclusion criteria		ecp/p	ecp/p*	ecp/p*	ecp/p*	ecp/p*
Demographic data	Age, sex, length of stay		f	f	f	f
Medical record investigation	Current dementia diagnosis, comorbidity		f	f*	f*	f*
Nursing staff						
Secondary outcome						
Workload	UBOS ^e (Q)		n	n	n	n
Job satisfaction	“Job satisfaction” and “Work/time pressure” of the Leiden Quality of Work Questionnaire ^f (Q)		n	n	n	n
Absence rate	Periodical registrations of the NH personnel departments		e	e	e	e
Additional variables						
Nursing staff attitude	ADQ ^g (Q)		n	n	n	n
Demographic data	Age, sex, educational level, years of working experience		n	n	n	n
YOD SCU						
Care concept	Three item questionnaire on the extent to which a specific care concept is implemented at the SCU (SI)		e	e	e	e
Nursing staff/ resident ratio			e	e	e	e
Living environment			e			e

S = Screening, T0-T3 = measurements 0–3, SI = Structured Interview, RS = Rating Scale Q = questionnaire, f = informant is first responsible nurse, ecp = informant is elderly care physician, p = informant is psychologist, n = informant is nurse, e = informant is manager or employee of personnel department of the nursing home, * = only newly admitted residents.

^aCohen-Mansfield *et al.* (1989).

^bWood *et al.* (2000).

^cEttema *et al.* (2007a; b).

^dReisberg *et al.* (1982).

^eMaslach and Jackson (1986).

^fVan der Doef and Maes (1999).

^gLintern (2001).

ranging from “no impairment” to “very severe cognitive impairment.”

PDU will be derived from the nursing home pharmacist’s electronic registration system and will be classified according to the Anatomical Therapeutic Chemical (ATC) classification system into groups of antipsychotics, anxiolytics, hypnotics, antidepressants, antiepileptic drugs and cholinesterase inhibitors (World Health Organisation Collaborating Centre for Drug Statistics Methodology, 1997).

SECONDARY OUTCOMES: NURSING STAFF

Workload will be assessed with the Dutch version of the Maslach Burnout Inventory, known as the Utrecht Burnout Scale (UBOS) (Maslach and Jackson, 1986; Schaufeli and Van Dierendonck, 2000). The UBOS is a 20-item questionnaire assessing three components of burnout: emotional exhaustion, depersonalization, and decreased personal accomplishment. Questions will be answered on a seven-point scale ranging from “never” to “always.”

Job satisfaction and job demands will be assessed with two subscales of the Leiden Quality of Work Questionnaire (LQWQ) (Van der Doef and Maes, 1999). The LQWQ assesses the nursing staff's perception on their quality of work. The two subscales assess "job satisfaction" and "job demands" with six and seven items, respectively. Questions are answered on a four-point Likert scale ranging from "totally disagree" to "totally agree."

The staffs attitude toward dementia and dementia care will be assessed with the Approaches to Dementia Questionnaire (ADQ) (Lintern, 2001).

OTHER PWYOD AND NURSING STAFF CHARACTERISTICS

The sex, age, and length of stay of the resident will be obtained from the medical file. The age, sex, years of working experience, educational level, and weekly working hours of the nursing staff will also be assessed through a questionnaire. Additionally, staff absenteeism will be obtained from periodical registrations done by the Human Resources department of the nursing home.

SPECIAL CARE UNIT CHARACTERISTICS

Characteristics of the SCU will be registered, including the care concept used at the SCU, the living environment (small scale/ traditional long-term care) and the nursing staff-resident ratio. This will be completed through structured telephonic interviews with the site coordinator of the study.

Ethical considerations

The study protocol has been approved by the Medical Ethics Committee region Arnhem/Nijmegen (file nr: 2015–1558). This research project will be completed according to the principles of the Declaration of Helsinki (version November 2013, www.wma.net). Written informed consent will be obtained from the legal representative of each resident, and all data will be anonymized.

Procedures

Information on the inclusion and exclusion criteria will be sent to the participating YOD-SCUs. Only the legal representatives of institutionalized PwYOD, who meet the inclusion criteria will receive written information and will be asked for their informed consent to participate. Informed consent will be requested for information retrieval from the medical file and for proxy assessments. After written informed consent is received, the researchers will contact the nursing staff to arrange the assessment interview. All resident outcomes will be assessed through interviews with the nursing staff. Respondents will be considered reliable if

they are the vocational nurse specifically assigned to the resident or have had regular contact with the resident in the past month. No interviews will be held with the PwYOD themselves. Trained researchers and research assistants will collect the data through structured interviews with the nursing staff and from the resident's medical files. The nurses from the participating SCUs will be aware that they are in the intervention condition, because that condition requires working with the care program. Therefore, it is not possible to blind the nurses, researchers or research assistants. No feedback will be given to the nurses during the assessments regarding the scores on the outcomes measures.

Data analysis

Data entry of the PwYOD and nursing staff outcomes will be performed in Project Manager Internet Server (ProMISe), a web-based data management system. All entered data will be checked to safeguard data entry. For the primary research question, the CMAI- score and the NPI-NH score will be used as outcomes. Age, sex, length of stay, dementia severity, and prescription of antipsychotics, and other psychotropic drugs will be used as covariates. QoL (Qualidem), burnout (UBOS), job satisfaction (LQWQ), and job demands (LQWQ) scores will be used as secondary outcomes. Nurses age, sex, years of working experience, educational level, and the nurse-resident ratio will be used as covariates. The primary and secondary outcomes will be analyzed using multilevel linear regression and multilevel logistic regression analyses. These analyses will calculate the effects of the care program on NPS, QoL, the prescription rate of psychotropic drugs, and the workload and job satisfaction of nursing staff.

Process evaluation

A process evaluation will be carried out during the study, using the framework of first- and second-order process data as described by Leontjevas *et al.* (2012). First-order process data will be used for interpretation of the effect of the care program. This entails sampling quality (external validity) and intervention quality (internal validity). Sampling quality will be determined by (1) the recruitment and randomization procedure for the SCU, (2) the recruitment procedure of the PwYOD, and (3) the reach of the intervention (the proportion of SCU care staff participating in the care program). Intervention quality will be determined by (1) the relevance and feasibility of the care program and (2) the extent to

which the program was performed. Second-order data will contain information about the delivered and received implementation components and the barriers and facilitators of the implementation process. These data will be evaluated using structured questionnaires and interviews with primary nurses, team leaders, psychologists, and elderly care physicians.

Economic evaluation

A cost-consequences approach (CCA) will be used to estimate the monetary value of the care program. This method provides the most comprehensive presentation of information describing the value of an intervention and is also conceptually the simplest (Mauskopf *et al.*, 1998). The impact of the care program on resource use, costs, and health outcomes will be estimated on the SCU and resident levels using a balance-sheet approach. Costs will include the following direct costs: (1) costs on the SCU level (time allocated for implementation of the care program); (2) cost of care per resident; and (3) PDU costs. Costs will also include indirect costs associated with staff absenteeism. The time needed for the educational program will be registered separately. Effects of the care program will include: agitation and aggression (assessed with the CMAI), QoL (assessed with the Qualidem), PDU of the PwYOD, and occupational disruptiveness of NPS for the nursing staff.

Discussion

The current paper describes the study design of an interventional study that addresses the management of NPS in YOD, known as the Beyond-II study. To our knowledge, this is the first study that addresses the management of NPS in institutionalized PwYOD. The focus of this study is on the (process-) evaluation of the effectiveness and the costs and consequences of the implementation of a newly developed multi-component care program on the management of NPS in institutionalized PwYOD. We expect that the implementation of the care program will result in decreases in NPS and PDU. Implementation is also expected to result in higher job satisfaction among nursing staff.

This study will contribute to the knowledge of the management of NPS in YOD, the mechanisms involved in the management of NPS, and implementation knowledge of care programs in nursing homes. A stepped wedge design will be used in this study to ensure that all participating YOD SCUs can benefit from the care program. Despite the many positive aspects of this study, there are some limitations that have to be considered. Selection

bias could be a factor in our study. Although our participating YOD SCUs are recruited from all geographical regions of the Netherlands and are likely to represent the Dutch YOD nursing home population, selection bias may occur because all participating nursing homes are affiliated with the Dutch YOD Knowledge Center. This may be a different group than the YOD nursing homes that are not affiliated with the Dutch YOD Knowledge Center. Another limitation is that informed consent will be obtained for all PwYOD and it is possible that the group for which consent is obtained will be different from the group for which consent cannot be obtained.

Despite these limitations, the stepped wedge design and assessment measures seem appropriate for this study and outweigh the few limitations. The described care program offers the YOD-SCU staff tools for the management of NPS in YOD.

Conflict of interest

None.

Description of authors' roles

This study was designed by C. Bakker, R.T.C.M. Koopmans, M. Smalbrugge, and S.A. Zwijsen. J.C.L. van Duinen-van den IJssel wrote the paper and B. Appelhof assisted in writing the paper. S. A. Zwijsen, M. Smalbrugge, F. R. J. Verhey, M. E. de Vugt, S. U. Zuidema, C. Bakker and R.T.C.M. Koopmans critically reviewed the paper.

Acknowledgments

This study was funded by the Netherlands Organization for Health Research and Development (ZonMW, nr: 733050402), Archipel Care Group in the Netherlands, Florence Care Group in the Netherlands, and the Dutch Alzheimer Society.

References

- American Psychiatric Association** (2000). *Diagnostic and Statistical Manual of Mental Disorders – Text revision: DSM-IV-TR*. Washington, DC: American Psychiatric Association.
- Bakker, C. et al.** (2014). The relationship between unmet care needs in young-onset dementia and the course of neuropsychiatric symptoms: a two year follow-up study. *International Psychogeriatrics*, 26, 1991–2000.
- Ballard, C. and Howard, R.** (2006). Neuroleptic drugs in dementia: benefits and harm. *Nature Reviews Neuroscience*, 7, 492–500.

- Brodaty, H., Draper, B. M. and Low, L. F.** (2003). Behavioural and psychological symptoms of dementia: a seven-tiered model of service delivery. *Medical Journal of Australia*, 178, 231–234.
- Brown, C. A. and Lilford, R. J.** (2006). The stepped wedge trial design: a systematic review. *BMC Medical Research Methodology*, 6, 54.
- Cohen-Mansfield, J., Libin, A. and Marx, M. S.** (2007). Nonpharmacological treatment of agitation: a controlled trial of systematic individualized intervention. *Journals of Gerontology: Series A*, 62, 908–916.
- Cohen-Mansfield, J., Marx, M. S. and Rosenthal, A. S.** (1989). A description of agitation in a nursing home. *Journal of Gerontology*, 44, M77–M84.
- Davison, T. E., Hudgson, C., McCabe, M. P., George, K. and Buchanan, G.** (2007). An individualized psychosocial approach for “treatment resistant” behavioral symptoms of dementia among aged care residents. *International Psychogeriatrics*, 19, 859–873.
- de Jonghe, J. F. M.** (1996). Factors structure and validity of the dutch version of the cohen mansfield agitation inventory (CMAI-D). *Journal of the American Geriatrics Society*, 44, 888–889.
- Draper, B. et al.** (2016). Time to diagnosis in young-onset dementia and its determinants: the INSPIRED study. *International Journal of Geriatric Psychiatry*, 31, 1217–1224.
- Ettema, T. P., Droes, R. M., de Lange, J., Mellenbergh, G. J. and Ribbe, M. W.** (2007a). QUALIDEM: development and evaluation of a dementia-specific quality of life instrument – scalability, reliability and internal structure. *International Journal of Geriatric Psychiatry*, 22, 549–556.
- Ettema, T. P., Droes, R. M., de Lange, J., Mellenbergh, G. J. and Ribbe, M. W.** (2007b). QUALIDEM: development and evaluation of a dementia-specific quality of life instrument – validation. *International Journal of Geriatric Psychiatry*, 22, 424–430.
- Gauthier, S. et al.** (2010). Management of behavioral problems in Alzheimer’s disease. *International Psychogeriatrics*, 22, 346–372.
- Gorno-Tempini, M. L. et al.** (2011). Classification of primary progressive aphasia and its variants. *Neurology*, 76, 1006–1014.
- Hussey, M. A. and Hughes, J. P.** (2007). Design and analysis of stepped wedge cluster randomized trials. *Contemporary Clinical Trials*, 28, 182–191.
- Kat, M. G., de Jonghe, J. F., Aalten, P., Kalisvaart, C. J., Droes, R. M. and Verhey, F. R.** (2002). Neuropsychiatric symptoms of dementia: psychometric aspects of the Dutch Neuropsychiatric Inventory (NPI). *Tijdschrift Voor Gerontologie en Geriatrie*, 33, 150–155.
- Koopmans, R. T., Lavrijsen, J. C., Hoek, J. F., Went, P. B. and Schols, J. M.** (2010). Dutch elderly care physician: a new generation of nursing home physician specialists. *Journal of the American Geriatrics Society*, 58, 1807–1809.
- Leontjevas, R., Gerritsen, D. L., Koopmans, R. T., Smalbrugge, M. and Vernooij-Dassen, M. J.** (2012). Process evaluation to explore internal and external validity of the “Act in Case of Depression” care program in nursing homes. *Journal of the American Medical Directors Association*, 13, 448e481–448e488.
- Lintern, T.** (2001). *Quality in Dementia Care: Evaluating Staff Attitudes and Behaviour*. Bangor: University of Wales.
- Livingston, G., Johnston, K., Katona, C., Paton, J. and Lyketsos, C. G.** (2005). Systematic review of psychological approaches to the management of neuropsychiatric symptoms of dementia. *American Journal of Psychiatry*, 162, 1996–2021.
- Maslach, C. and Jackson, S. E.** (1986). *The Maslach Burnout Inventory Manual*. Palo Alto, CA: Consulting Psychology Press, Inc.
- Mauskopf, J. A., Paul, J. E., Grant, D. M. and Stergachis, A.** (1998). The role of cost-consequence analysis in healthcare decision-making. *Pharmacoeconomics*, 13, 277–288.
- McKeith, I. G.** (2006). Consensus guidelines for the clinical and pathologic diagnosis of dementia with Lewy bodies (DLB): report of the consortium on DLB International Workshop. *Journal of Alzheimer’s Disease*, 9, 417–423.
- McKhann, G. M. et al.** (2011). The diagnosis of dementia due to Alzheimer’s disease: recommendations from the National Institute on Aging-Alzheimer’s Association workgroups on diagnostic guidelines for Alzheimer’s disease. *Alzheimer’s & Dementia*, 7, 263–269.
- Mulders, A. J. M. J., Zuidema, S. U., Verhey, F. R. and Koopmans, R. T. C. M.** (2014). Characteristics of institutionalized young onset dementia patients – The BEYOND study. *International Psychogeriatrics*, 26, 1973–1981.
- Mulders, A. J. M. J., Fick, I. W. F., Bor, H., Verhey, F. R. J., Zuidema, S. U. and Koopmans, R. T. C. M.** (2016). Prevalence and correlates of neuropsychiatric symptoms in nursing home patients with young-onset dementia: The BEYOND Study. *Journal of American Medical Directors Association*, 17, 495–500.
- Murman, D. L., Chen, Q., Powell, M. C., Kuo, S. B., Bradley, C. J. and Colenda, C. C.** (2002). The incremental direct costs associated with behavioral symptoms in AD. *Neurology*, 59, 1721–1729.
- Nederlands Instituut van Psychologen (NIP)** (2013). *Handreiking Psychologische Hulpverlening bij Gedragsproblemen bij Dementie*. Amsterdam: NIP, SPO.
- Orrell, M. and Hancock, G.** (2004). *CANE: Camberwell Assessment of Need for the Elderly*. London: Gaskell.
- Rascovsky, K. et al.** (2011). Sensitivity of revised diagnostic criteria for the behavioural variant of frontotemporal dementia. *Brain*, 134, 2456–2477.
- Reisberg, B., Ferris, S. H., de Leon, M. J. and Crook, T.** (1982). The Global Deterioration Scale for assessment of primary degenerative dementia. *The American Journal of Psychiatry*, 139, 1136–1139.
- Reynolds, T. et al.** (2000). Camberwell Assessment of Need for the Elderly (CANE); development, validity, and reliability. *British Journal of Psychiatry*, 176, 444–452.
- Roman, G. C. et al.** (1993). Vascular dementia: diagnostic criteria for research studies. Report of the NINDS-AIREN international workshop. *Neurology*, 43, 250–260.
- Rossor, M. N., Fox, N. C., Mummery, C. J., Schott, J. M. and Warren, J. D.** (2010). The diagnosis of young-onset dementia. *Lancet Neurology*, 9, 793–806.
- Schaufeli, W. and Van Dierendonck, D.** (2000). *UBOS, Utrecht Burnout Scale: Manual*. Zeist, the Netherlands: SWETS Test Publishers.

- Schneider, L. S., Dagerman, K. and Insel, P. S.** (2006). Efficacy and adverse effects of atypical antipsychotics for dementia: meta-analysis of randomized, placebo-controlled trials. *American Journal of Geriatric Psychiatry*, 14, 191–210.
- Van der Doef, M. and Maes, S.** (1999). The Leiden quality of work questionnaire: its construction, factor structure, and psychometric qualities. *Psychological Reports*, 85, 963–970.
- van der Spek, K. et al.** (2013). PROPER I: frequency and appropriateness of psychotropic drugs use in nursing home patients and its associations: a study protocol. *BMC Psychiatry*, 13, 1–7.
- van der Spek, K. et al.** (2015). A reliable and valid index was developed to measure appropriate psychotropic drug use in dementia. *Journal of Clinical Epidemiology*, 68, 903–912.
- Van Vliet, D. et al.** (2013). Time to diagnosis in young-onset dementia as compared with late-onset dementia. *Psychological Medicine*, 43, 423–432.
- Verenso** (2008). *Richtlijn Probleemgedrag – met Herzene Medicatieparagraaf*. Utrecht: Verenso.
- Verpleegkundigen & Verzorgenden Nederland (V&VN)** (2005). *Richtlijn Omgaan met Gedragsproblemen bij Patiënten met Dementie*. Nijmegen: V&VN.
- Wetzels, R. B., Zuidema, S. U., de Jonghe, J. F., Verhey, F. R. and Koopmans, R. T.** (2010). Determinants of quality of life in nursing home residents with dementia. *Dementia and Geriatric Cognitive Disorders*, 29, 189–197.
- WHO** (2012). *Dementia: A Public Health Priority*. Geneva, Switzerland: World Health Organization. Available at: http://www.who.int/mental_health/publications/dementia_report_2012/en/; last accessed 7 march 2016.
- Wood, S. et al.** (2000). The use of the neuropsychiatric inventory in nursing home residents. Characterization and measurement. *American Journal of Geriatric Psychiatry*, 8, 75–83.
- World Health Organisation Collaborating Centre for Drug Statistics Methodology** (1997). *Anatomical Therapeutic Chemical (ATC) Classification Index Including Defined Daily Doses (DDDs) for Plain Substances*. Oslo: World Health Organisation Collaborating Centre for Drug Statistics Methodology.
- Zuidema, S. U. et al.** (2011). Assessing neuropsychiatric symptoms in nursing home patients with dementia: reliability and reliable change index of the Neuropsychiatric Inventory and the cohen-mansfield agitation inventory. *International Journal of Geriatric Psychiatry*, 26, 127–134.
- Zuidema, S. U., de Jonghe, J. F., Verhey, F. R. and Koopmans, R. T.** (2007). Agitation in Dutch institutionalized patients with dementia: factor analysis of the Dutch version of the cohen-mansfield agitation inventory. *Dementia and Geriatric Cognitive Disorders*, 23, 35–41.
- Zuidema, S. U., de Jonghe, J. F., Verhey, F. R. and Koopmans, R. T.** (2010). Environmental correlates of neuropsychiatric symptoms in nursing home patients with dementia. *International Journal of Geriatric Psychiatry*, 25, 14–22.
- Zwijsen, S. A. et al.** (2011). Grip on challenging behaviour: a multidisciplinary care programme for managing behavioural problems in nursing home residents with dementia. Study protocol. *BMC Health Services Research*, 11, 1–6.
- Zwijsen, S. A. et al.** (2014b). Nurses in distress? An explorative study into the relation between distress and individual neuropsychiatric symptoms of people with dementia in nursing homes. *International Journal of Geriatric Psychiatry*, 29, 384–391.
- Zwijsen, S. A. et al.** (2014c). Coming to grips with challenging behavior: a cluster randomized controlled trial on the effects of a multidisciplinary care program for challenging behavior in dementia. *Journal of the American Medical Directors Association*, 15, 531.e531–e531.e510.
- Zwijsen, S. A., Gerritsen, D. L., Eefsting, J. A., Hertogh, C. M., Pot, A. M. and Smalbrugge, M.** (2014a). The development of the grip on challenging behaviour dementia care programme. *International Journal Palliative Nursing*, 20, 15–21.
- Zwijsen, S. A., Gerritsen, D. L., Eefsting, J. A., Smalbrugge, M., Hertogh, C. M. and Pot, A. M.** (2015). Coming to grips with challenging behaviour: a cluster randomised controlled trial on the effects of a new care programme for challenging behaviour on burnout, job satisfaction and job demands of care staff on dementia special care units. *International Journal of Nursing Studies*, 52, 68–74.