Something old, something new

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Something old, something new

Vectorcardiographic loop size and response to cardiac resynchronization therapy

Elien Engels

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Vectorcardiographic loop size and response to cardiac resynchronization therapy

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Chapter 1

General introduction

1.1 Introduction

In a normal healthy heart, the electrical activation starts in the sinoatrial (SA) node after which the electrical activation spreads throughout the right and left atria. The electrical activation then reaches the atrioventricular (AV) node through which the activation is slowed down, to allow optimal ventricular filling. Hereafter, the right and left ventricle are quickly activated by the specialized His-Purkinje fibers, resulting in a synchronous contraction of the ventricles needed for an optimal pump function.¹

1.2 Heart failure with dyssynchrony

In certain conditions there is abnormal ventricular impulse conduction, such as during left bundle branch block (LBBB), resulting in asynchronous ventricular activation and contraction. With LBBB, the left ventricle (LV) is activated through the slowly conducting ventricular myocardium rather than through the rapidly conducting His-Purkinje system. In LBBB, the electrical activation starts in the septum and right ventricle (RV) and proceeds through the slowly conducting septum to activate the LV.^{2,3} The prevalence of LBBB ranges from ~1% in a general hospital population⁴ to as high as 23.8% in a heart failure (HF) population⁵. LBBB leads to a reduction in contractility and relaxation^{6,7} as well as to adverse remodelling⁸, resulting in LV dysfunction, emerging HF⁹ and eventually cardiac death^{10,11}.

Several experimental and clinical studies show that the heart adapts to the presence of ventricular dyssynchrony in a very complicated manner that is yet incompletely understood. The changes induced by altered electrical activation alter blood flow and myocardial strain throughout the heart, triggering myocardial remodeling. This myocardial remodeling in the long run results in progressive LV dilation, decreasing ejection fraction, and asymmetrical hypertrophy.¹² Beside these structural changes there are also electrical changes that emerge during the repolarization phase. This remodeling of repolarization occurs via changes in the expression and function of multiple ion channels and gap junctions, such as calcium channels responsible for the contraction and relaxation, and potassium channels responsible for repolarization.¹³

The electrical remodeling also leads to changes in the electrocardiogram (ECG). Studies, in which a temporary period of altered electrical activation was induced by RV pacing, showed that after this period the T-wave remained in the direction of the paced R-wave when returning to the normal activation, a phenomenon called cardiac memory.^{14,15} Changes in the ECG have also been demonstrated between acute and chronic RV pacing. These changes manifest as a reduction in T-vector amplitude of the paced beats with increased duration of pacing.¹⁴ It is however unknown whether this phenomenon is also present in patients with LBBB. We hypothesize that the presence of LBBB also induces electrical remodeling that leads to

changes during the repolarization phase as reflected by the T-wave on the ECG.

1.3 Cardiac resynchronization therapy

In the past decade, cardiac resynchronization therapy (CRT) has become an established treatment for patients with dyssynchrony-induced HF accompanied by a reduced LV ejection fraction (LVEF). CRT has been shown to be more effective than optimal pharmacological therapy alone.¹⁶ As its name suggests, CRT aims to resynchronize the right and left ventricular contraction by either letting the wave fronts of a paced LV collide with the intrinsic activation of the RV or by pacing both ventricles simultaneously. CRT is known to improve cardiac pump function and quality of life and reduce HF symptoms, hospitalization, and mortality.¹⁷ However, dependent on the definition of ‘CRT-response’ 30-50% of the patients are non-responders.¹⁸ The main factors leading to non-response are non-optimal patient selection, suboptimal CRT device settings, and LV lead location.¹⁹ In this thesis, improvements in the first two factors are investigated.

1.4 Improving patient selection for CRT

The success of CRT starts with proper patient selection. When patients are not likely to benefit from therapy, implantation of an expensive CRT device with corresponding risks for complications during and after this invasive procedure should be avoided. On the other hand, patients likely to benefit should not be withheld from a therapy that can potentially improve their quality of life and reduce mortality. Therefore, reliable prediction of those patients who will and those who will not benefit from CRT is very important. Current guidelines on CRT state that patients should have HF, as evidenced by a reduced LVEF and a NYHA functional class of II-IV. In addition, there should be evidence of electrical ventricular dyssynchrony, indicated by prolonged QRS duration and/or LBBB QRS morphology.^{20,21} Patients with LBBB QRS morphology benefit most from CRT, but a problem is that the definition of complete LBBB from the 12-lead ECG is not uniform.^{22,23} In this thesis we investigate the possibilities to use vectorcardiography to improve and simplify patient selection for CRT. Vectorcardiography is a technique that records the magnitude and direction of the electrical forces over time. Connecting the heads of the hereby-formed vectors results in a 3D representation of the activation pattern of the heart, the vectorcardiogram (VCG) (Fig. 1.1). The VCG might be a valuable tool to optimize patient selection even further.

Until now the overwhelming majority of research in the area of CRT deals with studying the abnormalities in sequence of depolarization. In section 1.2 we hypothesized that the presence of LBBB induces electrical remodeling that leads to changes in the repolarization. If this is indeed the case, it may be worthwhile to also study the importance of repolarization-

Figure 1.1: Representation of the 3D vectorcardiogram construction. The vectors change rapidly in direction and length (indicated by the solid black arrows). The QRS loop is indicated in blue and the T loop in red and green. Every color alteration represents 10 ms. The dashed black arrow indicates the direction of the QRS loop.

based VCG metrics for the selection of CRT candidates. Therefore, in this thesis, we will compare the effectiveness of different QRS variables (depolarization) and T-wave variables (repolarization) as predictors of CRT response.

1.5 Optimizing CRT device settings

Once the CRT device is implanted, one can program its settings non-invasively and possibly adapt them to optimize application of CRT in the individual patient. These settings are the atrioventricular (AV) interval, the interval between atrial and ventricular activation, and the interventricular (VV) interval, the interval between RV and LV stimulation. The AV-interval is responsible for ventricular filling and timing of contraction as well as for the extent of fusion of the pacing generated activation wave front with the intrinsic activation. The VV-interval is responsible for improved resynchronization between the right and left ventricle to improve systolic performance of the two ventricles. Currently, CRT devices are commonly

programmed using e.g., echocardiography or hemodynamic measurements. However, echocardiography is observer-dependent and time consuming²⁴, and hemodynamic measurements are invasive which limits their use in routine clinical practice^{25,26}. Furthermore, all optimization methods have demonstrated that optimized intervals result in acute improvements in LV diastolic and systolic function, but no study has been able to show that this optimization translates into improvements in clinical outcomes.²⁷ The lack of hard clinical evidence and the serious disadvantages of currently available optimization methods explains that in the majority of patients the CRT devices are left at their default ‘out-of-the-box’ settings.

In order to avoid the time consuming optimization, several algorithms have been developed: the smartDelay²⁸, QuickOpt²⁹, and ADAPTIVECRT™ (trademark of Medtronic, Inc.)³⁰. All algorithms use a combination of ECG and electrogram (EGM) signals and are embedded in CRT devices to perform automated optimization of the device settings.

Another disadvantage of the conventional optimization procedures is that they are performed during rest, in supine position and only once. However, it is conceivable that the optimal settings may change with patient activity and/or due to changes in the disease state of the patient. Therefore, ideally one would like to be able to optimize the intervals individually and continuously. Of the previously mentioned automated algorithms, only ADAPTIVECRT™³⁰ provides an almost continuous optimization.

Until now none of the automatic AV- and VV-interval optimization algorithms has shown superiority to echocardiographic optimization methods. However, these new methods are less time consuming and easy to use.^{28,30–36} A drawback of all currently available algorithms is that they are based on the average population and not on the individual itself. The baseline activation or atrial pacing is used to calculate the optimal AV- or VV-interval using a certain equation; they thus do not use LV- or biventricular (BiV)-paced settings to immediately find the optimal settings. This might introduce a bias for patients that do not qualify as the ‘average’ patient.

Recently, van Deursen et al. showed that the VCG could also be used to optimize the AV- and VV-interval in both animals³⁷ and patients³⁸. In this thesis, we hypothesized that a similar VCG could be calculated from the electrodes on the implanted LV and RV leads that are not being used for pacing: the EGM-based VCG (EGM-VCG). This EGM-VCG could then be used to individually and continuously optimize the CRT device settings.

1.6 Aims of the thesis

The ultimate goal of this thesis is to improve the response to CRT using vectorcardiographic analysis. To this end the following general aims are formulated:

1. Compare measured VCGs with VCGs calculated from a 12-lead ECG in CRT candidates.

2. Create a better understanding of how the heart adapts electrically to the presence of LBBB.
3. Improve patient selection for CRT.
4. Explore the feasibility of using a EGM-based VCG (derived from the pacing electrodes) to optimize CRT device settings continuously and individually.

1.7 Overview of the thesis

The strengths and weaknesses of ECG markers currently being used in guidelines for CRT patient selection, e.g. QRS duration and morphology, is reviewed in **chapter 2**. Furthermore, the current knowledge on the underlying electrical substrate and the mechanism of action of CRT will be discussed.

In **chapter 3** a comparison is made between the measured Frank-VCG³⁹ and the synthesized Kors-VCG⁴⁰ from a 12-lead ECG in patients with HF and conduction abnormalities who are candidate for CRT. Multiple vectorcardiographic variables related to either the depolarization or repolarization phase of a heartbeat will be evaluated.

The way the heart adapts to the sudden presence of LBBB is investigated in **chapter 4**. Normally LBBB starts silently, making this investigation difficult. However, in patients receiving a new aortic valve through a transcatheter aortic valve implantation (TAVI) procedure, ~33% develops LBBB as a result of this procedure. These patients are followed for ~6 months, making it possible to investigate the electrical changes of the heart from the first moment of LBBB presence.

The aim of reducing CRT non-response by improving patient selection using the VCG is addressed in **chapter 5** and **6**. In **chapter 5**, this assessment is based on echocardiographic outcome parameters, while in **chapter 6** long-term clinical outcome parameters are used. A comparison is made between the uses of QRS- and T-wave derived variables as predictors for CRT response.

The ability to optimize CRT device settings using the EGM-VCG is investigated in an animal model (**chapter 7**) and in humans (**chapter 8**).

This thesis ends with a general discussion (**chapter 9**) in which the findings of the above-mentioned studies are linked and discussed in a broader scientific and clinical perspective.

Figure 1.2: Overview of this thesis

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Chapter 2

Why QRS duration should be replaced by better measures of electrical activation to improve patient selection for cardiac resynchronization therapy

The content of this chapter is based on:

Elien B. Engels,^{1,*} Masih Mafi-Rad,^{2,*} Antonius M.W. van Stipdonk,² Kevin Vernooij,² and Frits W. Prinzen¹ (2016).

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Abstract

Cardiac resynchronization therapy (CRT) is a well-known treatment modality for patients with a reduced left ventricular ejection fraction accompanied by a ventricular conduction delay. However, a large proportion of patients does not benefit from this therapy. Better patient selection may importantly reduce the number of non-responders. Here we review the strengths and weaknesses of the electrocardiogram (ECG) markers currently being used in guidelines for patient selection, e.g. QRS duration and morphology. We shed light on the current knowledge on the underlying electrical substrate and the mechanism of action of CRT. Finally, we discuss potentially better ECG-based biomarkers for CRT candidate selection, of which the vectorcardiogram may have high potential.

2.1 Introduction

CARDIAC resynchronization therapy (CRT) is an effective therapy for patients with a decreased left ventricular ejection fraction (LVEF) in combination with a ventricular conduction delay, especially due to left bundle branch block (LBBB). CRT creates a more coordinated and efficient contraction of the heart, improves LV systolic function and quality of life, and reduces heart failure (HF) symptoms, hospitalizations and mortality.^{1,2}

Nevertheless, there is still an incomplete understanding of the mechanism of the therapy and unsatisfying selection of patients. On the one hand, a significant portion (30-50%) of patients that are implanted according to current guidelines^{3,4} benefit little from this therapy whereas ~20% of patients show complete normalization of LVEF.⁵ Possible explanations for this huge range of benefit are variation in substrate that is amenable to resynchronization, inadequate device settings, suboptimal medical treatment, arrhythmias, and variable lead position.⁶

The most important selection criteria in current CRT implantation guidelines are derived from the ECG: QRS duration and morphology.^{3,7} Here we review the strengths and weaknesses of these ECG markers in the light of the current knowledge on the underlying electrical substrate and the mechanism of action of CRT and discuss potentially better ECG-based biomarkers for selection of CRT candidates.

2.2 The role of the 12-lead ECG in the selection of CRT candidates

The clinical application of CRT began in 1994 when the first cases of atrio-biventricular pacemaker implantations in patients with severe congestive HF were described.^{8,9} The surface ECG of these patients often showed a prolonged PR-interval and a widened QRS complex due to ventricular conduction disturbances.

The first randomized crossover trial investigating the clinical efficacy of CRT was the MUSTIC study.¹⁰ This trial in patients with chronic severe HF (NYHA III), reduced LVEF (< 35%) and a broad QRS complex (> 150 ms), showed that biventricular (BiV) pacing improved the 6-min walking distance, peak oxygen uptake, quality of life score, and NYHA class. The MIRACLE study confirmed these results in patients with a QRS duration ≥ 130 ms.^{2,11} This study also showed a clear reduction in LV volumes, reduced HF hospitalization, and better survival. Similar results were shown by the COMPANION¹² and the CARE-HF¹ trials, which included patients with QRS duration ≥ 120 ms and NYHA class III-IV.

These favorable and consistent results led to the recommendation of CRT in patients in NYHA class III-IV despite optimal medical treatment, with a reduced LVEF (< 35%), in

sinus rhythm, and a wide QRS complex (≥ 120 ms).¹³

Subsequent trials investigated the effect of CRT in less symptomatic patients (the REVERSE¹⁴, MADIT-CRT¹⁵ and RAFT trials¹⁶). Again, LV function improved and both all-cause mortality and non-fatal HF events improved. However, subgroup analyses of these three trials demonstrated that these effects were predominantly confined to patients with a QRS duration ≥ 150 ms (Fig. 2.1).¹⁷ This evidence resulted in the addition of a class I indication to CRT for patients presenting with NYHA class II, a reduced LVEF, and a QRS duration > 150 ms, in the 2010 guidelines.¹⁸

Figure 2.1: Effect of CRT on composite clinical events in patients with moderately prolonged (QRS duration of 120 - 150 ms) and severely prolonged QRS duration (> 150 ms) (reprinted from Bryant et al. ¹⁷).

Even though most studies show an increased response rate after CRT in patients with a severely prolonged QRS duration, these studies used the fairly crude division of the cohorts in patients with a QRS duration $<$ and > 150 ms. However, the best cut-off value for QRS duration is unclear.

More recently, attention has shifted from QRS duration to QRS morphology. Small single-center studies^{19,20} and sub-analyses of the MADIT-CRT²¹, REVERSE²², and RAFT¹⁶ study showed that patients with a LBBB morphology benefit most from CRT. In contrast, patients with right bundle branch block (RBBB) or intra-ventricular conduction delays (IVCD) had no benefit or even a worse outcome from CRT (Fig. 2.2). These observations led to the

adaptation of the guidelines in 2012/2013, including LBBB as the primary ECG criterion and QRS duration > 150 ms only if a non-LBBB morphology is present.^{3,4}

Figure 2.2: Cumulative probability of HF event or death (top) and of death alone (bottom) according to QRS morphology in the CRT with defibrillator (CRT-D) arm of the MADIT-CRT (adjusted from Tian et al.²⁰).

Interesting and important however, is that the definition of complete LBBB from the 12-lead ECG varies between European and American guidelines and between large clinical trials^{21,22} or studies²³ that investigated LBBB as a predictor of CRT effectiveness. The refinement of LBBB morphology with the presence of notching or slurring appears to significantly improve the prediction of CRT response and clinical outcome, at least in small single center studies.^{20,24}

While QRS morphology is now one of the primary indicators for CRT, a recent meta-

analysis, combining data from CARE-HF, MIRACLE, MIRACLE ICD, REVERSE, and RAFT showed that QRS duration is a more powerful predictor of CRT outcomes (mortality and morbidity) than QRS morphology.²⁵ This conclusion is in contrast to several reports derived from some of the individual trials and to a meta-analysis of the MADIT-CRT, RAFT, and REVERSE study (Fig. 2.1).²⁶ One possible explanation for this discrepancy is the use of ‘liberal’ LBBB criteria. In that case, it is likely that QRS duration provides additional information. Indeed, when using ‘liberal’ LBBB criteria the non-LBBB patients tended to have a lower QRS duration than the LBBB patients²¹, but this difference could not be observed when stricter LBBB criteria were used.²⁰ Furthermore, in the studies where strict LBBB criteria as defined by Strauss et al.²³ were used, QRS duration was not a predictor of response while LBBB was.^{20,27}

In conclusion, currently it is not clear whether QRS duration or morphology should be preferred as primary marker for selection of CRT patients. QRS duration may not be specific, but LBBB criteria may be too complex and/or dependent. In order to come to a possible solution, it may be worthwhile to go back to the basic physiology of dyssynchronous HF and the mechanisms of CRT.

2.3 Electrophysiological evaluation of the electrical substrate for CRT

Delayed electrical activation of the LV is considered the underlying substrate of LV dysfunction in patients with systolic dysfunction and a conduction delay, mainly due to LBBB.²⁸ CRT aims to correct the underlying electrical substrate by paced pre-excitation of late depolarized and contracting LV regions, thereby restoring synchronous ventricular electrical activation and contraction.²⁸ Experimental studies have confirmed that in hearts with delayed LV activation due to LBBB, LV-only or BiV pacing creates a more synchronous contraction pattern, which is accompanied by marked hemodynamic improvement.^{28,29} The clinical importance of LV activation delay has become evident in studies showing that a greater delay in time from onset of the QRS complex to the local intrinsic activation at the LV stimulation site (Q-LV) is associated with a greater likelihood of benefit from CRT. Singh et al.³⁰ measured Q-LV intra-procedurally as a percentage of the baseline QRS interval in 71 patients undergoing CRT device implantation. A longer Q-LV was related to superior acute LV hemodynamic improvement, whereas a reduced Q-LV (< 50% of QRS duration) was related to a worse clinical outcome.³⁰ A secondary analysis of the prospective multi-center SMART-AV trial showed that patients with a Q-LV > 95 ms show significantly improved odds of reverse remodeling and quality of life response.³¹ Conversely, experimental studies and computer simulations have shown that pacing induced pre-excitation in a heart without a significant electrical delay (narrow QRS complex) widens the QRS complex and consequently worsens

LV pump function.^{32–34} The clinical significance of these findings has become evident in the results of the recent EchoCRT trial.³⁵ This was a randomized trial that evaluated the effect of CRT in patients with a narrow QRS complex (< 130 ms) and evidence of mechanical dyssynchrony. The trial was prematurely stopped because the CRT group did not derive any detectable clinical benefit and even showed a significant increase in mortality compared to the control group.³⁵

All the aforementioned data support the notion that an electrical substrate, consisting of a sufficient amount of LV activation delay, needs to be present for CRT to be efficient. LBBB is considered the hallmark conduction disturbance that is associated with delayed LV activation. In canine hearts where proximal ablation of the left bundle branch was performed, electrical mapping showed that earliest electrical activation occurs inside the right ventricle and that the electrical wave front then slowly propagates through the interventricular septum towards the lateral wall of the LV.³⁶ Induction of LBBB in healthy canine hearts leads to electrical and mechanical dyssynchrony that in turn causes loss of LV pump function and ventricular remodeling.³⁷ In these hearts, CRT largely reverses functional and structural abnormalities.²⁸ The key clinical investigation to detect and evaluate the extent of LV activation delay remains the surface ECG. However, identifying true LBBB on the ECG is not as straightforward as one might presume. As discussed earlier, numerous dissimilarities in ECG criteria for the diagnosis of LBBB between different definitions complicate a uniform diagnosis.

The most accurate way to evaluate the cardiac electrical activation sequence in patients is by invasive mapping using conventional point-by-point technique or three-dimensional electro-anatomical reconstruction contact (CARTO, NOGA) or non-contact (EnSite) mapping. Studies that performed endocardial mapping in patients with HF and LBBB according to conventional ECG criteria have shown that the sequence of LV endocardial activation in these patients is heterogeneous.^{38–41} The activation wave front originating from the right ventricle was shown to cause LV endocardial breakthrough in different septal regions.^{39,40} In some patients, breakthrough occurred in the vicinity of the conduction system in the mid-septal region, which suggests activation by slow conduction through the left bundle branch, in others, LV endocardial activation occurred as a result of right-to-left transseptal spread of activation.⁴⁰ A characteristic finding in true LBBB patients also seems to be a long (> 40 ms) transseptal conduction time.⁴²

Endocardial non-contact mapping has also identified two different patterns of electrical wave front propagation in the LV of these patients. The first entity, observed in approximately two thirds of patients, is characterized by a U-shaped pattern of activation that turns around the LV apex and inferior wall in order to activate the lateral wall^{39,41,43}, which is similar to the activation pattern that has been observed during endocardial non-contact mapping in canine hearts where proximal ablation of the left bundle branch has been performed.⁴⁴ The second entity is characterized by homogeneous propagation of electrical activation throughout the

left ventricle.^{41,43} The varying conduction patterns observed in these mapping studies could be explained by variations in left bundle branch anatomy⁴⁵ and the location of the block, but also by the fact that cellular uncoupling as a consequence of LV hypertrophy or fibrosis can give rise to a wide QRS complex with morphological features that meet conventional ECG criteria for LBBB.^{46,47}

In contrast to LBBB, RBBB is typically associated with delayed RV activation, but not delayed LV activation. However, in some RBBB patients, the QRS morphology differs significantly from the characteristic RBBB pattern. These patients show a specific electrocardiographic pattern previously defined as RBBB masking LBBB^{48,49}, which is characterized by precordial lead findings consistent with RBBB and limb lead findings consistent with LBBB. Extensive measurements of both RV and LV endocardial electrical activation in heart failure patients with RBBB using CARTO 3D contact mapping showed that patients with RBBB masking LBBB, have LV activation delay similar to that found in LBBB.⁵⁰

Although the aforementioned mapping techniques provide accurate characterization of cardiac electrical activation, the application of these techniques in clinical practice is time-consuming, cumbersome, and not without risk. Measuring the Q-LV as described above provides a relatively simple manner of assessing the extent of LV activation delay. However, this technique provides limited information on LV electrical activation because usually measurements are only performed at the anatomically targeted region. A technique that provides a middle ground between complete mapping and single Q-LV measurement is intra-procedural coronary venous electro-anatomic mapping. In a recent study, we assessed the LV electrical activation in a cohort of 51 CRT candidates using this technique.⁵¹ A guidewire that allows for unipolar sensing and pacing was inserted into the coronary sinus and connected to an EnSite NavX system. The wire was then manipulated to various coronary sinus branches creating an anatomic map along with determining the electrical activation time associated with each anatomic region. Significant LV activation delay ($> 75\%$ of QRS duration) was found in 38 of 51 patients. QRS duration was shown to perform poorly in identifying delayed LV activation (area under the curve = 0.49). Twenty-nine of the 51 patients had LBBB according to specific ECG criteria which included broad, notched or slurred R waves in leads I, aVL, V₅ and V₆, an occasional RS pattern in leads V₅ and V₆ attributed to displaced transition of the QRS complex, and absent q waves in lead I, V₅ and V₆ (in the absence of a large anterior-apical infarction). As described earlier, this refined LBBB definition, which includes the presence of QRS notching and slurring, has previously been shown to significantly improve the predictive value of LBBB QRS morphology for CRT response.⁵² Of the remaining 22 patients, 7 met ECG criteria for RBBB and 15 met neither criteria for LBBB nor RBBB and were classified as IVCD. QRS duration did not differ between different QRS morphologies. However, LV activation time was significantly larger in LBBB patients as compared to RBBB and IVCD patients. Significant LV activation delay was found in all patients diagnosed with

LBBB according to specific ECG criteria, but also in 8 of 15 patients with IVCD and even in 1 of 7 patients with RBBB (examples shown in Fig. 2.3). The findings of this mapping study indicate that: (1) a prolonged QRS duration by itself is not a reliable marker of delayed LV activation. Thus, patient selection based on QRS duration alone will most likely include a substantial number of patients without the appropriate electrical substrate to benefit from CRT, and (2) the refined LBBB definition, which includes QRS notching and slurring, is highly specific for delayed LV activation, but lacks sufficient sensitivity. As a consequence, a substantial number of patients that have delayed LV activation are not identified as such, and in these patients, CRT may be withheld erroneously.

Figure 2.3: Coronary venous electro-anatomic map of a LBBB patient demonstrating delayed activation of the LV anterolateral wall (A), an IVCD patient demonstrating delayed activation of the LV inferolateral wall (B), and a RBBB patient with a potential left anterior hemiblock demonstrating delayed activation of the LV anterolateral wall (C). AIV = anterior inter-ventricular vein, ALV = anterolateral vein, ILV = inferolateral vein, CS = coronary sinus, AT = electrical activation time, AP = antero-posterior, L/RAO = left/right anterior oblique, RV = right ventricle (Adapted from Mafi Rad et al. ⁵¹).

Instead, the above described technique of coronary venous electro-anatomic mapping can be used at the time of CRT implantation for a more precise characterization of the electrical substrate at only minor prolongation of procedure time (~ 20 min).^{51,53} However, ideally the decision whether or not to implant a CRT device is made in advance. In this respect, electrocardiographic imaging (ECGi) provides an entirely non-invasive alternative.⁵⁴ ECGi provides high-resolution non-invasive electrical mapping of the epicardial electrical activation. The technique acquires electrical data from more than 200 body surface electrodes using a multi-electrode vest. Epicardial anatomy and body-surface electrode positions are registered simultaneously by a thoracic computed tomography scan. The body-surface electrical data and the anatomical data are then processed with algorithms to construct epicardial depolarization and repolarization patterns, using a single heartbeat.⁵⁴ In this way, detailed information on LV electrical activation can be readily obtained prior to CRT implantation, which may be used to guide the decision on whether or not to implant a CRT device. However, the requirement for a multi-electrode vest in combination with a computed tomography scan may preclude widespread application of this technique in clinical practice.

2.4 Better electrocardiographic identification of the electrical substrate: new ECG parameters

The demand for easy and widely applicable non-invasive techniques that can be used to accurately characterize the electrical substrate in CRT candidates has renewed the interest in finding additional/alternative electrocardiographic markers of dyssynchrony. Sweeney et al.¹⁹ carefully analyzed standard 12-lead ECGs of 202 CRT candidates with LBBB according to specific ECG criteria that included QRS notching/slurring and identified new measurements that predict volumetric CRT response.¹⁹ The time difference between the first notch after 40 ms of QRS onset and the end of the QRS on the baseline ECG was indicated as the LV activation time ($LVAT_{max}$, Fig. 2.4). A longer $LVAT_{max}$ was shown to be predictive of CRT response (OR [CI] = 1.30 [1.11 – 1.52] for each 10 ms increase up to 125 ms). In addition, the Selvester QRS score for LBBB was used to quantify LV scar extent. A higher Selvester score was negatively associated with reverse remodeling (OR [CI] = 0.49 [0.27 – 0.88] for each 1-point increase from 0 to 4; 0.92 [0.83 – 1.01] for each 1-point increase > 4).¹⁹

Recently, the value of the vectorcardiogram (VCG) for characterizing the electrical substrate and predicting CRT response has been explored. VCG is a technique that records the magnitude and direction of the electrical forces that are generated by the heart over time, resulting in a resultant electrical force depicted by a vector for each time-point. Connecting the arrow heads of all vectors, a vector loop is constructed. The VCG thus contains 3D information of the electrical forces within the heart, which might provide more valuable information than the 1D-ECG. It was hypothesized that large electrical dyssynchrony, amenable to CRT,

Figure 2.4: Example of a left ventricular activation time (LVAT) measurement. $LVAT_{max}$ is measured as the time difference between the first notch after 40 ms of QRS onset and the end of the QRS

would lead to large unopposed electrical forces during ventricular depolarization and that the size of these forces may be well represented by the QRS_{area} , the area of the QRS complex in the three principle directions. van Deursen et al.²⁴ assessed the area of the QRS complex (QRS_{area}) on the VCG in 81 consecutive CRT candidates and showed that a large QRS_{area} was associated with high odds of long-term volumetric CRT response. Moreover, QRS_{area} predicted CRT response better than QRS duration and then conventionally defined LBBB and as least as good as the most refined LBBB definition.²⁴

The notion that QRS_{area} represents the extent of unopposed electrical forces is supported by the observation that QRS_{area} is larger in patients with LBBB as compared to patients with IVCD and that QRS_{area} is lower in ischemic than in non-ischemic patients.²⁴ Further support

comes from observations in the above mentioned study on coronary venous mapping. In this study, VCGs were constructed from pre-procedural standard 12-lead ECGs for all patients using the Kors algorithm. A large QRS_{area} ($> 69 \mu V s$) on the VCG was shown to be highly predictive of delayed LV lateral wall activation as determined by coronary venous mapping (Fig. 2.5).⁵¹ On the other hand, QRS_{area} has been shown to be smaller in patients with heart failure of ischemic etiology, which may be explained by the presence of non-conductive fibrotic tissue.²⁴ Taken together, these observations suggest that QRS_{area} is not only useful to determine the extent of electrical dyssynchrony, but that it may also reflect the presence of determinants known to reduce the chance of CRT benefit, such as an ischemic etiology of heart failure. However, more research is required to better understand all determinants of QRS_{area} .

Figure 2.5: QRS_{area} plotted as a function of maximal LV lateral wall activation time (maxLVLW-AT) expressed as % of QRS duration (QRSd) for all patients (each dot represents a patient, $n = 51$) with LBBB diagnosed according to the definition provided by the REVERSE trial (left) and the American Heart Association (AHA) definition (right). This figure demonstrates the excellent diagnostic performance of $QRS_{area} > 69 \mu V s$ for delayed LV lateral wall activation (defined as a maxLVLW-AT exceeding 75% of QRS duration), independent of the QRS morphology on the surface ECG, and illustrates the difference in QRS morphology classification caused by disparity in LBBB definitions (Adapted from Mafi Rad et al.⁵¹).

Interestingly, two studies showed that VCG-derived measures of repolarization predict CRT response even better than QRS_{area} . Engels et al.⁵⁵ assessed the T_{area} from VCGs of 244 CRT recipients (VCG examples shown in Fig. 2.6C and D). The VCG-derived T_{area} was shown to predict echocardiographic CRT response better than QRS_{area} .⁵⁵ In a larger cohort consisting of 335 CRT recipients in which the primary endpoint was the composite of heart failure hospitalization, heart transplantation, left ventricular assist device implantation or death during a 3-year follow-up period, the predictive power of T_{area} for CRT response

was found to be primarily evident in the group of patients with LBBB (Fig. 2.6E and F).⁵⁶ A large T_{area} in LBBB patients was associated with less HF hospitalizations and a higher chance of survival.⁵⁶ The size of the T_{area} is a reflection of the extent of unopposed electrical forces during the repolarization phase. The T_{area} is partially determined by the size of the QRS_{area} ⁵⁵, but other factors such as changes in K^+ and Ca^{2+} ion channel expression might also play a role. In this study a larger T_{area} was primarily caused by a larger amplitude and not so much by a longer JT-interval. Further research is needed to investigate which other factors are exactly reflected in the T_{area} .

A limitation of all these studies regarding the QRS_{area} is that relatively small sample sizes were used. Furthermore, the studies related to the prediction of CRT response using the QRS_{area} were all retrospective. Therefore, these results need to be validated in a larger prospective study.

The great practical benefit of QRS_{area} and T_{area} is that these parameters are measured in an objective manner and quantified as continuous variables, as opposed to LBBB which is a dichotomous measurement that is subject to the use of different definitions and subjective interpretations of QRS notching/slurring. Another practical feature of QRS_{area} and T_{area} is that they can easily be derived from the standard 12-lead ECG. Most commercially available ECG machines have algorithms to construct VCGs from standard 12-lead ECGs using the inverse Dower or Kors' regression transformation.^{57,58} These VCGs provide a good resemblance of the gold standard Frank-VCG and have recently also been validated for use in patients with dyssynchronous heart failure.⁵⁹ The non-invasive and simple nature of VCG analysis combined with the excellent predictive power of QRS_{area} and T_{area} for CRT response indicates that these parameters can be easily applied in clinical practice to identify appropriate candidates for CRT, thereby potentially improving response to this therapy.

2.5 Conclusions

Based on the evidence obtained from electro-anatomic mapping that QRS_{area} reflects LV activation delay, the primary electrical substrate for CRT, and on the better prediction of CRT response by QRS_{area} as compared to QRS duration, we propose to include QRS_{area} in the guidelines as a selection criterion for CRT implantation. The possibly even better prediction of CRT response by using the T-wave rather than the QRS complex requires further investigation.

Figure 2.6: Typical example of VCGs constructed from standard 12-lead ECGs for a patient with a large (A and C) and a patient with a small (B and D) T_{area} , despite being both classified as having LBBB. Panels E and F show Kaplan-Meier estimates of the probability free from the composite endpoint HTLD (HF hospitalization, heart transplantation, LVAD implantation, death) after 3 years of CRT. Large QRS or T area are values $\geq$ median value and small QRS or T area are values $<$ median value (adapted from Engels et al.⁵⁵ and Végh et al.⁵⁶).

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Chapter 3

The synthesized vectorcardiogram resembles the measured vectorcardiogram in patients with dyssynchronous heart failure

The content of this chapter is based on:

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Abstract

Introduction: The use of vectorcardiography (VCG) has regained interest, however, original Frank-VCG equipment is rare. This study compares the measured VCGs with those synthesized from the 12-lead electrocardiogram (ECG) in patients with heart failure and conduction abnormalities, who are candidate for cardiac resynchronization therapy (CRT).

Methods and Results: In 92 CRT candidates, Frank-VCG and 12-lead ECG were recorded before CRT implantation. The ECG was converted to a VCG using the Kors method (Kors-VCG) and the two methods were compared using correlation and Bland-Altman analyses. Variables calculated from the Frank- and Kors-VCG showed correlation coefficients between 0.77 and 0.90. There was a significant but small underestimation by the Kors-VCG method, relative bias ranging from $-1.9 \pm 4.6\%$ (QRS-T angle) to $-9.4 \pm 20.8\%$ (T_{area}).

Conclusions: The present study shows that it is justified to use Kors-VCG calculations for VCG analysis, which enables retrospective VCG analysis of previously recorded ECGs in studies related to CRT.

3.1 Introduction

WITH the use of vectorcardiography (VCG), the size and direction of the electrical forces generated by the heart are recorded and displayed in three dimensions. The VCG consists of three orthonormal leads X, Y, and Z, containing phase information between these leads. This technique was first described 101 years ago by Williams.¹ The VCG technique was almost abandoned and the 12-lead electrocardiogram (ECG) became the clinical standard, because of the need for special VCG recording equipment, the lack of a standard VCG-lead system²⁻⁴, the impracticality of a back electrode in many of these systems, and the complexity to interpret the different loop morphologies for diagnosis.^{5,6} The interest in the diagnostic value of the VCG has, however, never completely subsided and with today's computer technology the vector loops can be synthesized from the 12-lead ECG resulting in a revival of the VCG.

Several systems of three orthonormal leads have been described for recording the VCG, though the most commonly used one is the 8-electrode system according to Frank.⁴ Due to limited availability of Frank-VCG recording systems, the VCG is commonly synthesized from the 12-lead ECG. This is achieved by multiplying 8 independent ECG leads (two limb leads and all six precordial leads) by a matrix. Recent studies demonstrated that the Kors-derived VCG results in the best approximation of the Frank-VCG.^{5,7-9}

The matrix proposed by Kors et al.⁸ is based on a learning set from the Common Standards for Electrocardiography (CSE) multilead library, including both patients and healthy individuals, and was generated by multiple linear regression.⁸ Although the Kors-VCG nicely resembles the Frank-VCG in previous studies^{5,7-9}, a comparison has never been made for patients with heart failure and a left ventricular (LV) conduction delay, mostly due to left bundle branch block (LBBB). These patients are commonly treated with cardiac resynchronization therapy (CRT). CRT has been shown to improve cardiac pump function, heart failure symptoms, quality of life, and survival.¹⁰ Although the effects of CRT in large clinical trials are impressive on a group level, the benefits in individuals vary considerably; the non-response rate to this therapy is still 30-50%.¹¹ To reduce the risk of complications and the unnecessary use of expensive products, patient selection should be improved. Recent studies have shown that the VCG could play an important role in the selection of patients for CRT^{12,13} or in the optimization of the CRT settings¹⁴. Therefore, the current study aims to compare the Frank-VCG and the Kors-VCG in patients with heart failure and LV conduction delay focussing on vectorcardiographic variables relevant to resynchronization of the ventricles.

3.2 Methods

3.2.1 Patient population

The patient population used in this study has been described previously.¹³ It consisted of 138 consecutive patients with heart failure, who were scheduled for implantation of a CRT device at the Maastricht University Hospital between September 2010 and June 2012. Excluded were patients with an intrinsic QRS duration < 120 ms ($n = 13$) or previous RV pacing during either the VCG ($n = 22$) or ECG recording ($n = 2$). Another 9 patients were excluded due to technical disturbances, multiple ectopic beats or missing ECGs. This resulted in a final population of 92 patients. The project was approved by the ethics committee of Maastricht University Hospital and was conducted in accordance with the Declaration of Helsinki. All participants gave written informed consent prior to investigation.

3.2.2 Study design

One day before CRT implantation, a VCG and 12-lead ECG were recorded. In some cases ($n = 25$) the 12-lead ECG was not recorded at the same date, but near this date (with a median of 12 days, ranging between 1 and 57 days). Both VCG and ECG were recorded at rest and in supine position.

The VCGs were recorded as described earlier¹³, using 8-electrodes according to the Frank orthogonal lead system at a sampling frequency of 500 Hz for 5 minutes after which the complexes were averaged over a period of one minute (Coronet II System, Ortivus AB, Danderyd, Sweden).

The ECGs were recorded at a frequency of 250 Hz and stored digitally as PDF files in the MUSE Cardiology Information system (GE Medical System). The digital data of the 12-lead ECGs were extracted from the PDF files using Inkscape version 2 (Boston, MA, USA) as described previously.¹² A VCG was then synthesized by multiplying the voltages in the digital ECG leads by the Kors matrix.⁷

3.2.3 VCG analysis

Both the Frank-VCG and the Kors-VCG were analyzed offline using customized software described previously.^{12,15} This software calculates the different VCG variables described here. The QRS duration and QT interval were defined as the duration between the beginning of the QRS complex and the end of the QRS complex or T-wave, respectively. Subsequently, the maximal QRS vector and T vector were found by the maximal distance between the origin of the 3D loop and a point on the QRS- and T-vector loop, respectively. The size and direction of these maximal vectors were expressed by the vector amplitude, azimuth (angle in the transversal plane with backward vector directions being negative) and elevation (angle

in the craniocaudal direction with downward vector directions being $< 90^\circ$), as described by Wecke et al.¹⁶. Furthermore, the areas of the QRS- and T-loops were computed by numerical integration as the area between the curve and the baseline in the X, Y, and Z direction between the beginning and ending of the QRS complex or T-wave, respectively, and calculated as $QRS_{area} = \sqrt{QRS_{area,x}^2 + QRS_{area,y}^2 + QRS_{area,z}^2}$ or $T_{area} = \sqrt{T_{area,x}^2 + T_{area,y}^2 + T_{area,z}^2}$.⁷ The QRS-T angle was derived from the two vectors of the QRS- and T-integrals.

3.2.4 Statistical Analysis

The statistical analysis was performed using IBM SPSS statistics software version 21 (SPSS Inc, Chicago, Illinois). Continuous and discrete variables are presented as mean $\pm$ standard deviation (SD) and counts (percentages), respectively. To compare the recorded Frank-VCG outcomes with the Kors-VCG outcomes, a paired t-test was performed and the Pearson correlation coefficient was calculated. Furthermore, a Bland-Altman analysis was used to show the differences between the two VCG methods. The mean difference between the measured and calculated values is defined as bias and the 95% upper and lower limits of agreement (LoA) were defined as bias $\pm$ 1.96 $\cdot$ SD (of the difference). In addition, the bias and limits of agreement relative to the Frank-VCG measurements were calculated.

3.3 Results

3.3.1 Patient characteristics

The baseline characteristics of the 92 patients are shown in Table 3.1. Most patients were male, had NYHA class II or III and LBBB according to the Strauss¹⁷ criteria. In addition, half of the patients had ischemic heart failure etiology and a quarter had atrial fibrillation (AF). Furthermore, the patients had a reduced left ventricular ejection fraction (LVEF). These characteristics are typical for patients receiving CRT.¹⁸

3.3.2 Frank-VCG versus Kors-VCG

Examples of the Frank-VCG and Kors-VCG are presented in Fig. 3.1. They are also indicated by red squares in the Bland-Altman plots of Fig. 3.3. Patient 1 showed large resemblances between the two methods, with very similar shape of the loops as well as amplitudes and directions of the maximal vectors. In patient 2 the shape of the loops were slightly different, especially in the transversal plane where the Frank-VCG QRS loop had a figure-of-eight shape while the Kors-VCG QRS loop was open. Furthermore, large differences were observed in the amplitudes of the VCG loops, the amplitudes being considerably larger in the Frank-VCG. Moreover, the direction of the maximal QRS-vector in the frontal plane was

Patient 1

Patient 2

Figure 3.1: Two examples of VCGs: a recorded Frank-VCG and a Kors-VCG. Patient 1 showed comparable results between the Kors-VCG and Frank-VCG while Patient 2 showed large differences between the calculated and measured VCGs.

Table 3.1: Baseline characteristics of the 92 included patients.

Patient characteristics	n = 92
Age (years)	67 ± 9
Female (n, %)	33 (36)
Heart rate (bpm)	69 ± 12
BMI (kg/m ²)	27 ± 5
Ischemic HF etiology (n, %)	50 (54)
Atrial Fibrillation (n, %)	23 (25)
Diabetes mellitus (n, %)	30 (33)
NYHA class	
I (n, %)	9 (10)
II (n, %)	40 (43)
III (n, %)	33 (36)
IV (n, %)	1 (1)
Unknown (n, %)	9 (10)
LBBB (n, %)	73 (79)
LVEF (%)	26 ± 7
LVEDV (ml)	195 ± 58
QRS duration (ms)	168 ± 17
QT interval (ms)	458 ± 39
β-blocker (n, %)	83 (90)
ACE-inhibitor/ARB (n, %)	81 (88)
Loop diuretics (n, %)	62 (67)
Ald-antagonist (n, %)	29 (32)

Variables are shown as counts (percentage) or mean ± standard deviation when appropriate.

BMI: body mass index, HF: heart failure, NYHA: New York heart association, LBBB: left bundle branch block, LVEF: left ventricular ejection fraction, LVEDV: left ventricular end diastolic volume, ACE: angiotensin-converting enzyme, ARB: angiotensin II type 1 receptor blocker, Ald-antagonist: aldosterone antagonist.

slightly below the y-axis in the Kors-VCG while it was slightly above the y-axis in the Frank-VCG. The same can be observed in the right sagittal plane. As shown in Fig. 3.3, this patient showed the largest difference in VCG variables between the two methods.

All individual values of various variables, extracted from the Kors-VCG and the Frank-VCG, were plotted against each other (Fig. 3.2). Fig. 3.2A shows the results for the QRS amplitude, of which the points align well around the line of identity, resulting in a high correlation between the Frank-VCG and Kors-VCG values. The absolute T amplitude values were lower than the QRS amplitude, leading to a wider distribution of the points around the line of identity and to a somewhat lower correlation coefficient (Fig. 3.2B). Angle variables such as the QRS azimuth (Fig. 3.2C) and the QRS-T angle (Fig. 3.2D) also showed good correlations between the Kors-VCG and Frank-VCG.

Fig. 3.3 shows the scatter plots and Bland-Altman plots for the QRS_{area} (left) and T_{area} (right). Again, the points in the scatter plot are nicely distributed along the line of identity. This observation is substantiated by a random distribution of the points around the zero bias line in the Bland-Altman plot. For the QRS_{area} the bias was $-3.4 \pm 20.2 \mu V_s$, while it was slightly larger ($-10.3 \pm 17.1 \mu V_s$) for the T_{area} . The latter indicates a small underestimation by the Kors-VCG method compared to the Frank-VCG values.

Figure 3.2: Scatter plots of different VCG variables as derived from either the Kors-VCG (x-axis) or the Frank-VCG (y-axis). The dotted line represents the line of identity. Figure (A) displays the QRS amplitude, (B) T amplitude, (C) QRS azimuth, and (D) QRS-T angle.

Table 3.2 shows the various indices calculated from the Frank- and Kors-VCG analysis and results from the Bland-Altman analysis. The values of most indices differed significantly between the two VCG methods when using paired t-test analysis, but absolute differences were small. The largest difference was seen for the T_{area} , where the Kors method showed an underestimation of the T_{area} with a large variability, as evidenced by the 95% LoA ($-44 \mu\text{Vs}$ to $23 \mu\text{Vs}$) and relative LoA (-51% to 26%). This resulted in a relative bias of $(-9.4 \pm 20.8\%)$. Most other VCG-variables showed relative biases below 10%, the smallest one being for the

Figure 3.3: The two figures on top show the correlation between the Kors-VCG and Frank-VCG for either the QRS_{area} (left) or the T_{area} (right). The corresponding Bland-Altman figures are shown at the bottom. The red squares indicate the patients that are shown in Fig. 3.1.

QRS-T angle ($-1.9 \pm 4.6\%$). Finally, correlation coefficients of all different variables between the Frank-VCG and the Kors-VCG ranged from 0.77 to 0.90, and were all significant with a $P < 0.01$.

Table 3.2: Mean values from Frank- and Kors-VCG analysis for different variables are shown. In addition, the bias, relative bias, 95% limits of agreement, relative limits of agreement, and the Pearson correlation coefficient (R) are given. The relative parameters are compared to the Frank-VCG.

VCG variable	Frank-VCG	Kors-VCG	Bias	Relative bias (%)	95% LoA	Relative LoA (%)	R
QRS amplitude (mV)	1.8 ± 0.5	1.7 ± 0.5 [†]	-0.1 ± 0.2	-3.4 ± 14.1	-0.5 to 0.3	-28 to 17	0.90
QRS elevation (°)	88 ± 18	80 ± 16 [†]	-7.8 ± 8.6	-8.2 ± 9.2	-25 to 9	-28 to 10	0.88
QRS azimuth (°)	-63 ± 15	-66 ± 16 [†]	-3.5 ± 10.3	8.0 ± 24.3	-24 to 17	-27 to 38	0.77
QRS _{area} (μVs)	124 ± 46	121 ± 46	-3.4 ± 20.2	-2.0 ± 16.6	-43 to 36	-35 to 29	0.90
T amplitude (mV)	0.6 ± 0.2	0.5 ± 0.2 [*]	-0.03 ± 0.13	-1.8 ± 25.0	-0.3 to 0.2	-50 to 33	0.82
T elevation (°)	88 ± 14	93 ± 15 [†]	5.1 ± 9.1	6.6 ± 15.6	-13 to 23	-15 to 26	0.81
T azimuth (°)	112 ± 15	106 ± 18 [†]	-6.3 ± 10.6	-5.7 ± 9.6	-27 to 14	-24 to 13	0.81
T _{area} (μVs)	87 ± 35	77 ± 30 [†]	-10.3 ± 17.1	-9.4 ± 20.8	-44 to 23	-51 to 26	0.88
QRS-T angle (°)	165 ± 11	161 ± 12 [†]	-3.1 ± 7.2	-1.9 ± 4.6	-17 to 11	-10 to 7	0.81

LoA: Limits of agreement.

*P-value < 0.05 compared to Frank-VCG using the paired t-test.

[†]P-value < 0.01 compared to Frank-VCG using the paired t-test.

3.4 Discussion

This study shows a good resemblance between the recorded Frank-VCG and the Kors-VCG in patients with left ventricular conduction abnormalities. Also variables calculated from the Frank-VCG and the Kors-VCG method showed good correspondence.

3.4.1 Good resemblance between Kors-VCG and Frank-VCG

This report illustrates many similarities between the Kors-VCG and the recorded Frank-VCG in patients with ventricular conduction abnormalities who are candidate for CRT. These findings are in line with an earlier comparison between these two methods in healthy individuals and in patients with narrow QRS complex⁸, as well as studies comparing only the QRS-T angle computed using these two methods in different patient populations.^{5,7,9} In these articles, the recorded Frank-VCG is compared to both the Kors method and the inverse Dower method¹⁹, resulting in the superiority of the Kors method. Although not shown here, for the current patient population the Kors method also performed better than the inverse Dower. Comparing the Frank-VCG with the inverse Dower VCG resulted in correlation coefficients ranging from 0.52 to 0.84, while these ranged from 0.77 to 0.90 for the Kors method.

Although statistically significant, absolute differences between the Frank-VCG and Kors-VCG derived variables were small and when calculating the bias using the Bland-Altman analysis, no relative bias was larger than 10%. The differences in values between the two methods were small enough that they would not influence diagnosis or therapy predictions. For instance, a QRS elevation and azimuth found to be 88° and -63°, respectively, in the

Frank-VCG and 80° and -66° in the Kors-VCG is in both cases pointing left posteriorly and slightly downward. Also, in previous studies we showed that the QRS_{area}^{13} and the T_{area}^{12} are predictors for CRT response. For example, patients with a QRS_{area} larger than $98 \mu V s$ have better odds for CRT response.¹³ Adjusting this cut-off value for the bias found for the Kors-VCG in the present study and applying it to the subset of patients with a known response status according to their echocardiogram ($n = 68$), there would be 2 extra false positive cases and 5 extra false negative cases compared to Frank-VCG predictions (Fig. 3.4). Two of these false negative cases were borderline predicted as non-responders according to the Kors-VCG, while 2 others were borderline predicted as responders according to the Frank-VCG. This indicates that in 90% of the patients the small differences between the two methods would not lead to clinically different predictions.

Figure 3.4: Similar figure as the top left figure in Fig. 3.3, but including only patients with available echocardiographic data. Indicated are the optimal Frank-VCG derived QRS_{area} threshold to distinguish CRT responders from non-responders found by van Deursen et al.¹³ ($98 \mu V s$) as well as the bias-corrected Kors-VCG threshold ($94.6 \mu V s$). The CRT responders are indicated by circles and the non-responders by crosses.

There are several explanations for the small differences between the Frank-VCG and the Kors-VCG, made up by bias or variability, in the variables obtained by both methods. A first source of variability may have been introduced by the fact that for some patients ($n = 25$) the 12-lead ECG and VCG were recorded on different days. This may have led to differences due to a different physiological state of the patient as well as differences in placement of part of the ECG vs. VCG electrodes. Five of the Frank electrodes need to be positioned at the level of the fifth intercostal space⁴, the same position holds for the electrodes used to measure lead V_4 and V_6 . Differences in electrode position might result in different amplitudes as well as

directions of the loops.^{20,21} Secondly, the Frank-VCGs were averaged over 1 minute, while the 12-lead ECG only contains 10 seconds of a recording. This allows less averaging for the Kors-method, leading to a lower signal-to-noise ratio.

3.4.2 Potential Clinical Implications

The concordance between the Frank-VCG and Kors-VCG in patients with LV conduction delay supports the use of the Kors-VCG method. This method avoids the need for special equipment to record the VCG, while the extra information from the VCG is still obtained. Using this method, every 12-lead ECG recording that measured the eight independent leads simultaneously or that includes a running lead during a stable RR interval (multiplexed ECG) could be used to synthesize a VCG. It should be noted that there are some limitations to the use of a multiplexed ECG. First, the calculation of an averaged heartbeat is often hampered by the fact that only 1 or 2 complete heartbeats are present per lead, this might lead to a lower signal-to-noise ratio. Furthermore, because of the limited amount of heartbeats per lead, the computation of a VCG is impossible if too much premature ventricular contractions or other artifacts are present. Therefore, it would be preferable to save the digital recording of the 12-lead ECG or to save a PDF with a parallel display of the eight independent leads I, II, and $V_1 - V_6$. Use of the synthesized VCG might result in a better diagnosis or treatment plan for the patient. Moreover, VCGs can be reconstructed from already existing ECGs, using the method to extract the digital signal out of PDF-files generated by the ECG recording systems.

3.5 Conclusions

In patients with heart failure and LV conduction delay who are candidate for CRT, the Frank-VCG and the Kors-VCG show a good resemblance. Furthermore, the Kors-VCG method avoids the need for special recording equipment, while the extra information from the VCG is still obtained. The Kors-VCG enables retrospective as well as prospective VCG analysis of routinely recorded 12-lead ECGs in studies related to CRT.

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Chapter 4

Electrical remodeling in patients with iatrogenic left bundle branch block

The content of this chapter is based on:

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Abstract

Introduction: Left bundle branch block (LBBB) is induced in approximately one third of all transcatheter aortic valve implantation (TAVI) procedures. We investigated electrophysiological remodeling in patients with TAVI-induced LBBB.

Methods and Results: This retrospective study comprises 107 patients with initially narrow QRS complex of whom 40 did not and 67 did develop persistent LBBB after TAVI. 12-lead electrocardiograms (ECGs) taken before TAVI, within 24 hours ('acute'), and 1-12 months after TAVI ('chronic') were used to reconstruct vectorcardiograms. From these vectorcardiograms, QRS_{area} and T_{area} were calculated as comprehensive indices of depolarization and repolarization abnormalities, respectively. TAVI-induced LBBB resulted in significant acute depolarization and repolarization changes while further repolarization changes were observed with longer lasting LBBB. The amount of long-term repolarization changes (remodeling) was highly variable between patients. The change in T_{area} between acute and chronic LBBB ranged from +57% to -77%. After dividing the LBBB cohort into tertiles based on the change in T_{area} , only baseline QRS_{area} was larger in the tertile with no significant change in T_{area} . During longer lasting LBBB the spatial ventricular gradient (SVG) changed orientation towards the direction of the QRS-vector, indicating that later-activated regions developed shorter action potential duration.

Conclusions: This study in patients with TAVI-induced LBBB shows that repolarization changes develop within months after onset of LBBB, and that these changes are highly variable between individual patients.

4.1 Introduction

APPROXIMATELY 25% of patients with heart failure (HF) are diagnosed with left bundle branch block (LBBB).¹ LBBB is associated with an increased risk of cardiovascular morbidity and mortality and it may thus be a marker for progressive, degenerative cardiac disease.² Furthermore, animal experiments have shown that induction of LBBB induces a rapid reduction of cardiac pump function and cardiac remodeling on the long run.³ Experimental dyssynchronous heart failure, induced by a combination of LBBB and rapid pacing, causes extensive molecular and cellular remodeling in dyssynchronous heart failure, including up- and down regulation of various ion channels and even loss of sarcoplasmic reticulum.^{4,5} However, it is still not well known in which way the human heart adapts to the presence of LBBB, partly because LBBB usually develops silently, so that the duration of LBBB in a patient is usually not known.

Besides LBBB, also right ventricular pacing creates dyssynchrony. Since the onset of pacing is known, some information about the natural history of dyssynchrony has been derived from pacemaker studies.^{6,7} In patients, electrical remodeling was characterized by a reduction of the T-wave amplitude, the main reduction occurring within several weeks of pacing (cardiac memory).

New insight in the natural history of LBBB may be achieved by investigating patients that develop LBBB during a transcatheter aortic valve implantation (TAVI) procedure. TAVI is a relatively new, less invasive treatment for severe, symptomatic aortic stenosis in patients who do not qualify for open chest surgery. In such procedures approximately one third of all patients develops LBBB.⁸

The aim of the present study was to investigate electrical remodeling in patients with LBBB. To this purpose we analyzed the 12-lead electrocardiogram (ECG) and vectorcardiogram (VCG) in patients with TAVI-induced LBBB. The advantage of the VCG is that it contains three-dimensional information of the electrical forces within the heart in one image and might provide more valuable information than the multiple one-dimensional images of a 12-lead ECG. We compared repolarization variables found immediately after TAVI ('acute LBBB') with the same variables during longer lasting LBBB.

4.2 Methods

4.2.1 Study population

The index patient population consisted of 998 patients who underwent TAVI in the Catharina Hospital Eindhoven, Erasmus Medical Center Rotterdam or Maastricht University Medical Center between January 2006 and April 2014 (Fig. 4.1). From all patients, electrocardiographic measurements were available at baseline, within 24 hours after TAVI (further referred

to as ‘acute’), and one to twelve months after TAVI (‘chronic’). Patients were included in the current study if the baseline QRS duration was smaller than 120 ms and the ECGs recorded at the different time points were stored as PDF-files in order to enable VCG conversion (see below). Exclusion criteria were pre- or postoperative ventricular pacing, the presence of postoperative QRS widening other than LBBB, and/or transient LBBB that was only present postoperative and not at 12 months follow-up. A total of 293 patients were eligible for analysis (Fig. 4.1).

Figure 4.1: Study population selection. LBBB indicated left bundle branch block.

The study population consisted of 67 patients who developed LBBB directly after TAVI (acute LBBB) and had persistent LBBB for at least 12 months after the procedure (chronic LBBB). Out of 226 patients who did not develop LBBB upon TAVI, a group of 40 age and

sex-matched patients were selected in order to investigate the changes in the electrocardiographic properties due to the TAVI procedure in the absence of LBBB (narrow QRS group). This project was conducted in accordance with the principles of the Declaration of Helsinki.

4.2.2 ECG and VCG

The PDF files of all ECGs were semi-automatically analyzed using a custom-made computer program written in MATLAB R2010b (MathWorks, Natick, MA) and were synthesized to VCGs using the Kors method.⁹ Multiple variables were extracted from both the ECG and VCG analysis as described in detail before.¹⁰ Briefly, QRS duration and QTc interval were defined as the duration between the beginning of the QRS complex and the end of the QRS complex or T-wave, respectively. The maximal QRS- and T-vector were defined as the maximal distance from the origin to any point on the 3-dimensional VCG loop. The maximal vector can be described by its amplitude, azimuth (orientation in the transverse plane), and elevation (orientation in the frontal plane). The QRS/T-amplitude ratio represents the ratio between QRS and T amplitude while the QRS-T angle is the 3D angle between the maximal QRS- and T-vector. The QRS_{area} and T_{area} are the ‘three-dimensional’ areas between the curve and the baseline over time for the parts of interest in lead X, Y, and Z and are calculated using the formulas $QRS_{area} = \sqrt{QRS_{area,x}^2 + QRS_{area,y}^2 + QRS_{area,z}^2}$ or $T_{area} = \sqrt{T_{area,x}^2 + T_{area,y}^2 + T_{area,z}^2}$. The vectors composed of the area in the different directions X, Y, and Z are called the QRS- or T-integral. The vectorial sum of the QRS- and T-integral is represented by the spatial ventricular gradient (SVG).¹¹

4.2.3 Statistical analysis

The statistical analysis was performed using IBM SPSS statistics software version 22 (SPSS Inc, Chicago, IL). Continuous variables are presented as mean $\pm$ standard deviation (SD), and discrete variables as counts (percentage). The comparison between discrete variables was performed using the χ^2 -test. The Friedman test was used to test whether there were differences in VCG variables at the different time points: 1) baseline (before TAVI), 2) within 24 hours after TAVI, and 3) 1-12 months after TAVI. When the Friedman test showed significant differences, the Wilcoxon signed-rank test with a Bonferroni adjustment was performed to see which time points were different. Possible differences between different patient groups were tested using the Kruskal-Wallis and Wilcoxon rank-sum test with Bonferroni correction consecutively. A two-sided P-value < 0.05 was considered statistically significant.

4.3 Results

4.3.1 Patient characteristics

Baseline characteristics of patients who developed LBBB ($n = 67$) and the group of 40 age and sex-matched patients who did not are summarized in Table 4.1. Almost all patients were septua- and octogenarians with an even gender distribution. Most patients were in NYHA class III and approximately 20% had a prior myocardial infarction. The QRS duration at baseline as extracted from the VCG was around 110 ms for both the LBBB group and the narrow QRS group.

Table 4.1: Baseline characteristics of the included LBBB and narrow QRS patients.

	Study population $n = 107$	Entire LBBB $n = 67$	Narrow QRS $n = 40$
Demographics			
Age (years)	79 ± 7	79 ± 6	80 ± 8
Male gender (n, %)	49 (46)	32 (48)	17 (43)
Clinical			
Previous myocardial infarction (n, %)	23 (22)	14 (21)	9 (23)
Diabetes Mellitus (n, %)	24 (22)	17 (25)	7 (18)
CVA (n, %)	19 (18)	13 (19)	6 (15)
CABG (n, %)	29 (27)	17 (25)	12 (30)
NYHA class I/II/III/IV (%)	3/17/68/12	3/15/70/12	3/20/65/13
Electrocardiography			
QRS duration (ms)	110 ± 15	110 ± 15	110 ± 13
QRS axis (°)	19 ± 30	20 ± 29	17 ± 32

Variables are shown as counts (percentage) or mean $\pm$ standard deviation when appropriate. CVA = cerebrovascular accident; CABG = coronary artery bypass graft; NYHA = New York Heart Association.

4.3.2 ECG and VCG changes in narrow QRS patients

VCG variables of the narrow QRS group did not significantly change between baseline and directly after TAVI (Table 4.2). The depolarization variables showed some minor changes between briefly and 1-12 months after TAVI. QRS-vector amplitude and QRS-area decreased significantly (-12% and -16%, respectively) over time while QRS duration did not change significantly. Furthermore, the elevation of the T-vector decreased significantly (Table 4.2).

4.3.3 ECG and VCG changes in TAVI-induced LBBB patients

An example of ECG and VCG changes in one patient with TAVI-induced LBBB is shown in Fig. 4.2. From the 12-lead ECG the widening of the QRS complex within 24 hours after TAVI can be observed. Also, the orientation of the maximal QRS-vector changed from pointing to the left before TAVI to pointing posteriorly directly after TAVI, which is expressed by a more

Table 4.2: Changes in electrocardiographic variables over time in the narrow QRS group. Data are as calculated from the VCG.

Variable	Baseline	< 24 hours after TAVI	1 - 12 months after TAVI	Friedman test P-value
Heart rate (bpm)	72 ± 10	76 ± 14	74 ± 11	0.30
QRS duration (ms)	110 ± 13	107 ± 13	107 ± 17	0.08
QTc interval (ms)	442 ± 39	461 ± 57	437 ± 36 [†]	<0.01
JTc interval (ms)	322 ± 34	341 ± 57	319 ± 31 [†]	0.01
QRS-vector amplitude (mV)	1.9 ± 0.7	1.8 ± 0.6	1.5 ± 0.5 ^{*†}	<0.01
QRS azimuth (°)	-23 ± 25	-24 ± 29	-25 ± 32	0.18
QRS elevation (°)	71 ± 16	62 ± 18	69 ± 23	0.11
QRS _{area} (μVs)	59 ± 26	57 ± 25	47 ± 23 ^{*†}	<0.01
T-vector amplitude (mV)	0.4 ± 0.3	0.3 ± 0.2	0.3 ± 0.2	0.80
T azimuth (°)	74 ± 77	47 ± 103	62 ± 43	0.34
T elevation (°)	81 ± 26	85 ± 31	69 ± 24 [†]	0.01
T _{area} (μVs)	62 ± 53	52 ± 28	44 ± 22	0.39
QRS/T-amplitude ratio (-)	6.2 ± 2.9	5.9 ± 2.5	5.4 ± 3.7	0.04
QRS-T angle (°)	104 ± 52	111 ± 41	84 ± 42	0.06
QRS/T area ratio (-)	1.3 ± 0.9	1.4 ± 0.9	1.4 ± 1.0	0.27
SVG amplitude (μVs)	75 ± 63	54 ± 25	62 ± 29	0.31
SVG azimuth (°)	4 ± 60	1 ± 62	12 ± 37	0.27
SVG elevation (°)	70 ± 29	64 ± 28	62 ± 15	0.43

Variables are shown as mean ± standard deviation. SVG = spatial ventricular gradient.

*P value < 0.05 compared to baseline, calculated using the Wilcoxon signed rank test.

[†]P value < 0.05 compared to < 24 hours after TAVI, calculated using the Wilcoxon signed rank test.

negative QRS azimuth. In addition, both the ECG and VCG show an increased T-wave size during acute LBBB (Fig. 4.2). Between acute and chronic LBBB minor changes in the QRS complex and QRS loop were observed and also the direction of the T-vector did not change. In contrast, the size of the T-wave loop and the T_{area}, decreased over time (Fig. 4.2).

For the entire cohort of LBBB patients, development of LBBB during TAVI caused significant increases in QRS duration and QTc interval (Table 4.3) as well as more than doubling of T_{area}, T_{area} and the T-vector amplitude. LBBB significantly decreased the QRS azimuth by about 40° while it did not change the direction of the T-wave. As a consequence, the QRS-T angle increased from 100° to 155° (Table 4.3), consistent with the characteristic discordant T-wave in LBBB. However, the SVG did not change significantly in either size or direction in the acute stage.

Between acute and chronic LBBB, no significant changes were observed in depolarization variables such as QRS duration, QRS-vector amplitude, QRS-vector direction, or QRS_{area} (Table 4.3). In contrast, all repolarization variables, such as the QTc interval, T-vector amplitude, and T_{area}, decreased significantly. Accordingly, the QRS/T amplitude ratio increased significantly during longer lasting LBBB. These repolarization changes were not associated with any significant change in direction of the T-vector (azimuth and elevation) and QRS-T angle. However, the azimuth of the SVG decreased significantly to negative values, becoming

Figure 4.2: Typical example of one patient undergoing TAVI and developing LBBB. From top to bottom the 12-lead ECG (left) and 3D VCG (right) at baseline, within 24 hours after TAVI and 12 months after TAVI are shown. The black arrows in the 3D-VCG image indicate the maximal QRS- and T-vector.

Table 4.3: Changes in electrocardiographic variables over time in the LBBB group. The first column shows the results of the electrocardiographic measurements performed before TAVI, the second column within 24 hours after TAVI, the third column represents the results between one and twelve months after TAVI, and the last column represents the P-value of the Friedman test. Data are as calculated from the VCG.

Variable	Baseline	< 24 hours after TAVI	1 - 12 months after TAVI	Friedman test P-value
Heart rate (bpm)	71 ± 11	77 ± 14*	78 ± 17*	0.02
QRS duration (ms)	109 ± 15	159 ± 22*	159 ± 20*	<0.01
QTc interval (ms)	448 ± 48	520 ± 56*	488 ± 51* [†]	<0.01
JTc interval (ms)	329 ± 38	341 ± 40	307 ± 39* [†]	<0.01
QRS-vector amplitude (mV)	1.7 ± 0.5	1.7 ± 0.5	1.7 ± 0.4	0.53
QRS azimuth (°)	-26 ± 28	-70 ± 15*	-67 ± 18*	<0.01
QRS elevation (°)	71 ± 18	80 ± 16*	80 ± 16*	0.01
QRS _{area} (μVs)	54 ± 21	114 ± 37*	113 ± 35*	<0.01
T-vector amplitude (mV)	0.4 ± 0.2	0.8 ± 0.2*	0.6 ± 0.2* [†]	<0.01
T azimuth (°)	81 ± 63	99 ± 22	100 ± 19	0.40
T elevation (°)	80 ± 26	85 ± 19	85 ± 17	0.19
T _{area} (μVs)	56 ± 40	124 ± 43*	84 ± 34* [†]	<0.01
QRS/T-amplitude ratio (-)	5.3 ± 2.3	2.3 ± 0.5*	3.1 ± 1.1* [†]	<0.01
QRS-T angle (°)	108 ± 43	156 ± 14*	156 ± 14*	<0.01
QRS/T area ratio (-)	1.2 ± 0.6	1.0 ± 0.3*	1.5 ± 0.5 [†]	<0.01
SVG amplitude (μVs)	62 ± 40	64 ± 35	59 ± 26	0.04
SVG azimuth (°)	6 ± 63	8 ± 65	-29 ± 38* [†]	<0.01
SVG elevation (°)	68 ± 32	62 ± 25	69 ± 23	0.15

Variables are shown as mean ± standard deviation. SVG = spatial ventricular gradient.

*P value < 0.05 compared to baseline, calculated using the Wilcoxon signed rank test.

[†]P value < 0.05 compared to acute LBBB, calculated using the Wilcoxon signed rank test.

directed more towards the QRS azimuth.

4.3.4 Individual repolarization changes

While the LBBB cohort showed a significant decrease in repolarization variables with longer lasting LBBB, especially expressed by a clear reduction in the T_{area}, these changes were highly variable between individual LBBB patients (Fig. 4.3). The size of these changes was not dependent of the time-point at which the chronic LBBB VCG was measured (Fig. 4.3, right panel). In order to further explore the variability in T-wave remodeling, the LBBB cohort was divided in tertiles, based on the relative changes in T_{area}. Naturally, Tertile 1 showed no significant change in T_{area} (red, n = 22). In contrast, tertile 2 (black, n = 23) and tertile 3 (blue, n = 22) showed an averaged reduction of 32% and 58% reduction in T_{area}, respectively. The only observed difference between the three groups at baseline, specifically between tertile 1 and 3, was a significantly smaller QRS_{area} in tertile 3 (Fig. 4.4). None of the other VCG variables or variables mentioned in Table 4.1 showed a significant difference between tertiles. During acute LBBB, the only differences observed between tertile 1 and 3 were

T_{area} and T/QRS area ratio (Fig. 4.4). From acute to chronic LBBB, the T-vector amplitude, T_{area} , and T/QRS area ratio remained unchanged in tertile 1, while these variables decreased significantly in the other two groups. It should be noted that at baseline the T-wave was concordant to the QRS complex, but that the T-wave became discordant once LBBB developed. Despite decreasing T-wave size, the T-wave remained discordant (see also Fig. 4.2), even in subgroups 2 and 3. The JTc interval was not significantly different between tertiles at any time-point and shortened for all subgroups during chronic LBBB compared to acute LBBB. Finally, the SVG azimuth showed a large ($\sim 80^\circ$) change in tertile 3 but no change in tertile 1.

Figure 4.3: Absolute values of T_{area} (left) and relative changes over time (right panel), in which the patients were divided into tertiles. In red the patients in tertile 1 are indicated, black represents the patients in tertile 2, and blue the patients in tertile 3.

4.4 Discussion

The present study demonstrates that within one month after onset of TAVI-induced LBBB, electrical remodeling develops, that is mainly expressed as reduction in repolarization variables (like JTc interval, T-wave amplitude, and T_{area}). The redirection of the SVG indicates an adaptation in repolarization sequence opposite to the sequence of activation. This remodeling is highly variable between patients, despite similar baseline characteristics.

4.4.1 Electrical remodeling in LBBB patients

A reduction of T-wave amplitudes in longer lasting LBBB has been reported earlier by Shvilkin et al.¹². These investigators retrospectively compared ECGs from patients with LBBB lasting <24 hours versus >24 hours. The same investigators also reported a similar

Figure 4.4: A selection of electrocardiographic findings of the LBBB group after the division according to their T_{area} decrease. The circle indicates the group with the lowest T_{area} decrease, the square the middle group, and the diamond the largest decrease in T_{area}. *P < 0.05 between tertile 1 and 3, °P < 0.05 between tertile 1 and 2, and *P < 0.05 between tertile 2 and 3 all using the Wilcoxon rank sum test.

reduction in T-wave amplitude over time during longer lasting right ventricular pacing in patients with a 1:1 AV conduction.⁷ Importantly, these patients differed from the present population in that they were younger, had no aortic valve disease, and (presumably) less severe heart failure. Therefore, a reduction in repolarization variables during longer lasting dyssynchrony of any cause (LBBB, RV pacing) appears to be a common behavior. That LBBB can induce electrophysiological changes is known from studies in the canine model of LBBB and/or tachypacing induced heart failure. Worsening of pump function and extensive molecular and cellular changes, including changes in ion channels and calcium handling proteins, have been reported in these models.^{3,5}

The idea that the observed reductions in T-wave area and amplitude are solely due to repolarization changes and not due to depolarization changes is supported by the observed changes in SVG. The SVG assesses the ‘primary factors’ determining the T-wave, i.e. heterogeneity of action potential morphology irrespective of activation sequence factors that contribute to the T-wave (‘secondary factors’).¹¹ Indeed, there is no change in SVG amplitude or angles between baseline and acute LBBB despite huge changes in QRS and T-wave morphology. However, between acute and chronic LBBB the observed changes in T-wave are likely due to changes in the heterogeneity of the action potential morphology since the

azimuth of the SVG changed. This indicates that the observed electrical remodeling may be attributed to changes in the heterogeneity and/or shape of ventricular action potentials. The most pronounced change in SVG concerns a shift in SVG azimuth towards negative values, implying a rotation in direction towards the direction of the QRS vector. Because repolarization is an electrophysiological process that is opposite to depolarization, the redirection of the T-wave towards the QRS complex (less discordant) indicates that the sequence of repolarization changes in a direction that is opposite to the sequence of depolarization. This is consistent with the observation in rabbit hearts that the sequence of repolarization adapts slowly after onset of ventricular pacing.¹³

An intriguing observation in this study is that although all patients developed a similar, iatrogenic, proximal LBBB, the change in T_{area} ranged between +57% to -77% compared to acute LBBB. In addition, the subgroup of patients showing the smallest amount of T_{area} reduction (tertile 1) does not show any change in the azimuth of the SVG, while changes are observed in the other two patient groups. This indicates that electrical remodeling is occurring in the tertile 2 and 3 group, but not in the tertile 1 group. The only difference between the two extreme groups (tertile 1 and tertile 3) that could be observed at baseline was that the QRS_{area} was significantly larger for patients showing almost no signs of electrical remodeling. Larger QRS amplitudes observed on the ECG may be associated with a higher degree of left ventricular hypertrophy (LVH)¹⁴ or a larger end systolic volume¹⁵. Both conditions could indicate a worse left ventricular function. This would suggest that more diseased hearts are less capable of electrical remodeling, an idea that requires further investigation.

4.4.2 Possible explanation

A possible mechanism for the observed electrical remodeling might be mechano-electrical (MEC) coupling. This hypothesis was previously tested in a computer model.¹⁶ In this model study it was assumed that the myocardium strives to maintain local myocardial mechanical work constant, despite the abnormal electrical activation. Because in LBBB the early septal activation lowers contractility in the septum, the MEC simulation imposes an increase in L-type calcium channel conductance during longer lasting LBBB in an attempt to increase contractility. This remodeling of the calcium channel also leads to an increase in action potential duration in the early-activated septum and therefore a smaller dispersion of ventricular repolarization. Applying the simulation predictions to the present clinical observations, more pronounced ‘remodeling’ would imply a stronger MEC. The latter was also observed in isolated rabbit hearts.¹⁷ In this study the investigators applied local stretch to the left ventricle of ~15%, while the heart was continuously paced from the paced right atrium at a constant cycle length. It was observed that 5 minutes of applied local stretch led to changes in T-wave morphology and longer stretch led to more electrical remodeling.

4.4.3 Electrical remodeling due to unloading versus LBBB

In TAVI-induced LBBB patients two events occur at (almost) the same time: development of LBBB and removal of the stenosis of the aortic valve. Accordingly, some of the changes over time in LBBB could also have occurred as a consequence of the mechanical unloading. However, comparable mechanical unloading without conduction abnormalities, as occurring in the narrow QRS patients, led to a significant reduction in QRS_{area} but no significant reduction in the T_{area} . The LBBB patients showed opposite changes, characterized by a significant reduction in the T_{area} . Therefore, the changes observed after the TAVI procedure in the LBBB group were predominantly a result of LBBB and not of the TAVI procedure. In fact, some of the changes due to TAVI alone are overruled by the changes due to LBBB.

4.4.4 Potential relevance

In this study a large range of T_{area} change between acute and chronic LBBB was observed. The tertile showing the largest decrease, the electrical remodeling group, did not show a significantly larger decrease in JTc interval compared to patients in the non-remodeling group. In most studies looking at electrical remodeling, only the JTc or QTc intervals are reported, whereas T-wave amplitude or area might also be important variables to quantify the amount of electrical remodeling. However, the functional significance and the mechanism of electrical remodeling are still unknown. It is suggested in some studies that a longer JTc interval is accompanied by a higher risk of ventricular tachyarrhythmia's like Torsade de Pointes, but the JTc interval between the different groups were similar during all time-points. Furthermore, the mechanism and function of cardiac memory (observed after a short duration of dyssynchrony) is also unknown. The model as described above is hypothesis generating, more research is necessary to find the exact mechanism.

4.4.5 Limitations

This was a retrospective observational study with imperfections in data collection and missing data. Only 26% of the original TAVI patient population was eligible for analysis. A large portion of patients were excluded due to missing ECGs, either entirely or at follow-up (31%). Other reasons were related to pre-existing dyssynchrony, the type of dyssynchrony or transient or later developed LBBB (30%). However, baseline characteristics of our study population were similar to that of the entire study population by Houthuizen et al.¹⁸. Unfortunately, echocardiographic measurements were collected inconsistently in these patients. As a consequence, adding these data to this study would have led to an even smaller study population. A larger, multicenter prospective study including systematic echocardiographic measurements and clinical outcome measures is required to confirm our results and to achieve a better understanding of the relation between structural, electrical and mechanical changes

in the heart. Such a study will also allow performing a multivariate analysis to adjust for covariates such as age, gender, comorbidities, and echo parameters.

VCGs were reconstructed from the 12-lead ECG using the Kors method rather than direct recording of the Frank-VCG¹⁹. This was dictated by the available 12-lead ECG data. However, the Kors method shows a good resemblance to the true 3-dimensional Frank-VCG.^{9,20}

For the chronic measurements, ECG recordings were used that were acquired between one month and one year after TAVI (6 ± 5 months). This wide range was accepted because repolarization changes associated with primary electrical remodeling only occur within the first week after initiation of an altered electrical activation.⁶ Furthermore, no significant changes were observed between ECGs measured during one to twelve months after the onset of TAVI-induced LBBB (Fig. 4.3).

4.5 Conclusions

This study in patients with TAVI-induced LBBB shows that on a population level LBBB induces electrical remodeling. However, there is a large difference in change in T_{area} on a patient level. The amount of electrical remodeling might be associated with the functional status of a patient.

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Chapter 5

T-wave area predicts response to cardiac resynchronization therapy in patients with left bundle branch block

The content of this chapter is based on:

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Abstract

Introduction: Chronic heart failure patients with a left ventricular (LV) conduction delay, mostly due to left bundle branch block (LBBB), generally derive benefit from cardiac resynchronization therapy (CRT). However, 30-50% of patients do not show a clear response to CRT. We investigated whether T-wave analysis of the ECG can improve patient selection.

Methods and Results: The study population comprised 244 CRT recipients with baseline 12-lead electrocardiogram recordings. Echocardiographic response after 6-months CRT was defined as a $\geq 5\%$ increase in LV ejection fraction (LVEF). Vectorcardiograms (VCGs) were constructed from the measured 12-lead ECGs using an adapted Kors algorithm on digitized ECGs. Logistic regression models indicated repolarization variables as good predictors of CRT response. The VCG-derived T_{area} predicted CRT response (odds ratio [OR] per $10 \mu\text{Vs}$ increase 1.172 [$P < 0.001$]), even better than QRS_{area} (OR = 1.116 [$P = 0.001$]). T_{area} had especially predictive value in the LBBB patient group (OR = 2.77 in LBBB vs. 1.09 in non-LBBB). This predictive value persisted after adjustment of multiple covariates, such as gender, ischemia, age, hypertension, coronary artery bypass graft, and the usage of diuretics and beta-blockers. In LBBB patients the increase in LVEF was $6.1 \pm 9.7\%$ and $11.3 \pm 9.1\%$ in patients with T_{area} below and above the median value, respectively ($P < 0.01$).

Conclusions: In patients with LBBB morphology of the QRS complex, a larger baseline T_{area} is an important independent predictor of LVEF increase following CRT.

5.1 Introduction

CHRONIC heart failure (HF) patients with a left ventricular (LV) conduction delay, mostly due to left bundle branch block (LBBB), and decreased left ventricular ejection fraction (LVEF<35%) generally derive benefit from cardiac resynchronization therapy (CRT). CRT has been shown to improve cardiac pump function, HF symptoms, quality of life, hospitalization and survival.¹ However, 20-30% of individual patients do not show clinical response after CRT and up to 50% show no evidence of cardiac reverse remodeling.² It is therefore important to improve patient selection, to reduce the unnecessary use of expensive devices and prevent complications related to the implantation procedure itself.

CRT is indicated for patients with HF and a wide QRS complex. A QRS duration ≥ 150 ms is a strong predictor of CRT response, while values between 120 and 150 ms require further evidence.^{3,4} More recently, LBBB morphology of the QRS complex has been shown to be a good predictor of CRT response.⁵⁻⁹

The QRS complex reflects the sequence of electrical activation, that is: depolarization, throughout the ventricular wall. While this activation sequence is clearly central to electrical dyssynchrony, it ignores the importance of the equally vital repolarization phase of the myocardium. We hypothesized that the T-wave may provide additional information, since it is reflective of the plateau and repolarization phases of the myocardial action potential. These phases are determined by the activity of many ion channels, including those that regulate intracellular calcium concentrations, that mediate contraction and relaxation.

In order to explore the predictive value of the T-wave, we retrospectively investigated the electrocardiograms from a large cohort of CRT patients. For more comprehensive analysis of the T-wave, ECG signals were converted into vectorcardiograms (VCG). The advantage of the VCG is that it contains three-dimensional information of the electrical forces within the heart and might provide more valuable information than the one-dimensional ECG. This study compares the different depolarization and repolarization parameters to the established ECG predictor of QRS duration. We also investigated the potential predictive values of these repolarization parameters within different QRS morphologies.

5.2 Methods

5.2.1 Study population

Between 2004 and 2010, 569 consecutive patients who met established indications (New York Heart Association class III/IV symptoms, LVEF<35% and QRS duration>120 milliseconds), underwent CRT implantation at the Massachusetts General Hospital (MGH). Patients had a followup visit at 1-, 3-, and 6-month at the MGH Multidisciplinary Clinic. The project was approved by the MGH Institutional Review Board and Ethics Committee and was conducted

in accordance with the Declaration of Helsinki.

Patients with a preimplantation ECG available in digital archives, either in sinus rhythm or atrial fibrillation, were enrolled in this retrospective analysis. ECGs with < 3 normal beats (e.g., due to premature ventricular complexes [PVCs]), remarkable interfering noise, or ventricular pacing were excluded from the analysis.

5.2.2 Echocardiography

Transthoracic echocardiography was performed at baseline and at 6 months clinical follow-up. The images were taken by Philips iE33 (Eindhoven, The Netherlands), SONOS 5500/7500 (Andover, MA, USA) and General Electric Vivid 7 (GE, Milwaukee, WI, USA) ultrasound machines. CRT response was defined as absolute increase in LVEF of $\geq 5\%$ after 6 months of CRT, determined using the biplane method of discs (modified Simpson's method).

5.2.3 ECG analysis

Before CRT implantation, supine 12-lead ECGs were recorded digitally by a MAC 5500 ECG Machine (GE Healthcare, Waukesha, WI, USA) at a paper speed of 25 mm/s and a frequency of 250 Hz. All ECGs were stored digitally as PDF files in the MUSE Cardiology Information system (GE Medical System). ECGs recorded up to 1 month before CRT implantation were included into the analysis. The vector graphics of these PDF files were obtained by converting the files to an .svg file using Inkscape version 2 (Boston, MA, USA). The calibration pulses, as indicated in Fig. 5.1, were used to scale the signals to real time and amplitude.

The digital ECG signals were semiautomatically analyzed using a custom-made computer program written in MATLAB R2010b (MathWorks, Natick, MA, USA). After band-pass filtering between 0.5 – 40 Hz and baseline wander removal, the beginning and end of the QRS complex could be detected using the curve length transformation (cLT).¹⁰ The beginning of the QRS complex corresponded to the last found minimum value and the end of the QRS complex was the first point with maximal cLT value. Additionally, the end of the T-wave was identified as the intersection between baseline and the tangent of the slope of the T-wave.

The QRS axis was calculated using lead I and II, where angles between -30° and -90° were defined as left axis deviation (LAD). Furthermore, patients were classified as LBBB or non-LBBB according to the MADIT-CRT criteria.⁵

5.2.4 VCG analysis

From the digital 12-lead ECG signals, a VCG was synthesized using the Kors method.¹¹ The matrix proposed by Kors et al.¹² is based on a learning set from the Common Standards for

Electrocardiography multilead library and was generated by multiple linear regressions. The populations included both healthy subjects and patients.¹¹ The Kors method assumes all 8 independent leads measured simultaneously. However, in our case there were 4 groups of 3 leads (1: I, II, III; 2: aVR, aVL, aVF; 3: V₁, V₂, V₃; 4: V₄, V₅, V₆) and 1 running lead simultaneously measured, as shown in Fig. 5.1. This running lead was needed to select the same beginning and end of an R-R interval in each lead. After selecting 1 beat for each lead, the VCG was synthesized.

Figure 5.1: Typical example of the layout of a measured 12-lead ECG, showing calibration pulses and lead V₁ as running lead.

For each patient, the QRS loop and T loop were identified using the same method as described for the ECG analysis.¹⁰ The QRS duration and QT interval were defined as the time between the beginning of the QRS complex and the end of the QRS complex or the T-wave, respectively. The QT interval was corrected for heart rate changes using Bazett's method (QTc interval). Subsequently, the maximum QRS and T-vector were defined (maximal distance from the origin to a point on the loop), and its amplitude and location in space were calculated. The vector angles were expressed as azimuth and elevation. Azimuth is the angle in the transverse plane (0° left, +90° front, -90° back, 180° right). The elevation angle is the angle in the frontal plane defined from 0° (downwards) to 180° (upwards). The angle between the maximal QRS- and T-vector was defined as the QRS/T angle.

The VCG gave us the opportunity to also analyze the area of the loops. The QRS_{area} is the '3-D' area between the curve and the baseline from QRS beginning to end in leads X, Y, and Z: $QRS_{area} = \sqrt{QRS_{area,x}^2 + QRS_{area,y}^2 + QRS_{area,z}^2}$. The T_{area} was calculated similarly, using

the part from the end of the QRS complex to the end of the T-wave. The sum QRST area was the sum of the absolute values of the QRS_{area} and T_{area} (Fig. 5.2). The ratio between QRS and T areas was defined as the QRS/T area ratio.

Figure 5.2: Calculation of the 3-D QRS and T_{area} using the integral between the signal and the baseline from beginning to end of the QRS complex or from the end of the QRS complex to end of the T-wave, respectively. From the QRS and T_{area} , the sum QRST area was calculated.

5.2.5 Statistical analysis

The statistical analysis was performed using IBM SPSS statistics software version 21 (SPSS Inc., Chicago, IL, USA). Continuous and discrete variables are presented as mean $\pm$ standard deviation (SD) and counts (percentages), respectively. Linear correlations were evaluated by Pearson's correlation or Kendall's tau coefficient when appropriate. Comparison between different patient groups was performed using either 1-way ANOVA (continuous variables) or the χ^2 -test (discrete variables). Follow-up paired comparisons were made using the Tukey test. The classification performance of electrical parameters in identifying CRT response was evaluated by odds ratios (OR) calculated using logistic regression models. The likelihood ratio test was used for comparison of the goodness-of-fit of hierarchical models. A 2-sided P-value < 0.05 was considered statistically significant.

5.3 Results

5.3.1 Patient characteristics

During the study period, a total of 569 patients underwent CRT implantation. Eighty patients were excluded because of missing ECGs, 91 because of missing baseline or follow-up echocardiograms, 116 due to pre-existent pacing, and 38 because of frequent PVCs or unac-

ceptable noise. Consequently, a total of 244 patients remained for analysis. Table 5.1 presents the baseline characteristics, indicating that the included patient group was similar to the entire cohort. The 244 patients represent a typical CRT population with a mean age of 67 years and a baseline LVEF of 24%. Most patients were male, about half of the patients had ischemic cardiomyopathy, and most had NYHA class III or IV symptoms (Table 5.1).

Table 5.1: Baseline patient characteristics of the included (n = 244) and entire cohort (n = 596) as well as the ECG and VCG analysis results of only the included cohort

Variable	Included n = 244	Entire Cohort n = 596
Baseline Characteristics		
Age (years)	66.9 ± 12.8	68.6 ± 12.4
Female (n, %)	51 (20.9)	116 (20.4)
Baseline LVEF (%)	24.3 ± 7.0	24.2 ± 7.2
NYHA class		
II (n, %)	8 (3.3)	24 (4.2)
III (n, %)	190 (77.9)	378 (66.4)
IV (n, %)	22 (9.0)	49 (8.6)
Unknown (n, %)	24 (9.8)	118 (20.7)
Ischemic HF etiology (n, %)	136 (55.7)	337 (59.3)
LBBB (n, %)	154 (63.1)	258 (48.5)
Chronic AF (n, %)	53 (21.7)	168 (29.5)
ECG measurements		
Heart rate (bpm)	75 ± 15	
QRS axis (°)	-15 ± 61	
VCG measurements		
QRS duration (milliseconds)	175 ± 33	
QT interval (milliseconds)	472 ± 88	
QTc interval (milliseconds)	520 ± 77	
QRS _{area} (μVs)	90 ± 47	
QRS-vector azimuth (°)	-65 ± 53	
QRS-vector elevation (°)	90 ± 24	
T-vector amplitude (mV)	0.5 ± 0.2	
T _{area} (μVs)	84 ± 45	
T-vector azimuth (°)	78 ± 63	
T-vector elevation (°)	87 ± 26	
QRS/T angle (°)	156 ± 29	
Sum QRST area (μVs)	174 ± 83	

Variables are shown as counts (percentage) or mean ± standard deviation when appropriate. LVEF = left ventricular ejection fraction; NYHA = New York Heart Association; HF = heart failure; LBBB = left bundle branch block; AF = atrial fibrillation.

5.3.2 ECG and VCG analysis

The patients had a prolonged QRS duration. The average QRS axis was in the normal axis range (-30° to -90°) but the SD was large, indicating a large variation between patients. The QRS-vector amplitude was 3 times larger than the T-vector amplitude, but the areas of the QRS and T loop were similar, because the T-wave lasted longer. In general, the QRS-vector pointed to the left and the back while the T vector was pointing to the left and the front,

indicating a large angle between the 2 vectors (Table 5.1). Typical examples of 12-lead ECGs and corresponding 3-D vector loops for 2 patients, diagnosed with LBBB with a large or small T_{area} , are shown in Fig. 5.3A, Fig. 5.3B, respectively.

Figure 5.3: Typical examples of 12-lead ECGs and corresponding 3-D vector loops for a patient with a large (A) and a small (B) T_{area} , despite being both classified as having LBBB.

5.3.3 Echocardiographic response predicted by ECG and VCG variables

Logistic regression models indicated VCG-derived repolarization variables as good predictors of CRT response (Table 5.2). An increase in T-vector amplitude or T_{area} was associated with a greater probability of $\geq 5\%$ or $\geq 10\%$ points increase in LVEF. Prediction of LVEF increase by the T-vector amplitude and T_{area} was even stronger than by the QRS-vector amplitude or QRS_{area} (Table 5.2). Adding QRS_{area} to a model including only the T_{area} did not

improve the goodness-of-fit significantly ($P = 0.40$), while adding T_{area} to a model consisting of QRS_{area} alone, the goodness-of-fit improved significantly ($P < 0.01$). The sum QRST area had a predictive power comparable to that of the T_{area} alone. A larger angle between the QRS and T vector (QRS/T angle) was associated with a non-significantly ($P = 0.06$) higher probability of echo response. Patients without LAD of the QRS-vector did not show a better CRT response (OR = 1.15 [0.69–1.91]; $P = 0.59$).

Table 5.2: Linear Regression Model for Prediction of $\geq 5\%$ or $\geq 10\%$ Absolute Increase in LVEF

Variable	OR (95% CI) for $\Delta\text{LVEF} \geq 5\%$	P-Value	OR (95% CI) for $\Delta\text{LVEF} \geq 10\%$	P-Value	Increase
QRS duration (ms)	0.994 (0.919–1.077)	0.890	0.983 (0.907–1.066)	0.679	10 ms
QRS amplitude (mV)	1.071 (1.017–1.129)	0.009	1.068 (1.015–1.124)	0.011	0.1 mV
T amplitude (mV)	1.256 (1.115–1.413)	<0.001	1.231 (1.101–1.377)	<0.001	0.1 mV
QRS/T angle ($^{\circ}$)	1.094 (0.990–1.195)	0.067	1.105 (0.990–1.231)	0.063	10 $^{\circ}$
QRS_{area} (μVs)	1.116 (1.051–1.195)	0.001	1.094 (1.030–1.149)	0.003	10 μVs
T_{area} (μVs)	1.172 (1.083–1.255)	<0.001	1.127 (1.062–1.207)	<0.001	10 μVs
QRS+T area (μVs)	1.175 (1.090–1.266)	<0.001	1.131 (1.057–1.210)	<0.001	20 μVs

Presented are median values and 95% confidence intervals (CI). The Increase column indicates the step size of the variables used to calculate the odds ratios (OR). Corresponding P values were calculated using the Wald-test. LVEF = left ventricular ejection fraction; OR = odds ratio.

5.3.4 T_{area} as an additional predictor of echocardiographic response

In order to better understand the good prediction of CRT response by the T_{area} , this variable was further evaluated in its relation to QRS duration, QRS_{area} , as well as presence of LBBB and ischemic etiology. T_{area} was poorly related to both the QRS duration ($R = 0.34$) and LBBB ($R = 0.36$). Nevertheless, patients diagnosed with LBBB had a significantly larger T_{area} than patients without LBBB (97.8 ± 45.4 vs. 60.6 ± 33.6 ; $P < 0.001$). Similarly, T_{area} was smaller in patients with ischemic etiology compared to non-ischemic etiology (88.6 ± 44.9 vs. 105.5 ± 47.3 ; $P = 0.01$). T_{area} correlated better with QRS_{area} , although the correlation coefficient of 0.63 indicated that more factors than QRS_{area} alone determine T_{area} (Table 5.3 and Fig. 5.4). The OR of the QRS/T area ratio for predicting CRT response was 0.753 ($P = 0.13$), indicating that a large T_{area} relative to the QRS_{area} tends to increase the odds of becoming a CRT responder.

As expected, non-LBBB patients were more commonly non-responders than responders. Non-LBBB patients also had fairly small T_{area} and QRS_{area} (Fig. 5.4A). In the LBBB group most patients were responders and T_{area} and QRS_{area} were larger than in the non-LBBB group (Fig. 5.4B).

Figure 5.4: Scatter plot of the relation between QRS_{area} and T_{area} within non-LBBB (A) and LBBB patients (B). The responders are indicated by blue triangles, non-responders by red circles.

Table 5.3: Baseline and outcome patient characteristics after dividing patients according to their QRS morphology and T_{area}

	LBBB		Non-LBBB	
	T _{area} < Median (n = 51)	T _{area} ≥ Median (n = 103)	T _{area} < Median (n = 61)	T _{area} ≥ Median (n = 29)
Age (years)	66.1 ± 10.6	68.1 ± 12.0	66.9 ± 13.5	64.3 ± 16.8
Female (n, %)	8 (15.7)	32 (31.1)*	8 (13.1)	3 (10.3)
Ischemic HF etiology (n, %)	33 (64.7)	50 (48.5)	37 (60.7)	16 (55.2)
Hypertension (n, %)	36 (70.6)	83 (80.6)	45 (73.8)	17 (58.6)
CABG (n, %)	22 (43.1)	34 (33.0)	24 (39.3)	12 (41.4)
QRS duration (ms)	173 ± 30	183 ± 31	162 ± 32	174 ± 39
QTc interval (ms)	524 ± 92	533 ± 75	495 ± 61	518 ± 78
QRS _{area} (μVs)	78.0 ± 32.7	122.1 ± 44.0 [†]	50.1 ± 22.5	83.4 ± 36.3 [†]
T _{area} (μVs)	53.0 ± 14.1	120.0 ± 38.6 [†]	41.7 ± 13.7	100.5 ± 27.5 [†]
NYHA class baseline (-)	3.0 ± 0.4	3.1 ± 0.4	3.0 ± 0.3	3.2 ± 0.4
NYHA class follow-up (-)	2.3 ± 0.8	2.0 ± 0.8	2.4 ± 0.9	2.3 ± 0.4
ΔNYHA class (-)	-0.8 ± 0.8	-1.0 ± 0.8	-0.7 ± 0.8	-0.9 ± 0.7
LVEF baseline (%)	25.1 ± 7.1	24.5 ± 6.6	24.3 ± 7.5	22.4 ± 7.2*
LVEF follow-up (%)	31.2 ± 11.1	35.8 ± 10.9*	28.6 ± 10.8	28.9 ± 13.4
ΔLVEF (%)	6.1 ± 9.7	11.3 ± 9.1*	4.3 ± 10.0	6.5 ± 14.3
ΔLVEF ≥ 5% (n, %)	27 (52.9)	78 (75.7) [†]	24 (39.3)	12 (41.4)
ΔLVEF ≥ 10% (n, %)	19 (37.3)	58 (56.3)*	14 (23.0)	10 (34.5)

Continuous variables are presented as mean ± standard deviation (SD), discrete variables as counts (percentages). HF = heart failure; CABG = coronary artery bypass graft; NYHA = New York Heart Association; LVEF = left ventricular ejection fraction.

*P value < 0.05 compared to the T_{area} < median group with the same LBBB conditions.

[†]P value < 0.01 compared to the T_{area} < median group with the same LBBB conditions.

In order to investigate whether T_{area} had a predictive value complementary to LBBB, the LBBB and non-LBBB patient groups were subdivided in subgroups with a baseline $T_{\text{area}} < \text{or} \geq$ the median. Between these 4 subgroups, the only patient characteristic showing differences were a higher percentage of females ($P = 0.04$) and a lower percentage of patients with ischemic HF etiology ($P = 0.06$) in the LBBB-high T-wave subgroup (Table 5.3). In this subgroup also, the decrease in NYHA class (1.0 vs. 0.7 – 0.9) was slightly larger and the increase in LVEF was significantly larger than in the other 3 subgroups (11.3% vs. 4.3 – 6.5%; $P < 0.01$). This larger increase in LVEF also translated into a significantly higher percentage of echocardiographic responders (75 vs. 39 – 53%; Fig. 5.5). Table 5.3 and Fig. 5.5 also indicate that T_{area} had no predictive value in the non-LBBB subgroup. While Fig. 5.5 shows the ORs before adjustments to covariates, values of ORs remained similar after adjustment of multiple covariates, such as gender, ischemia, age, hypertension, coronary artery bypass graft, and the usage of diuretics and β -blockers (adjusted OR within LBBB patients was 2.50 [1.16 – 5.39]; $P = 0.02$).

Figure 5.5: The percentage of echocardiographic responders in LBBB and non-LBBB QRS morphology, subdivided into cohorts with $T_{\text{area}} < \text{or} \geq$ the median value. The number of patients within 1 group is indicated in the bars. The ORs between the 2 QRS morphologies and between small and large T_{areas} are indicated. † indicates $P < 0.01$.

The use of QRS_{area} or sum QRST area instead of T_{area} did not provide a higher distinctive power in both the LBBB (OR = 1.76 [N.S.] and OR = 2.40 [$P = 0.02$], respectively) and non-LBBB patient groups (OR = 1.39 [N.S.] and OR = 1.50 [N.S.], respectively).

5.4 Discussion

This study demonstrates that the T-wave contains information that improves the prediction for echocardiographic CRT response at 6 months. VCG-derived T_{area} predicts CRT response

even better than any QRS-complex related parameter. This better outcome prediction especially applies to patients diagnosed with LBBB.

5.4.1 T_{area} as an additional predictor of CRT response

This report clearly illustrates the added value of the VCG derived T-wave in predicting CRT response in LBBB patients. The data indicate that the chance of echocardiographic CRT response in patients with LBBB and a large T_{area} is 75%, as opposed to 53% in LBBB patients with small T_{area} and 40% in non-LBBB patients. Considering the fact that large studies show an average echocardiographic response rate of ~50%², the combination of LBBB and T-wave criteria strongly improves patient selection for CRT. The sum QRST area showed similar prognostic value within LBBB patients (unadjusted OR = 2.40 vs. 2.77 for T_{area} alone) but this parameter was probably mainly determined by the T_{area} itself. The lack of additional predictive value of T_{area} in the non-LBBB group might be due to the fact that the LBBB sequence of electrical activation is the dominant electrical substrate for CRT.⁵

There may be several reasons why T_{area} improves predicted CRT response. First of all, there is, to some extent, a positive relation between T_{area} and QRS duration. It is well known that QRS duration is an independent predictor of CRT response, especially when differentiating between QRS duration values below and over 150 milliseconds.^{3,4} Moreover, the T-wave amplitude is known to be smaller in patients with large BMI¹³, possibly simply related to the larger distance of the surface to the heart. Large BMI is known, for not well-understood reasons, to reduce CRT response.¹⁴ Notably, in this study the ORs were not adjusted for BMI.

In contrast to Brenyo et al.¹⁵ we did not find a significant effect of the QRS axis deviation on the benefit of CRT in this study. A possible explanation for these discrepant findings might be that the patients in this study had severe HF (mostly NYHA class III–IV) while the MADIT-CRT study consisted of patients with NYHA class I–II. Another explanation may be that the MADIT-CRT study consisted of a larger number of patients.

Even though different heart conditions might also reduce QRS_{area}, in this study the QRS/T area ratio showed a tendency to predict CRT response, pointing toward specific repolarization related factors. One possible explanation might be hypertrophy. In patients with narrow QRS complex hypertrophy is known to lead to smaller T waves: T-wave flattening, also referred to as ‘strain-pattern’ of the T-wave.¹⁶ It is incompletely understood whether similar conditions also reduce the T-wave in patients with wide QRS complex. Finally, it is known that HF in general and dyssynchronous HF in particular leads to extensive changes in expression of many ion channels, such as K⁺ and Ca²⁺ channels.¹⁷ In short, the predictive power of the T-wave reflective of electrical recovery suggests that this component of the action potential may give additional information of the responsiveness of the myocardial substrate.

Regardless of the exact mechanism, this study shows that several categories of patients, which are known to respond well to CRT, are overrepresented in the higher T_{area} category,

namely patients who are females and/or have non-ischemic etiology of HF. Several studies indicate that women respond better to CRT.^{18,19} Although they tend to have less ischemic etiology of HF and more LBBB, the reason for this sex-related beneficial effect is unclear. Additionally, the MIRACLE study²⁰ showed that ischemic cardiomyopathy patients show a smaller volumetric response than patients with non-ischemic cardiomyopathy. During ischemia, degeneration or cellular coupling can occur²¹ resulting in nonconducting fibrotic tissue leading to a smaller amount of tissue that can be resynchronized by CRT. Indeed, as shown by a computer modeling study²², uncoupling leads to a reduction in T-wave amplitude, which would also reduce the T_{area} .

5.4.2 Potential clinical implications

The VCG, as analyzed in this study, can be constructed from a regular 12-lead ECG. Subsequently, the T_{area} can be automatically calculated. Consequently, combined analysis of QRS morphology and T_{area} provides an easy and widely applicable approach to improve selection of CRT candidates. The advantage of this T_{area} is that it is both a 3-D measure and a continuous variable that provides additional objective evidence as a predictor of reverse remodeling. Therefore, the addition of T_{area} in patient selection for CRT is an easily available objective measure for better selection of patients with LBBB for CRT. Other studies showed the value of echocardiography^{23,24} and MRI in patient selection.^{25,26} It will be worthwhile to investigate whether our T-wave analysis can replace or add information to these approaches.

5.4.3 Limitation

This was a retrospective, single-center study with missing data as detailed in the methods section. Only 73% of patients had echocardiograms available before and after CRT implantation. A multicenter prospective study is required to confirm our results. Moreover, using an adapted version of the Kors method to calculate the VCG from the ECG is an estimation of the gold-standard Frank-VCG. However, previous studies have demonstrated that the Kors method shows the best resemblances to the Frank-VCG.^{12,27,28}

5.5 Conclusions

In patients with LBBB morphology of the QRS complex, a larger baseline T_{area} is an important independent predictor of LVEF increase following CRT. Since this variable can be automatically calculated, it can easily be applied in the clinic, to further enhance our ability to predict response to CRT within LBBB patients.

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Chapter 6

T-wave area as biomarker of clinical response to cardiac resynchronization therapy

The content of this chapter is based on:

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Abstract

Introduction: There is increasing evidence that left bundle branch block (LBBB) morphology on the ECG is a positive predictor for response to cardiac resynchronization therapy (CRT). We previously demonstrated that the vectorcardiography(VCG)-derived T_{area} predicts echocardiographic CRT response in LBBB patients. In the present study we investigate whether the T_{area} also predicts long-term clinical outcome to CRT.

Methods and Results: This is a retrospective study consisting of 335 CRT recipients. Primary endpoint was the composite of heart failure (HF) hospitalization, heart transplantation, left ventricular assist device implantation or death during a 3-year follow-up period. HF hospitalization or death alone were secondary endpoints. The patient subgroup with a large T_{area} and LBBB 36% reached the primary endpoint, which was considerably less ($P < 0.01$) than for patients with LBBB and a small T_{area} or non-LBBB patients with a small or large T_{area} (48%, 57%, and 51%, respectively). Similar differences were observed for the secondary endpoints HF hospitalization (31% vs. 51%, 51%, and 38%, respectively, $P < 0.01$) and death (19% vs. 42%, 34%, and 42%, respectively, $P < 0.01$). In multivariate analysis a large T_{area} and LBBB were the only independent predictor of the combined endpoint beside high creatinine levels and use of diuretics.

Conclusions: T_{area} may be useful as an additional biomarker to stratify CRT candidates and improve selection of those most likely to benefit from CRT. A large T_{area} may derive its predictive value from reflecting good intrinsic myocardial properties and a substrate for CRT.

6.1 Introduction

CARDIAC resynchronization therapy (CRT) has emerged as an effective therapy in patients with a decreased left ventricular ejection fraction (LVEF) combined with a left ventricular conduction delay, especially due to left bundle branch block (LBBB). It has been demonstrated that CRT improves both the morbidity and mortality.¹ Nevertheless, there remain a considerable number of non-responders to CRT (30 - 50%)². One way to reduce this number is to improve the patient selection.

The current guidelines³ emphasize the importance of LBBB morphology of the QRS complex and the QRS duration. Both variables are a representation of the depolarization sequence during one heart cycle. However, T-wave variables that reflect the repolarization phase of the myocardial action potential, are not taken into account. In a previous study⁴, we demonstrated that the T_{area} as determined by vectorcardiography predicts the echocardiographic response to CRT in LBBB patients. Patients with both a LBBB morphology and a large T_{area} had a 75% chance of echocardiographic response (absolute increase in LVEF $\geq 5\%$ after 6 months follow-up), as opposed to 53% in patients with LBBB and a small T_{area} and 40% in patients with non-LBBB morphology.⁴ The aim of the current study was to investigate whether the T_{area} in LBBB patients also predicts the long-term clinical outcome to CRT. In order to do so, we extended the patient group from the previous study with CRT patients with known clinical follow-up of at least 3 years.

The electrocardiograms (ECGs) and vectorcardiograms (VCGs) of all patients were retrospectively investigated to determine the potential predictive value of the T_{area} in combination with different QRS morphologies. This potential predictive value was also compared to other relevant electrocardiographic parameters.

6.2 Methods

6.2.1 Study Population

The current study population consisted of 569 patients who underwent CRT at the Massachusetts General Hospital (MGH). All patients had New York Heart Association class III/IV symptoms, LVEF $< 35\%$ and QRS duration > 120 ms. In the present study patients with previous pacing ($n = 116$), frequent premature ventricular contractions or unacceptable noise on the ECG ($n = 38$), or missing baseline ECGs ($n = 80$) were excluded, leading to a total of 335 patients included in the analysis. This study population is equal to the cohort described previously⁴, but it was extended with 91 CRT patients of whom we did have long-term clinical but not echocardiographic follow-up. Patients had follow-up visits in the MGH multidisciplinary clinic, where device control, heart failure (HF) specialist and echocardiography imaging were available. The project was approved by the MGH Institutional

Review Board and Ethics Committee and was conducted in accordance with the Declaration of Helsinki.

6.2.2 Study endpoints

The patients were followed for an average of 2.4 years with the combined endpoint of HF hospitalization, heart transplantation, left ventricular assist device (LVAD) implantation, and all-cause death (HTLD) as primary endpoint. HF hospitalization was defined as necessity of in-patient admission due to acute cardiac decompensation, symptoms of shortness of breath, signs of congestion on the chest radiograph, peripheral oedema, or relieve of shortness of breath after intravenous medical therapy. Secondary endpoints included HF hospitalization or death alone. Clinical outcome data were gained with the review of hospital medical records and social security death index.

6.2.3 ECG and VCG analysis

All 12-lead ECGs measured up to 6 months prior to CRT implantation were recorded in supine position at a frequency of 250 Hz. All ECGs were measured by MAC 5500 ECG Machine (GE Healthcare, Waukesha, WI, USA) and stored in the MUSE Cardiology Information system (GE Medical System). These digital PDF files contain vector graphics which were used to extract the original digital ECG, as previously described.⁴ The ECGs could be analyzed and VCGs could be constructed by the Kors method⁵ and analyzed using the methods described earlier in detail.⁴ Briefly, the digital ECGs were semi-automatically analyzed using a custom-made computer program written in MATLAB R2010b (MathWorks, Natick, MA). After band-pass filtering between 0.5 and 40 Hz, the QRS complex was identified using the curve length transformation⁶ and the end of the T-wave as the intersection between the maximum T-wave slope after the final T-wave peak and the isoelectric line in the TP segment. The QRS axis was calculated using lead I and II. Patients were classified as LBBB or non-LBBB using the MADIT-CRT criteria (QRS duration ≥ 130 ms; QS or rS in V_1 ; notched or slurring R waves in leads I, aVL, V_5 , or V_6 ; absent q waves in leads V_5 and V_6).⁷

The digital ECGs were subsequently converted to VCGs using the matrix multiplication provided by Kors et al.⁵ After defining the begin and end of the QRS complex and the end of the T-wave, QRS duration, QT interval, and QTc intervals could be measured. The maximum distance between the origin (0,0,0) and a point on the three-dimensional QRS- or T-vector loop were represented by the variables QRS- and T-vector amplitude, respectively. The maximal vectors could also be described by their orientation using the azimuth (angle in the transversal plane with backward vector direction being negative) and elevation (angle in craniocaudal direction with upward vector directions being $>90^\circ$).⁸ The angle between the two maximal vectors was defined by the QRS/T angle. The area of the

QRS- and T-loop were conducted from the VCG as the 'three-dimensional' areas between the curve and the baseline in the X, Y and Z direction and calculated using the formulas

$$\text{QRS}_{\text{area}} = \sqrt{\text{QRS}_{\text{area,x}}^2 + \text{QRS}_{\text{area,y}}^2 + \text{QRS}_{\text{area,z}}^2} \text{ or } \text{T}_{\text{area}} = \sqrt{\text{T}_{\text{area,x}}^2 + \text{T}_{\text{area,y}}^2 + \text{T}_{\text{area,z}}^2}.$$

6.2.4 Statistical Analysis

Continuous and discrete variables are presented as mean $\pm$ standard deviation (SD) and counts (percentages), respectively. Linear correlations were evaluated by Pearson's correlation. Clinical characteristic variables between subgroups were compared with the one-way ANOVA test for continuous variables and follow-up paired comparisons were made using the Tukey test. The discrete variables were compared with the χ^2 -test. The Kaplan-Meier estimator of survival function was used to evaluate the associations between VCG-derived variables and reaching the primary or secondary endpoints. The log-rank test was used to determine probability values. The predictive performance of electrical parameters in predicting CRT response was evaluated by the Cox proportional hazard regression analyses and tested using the Wald-test. The Cox regression models were fitted for covariates ($P < 0.05$), where a backward stepwise selection approach was used. For descriptive purposes, analysis of subsets was performed in patients with a baseline $\text{T}_{\text{area}} <$ and $\geq$ the median with use of the Breslow-Day test for heterogeneity testing. A two-sided P -value < 0.05 was considered statistically significant. The statistical analysis was performed using IBM SPSS statistics software version 21 (SPSS Inc, Chicago, Illinois).

6.3 Results

6.3.1 Patient Characteristics

The baseline characteristics of the 335 patients are listed in Table 6.1. The patients had a low LVEF and a prolonged QRS duration, consistent with the established indications for CRT. Most patients were male and in sinus rhythm. In addition, two-thirds of the patients had ischemic HF etiology and the same amount of patients had LBBB.

6.3.2 The predictive ability of areas derived from the VCG

The predictive power of LBBB and QRS duration is shown in Fig. 6.1A using Kaplan-Meier curves for the HTLD endpoint. There is no significant difference in the chance of reaching HTLD for patients with a QRS duration ≥ 150 ms compared to patients with a QRS duration < 150 ms ($P = 0.41$). However, patients with a LBBB morphology performed significantly better than patients without LBBB ($P < 0.01$). This distinction by LBBB was larger than that for QRS-area but similar to that for T_{area} and sum QRST area (Fig. 6.1B).

Table 6.1: Baseline ECG and VCG analysis results of the entire cohort (n = 335) as well as the entire cohort divided by primary endpoint (HTLD) or survival status at the end of a 3-year follow-up

Variable	Entire cohort (n = 335)	-HTLD (n = 203)	+HTLD (n = 132)	Survivors (n = 262)	Non-survivors (n = 73)
Baseline characteristics					
Age (years)	67 ± 13	66 ± 13	69 ± 12	66 ± 13	71 ± 11 [§]
Female (n, %)	70 (21)	50 (25)	20 (15)*	59 (23)	11 (15)
Baseline LVEF (%)	24 ± 7	24 ± 7	23 ± 7	24 ± 7	23 ± 7
NYHA class					
II (n, %)	8 (3)	6 (3)	2 (2)	8 (3)	0 (0)
III (n, %)	236 (70)	148 (73)	88 (67)	190 (73)	46 (63)
IV (n, %)	31 (9)	16 (8)	15 (11)	22 (8)	9 (12)
Unknown (n, %)	60 (18)	33 (7)	27 (20)	42 (16)	18 (25)
Ischemic HF etiology(n, %)	192 (57)	105 (52)	87 (66)*	143 (55)	49 (67)
LBBB (n, %)	199 (59)	132 (65)	67 (51) [†]	164 (63)	35 (48) [‡]
Chronic AF (n, %)	85 (25)	47 (23)	38 (29)	66 (25)	19 (26)
ECG measurements					
Heart rate (bpm)	75 ± 15	75 ± 15	76 ± 14	75 ± 15	75 ± 14
QRS axis (°)	-20 ± 58	-17 ± 57	-24 ± 59	-22 ± 56	-11 ± 64
VCG measurements					
QRS duration (ms)	172 ± 33	172 ± 32	172 ± 35	173 ± 34	170 ± 31
QT interval (ms)	467 ± 86	467 ± 83	466 ± 90	466 ± 86	469 ± 85
QTc interval (ms)	516 ± 77	515 ± 74	517 ± 82	515 ± 78	518 ± 75
QRS amplitude (mV)	1.5 ± 0.5	1.5 ± 0.5	1.4 ± 0.5	1.5 ± 0.5	1.4 ± 0.5
QRS _{area} (μVs)	88 ± 46	92 ± 47	82 ± 45	91 ± 47	78 ± 40 [‡]
QRS azimuth (°)	-62 ± 57	-69 ± 47	-49 ± 70 [†]	-66 ± 52	-44 ± 73 [‡]
QRS elevation (°)	90 ± 24	89 ± 24	92 ± 24	90 ± 23	90 ± 24
T amplitude (mV)	0.5 ± 0.2	0.5 ± 0.3	0.4 ± 0.2 [†]	0.5 ± 0.3	0.4 ± 0.2 [§]
T _{area} (μVs)	82 ± 47	88 ± 47	74 ± 45 [†]	87 ± 49	66 ± 35 [§]
T-vector azimuth (°)	77 ± 65	77 ± 62	76 ± 71	77 ± 64	76 ± 72
T-vector elevation (°)	85 ± 26	87 ± 26	83 ± 26	85 ± 26	85 ± 27
QRS/T angle (°)	155 ± 30	156 ± 30	154 ± 27*	155 ± 31	156 ± 21
QRS+T area (μVs)	170 ± 85	179 ± 84	156 ± 82*	177 ± 87	144 ± 68 [§]

Variables are shown as counts (percentage) or mean ± standard deviation when appropriate.

AF: atrial fibrillation, HF: heart failure, LBBB: left bundle branch block, LVEF: left ventricular ejection fraction, HTLD: composite endpoint of HF hospitalization, heart transplantation, left ventricular assist device, or death.

*P < 0.05; [†]P < 0.01 compared to the patient-group whom did not reach HTLD.

[‡]P < 0.05; [§]P < 0.01 compared to the survivor-group.

Patients with QRS-, T-, and sum QRST areas ≥ than their median values all reached the primary endpoint significantly less than patients with areas < the median values. Moreover, patients reaching the primary endpoint had a significantly smaller T_{area} compared to patients not reaching the primary endpoint, while QRS_{area} was not significantly different between the two groups (Table 6.1).

Since LBBB morphology of the QRS complex is a well-known predictor for CRT response and because the percentage of patients with LBBB morphology was significantly higher in the patient group not reaching the primary endpoint (Table 6.1), the patient population was divided according to QRS morphology and subsequently subdivided into cohorts with < or

Figure 6.1: Kaplan–Meier estimates of the probability free of composite endpoint HTLD after 3 years. The results of current guideline variables LBBB and QRS duration are shown in (A) and the results of the new VCG variables T_{area} , QRS_{area} , and sum QRST area are shown in (B). The patients were then subdivided into four groups according to their QRS morphology and QRS_{area} (C) or T_{area} (D). Large QRS_{area} or T_{area} are values $\geq$ median value and small QRS_{area} or T_{area} are values $<$ median value.

$\geq$ median QRS_{area} or T_{area} . Within non-LBBB and LBBB subgroups, QRS_{area} did not significantly influence the chance of reaching the HTLD endpoint at 3 year follow-up ($P = 0.81$ and $P = 0.35$, respectively; Fig. 6.1C). In contrast, the subgroup of patients with LBBB and a large T_{area} had a significantly lower incidence of reaching the HTLD endpoint (36%) compared to the LBBB patients with a small T_{area} (48%, $P = 0.01$) as well as the non-LBBB patient groups ($P < 0.01$)(Fig. 6.1D). T_{area} did not significantly affect HTLD in the non-LBBB patients (57% for a small T_{area} vs. 51% for a large T_{area} , $P = 0.65$).

The cohort with LBBB and a large T_{area} trended to contain more females ($P = 0.09$) and contained significantly less patients with ischemic HF etiology compared to the other subgroups (Table 6.2). Indeed, the highest percentage of patients with ischemic HF etiology was found in the patient group with T_{areas} in its first quartile (Fig. 6.2). In addition, 129 out of 168 patients (77%) with a large T_{area} had a LBBB QRS morphology while LBBB was only present in 70 out of 167 patients (42%) with a small T_{area} ($P < 0.01$, Fig. 6.2). The QRS

duration increases when the T_{area} increases, but the association between these two variables is not as high as the association between T_{area} and the number of LBBB patients.

Table 6.2: Baseline patient characteristics after dividing patients according to their QRS morphology and T_{area} . The median cut-off value for the T_{area} was based on the entire cohort

	LBBB		Non-LBBB	
	T-area < Median (n = 70)	T-area ≥ Median (n = 129)	T-area < Median (n = 97)	T-area ≥ Median (n = 39)
Age (years)	67.3 ± 10.4	67.6 ± 12.8	67.1 ± 13.3	65.7 ± 15.9
Female (n, %)	13 (18.6)	38 (29.5)	14 (14.4)	5 (12.8)
Ischemic HF etiology (n, %)	45 (64.3)	63 (48.8)*	61 (62.9)	23 (59.0)
Hypertension (n, %)	53 (75.7)	104 (80.6)	75 (77.3)	25 (64.1)
CABG (n, %)	33 (47.1)	46 (35.7)	44 (45.4)	18 (46.2)
Heart rate (bpm)	74 ± 14	75 ± 15	77 ± 14	74 ± 15
QRS duration (ms)	170 ± 29	184 ± 30 [†]	158 ± 32	173 ± 39
QTc interval (ms)	514 ± 87	532 ± 74	492 ± 64	526 ± 87
QRS _{area} (μVs)	77 ± 34	121 ± 44 [†]	50 ± 22	88 ± 36 [†]
T_{area} (μVs)	52 ± 15	121 ± 40 [†]	42 ± 15	108 ± 42 [†]

HF: Heart failure, CABG: Coronary artery bypass surgery.

*P < 0.05 compared with the T-area < median group with the same LBBB conditions.

[†]P < 0.01 compared with the T-area < median group with the same LBBB conditions.

Figure 6.2: Histogram showing the associations between LBBB (Turquoise, left y-axis) or QRS duration (red, right y-axis) with T_{area} . The percentage of ischaemic patients was indicated by shading in the LBBB bars. T_{area} was divided into quartiles, indicated by Q1, Q2, Q3, and Q4 on the x-axis.

In a univariate analysis of the total population ischemic HF etiology, LBBB, QRS_{area}, T amplitude, and T_{area} were some of the significant predictors for reaching HTLD (Table 6.3). Since T amplitude and T_{area} are mutually dependent ($R = 0.87$), only T_{area} was included in the multivariate model. Multivariate analysis indicated that besides baseline creatinine levels and diuretics, only LBBB and T_{area} remained in the model. The multivariate model indicated

that patients with a large T_{area} had a 37% lower risk of reaching HTLD compared to those with a small T_{area} . Additionally, within LBBB patients the hazard ratio for HTLD between a $T_{\text{area}} \geq$ or $<$ the median value was 0.54, a ratio that remained significant after adjustment for significant covariates (hazard ratio [HR] = 0.45, $P < 0.01$; Table 6.3).

6.3.3 Echocardiographic vs. clinical outcome

The relation of reaching any adverse clinical outcome and echocardiography based on echocardiographic reverse remodeling was also examined. The mean increase in LVEF in patients reaching none of the combined endpoint HTLD was $10.4 \pm 10.6\%$ while this improvement in LVEF was only $3.4 \pm 8.9\%$ in patients who did reach the combined endpoint ($P < 0.01$). Similarly, echo-responders ($\Delta\text{LVEF} \geq 5\%$ after 6 months follow-up) reached HTLD less often than the echo-non-responders did (24% vs. 51%, $P < 0.01$).

6.3.4 T_{area} as additional predictor of mortality and heart failure hospitalization

Cox-regression hazard model revealed the T_{area} also as a strong predictor of HF hospitalization and mortality, even after adjusting for significant covariates such as gender and medication. The chance of HF hospitalization was almost twice as high for patients with a small T_{area} (HR = 0.53, 95% confidence interval [CI]: 0.35-0.83, $P < 0.01$). Indeed, the amount of HF hospitalizations after 3 years of CRT was highest in the non-LBBB group and LBBB subgroups with a small T_{area} , whereas HF hospitalization was significantly lower in LBBB patients with a large T_{area} (Fig. 6.3A).

With regard to mortality, survivors had a higher prevalence of LBBB and large T_{area} (Table 6.1). Similarly, the chance of survival was approximately twice as large in the patient group with a large T_{area} compared to patients with a small T_{area} (HR = 0.57, 95% CI: 0.35 - 0.96, $P = 0.03$). This difference was even larger when only LBBB patients were analyzed, i.e. LBBB patients with a large T_{area} had on average a ~3 times lower chance of death compared to LBBB patients with a small T_{area} (HR = 0.34, 95% CI: 0.17-0.67, $P < 0.01$). Although descriptive subset analysis showed that the effect of T_{area} on mortality was constant throughout different subgroups, it was not present for patients without LBBB (Fig. 6.4). Analyzing all subgroups, the mortality risk was highest in patients with non-LBBB (42%). For patients with LBBB, mortality was significantly and considerably lower in patients with a large T_{area} (19%) than in those with a small T_{area} (42%) (Fig. 6.3B).

Table 6.3: Univariate and multivariate analysis of different parameters in the entire cohort (left) and the LBBB patient group (right) taking HTLD as the outcome parameter

Variable	Total population			Total population			LBBB population		
	Univariate	Multivariate		Univariate	Multivariate		Univariate	Multivariate	
	HR	95% CI	P	HR	95% CI	P	HR	95% CI	P
Age (years)	1.01	0.99 - 1.03	0.10				1.03	1.01 - 1.05	0.02
Female	0.64	0.40 - 1.04	0.07				0.41	0.20 - 0.83	0.01
Hypertension	1.79	1.12 - 2.85	0.02				1.83	0.91 - 3.69	0.09
Diabetes	1.42	1.01 - 1.99	0.05				1.26	0.78 - 2.04	0.34
Ischaemic HF etiology	1.52	1.06 - 2.18	0.02				2.06	1.23 - 3.46	0.01
Chronic AF	1.16	0.79 - 1.65	0.45				1.37	0.80 - 2.35	0.26
Paroxysmal AF	1.10	0.75 - 1.60	0.64				1.24	0.75 - 2.05	0.41
CABG	1.28	0.91 - 1.81	0.15				1.41	0.87 - 2.27	0.16
Valve surgery	0.66	0.38 - 1.14	0.13				0.75	0.35 - 1.54	0.41
PCI	1.36	0.94 - 1.96	0.11				1.44	0.86 - 2.39	0.16
LBBB	0.59	0.42 - 0.83	<0.01	0.65	0.44 - 0.98	0.04			
Baseline creatinine	1.25	1.13 - 1.39	<0.01	1.38	1.21 - 1.56	<0.01	1.23	1.08 - 1.40	<0.01
Diuretics	2.23	1.20 - 4.13	0.01	2.22	1.11 - 4.47	0.03	2.37	1.02 - 5.48	0.04
Aldosterone antagonist	0.94	0.66 - 1.35	0.74				1.10	0.67 - 1.80	0.71
Digoxin	1.35	0.96 - 1.93	0.08				1.27	0.70 - 2.11	0.35
β -blocker	0.65	0.40 - 1.04	0.07				0.71	0.32 - 1.54	0.38
ACEi	0.87	0.62 - 1.23	0.44				0.85	0.53 - 1.38	0.52
ARB	0.92	0.60 - 1.42	0.71				1.06	0.60 - 1.89	0.83
LVEF (%)	0.99	0.96 - 1.01	0.26				0.97	0.93 - 1.00	0.09
QRS duration $\geq$ 150 ms	0.86	0.61 - 1.22	0.41				1.08	0.62 - 1.89	0.79
QT interval (ms)	1.00	0.99 - 1.00	0.95				1.00	0.99 - 1.01	0.34
QRS/T angle ($^{\circ}$)	1.00	0.99 - 1.00	0.34				0.99	0.99 - 1.00	0.16
QRS amplitude (mV)	0.75	0.53 - 1.05	0.09				0.77	0.47 - 1.25	0.29
T amplitude (mV)	0.31	0.14 - 0.68	<0.01				0.33	0.11 - 1.00	0.05
QRS _{area} $\geq$ median	0.68	0.48 - 0.95	0.03				0.79	0.47 - 1.31	0.35
T _{area} $\geq$ median	0.58	0.41 - 0.82	<0.01	0.63	0.42 - 0.96	0.03	0.54	0.34 - 0.88	0.01
							0.45	0.26 - 0.77	<0.01

Shown are the adjusted and unadjusted HRs with their corresponding 95% CIs and P-values.

ACEi: angiotensin-converting-enzyme; AF: atrial fibrillation; ARB: angiotensin II type 1 receptor blocker; CABG: coronary artery bypass graft; LBBB: left bundle branch block; LVEF: left ventricular ejection fraction; PCI: percutaneous coronary intervention.

Bold figures indicate statistically significant parameters ($P \leq 0.05$).

Figure 6.3: The percentage of patients reaching either HF hospitalization (**A**) or death (**B**) after dividing patients into those with LBBB or non-LBBB QRS morphology, and subdividing those with $T_{\text{area}} <$ or $\geq$ the median value. The number of patients within one group is indicated in the bars.

6.4 Discussion

This study shows that in CRT patients with LBBB, a large baseline T_{area} is a strong predictor of a good clinical outcome (HF hospitalization, transplantation, LVAD, death) during 3-year follow-up. In combination with the data from a previous study, showing that a large T_{area} was predictive of a larger increase in LVEF in LBBB patients⁴, the present study implies that T_{area} may be useful as an additional biomarker to stratify CRT candidates and improve selection of those most likely to benefit from CRT.

6.4.1 T_{area} , an additional predictor of long-term clinical response to CRT

The present study corroborates findings from large randomized trials that LBBB is an important predictor of CRT response. However, interestingly, T-wave analysis appears to contribute to the prediction of CRT response on top of LBBB morphology. While LBBB patients with a large QRS_{area} tended to respond better to CRT than those with small QRS_{areas} , this difference was more obvious in the T_{area} . Patients with a large T_{area} and LBBB morphology had a lower chance of reaching one of the composite endpoints (HTLD) when receiving CRT compared with non-LBBB patients with small or large T_{area} and LBBB patients with a small T_{area} (36% as opposed to 57%, 51%, and 48% respectively). Similar differences were seen for the secondary outcomes HF hospitalization (31% vs. 51%, 38%, and 51%) and death (19% vs. 34%, 42%, and 42%) alone.

Figure 6.4: Subset analysis of all-cause mortality. Odds ratio and 95% CI are plotted for the secondary endpoint of all-cause mortality at 3-year follow-up, comparing patients with a large and small T_{area} . CABG: coronary artery bypass graft, HF: heart failure.

Because the present study was based on retrospective analysis and did not contain a control (non-paced) group, the better outcome in the LBBB-large- T_{area} patients may be explained by either a better baseline condition of these patients or a larger benefit of CRT. The latter idea is supported by the observation in our previous study that LBBB patients with large T_{area} showed a larger increase in LV ejection fraction.⁴ It seems plausible that this larger increase in cardiac function further translates into a better clinical outcome, as observed in the present study. Using the T_{area} in the LBBB patient could help predict whether CRT may be useful, especially in a subset of LBBB patients who have additional co-morbidities in whom the risk-benefit ratio may not be as clear as in other LBBB patients.

A large T_{area} (as well as QRS_{area}) may also imply a better baseline condition, because it is known that electrical uncoupling¹⁰ or RV dilatation leads to lower ECG amplitudes. RV dilatation could lead to loss of myocardial tissue due to the replacement by fibrofatty tissue or could lead to a rotation of the heart affecting both QRS and T-wave amplitudes. However, in a previous study we showed that a large QRS_{area} is commonly accompanied by a large T_{area} ,

but that there is also considerable variability.⁴ A possible explanation for this variability was given by Feldman et al.¹¹ who showed that in acute measurements in patients the T_{area} and the ratio of T:R wave amplitude increased with increasing cavity diameter while the QRS_{area} did not change. This, however, cannot be an explanation why patients with a relatively large T_{area} respond better to CRT since it is well known that too dilated ventricles respond less to CRT.^{12–14} This suggests that other factors, such as ionic channel properties present during the plateau and repolarization phases of a myocardial action potential, play a role in the variability between QRS_{area} and T_{area} . These ion channel properties may change due to heart failure and dyssynchrony.¹⁵ Additionally, the small T_{areas} might be related to hypertrophy. For patients with severe LV hypertrophy and narrow QRS complexes this phenomenon is also known as T-wave flattening.¹⁶ It is, however, not known whether T-wave flattening also applies to patients with a wide QRS complex. An important difference in this respect is that while narrow QRS complexes are commonly accompanied by concordant T-waves, this is rare in patients with wide QRS complex, and even rarer in case of LBBB.

Finally, females and patients without a history of ischemic cardiomyopathy were overrepresented in the patient group with a large T_{area} and LBBB morphology. Both patient characteristics are known to have a positive influence on the response to CRT^{17–19}, for reasons incompletely understood.

Therefore, the T_{area} appears to be an objectively determined biomarker, expressed as a continuous variable, revealing various subgroups with known better outcome to CRT. Beside the possible practical use of this finding, these data also indicate that for better understanding of the mode of action of CRT, not only information on the sequence of ventricular depolarization is needed, but also that of processes determining later phases in the action potential.

6.4.2 Potential Clinical Implications

The present study demonstrates for the first time that, besides a LBBB morphology, the T_{area} may be a valuable biomarker for the prediction of long-term clinical outcome after CRT. In this study the MADIT-CRT criteria with R wave notching or slurring in leads I, aVL, V_5 , or V_6 was used as LBBB criteria. Including this notching or slurring, the used criteria approaches the strict criteria developed by Strauss et al.²⁰ more closely. In order to determine the T_{area} , a VCG needs to be synthesized from the 12-lead ECG. If the VCG is not constructed by the ECG equipment, it can be easily calculated from every 12-lead ECG that is saved digitally or in pdf-format.⁵ After this conversion the analysis only requires a semi-automatic detection of the beginning and end of the T-wave. Therefore, assessment of the T_{area} in candidates for CRT device implantation is easy, non-invasive and can be implemented without significant investments.

6.4.3 Limitations

The present study was a retrospective single center study in which 41% of the original cohort of consecutive patients had to be excluded because of missing or too low quality measurements. To confirm our results, a prospective multicenter study is required. Such multicenter study should also include systematic coverage of the cause of death, which was not included in the present study.

In the multivariate analysis the type of device, CRT-D vs. CRT-P, was not included because the data was not available in the database. However, it is expected that the vast majority were CRT-D devices and thus would not influence the result. Furthermore, factors related to LV lead position, such as Q-LV, were also not included because they were unknown and because focus of the current study was on pre-procedure predictors of response.

6.5 Conclusions

A vectorcardiographically derived T_{area} assessed before the start of CRT was able to strengthen the prediction of long-term clinical outcome in a CRT patient cohort, especially in patients with LBBB morphology on the ECG. The combined analysis of QRS morphology and T-wave provides an easy and widely applicable approach to improve selection of CRT candidates.

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Chapter 7

Prediction of optimal cardiac resynchronization by device-based vectorcardiography in dyssynchronous canine hearts

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Abstract

Introduction: The response to CRT is heterogeneous between patients. Proper optimization of atrioventricular (AV) and interventricular (VV) intervals may improve CRT response. In the present study, we explored the possibility of extracting a VCG from the electrograms (EGMs) obtained from unused pacing electrodes positioned on the epicardium. Furthermore, the possibility of using this EGM-based VCG (EGM-VCG) to optimize acute hemodynamic CRT response is investigated.

Methods and Results: CRT was performed in 8 canines with chronic left bundle branch block (LBBB). 100 randomized AV-VV settings were tested. Settings providing an increase in LV $dP/dt_{\max} \geq 90\%$ of the highest achieved value were defined as optimal. The prediction capabilities of the area of the QRS complex (QRS_{area}), derived from body surface VCG (ECG- QRS_{area}) and EGM-VCG (EGM- QRS_{area}) were compared. The ECG- QRS_{area} was moderately correlated with change in LV $dP/dt_{\max}$ ($R = 0.59 \pm 0.23$), while the EGM- QRS_{area} correlated well with LV $dP/dt_{\max}$ ($R = 0.73 \pm 0.19$). This resulted in a significantly better performance of the EGM- QRS_{area} to predict the optimal CRT settings (AUC = 0.90 [0.87 – 0.93] vs. 0.81 [0.70 – 0.91] for ECG- QRS_{area} , $P = 0.01$)

Conclusions: In canine hearts with chronic LBBB, the EGM- QRS_{area} predicts hemodynamic response and identifies optimal settings accurately. These data support the potency of electrogram based vectorcardiography as a non-invasive and easy tool to individually and continuously optimize the AV- and VV-intervals in CRT.

7.1 Introduction

In patients with heart failure combined with a reduced left ventricular (LV) ejection fraction (EF) and a delayed LV activation, mostly due to left bundle branch block (LBBB), cardiac resynchronization therapy (CRT) has been shown to improve morbidity and mortality.¹ However, the response to CRT is very heterogeneous, leaving 30-50% of the patients as non-responders.² An important factor for non-response may be suboptimal CRT device settings.³

Currently, most optimization techniques either use ventricular filling or systolic function variables, all of them having their own limitations.⁴⁻⁶ Alternative approaches are provided by algorithms implemented in CRT devices. These algorithms either use peak endocardial acceleration signals⁷ or lead electrograms (EGMs)⁸⁻¹⁰. However, none of these methods are solely based on the individual but partially on an average relation found in a large patient population. Of these algorithms, only ADAPTIVECRT™ (trademark of Medtronic, Inc.) provides an almost continuous optimization¹⁰, but this optimization is based on baseline values and thus not on values found during pacing.

We considered that the main mechanism of CRT is electrical resynchronization, by collision of electrical wave fronts originating from the right ventricle (RV) and LV. van Deursen et al.¹¹ already showed that vectorcardiography (VCG) reflects electrical interventricular dyssynchrony in canine hearts with LBBB. The QRS_{ampl} half-way between that seen during LV pacing and LBBB corresponded to optimal hemodynamic performance.¹¹ In a subsequent study, it was shown that both the QRS_{ampl} and QRS_{area} are reliable and reproducible variables for AV- and VV-optimization in patients.¹² These methods can be used for regular check-up of patients when they come to the hospital. However, such measurements occur during rest and in supine position, while the optimal settings may change with time (e.g. myocardial remodeling due to the therapy or altering disease process) and patient activity (e.g. sleep, normal activity, and exercise).

In the present study, we explored the possibility of extracting a VCG from EGMs measured from the unused pacing electrodes (EGM-based VCG, EGM-VCG), to compare its behavior to the VCG and to relate these VCGs to the hemodynamic CRT response. The ultimate goal of this study is to develop a system than can optimize the CRT device using the EGM-VCG continuously and individually. The experiments were performed in canine hearts with chronic LBBB.

7.2 Methods

Animal handling was performed according to the Dutch Law on Animal Experimentation and the European Directive for the Protection of Vertebrate Animals Used for Experimental and

Other Scientific Purposes. The protocol was approved by the Animal Experimental Committee of Maastricht University.

7.2.1 Experimental model

The experiments were performed on 8 mongrel canines of either sex and unknown age. The extensive protocol has already been described earlier.¹³ In short, in all canines radiofrequency ablation was used to create LBBB. CRT studies were performed 16 weeks after creation of LBBB, to allow for ventricular remodeling to occur. During these CRT studies, RV and LV pressure catheters were positioned and the right atrial pacing lead was inserted transvenously. In addition, an octapolar electrode catheter was placed against the right side of the septum, from which the most distal electrode was used for RV apical pacing.¹³ After opening the chest, two multi-electrode arrays holding 102 contact electrodes were placed around the heart to measure epicardial electrical potentials and a basal posterolateral electrode was selected for LV-pacing (see bottom-left panel in Fig. 7.1).

Figure 7.1: Flow-chart of the ECG-VCG derived QRS_{area} (top) and EGM-VCG derived QRS_{area} determination (bottom).

7.2.2 Pacing protocol

During the pacing protocol, the delay between atrium and either the RV (A-RV delays) or LV (A-LV delays) ranged from 50 ms to 230 ms in steps of 20 ms, resulting in a raster of 10x10 and thus 100 different pace settings. The occurrence of all 100 different combinations was randomly programmed. Four steps of pace settings were intertwined by a baseline measurement which was equal to atrial pacing at a heart rate 10 BPM above intrinsic sinoatrial rate. These baseline measurements were used to calculate relative changes in LV $dP/dt_{\max}$ measurements. Both hemodynamic and electrophysiological data were recorded for a minimum of 2 respiratory cycles.

7.2.3 Data analysis

Surface ECGs were recorded from the limb lead electrodes and plotting lead I (approximating X) against aVF (approximating Y) resulted in a 2-dimensional VCG (Fig. 7.1, top right panel). Using custom MATLAB R2010b (MathWorks, Natick, MA) software, the ECG-VCG derived QRS_{area} (ECG- QRS_{area}) was computed using the formula $QRS_{\text{area}} = \sqrt{QRS_{\text{area,I}}^2 + QRS_{\text{area,aVF}}^2}$ where $QRS_{\text{area,I}}$ and $QRS_{\text{area,aVF}}$ are the area between the curve and baseline.¹⁴

Using the EGMs, a vectorcardiogram was extracted, which hereafter will be referred to as EGM-VCG. The required EGMs are measured from two electrodes from the octapolar electrode catheter (RV) and one electrode just above and one just below the LV pacing electrode on the contact electrode bands. The 'X' direction of the EGM-VCG is calculated by subtracting the signal of the apical RV EGM from the basal LV EGM, and the 'Y' direction by subtracting the signal of the basal RV EGM from the apical LV EGM (bottom panel Fig. 7.1). Plotting the 'X' and 'Y' signal against each other results in a 2-dimensional VCG (Fig. 7.1, bottom right panel). The EGM-VCG derived QRS_{area} (EGM- QRS_{area}) could be calculated using the same formula as described for the ECG- QRS_{area} but now taking the leads X and Y into account.

The hemodynamic response was assessed by measuring the maximal rate of LV pressure rise (LV $dP/dt_{\max}$). For each setting, variables were plotted in relation to the LV/RV AV-interval in three-dimensional surface plots. Quadratic fitting was applied to account for measurement variability. The settings resulting in a percentage increase of LV $dP/dt_{\max}$ of at least 90% of the maximum (surface peak) were identified as optimal (CRT_{opt}).

7.2.4 Statistical analysis

Continuous data are presented as mean $\pm$ standard deviation. Correlations between surface plots of percentage change in LV $dP/dt_{\max}$ compared to baseline and the surface plots of the electrophysiological variables ECG- QRS_{area} and EGM- QRS_{area} were calculated using

the Pearson correlation coefficient. The classification performance of electrical variables in identifying the CRT_{opt} settings was evaluated by receiver operating characteristic (ROC) curve analysis. The significance of the difference in classification performance between variables was evaluated by comparing the area under the ROC curves with the method proposed by DeLong et al.¹⁵. A P-value < 0.05 was considered statistically significant.

7.3 Results

7.3.1 Hemodynamic effect of different stimulation intervals during CRT

Two examples of the hemodynamic changes at all 100 tested A-RV/A-LV combinations are shown in Fig. 7.2. LV- and RV-only pacing at short AV-intervals (left and right corner in Fig. 7.2, respectively) caused only small changes in LV dP/dt_{max} and this was also the case during biventricular (BiV) pacing at long AV-intervals (top corner). Several combinations of A-RV and A-LV intervals led to good hemodynamic improvement. At short AV-intervals, high LV dP/dt_{max} values were found during simultaneous BiV pacing, while at longer AV-intervals the optimum was found during LV pre-excitation, most likely related to partial fusion of LV pacing with the wave front originating from the right bundle branch (RBB).¹³ The location of the leftward turn of the ridge was dependent on intrinsic conduction properties, e.g. a longer PR-interval results in fusion with the intrinsic conduction at longer AV-intervals (Fig. 7.2A vs. 7.2B). Indeed, when for all dogs the effective VV-interval (the actual time delay between onset of activation of the RV apex and LV lateral wall¹⁶) was calculated, all points transferred to one parabola with the optimum around an effective VV-interval of 0 (Fig. 7.3). In the 8 canines, the number of A-LV/A-RV combinations which resulted in CRT_{opt} varied between 1 and 6 (median of 4 combinations).

7.3.2 ECG-VCG and EGM-VCG prediction performance

Fig. 7.4A and Fig. 7.4B show the surface plots for the QRS_{area} as derived from the ECG-VCG and the EGM-VCG and the corresponding LV dP/dt_{max} plots during all 100 tested A-RV/A-LV combinations of two canines with different intrinsic PR-intervals. To improve the visual comparison between the QRS_{area} and LV dP/dt_{max} plot, the z-axis of the QRS_{area} plot was inverted.

During most of the 100 A-RV and A-LV settings there was a good match between values of ECG- QRS_{area} and EGM- QRS_{area} , with low values occurring during settings ranging from BiV-pacing at short AV-intervals to pronounced LV pre-excitation at longer AV intervals and higher values during LV-only pacing and LBBB. The number of CRT_{opt} settings during BiV-pacing before the leftward shift was higher for the canine with a longer PR-interval, in which the leftward shift occurred later (Fig. 7.4B). In both experiments the ECG- QRS_{area} during

Figure 7.2: Examples of the relative change in LV dP/dt_{max} compared to baseline for the tested A-RV and A-LV intervals in a canine with a PR-interval of 141 ms (**A**) and one with a PR-interval of 201 ms (**B**). At the diagonal line in the horizontal plane ($y = x$) the VV-interval was 0, while at the points right from this line the RV was pre-excited and at points left from this line LV was pre-excited. A combination of a short A-LV and a long A-RV is equal to LV-only pacing, while a short A-RV and a long A-LV represents RV only pacing and A-LV and A-RV values close to each other is BiV pacing with a certain VV-interval.

Figure 7.3: **A:** Typical example of the relationship between effective VV-interval and improvement in maximum rate of LV pressure rise (LV dP/dt_{max}) compared to baseline for all pacing configurations. **B:** Relationship of effective VV-interval and change in LV dP/dt_{max} compared to baseline for all animals.

RV pacing was lower than during LBBB. This difference in QRS_{area} between RV only pacing and LBBB was not found for the EGM- QRS_{area} . As a consequence, the correlation between

the ECG and EGM derived QRS_{area} was moderate ($R = 0.44 \pm 0.17$ for all experiments combined).

The small QRS_{area} found during RV pre-excitation for the ECG-VCG did not match with an increase in $LV dP/dt_{max}$, although small QRS_{areas} during other pace settings did correlate with a $LV dP/dt_{max}$ increase. As a consequence, the correlation between these two variables was moderate ($R = 0.59 \pm 0.23$ for all experiments), despite the fact that the location of minimal QRS_{area} matched quite well with that of the optimal hemodynamic benefit. For the EGM- QRS_{area} this low value during RV-pacing was absent and there was also a match between minimal QRS_{area} and optimal hemodynamic benefit leading to a higher correlation with the change in $LV dP/dt_{max}$ compared to baseline ($R = 0.73 \pm 0.19$ for all experiments).

7.3.3 ROC curves

Fig. 7.5 presents ROC curves that show the classifying abilities of ECG- QRS_{area} and EGM- QRS_{area} to identify CRT_{opt} . The area under the curve for the EGM- QRS_{area} was high (0.90 [0.87 – 0.93]), while the ECG- QRS_{area} and QRS duration were significantly less accurate in identifying the settings resulting in best hemodynamic improvement ($AUC = 0.81$ [0.70 – 0.91] and 0.74 [0.64 – 0.84], respectively; $P \leq 0.01$ comparing both ECG- QRS_{area} and QRS duration to EGM- QRS_{area}). Indeed, the variation in QRS duration between different settings can be quite small, making it difficult to select the best settings using QRS duration (Fig. 7.6).

Figure 7.4: Examples of surface plots for the QRS_{area} derived from the ECG-VCG and EGM-VCG for the canine with a PR-interval of 141 ms (A) or a PR-interval of 201 ms (B). For visual comparison between the QRS_{area} and LV dP/dt_{max} surface plots, the z-axis was inverted. The agreement between the two methods of QRS_{area} calculation is indicated between the two surface plots. The proximity of the minimal QRS_{area} derived from the EGM-VCG was a better indicator for response prediction and correlated better with response observation (defined as % change in LV dP/dt_{max} compared to baseline) compared with the ECG-VCG derived QRS_{area} .

Figure 7.5: Receiver operating characteristics (ROC) curves for QRS duration, ECG-VCG QRS_{area}, and EGM-VCG QRS_{area} for classifying CRT_{opt} (settings $\geq 90\%$ of maximal LV $dP/dt_{\max}$). ROC area under the curve signifies performance of each variable in identifying the CRT_{opt} settings.

Figure 7.6: Relation between QRS duration and LV $dP/dt_{\max}$ for the canine with a PR-interval of 141 ms. For visual comparison, the z-axis of the QRS duration was inverted.

7.4 Discussion

The present study performed in canine hearts with chronic LBBB demonstrates that there is a moderate relation between ECG-VCG and EGM-VCG derived QRS_{area} with the largest offset between the two methods during RV only pacing. However, both methods are capable of predicting the optimal CRT device settings resulting in the best acute hemodynamic response, with the EGM-VCG derived QRS_{area} being the significantly better one. These observations support the use of the vectorcardiogram derived from the electrograms obtained from the intracardiac unused pacing electrodes as an easy, non-invasive, accurate, and reproducible tool for routine optimization of AV- and VV-intervals in CRT. The EGM- QRS_{area} could potentially be used to optimize CRT device settings continuously and individually.

7.4.1 Individual optimization of CRT using vectorcardiographic measurements

In a previous study, our group already demonstrated that during LV-only pacing in canines the QRS_{ampl} is a predictor for optimization of stimulation intervals¹¹ while in patients it was shown that also the QRS_{area} could be used for this purpose¹². The current study shows that also during BiV pacing in canines the ECG- QRS_{area} can be used for the optimization of CRT device settings. The lower correlation between ECG- QRS_{area} and LV dP/dt_{max} may be explained by the use of only limb leads. When only looking in the frontal plane, as is done in the current canine study, some information might be lost. This idea seems supported by the finding that in dogs the EGM-VCG derived QRS_{area} correlated better with the acute hemodynamic response plots.

Even though some physicians use the setting with the smallest QRS duration as an optimization method, both ECG- QRS_{area} and EGM- QRS_{area} were better predictors of maximal hemodynamic response, as evidenced by the higher area under the ROC-curves. An explanation might be that QRS duration only reflects the total ventricular activation time, while the QRS_{area} better reflects the activation waves.^{11,17}

Several factors may explain the not-perfect correlation between either the ECG- QRS_{area} or the EGM- QRS_{area} surface plots with the LV dP/dt_{max} surface plot. Obviously, biological variability in hemodynamics and measurement errors affect this correlation. In the present experimental setting, variability was kept to a minimum by performing repeated baseline measurements and by applying quadratic fitting. Secondly, one could question whether changes observed in LV dP/dt_{max} are entirely related to electrical dyssynchrony, or whether ventricular filling also plays a role. In an earlier study performed by Strik et al.¹³ it was shown that the LV end-diastolic volume did not change between baseline, CRT at short AV-intervals, and CRT at longer AV-intervals. This suggests that ventricular filling does not play a role in achieving the optimal acute hemodynamic effect and, therefore, electrical dyssynchrony

seems to be the leading factor for changes in LV $dP/dt_{\max}$. Finally, as discussed above, the use of only the limb leads for the VCG determination on the body surface may have led to the lower predictive values of the ECG-QRS_{area}.

7.4.2 Potential clinical implications

Extrapolation of experimental data to the clinical situation should always be done with care. However, it has already been shown that the VCG-derived QRS_{ampl} and QRS_{area} during LV-only pacing at a range of AV-delays and during BiV pacing at different VV-delays are good predictors of CRT response¹², a finding similar to that in our study regarding the QRS_{area}. Therefore, the results of this animal study might well be applicable in the clinical situation.

The EGM-QRS_{area} provides a non-invasive and easy method to optimize the AV- and VV-intervals continuously and individually. In patients, one could use the electrodes on a quadripolar LV lead that are not being used for pacing as the two LV electrodes and the signal of the two RV electrodes might be extracted from the RV ring and the coil of the ICDs. This approach may be superior to currently available algorithms for automated optimization of CRT device settings using electrograms. All these algorithms use an estimated relation between measured variables and the optimal AV- and VV-interval, estimated using electrograms obtained in the unpaced (CRT-off) situation.^{8–10} Instead, the concept of EGM-QRS_{area} as presented here, continuously determines the effect of ventricular pacing on ventricular resynchronization.

7.4.3 Limitations

The current study only took the acute response to CRT into account using hemodynamic measurements. The acute CRT response may not correspond to CRT response on the long-term.¹⁸ Moreover, while the canines had long-term LBBB, the response in patients with a higher degree of heart failure and other comorbidities might be different.

7.5 Conclusions

In canine hearts with chronic LBBB, the QRS_{area} derived from a VCG extracted from myocardial EGM's from two RV and two LV electrodes predicts hemodynamic response and identifies optimal settings accurately. The worse performance of the QRS duration shows that variables reflecting patterns of activation perform better than those reflecting absolute electrical dyssynchrony. These data support the potency of device based vectorcardiography as a non-invasive and easy tool to individually and continuously optimize the AV- and VV-intervals in CRT.

7.6 Funding

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Chapter 8

Tailoring device settings in cardiac resynchronization therapy by vectorcardiography using the implanted pacing electrodes.

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Tailoring device settings in cardiac resynchronization therapy by vectorcardiography using the implanted pacing electrodes. [In preparation]

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Abstract

Introduction: Left ventricular (LV) pacing fused with intrinsic ventricular activation augments CRT benefit as compared to conventional biventricular pacing. In the present study we explored the possibility to use an electrogram (EGM)-based vectorcardiogram (EGM-VCG) for patient-specific LV fusion pacing.

Methods and Results: During CRT device implantation of 28 patients, hemodynamic measurements and 12-lead ECG recordings were performed during various AV-delays. In addition, unipolar EGMs were recorded from the implanted ventricular pacing leads. The VCG was reconstructed from the 12-lead ECG and the EGM-VCG from ventricular EGM signals. QRS area (QRS_{area}) and amplitude (QRS_{ampl}) were extracted from both methods. Optimal hemodynamic response was defined as the largest increase in LV systolic pressure (LVP_{syst}) or in the maximal rate of LV pressure rise ($LV\ dP/dt_{max}$). Over all patients and pacing modes there was a good agreement between the surface VCG and EGM-VCG derived QRS_{area} ($R = 0.74$) and QRS_{ampl} ($R = 0.80$). VCG and EGM-VCG derived QRS_{area} predicted the AV-delay resulting in highest LV systolic pressure with reasonable accuracy. However, prediction of the AV-delay resulting in highest $LV\ dP/dt_{max}$ was poor, because in one third of patients highest $LV\ dP/dt_{max}$ occurred at short AV-delays. Determination of the onset of right ventricular (RV) activation to employ LV fusion pacing could be extracted individually using the QRS_{ampl} derived from either the VCG or EGM-VCG.

Conclusions: QRS_{area} derived from the VCG or EGM-VCG, can be used to predict the AV-delay resulting in highest LV systolic pressure. The onset of contribution of the intrinsic RV activation to obtain the best fusion with LV pacing can be determined using the VCG or EGM-VCG.

8.1 Introduction

CARDIAC resynchronization therapy (CRT) is an established therapy for patients with dyssynchrony induced heart failure, mainly due to left bundle branch block (LBBB), in combination with a reduced left ventricular (LV) ejection fraction (EF). At the population level, CRT is known to improve both morbidity and mortality.¹ However, at the individual level there is considerable variability in the response.² Part of a poor CRT response is attributed to suboptimal atrioventricular (AV) timing.³

In CRT, the time-delay between stimulation of the right atrium and the ventricles (AV-delay) determines the LV filling characteristics that contribute to stroke volume and cardiac output. In addition, AV-delay has impact on the amount of fusion of intrinsic conduction with pacing-generated activation waves.^{4,5} Multiple techniques have been used for optimization of the AV-delay, such as different echocardiographic measures^{1,6}, invasive hemodynamic measures (dP/dt , stroke work)⁷⁻⁹, finger photoplethysmography^{10,11} and peak endocardial acceleration¹². With exception of the last technique, these measurements can only be performed during in-office visits. While acute hemodynamic improvements of up to ~15% for AV- and VV-optimization compared to nominal settings have been described¹³, favorable long-term effects in large clinical trials have not been observed.⁶ Moreover, most methods are time and resource consuming and subject to large measurement variability. Therefore, many clinicians leave CRT device settings at the nominal values ('out-of-the-box').¹⁴

While a single AV-delay optimization is probably valuable, regular optimization, preferably in an automated fashion, may be more desirable. To this purpose, algorithms have been developed that have been implemented in devices. Most of these algorithms are based on parameters measured during intrinsic activation and estimating optimal stimulation based on average data from a group of patients.¹⁵⁻¹⁷ Doing so, they do not consider individual differences during intrinsic conduction nor during pacing. The electrogram (EGM)-based ADAPTIVECRT™ (trademark of Medtronic, Inc.) algorithm is the only method that provides an almost continuous automatic optimization and enables both LV pacing and BiV pacing.¹⁷ In the case of LV pacing, the A-LV-delay is set to the onset of intrinsic right ventricular (RV) activation because optimal fusion between the intrinsic activation wave and the activation wave originating from the pacing site lead to the largest hemodynamic improvement.^{4,18}

In the present study we explored the possibility of using data derived from the implanted leads during ventricular pacing for patient-specific device optimization. The basis of this approach is data from previous studies from our group, indicating that the QRS vector extracted from the vectorcardiogram reflects the degree of ventricular resynchronization during various AV-delays.^{19,20} Vectorcardiography (VCG) is a 3-dimensional representation of the electrical forces present in the heart and might thus provide a valuable description of the amount of resynchronization during LV pacing. The minimal QRS area (QRS_{area}) and the QRS amplitude

(QRS_{ampl}) closest to a value halfway between LV pacing and LBBB were shown to predict the AV-delay settings resulting in best hemodynamic improvement in patients. In addition, in a previous animal study we showed that the principle of optimization based on the body surface VCG could be extended to a VCG derived from the EGMs_ø obtained from the intracardiac pacing electrodes (EGM-VCG).²¹

The objectives of the current study were to investigate 1) whether EGM-VCG derived QRS_{area} or QRS_{ampl} can be used to determine the AV-delay that provides the best hemodynamic effect and 2) how the patient-specific onset of intrinsic activation of the RV can be extracted from the EGM-VCG to enable LV fusion pacing.

8.2 Methods

8.2.1 Study population

The current study population consisted of 28 consecutive patients referred for CRT implantation with a class I indication according to the European society of cardiology (ESC) guidelines (New York Heart Association class II, III or ambulatory IV despite adequate medical treatment, in sinus rhythm, LVEF $\leq 35\%$ and QRS duration > 120 ms with LBBB morphology).²² All patients were prospectively enrolled in this dual center study. Patients presenting with ≥ 4 premature ventricular complexes (PVCs) on the 12-lead ECG and with moderate to severe aortic valve stenosis were excluded. In addition, all participants had to be between 18 and 80 years old.

This study was performed according to the principles of the Declaration of Helsinki and approved by the ethics committee of Maastricht University Medical Center+ (MUMC+) and University Medical Center Groningen (UMCG). All participants gave written informed consent prior to investigation.

8.2.2 Procedure

Standard digital 12-lead ECGs were recorded throughout the entire procedure. All participants underwent routine CRT-defibrillator implantation; all with a quadripolar LV lead (QuartetTM Model 1458Q, St. Jude Medical, St. Paul, USA). The RV lead was placed in the apex, the right atrial lead in the right auricle, and the LV lead in the most optimal vein. After implantation of all leads, the pressure wire was introduced via the femoral artery into the LV cavity and the unpaced electrodes were connected to an electrophysiological system to enable EGM registration during the pacing protocol (described below). Once the pacing protocol was completed, the leads were connected to the CRT device and the procedure was completed.

8.2.3 Pressure measurements

The acute hemodynamic response to CRT was assessed using invasive LV pressure measurements. From the LV pressure signals, the systolic LV pressure (LVP_{syst}) and the maximum rate of LV pressure rise ($LV\ dP/dt_{max}$) were determined. These variables were determined per heart beat and averaged over 10-20 seconds. The LV pressure measurements were performed with a 0.014 inch pressure sensor tipped transluminal guidewire (PressureWire, Certus, St. Jude Medical, St. Paul, USA). Ventricular pacing measurements were alternated by baseline measurements which was equal to atrial pacing (AAI pacing). After each transition, at least 10 seconds were used to let the pressure stabilize, after which the LV pressure was measured for at least 10 seconds without any premature ventricular contractions. In order to identify the AV-delay with the largest increase in $LV\ dP/dt_{max}$ or LVP_{syst} , a parabola was fitted to the data obtained during the various AV-delays.²³

8.2.4 Pacing protocol

LV-only pacing at different AV-delays was performed during atrial overdrive pacing (10 BPM above intrinsic heart rate). AV-delays were varied from a very short AV-delay (between 30 and 50 ms) to an AV-delay where the paced-ECG almost resembled the intrinsic ECG (pseudo-fusion), in steps of 30 ms. Before and after each ventricular pace setting, AAI pacing at the same heart rate was used as baseline.

8.2.5 Vectorcardiography

12-lead ECG and EGM recordings were made at a sampling frequency of at least 1000 Hz for at least 10 seconds. From the 12-lead ECGs vectorcardiograms (VCGs) were constructed using the Kors matrix.²⁴

A two dimensional EGM-VCG was constructed by plotting two bipolar leads, A and B, against each other. Bipolar lead A of the EGM-VCG was calculated by subtracting the unipolar EGM signal from the RV ring from the unipolar EGM of the proximal electrode on the LV lead and bipolar lead B was calculated by subtracting the unipolar EGM signal of the same RV lead from the unipolar EGM of the distal electrode of the LV lead (Fig. 8.1).

The VCGs and EGM-VCGs were analyzed offline using customized software programmed in MATLAB R2010b (MathWorks, Natick, MA).²⁵ The magnitude and direction of the maximum QRS vector in space were expressed as amplitude and angle. For the VCG, the angle of the vector was described by the azimuth (angle in transversal plane) and elevation (angle between the vector and an axis perpendicular to the transversal plane). In order to express the direction of the QRS_{ampl} , the QRS_{ampl} was defined negative when the vector was directed towards the back (negative azimuth), or in the case of the EGM-VCG when it was directed towards the positive B-axis. Furthermore, the area of the QRS loop was calculated from the

Figure 8.1: Schematic representation of the EGM-VCG construction. The LV-pacing electrode is designated with a star. LVd and LVp are the most distal and proximal LV electrodes, respectively.

area under the curve from beginning to end of the QRS complex in the three orthonormal axes X, Y, and Z, using the equation $QRS_{area} = \sqrt{QRS_{area,x}^2 + QRS_{area,y}^2 + QRS_{area,z}^2}$. Because in EGM-VCG the third dimension was missing, the QRS-vector angle was expressed in the plane formed by the unipolar EGMs and an area was calculated using the equation $QRS_{area} = \sqrt{QRS_{area,A}^2 + QRS_{area,B}^2}$.

8.2.6 Determination of onset of intrinsic ventricular activation

In order to obtain fusion of LV-only pacing with intrinsic RV activation, it is important to determine the exact onset of intrinsic RV activation. This onset in each individual patient was determined visually during RV-only pacing at different AV-delays. The AV-delay at which the shape of the QRS complex of the 12-lead ECG changed, indicating contribution of intrinsic activation of the RV, was defined as the delay between atrial and RV activation ($A-RV_{vis}$; Fig. 8.2A).⁴ The onset of intrinsic ventricular activation was assessed as $A-QRS_{onset}$: the interval between atrial pace spike and the onset of the QRS complex (Fig. 8.2B). Finally, the ADAPTIVECRTTM algorithm uses a formula that estimates the A-RV-delay as the onset of contribution of intrinsic RV activation ($A-RV_{aCRT}$): the delay between atrial sensing or pacing and RV sensing ($A-RV_{sense}$) is pre-empted by 40 ms or 70% of this amount, whichever is smaller (Fig. 8.2C).²⁶

Figure 8.2: Three different methods to determine the onset of contribution of ventricular contraction. Panel **A** illustrated the A-RV_{vis} method, **B**) A-QRS_{onset}, and **C**) the A-RV_{aCRT} method. The EGM signal for RV_{apex} and RV_{RVOT} were obtained by temporarily placing the RV lead in the apex and RVOT, respectively, and measuring its signal. In panel **A** the red dot indicates the optimal setting. RVOT = RV outflow tract.

8.2.7 Statistical analysis

Continuous variables are presented as mean values $\pm$ standard deviation (SD) whereas discrete variables are presented as counts (percentages). Linear correlations were evaluated by Pearson's correlation. Possible differences between different patient groups were tested using the Kruskal-Wallis and Wilcoxon rank-sum test with Bonferroni correction consecutively. Different A-RV methods were statistically tested using a combination of the Friedman

test and the Wilcoxon signed rank test with a Bonferroni correction. Agreement between the various predictions of AV-delays was evaluated with a Bland-Altman analysis, containing the mean differences (bias) and the limits of agreement (defined as $\pm 1.96 \cdot \text{SD}$). A two-sided P-value < 0.05 was considered statistically significant. The statistical analysis was performed using IBM SPSS statistics software version 21 (SPSS Inc., Chicago, IL).

8.3 Results

8.3.1 Patient characteristics

Of the 28 included patients, 25 patients completed all measurements. Failure to acquire all measurements in three patients occurred due to an early stop because of back pain as a result of the prolonged procedure time in one, the inability to cross the aortic bioprosthesis with the pressure wire in one, and technical problems with the LV pressure measurement device in one patient. The baseline characteristics of the 25 patients are presented in Table 8.1. The patient population was a typical CRT population with mostly males, half of the patients with ischemic cardiomyopathy, reduced LVEF, and prolonged QRS duration. During the procedure, the LV lead was aimed at a postero-lateral wall and 30% of the patients were acute non-responders (maximal change in LV $dP/dt_{\max} \leq 10\%$).

Table 8.1: Patient characteristics (n = 25)

Baseline characteristics	
Age (years)	68 $\pm$ 9
Male gender (n, %)	15 (60)
Ischemic heart disease (n, %)	14 (44)
NYHA functional class (n, %)	
II	21 (84)
III	4 (16)
LVEF (%)	26 $\pm$ 6
QRS duration (ms)	160 $\pm$ 15
Treatment (n, %)	
Diuretics	15 (60)
ACE-I/ARB	23 (92)
β -blockers	21 (84)
Spironolactone	17 (68)
Nitrates	4 (16)
Digoxin	0 (0)
Amiodarone	1 (4)
During procedure	
LV lead location (n, %)	
Lateral	15 (60)
Posterolateral	9 (36)
Posterior	1 (4)
Acute-responder (n, %)	18 (72)

NYHA class: New York heart association class; LVEF = left ventricular ejection fraction; ACE-I = angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker.

8.3.2 Relation between VCG and pressure measurements during AV-delay optimization

Fig. 8.3 displays the changes in ECG, VCG, and EGM-VCG during LV pacing at different AV-delays in one patient. While increasing AV-delays, lead V_1 changed from a positive to a negative QRS polarity. These changes were likely due to increasing fusion between the paced LV wave front and the intrinsic activation wave front starting from the right bundle branch (RBB). The changes in orientation of the QRS complex corresponded with the QRS vector, pointing towards the front during LV pacing and towards the back during LBBB. Similarly, the angle of the maximal QRS vector extracted from the EGM-VCG changed by $\sim 180^\circ$. The shape of the EGM-VCG loops were similar to the VCG loops, though more irregular and narrower (Fig. 8.3).

Figure 8.3: Observed changes in ECG lead V_1 (upper row), VCG azimuth plane (middle row), and EGM-VCG (lower row) during LV pacing with different AV-delays in one patient.

Within the entire cohort three subgroups of patients were identified, based on their hemodynamic response to LV pacing: 1) non-responders in whom the increase in $LV \, dP/dt_{\max} \leq 10\%$ ($n = 7$), 2) mismatch-responders in whom the $LV \, dP/dt_{\max}$ increased $> 10\%$, and in whom the highest $LV \, dP/dt_{\max}$ occurred at a considerably shorter AV-delay than the highest LVP_{sys} ($n = 8$), and 3) match-responders in whom the highest $LV \, dP/dt_{\max}$ was $> 10\%$ and occurred at the same AV-delay as the highest LVP_{sys} ($n = 10$) (examples shown in Fig. 8.4). In all three examples the AV-delay corresponding to the lowest VCG- and EGM-VCG-derived QRS_{area} was ~ 30 ms later than the AV-delay with the highest LVP_{sys} . On the other hand, LV pacing

at the AV-delay at which QRS_{ampl} was the halfway value of the QRS_{ampl} derived from the VCG or EGM-VCG between LV-only pacing and intrinsic conduction was associated with a large increase in LVP_{syst} . In all three examples the QRS_{ampl} halfway value derived from the EGM-VCG occurred at a 30 ms longer AV-delay than the QRS_{ampl} halfway value derived from the VCG, but the differences in LVP_{syst} between these two AV-delay steps were small. Due to the mismatch between LVP_{syst} and LV dP/dt_{max} in the ‘mismatch-responders’, the AV-delay with the lowest QRS_{area} did not predict the AV-delay accompanied by the highest LV dP/dt_{max} (Fig. 8.4).

Overall, throughout the LV pacing protocol there was a good agreement between the surface VCG and EGM-VCG derived QRS_{area} ($R = 0.74$) and QRS_{ampl} ($R = 0.80$). The VCG or EGM-VCG derived QRS areas were able to predict the AV-delay resulting in highest LV systolic pressure with reasonable accuracy (Fig. 8.5A and C), but not the AV-delay resulting in highest LV dP/dt_{max} , especially in the mismatch responders (Fig. 8.5B and D). In the mismatch-responders group, the predicted AV-delays, according to the QRS_{area} , EGM- QRS_{area} , QRS_{ampl} , or EGM- QRS_{ampl} , resulted in an underestimation in LV dP/dt_{max} compared to the maximal increase ($50 \pm 22\%$, $67 \pm 20\%$, $35 \pm 21\%$, and $36 \pm 20\%$, respectively). For the match-responders group, this underestimation in LV dP/dt_{max} was less ($32 \pm 29\%$, $51 \pm 38\%$, $22 \pm 31\%$, and $23 \pm 30\%$, respectively).

In an attempt to explain the different hemodynamic responses to LV pacing, the baseline characteristics of the three different groups were compared (Table 8.2). It was observed that the match-responder group had a lower baseline LVEF and baseline LV dP/dt_{max} than the non-responder group. Furthermore, there was a trend towards a lower baseline QRS_{area} for the non-responder patients ($P = 0.06$ compared to mismatch-responder group; $P = 0.10$ compared to match-responder group). The only observed difference between the mismatch and match responder groups was the lack of patients with ischemic cardiomyopathy (ICM) in the mismatch-responder group, while in the match-responder group 70% of the patients had ICM.

Figure 8.4: Examples of three patients: one non-responder, one mismatch-responder, and one match-responder. Shown are the relative LV dP/dt_{max} and LV systolic pressure increases compared to baseline at various AV-delays during LV pacing. Corresponding changes in QRS area and amplitude extracted from the VCG or EGM-VCG are shown. AAI-pacing ($\sim$ LBBB) results are illustrated by a green diamond. Bold AV-delays and the corresponding vertical dashed lines indicate the patients A-RV_{vis}.

Figure 8.5: Agreement between VCG or EGM-VCG derived QRS_{area} and hemodynamic predicted AV-delays defined as maximal increase in LV systolic pressure (LVP_{syst} ; **A** and **C**) or LV dP/dt_{max} (**B** and **D**). Patients with a maximal LV dP/dt_{max} increase of $\leq 10\%$ are indicated by red dots (CRT non-responders). Shown is the line of identity ($Y = X$) and the range within 30 ms of this line.

Table 8.2: Patient characteristics of the three subgroups (non-responders; mismatch-responders; match-responders)

	Non-responders (n = 7)	Mismatch-responders (n = 8)	Match-responders (n = 10)
Age (y)	64 ± 10	70 ± 9	69 ± 8
Male (n, %)	6 (86)	3 (38)	6 (60)
LVEF (%)	31 ± 3	28 ± 5	22 ± 7*
ICM (n, %)	4 (57)	0 (0) [‡]	7 (70)
NYHA class			
II (n, %)	6 (86)	7 (88)	8 (80)
III (n, %)	1 (14)	1 (13)	2 (20)
Diuretics (n, %)	4 (57)	4 (50)	7 (70)
ACE-I/ARB (n, %)	7 (100)	8 (100)	8 (80)
β-blockers (n, %)	6 (86)	7 (88)	8 (80)
Spironolactone (n, %)	7 (100)	6 (75)	4 (40) [†]
Nitrates (n, %)	1 (14)	2 (25)	1 (10)
Digoxin (n, %)	0 (0)	0 (0)	0 (0)
Amiodarone (n, %)	1 (14)	0 (0)	0 (0)
Mitral regurgitation			
No	0 (0)	2 (25)	1 (10)
Grade 1	2 (28)	4 (50)	7 (70)
Grade 2	2 (28)	0 (0)	0 (0)
Grade 3	0 (0)	0 (0)	1 (10)
Unknown	3 (43)	2 (25)	1 (10)
LVP _{syst} (mmHg)	122 ± 9	130 ± 30	119 ± 16
LV dP/dt _{max} (mmHg/s)	1088 ± 160	1045 ± 219	835 ± 139*
PR interval (ms)	200 ± 38	179 ± 23	189 ± 27
QRS duration (ms)	152 ± 14	158 ± 12	168 ± 15
QRS _{area} (μVs)	68 ± 23	107 ± 39 [§]	111 ± 43
EGM-QRS _{area} (μVs)	949 ± 777	1318 ± 335	1771 ± 712
Pace location			
Lateral (n, %)	2 (29)	5 (63)	8 (80)
Posterolateral (n, %)	4 (57)	3 (38)	2 (20)
Posterior (n, %)	1 (14)	0 (0)	0 (0)

LVEF = left ventricular ejection fraction; ICM: ischemic cardiomyopathy; NYHA class: New York heart association class; ACE-I = angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker; LVP_{syst} = left ventricular systolic pressure.

*P < 0.05 compared to non-responders using the Wilcoxon rank-sum test with Bonferroni correction.

§P = 0.06 compared to non-responders using the Wilcoxon rank-sum test with Bonferroni correction.

†P < 0.05 compared to non-responders using the χ^2 -test with Bonferroni correction.

‡P < 0.05 compared to match-responders using the χ^2 -test with Bonferroni correction.

8.3.3 Comparing different measures of onset of intrinsic ventricular activation

In the two responders shown in Fig. 8.4, the moment of onset of intrinsic RV activation, as determined by A-RV_{vis} matched well with the AV-delay during LV pacing that resulted in the highest LVP_{syst}. Importantly, A-RV_{vis} corresponded with the longest AV-delay at which QRS_{ampl} was still positive and at which the EGM-QRS_{ampl} was still equal to the EGM-QRS_{ampl} during LV-only pacing at a very short AV-delay. This indicates that the EGM-

VCG and VCG-derived QRS_{ampl} could also be used to find the delay between atrial activation and onset of RV activation ($A-RV_{\text{EGM-VCG}}$ and $A-RV_{\text{VCG}}$, Fig. 8.4).

The different methods to find the values of the time to onset of contribution of intrinsic ventricular activation ($A-RV$) were compared to the $A-RV_{\text{vis}}$, the AV-delay at which the shape of the QRS complex of the 12-lead ECG changed during DDD-RV pacing. There was no significant difference between $A-RV_{\text{EGM-VCG}}$, $A-RV_{\text{VCG}}$ and $A-RV_{\text{vis}}$ (Table 8.3). $A-RV_{\text{aCRT}}$ was significantly longer than $A-RV_{\text{vis}}$. Furthermore, $A-QRS_{\text{onset}}$ was significantly longer than the other four indices of intrinsic RV activation. These values held for the RV apex as site of implantation of the RV lead. In order to investigate the sensitivity of the four methods for the location of the RV lead, in five patients the RV lead was temporarily placed at the RV outflow tract (RVOT). $A-RV_{\text{vis}}$, $A-RV_{\text{VCG}}$, and $A-QRS_{\text{onset}}$ were not affected by this location change of the RV lead. However, $A-RV_{\text{aCRT}}$ was generally shorter at the RVOT position, the difference with the RV apex position ranging from -27 ms to +9 ms (example in Fig. 8.2B). Moreover, during LV pacing some patients revealed a time delay between pacing stimulus and onset of QRS complex, often referred to as LV pacing latency (example is shown in Fig. 8.6). In this example the LV pacing latency was 44 ms, leading to a relative prolongation of the $A-RV_{\text{aCRT}}$ compared to $A-RV_{\text{vis}}$, while $A-RV_{\text{EGM-VCG}}$ and $A-RV_{\text{VCG}}$ adjust for this LV pacing latency by shortening the $A-RV$ compared to $A-RV_{\text{aCRT}}$.

Table 8.3: Optimal AV-delay using different methods

AV-delay method	Mean $\pm$ SD
$A-RV_{\text{vis}}$	170 $\pm$ 33
$A-RV_{\text{EGM-VCG}}$	180 $\pm$ 43
$A-RV_{\text{VCG}}$	181 $\pm$ 38
$A-RV_{\text{aCRT}}$	189 $\pm$ 29*
$A-QRS_{\text{onset}}$	228 $\pm$ 36*†‡*

$A-RV_{\text{vis}}$ = A-RV as visually observed; $A-RV_{\text{EGM-VCG}}$ = A-RV according to the EGM-VCG; $A-RV_{\text{VCG}}$ = A-RV according to the VCG; $A-RV_{\text{aCRT}}$ = A-RV according to ADAPTIVECRT™ algorithm; $A-QRS_{\text{onset}}$ = time between atrial pace spike and QRS onset; most definitions are illustrated in Fig. 8.2.

* $P < 0.05$ compared to $A-RV_{\text{vis}}$ using the Wilcoxon signed rank test.

† $P < 0.05$ compared to $A-RV_{\text{EGM-VCG}}$ using the Wilcoxon signed rank test.

‡ $P < 0.05$ compared to $A-RV_{\text{VCG}}$ using the Wilcoxon signed rank test.

* $P < 0.05$ compared to $A-RV_{\text{aCRT}}$ using the Wilcoxon signed rank test.

Performances of the various algorithms were investigated by comparing the measured LV dP/dt_{max} or LVP_{sys} during LV pacing with an AV-delay equal to the calculated A-RV delays. The longer AV-delay found using $A-QRS_{\text{onset}}$ resulted in a significantly smaller increase in LV dP/dt_{max} than using the other four methods ($A-RV_{\text{vis}}$, $A-RV_{\text{EGM-VCG}}$, $A-RV_{\text{VCG}}$, and $A-RV_{\text{aCRT}}$; Fig. 8.7A). Furthermore, $A-RV_{\text{vis}}$, $A-RV_{\text{EGM-VCG}}$, and $A-RV_{\text{VCG}}$ resulted in a comparable increase in LV dP/dt_{max} , while $A-RV_{\text{aCRT}}$ led to a lower increase in LV dP/dt_{max} compared to $A-RV_{\text{vis}}$ ($P < 0.01$), $A-RV_{\text{EGM-VCG}}$ ($P = 0.24$), and $A-RV_{\text{VCG}}$ ($P = 0.19$). These differences between $A-RV_{\text{vis}}$, $A-RV_{\text{EGM-VCG}}$ or $A-RV_{\text{VCG}}$ and $A-RV_{\text{aCRT}}$ were also present

Figure 8.6: Example of a patient with latency during LV-only pacing. The green line indicates the timing of the pace-artefact. The time interval between pacemaker stimulus and the onset of the earliest paced QRS complex was ~ 44 ms. The latency caused a prolongation of A-RV_{aCRT} of 39 ms. A-RV_{EGM-VCG} and A-RV_{VCG} were not affected.

at the individual level (Fig. 8.7B). Absolute changes in LVP_{sys} were smaller, but there was still a trend towards lower LVP_{sys} using A-RV_{aCRT} compared to A-RV_{vis}, A-RV_{EGM-VCG}, and A-RV_{VCG} (Fig. 8.7C and D).

8.4 Discussion

The current study shows that the EGM-VCG resembles the normal VCG and that, during LV pacing, the QRS_{area} and QRS_{ampl} derived from the VCG or EGM-VCG can predict the AV-delay resulting in highest LV systolic pressure with reasonable accuracy, but not that with highest LV dP/dt_{max} . Also, both methods seem useful to determine the exact onset of intrinsic RV activation (A-RV_{VCG}) and may therefore assist to individualize LV fusion pacing. Using either the A-RV_{EGM-VCG} or A-RV_{VCG} the ADAPTIVECARTTM algorithm can be fully individualized, leading to a possible improvement in hemodynamic response, especially since it overcomes variability induced by RV lead placement and LV latency.

8.4.1 Relation between VCG and EGM-VCG

The demonstration that the EGM-VCG resembles the VCG, especially with regards to the behavior of QRS_{area} and QRS_{ampl} during an LV pacing protocol, is not trivial. After all the VCG has a 3D vector while the EGM-VCG has only been derived from three electrodes on the LV and RV leads, forming a 2D plane. This plane varies per patient since every patient is different and the LV lead was placed at various locations. The good performance of the EGM-

Figure 8.7: Hemodynamic response (relative change compared to baseline in LV dP/dt_{max} [A and B] and LVP_{syst} [C and D]) at settings with an AV-delay equal to A-RV_{vis} (black), A-RV_{EGM-VCG} (blue), A-RV_{VCG} (green), A-RV_{aCRT} (yellow), and A-QRS_{onset} (red). Overall differences in change in hemodynamic measures are shown in the left panels, individual changes are shown in the right panels. *P < 0.05 compared to A-RV_{vis}, †P < 0.05 compared to A-RV_{aCRT}, ‡P < 0.05 compared to A-RV_{VCG}, *P < 0.05 compared to A-RV_{EGM-VCG} using the Wilcoxon signed rank test with a Bonferroni correction.

LVP_{syst} = LV systolic pressure; A-RV_{vis} = A-RV as visually observed; A-RV_{EGM-VCG} = A-RV according to the EGM-VCG; A-RV_{VCG} = A-RV according to the VCG; A-RV_{aCRT} = A-RV according to ADAPTIVECART™ algorithm; A-QRS_{onset} = time between atrial pace spike and QRS onset.

VCG in this regard may be explained by the fact that the plane of the EGM-VCG is exactly the plane containing most information. This can be explained by the fact that in LBBB the ventricular activation occurs from RV to LV and the LV lead is positioned in a vein on the LV lateral wall.

8.4.2 Relation between VCG and pressure measurements

The present study corroborates previous findings in patients that during LV pacing the minimal QRS_{area} occurs somewhere between LV-only pacing and LBBB, that the QRS vector rotates by almost 180° between LV-only pacing and LBBB, and that the QRS_{area} can predict the AV-delay resulting in highest LV systolic pressure with reasonable accuracy.¹⁹ The present study extends the latter observation by showing that also the EGM-VCG derived QRS_{area} and QRS_{ampl} can be used to this purpose. Differences between the present and the previous study were that van Deursen et al.¹⁹ used a combination of echocardiography (LV outflow tract velocity time integral) and finger blood pressure as a measure of hemodynamic response. This combination includes both pressure and flow information whereas the present hemodynamic response was purely pressure based. Furthermore, for the finger blood pressure 10 beats before and after each transition were averaged to calculate the change in order to avoid influence of the baroreflex.²⁷ In our study however, measurements were performed 10 seconds after changing the pace setting, thus probably leading to smaller observed changes.

The mismatch between LV dP/dt_{max} and LVP_{syst} in 8/25 patients is remarkable, because in other studies these parameters appear to match and the highest LV dP/dt_{max} is rarely seen at the shortest AV-delays.

8.4.3 Differences between different measures of onset of intrinsic RV activation

This study shows that both $A-RV_{EGM-VCG}$ and $A-RV_{VCG}$ can be used as a robust and objective measure to assess the ideal AV-delay during LV-only pacing to create ‘fusion’ pacing. Compared to the ADAPTIVECRT™ algorithm, optimization performed by EGM-VCG or VCG leads to a fully individualized optimization of the AV-delay. The ADAPTIVECRT™ algorithm allows continuous automated adaptation of AV-delay, but it uses an averaged, general relation to find A-RV. As the present study shows, using such average relation at the individual level may result in suboptimal hemodynamic response. In addition, $A-RV_{aCRT}$ is operator-dependent, as the moment of RVsense has to be indicated manually in the EGM signal. Instead, $A-RV_{EGM-VCG}$ and $A-RV_{VCG}$ are directly obtained from a QRS_{ampl} -AV-delay plot. Furthermore, this study shows that $A-RV_{aCRT}$ is dependent on the location of the RV lead. Temporary placement of the RV lead in the RVOT, led to a difference in $A-RV_{aCRT}$ compared to when the lead was placed in the RV apex. Finally, the $A-RV_{aCRT}$ algorithm does not adjust for the possible presence of latency, resulting in an overestimation of the real time of onset of intrinsic RV activation.

Assuming that in a patient the difference between $A-RV_{sense}$ and $A-RV_{VCG}$ does not depend on heart rate changes, and RV lead location does not change, a single $A-RV_{VCG}$ measurement during or briefly after the implantation of the device may suffice to adjust the

ADAPTIVECRT™ algorithm at the individual level. Another possibility would be that the algorithm to find $A-RV_{EGM-VCG}$ is embedded in the CRT device, resulting in the possibility to adjust for the delay between $A-RV_{sense}$ and $A-RV_{EGM-VCG}$ more regularly. Obviously, future studies are required to investigate whether the difference between $A-RV$ and $A-RV_{sense}$ indeed is independent of heart rate.

8.4.4 Limitations

The current study was a relatively small study, designed to proof the principle of electrical optimization of CRT using VCG and EGM-VCG. The study was performed in two centers (MUMC+ and UMCG) and the patients were consecutive patients. Furthermore, as indicated by the baseline patient characteristics (Table 8.1), the patient population is representative for the CRT population. A larger multicenter trial should be performed to confirm our results.

A limitation of the current study is that it only investigated acute hemodynamic CRT response. Acute changes in $LV\ dP/dt_{max}$ or LVP_{syst} may not correspond to the chronic long-term changes.²⁸

The current study only investigated the response in patients with a LBBB, while the response and the $A-RV$ determination could be different in right bundle branch block and intraventricular conduction delay patients. However, the current indication for CRT regarding these conduction disturbances is class I for LBBB and class II for non-LBBB patients.²²

8.5 Conclusions

The present study shows that during LV pacing the QRS_{area} or QRS_{ampl} derived from the VCG or EGM-VCG, can be used to predict the AV-delay resulting in highest LV systolic pressure. Furthermore, the onset of contribution of the intrinsic RV activation can be determined using the VCG or EGM-VCG, which can be used to objectively and easily tailor the ADAPTIVECRT™ algorithm, possibly leading to a further increase in hemodynamic response by CRT.

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Chapter 9

General discussion

9.1 Introduction

THE ultimate goal of this thesis is to improve the response to cardiac resynchronization therapy (CRT) using vectorcardiographic (VCG) analysis.

In **chapter 3** we showed that the Kors-VCG can be calculated from the 12-lead electrocardiogram (ECG) and closely resembles the measured Frank-VCG in patients eligible for CRT. This enables retrospective as well as prospective VCG analysis of routinely recorded 12-lead ECGs, which was used in all other chapters in the present thesis.

Patients with heart failure and left bundle branch block (LBBB) benefit from CRT. To better understand the natural history of LBBB we took advantage of the fact that LBBB develops in part of the patients undergoing transcatheter aortic valve implantation (TAVI). In **chapter 4** we showed that in the majority of patients who developed LBBB during a TAVI procedure, electrical remodeling occurred in the first month of LBBB, as evidenced by a reduction in repolarization variables. However, the extent of electrical remodeling was highly variable between patients.

In **chapters 5** and **6** we investigated the potential role of the VCG in patient selection for CRT. CRT response was assessed echocardiographically (left ventricular [LV] ejection fraction [EF]) as well as clinically (e.g., mortality and HF hospitalization). We showed that in patients presenting with LBBB morphology, a larger baseline T_{area} is an important predictor of both LVEF increase and of improved long-term clinical outcomes following CRT. This effect was larger than the prediction ability of the QRS_{area} .

Once a CRT device is implanted, the benefit of CRT might be increased by optimizing the CRT device settings continuously and individually using the VCG or an electrogram (EGM) based VCG (EGM-VCG). In canines with LBBB (**chapter 7**) as well as in patients undergoing a CRT implantation (**chapter 8**), the EGM-VCG proved to be able to predict the AV settings providing the largest increase in LV pump function, especially during LV fusion pacing. In **chapter 8** it was also shown that both the VCG and EGM-VCG can be used to find the onset of intrinsic right ventricular (RV) activation in the individual patient, which is useful to optimize LV fusion pacing.

9.2 Use and advantages of the VCG

As was pointed out in **chapter 3** of this thesis, it is justified to use the Kors-VCG as calculated from the 12-lead ECG for VCG analysis in patients eligible for CRT, so with wide QRS complexes. This good correlation in this patient group extends previously published articles on the good performance of the Kors-VCG in other patient cohorts.¹⁻⁴

To be able to calculate a VCG from the 12-lead ECG it is preferable to have a digital ECG. However, in many hospitals the ECG is only stored semi-digitally in a pdf-file. In **chapter 5**

we described a method to extract the digital 12-lead ECG information from these pdf-files. The pdf-files include vector graphics which can be extracted using a vector graphics editor, such as the program Inkscape (Boston, MA, USA). Using these extracted vector graphics, the digital 12-lead ECG can be reconstructed using MATLAB (MathWorks, Natick, MA, USA). One critical aspect is that most ECGs are stored in a format of 6x2 or 4x3 leads. However, only visible information on the pdf-file will be embedded in the vector graphics, so in case of 6x2 leads or 4x3 leads, the heartbeats registered in the first column are often not the same heartbeats as registered in the subsequent column(s). Therefore, to align all heartbeats to eventually be able to calculate a VCG, at least one common running lead is necessary. Even though this running lead is not always present at first, in most ECG systems it can be added retrospectively. Alternatively, at least one common reference point, such as a pace artefact, should be present.

In case only paper ECG recordings are available and a common running lead is present, the ECG could be scanned and digitized using the program ECGScan (AMPS LLC, New York, NY, USA)⁵, making it possible to also calculate a VCG from paper 12-lead ECGs.

VCG is an almost forgotten technique that records the magnitude and direction of the electrical forces that are generated by the heart, resulting in a resultant electrical force depicted by a vector for each time-point. Connecting the arrow heads of all vectors, a 3-dimensional vector loop can be constructed. Therefore, even though the VCG can be calculated from the 12-lead ECG and thus contains the same information, the spatial visualization of the 3D phenomenon of electrical activation in a single image allows for an improved interpretation of the electric activity as compared to the 12 1D projections of a scalar ECG.

The VCG can be of special importance when changes that occur over time, such as electrical remodeling as evidenced by changes in repolarization (**chapter 4**), are of interest. When aiming to quantify this electrical remodeling using a 12-lead ECG, the conventional variables that are used are the JTc or QTc intervals, and the directional change of the T-wave compared to the QRS complex. However, studying changes in T-wave size can only be done per lead. Using the VCG the global change in T-wave size can be calculated more easily and accurately. Furthermore, the VCG provides information in all directions, making it easier to observe 3D T-wave changes. Overall, changes in loop size, loop shape, and vector orientation are more easily detected in one 3D image than in 12 1D images.

The VCG variables related to loop size, loop shape, or vector orientation can automatically be analyzed using computer programs. This provides a more objective way of analyzing the VCG than the current analysis of the 12-lead ECG. It would even be possible to add the vectorcardiographic loop size as depicted by the area, to the already present information at the top of an ECG, without showing the VCG.

9.3 Electrical remodeling in patients with LBBB

Until now the exact natural history of LBBB in patients was poorly understood because the onset of LBBB is silent and, consequently, it is usually not known when LBBB started. The TAVI-induced LBBB patients established the first human ‘model’ of LBBB in that respect. In **chapter 4** we showed that in these patients electrical remodeling, as indicated by changes in repolarization variables, is present, but also that the extent of these changes differs greatly between patients. Possibly, this variability may be caused by the fact that these old (~80 years) patients may have multiple other co-morbidities. However, we did not discover significant baseline differences between subgroups of TAVI-LBBB patients presenting with minor or major electrical remodeling. Therefore it is not known whether this variability is due to the aging and disease processes of the patients or due to other factors such as genetic variation.

The phenomenon of electrical remodeling can be divided into primary and secondary remodeling. Primary remodeling, better known as cardiac memory, describes persistent T-wave changes after the resumption of normal activation following a period of altered ventricular activation.^{6,7} Secondary remodeling develops as a consequence of a structural alteration such as heart failure and is believed to play a role in the resynchronization of ventricular repolarization in the new activation sequence.^{7,8} Cardiac memory was first introduced by Rosenbaum et al.⁶ in 1982 and has previously been observed in patients after a period of RV pacing⁹, intermittent LBBB¹⁰, pre-excitation with Wolff-Parkinson-White¹¹, and in patients receiving CRT¹². Shvilkin et al.¹³ demonstrated that the repolarization changes, associated with cardiac memory, can be observed not only when normal ventricular activation is restored but even when abnormal activation (e.g., ventricular pacing) continues. These repolarization changes occur between one day and one week.^{12,14} Shvilkin et al.¹⁵ also showed that patients with longer lasting LBBB (> 24 hours) have a smaller T-wave amplitude compared to patients with new LBBB ($\leq$ 24 hours), which is consistent with our finding in the TAVI-induced LBBB population (**chapter 4**). Importantly, the patient population described by Shvilkin et al.¹⁵ differed from our population in that they were younger, had no aortic valve disease, and (presumably) less severe heart failure. Furthermore, in our study the time course of LBBB was more precise than in the study presented by Shvilkin et al.¹⁵. Therefore, a reduction in repolarization variables (like JTc interval, T-wave amplitude, and T_{area}) during longer lasting dyssynchrony of any cause (LBBB, RV pacing) appears to be a common behavior.

To better understand the changes in the T-wave following the presence of LBBB, we first need to go back to the T-wave in the normal situation. The exact reason for the concordant T-wave in normal individuals is not clear. It appears that the sequence depends on segmental and transmural differences in action potential duration. In the normally activated ventricles, the sequences of depolarization and repolarization across the ventricular wall are opposite,

i.e., the earliest activated regions are repolarized latest, leading to a concordance between the QRS complex and T-wave.^{16,17} Under these conditions segmental differences are smaller than transmural differences. When LBBB is induced, large segmental differences develop which may explain the onset of a discordant T-wave. During sustained abnormal activation, however, studies have shown that also in case of such segmental differences, the earlier activated regions develop longer action potential duration while the later activated regions develop shorter action potential duration⁸, leading to a more synchronous repolarization. This may explain the reduction in the T-wave variables such as the JTc interval, T-wave amplitude, and T_{area}. Whether also in these cases a complete normalization to concordant T-wave can be expected is not clear. However, in the general LBBB population, the T-waves are discordant which might be due to the fact that the depolarization phase in these patients is so severely delayed that the sequence of repolarization cannot be reversed totally by adaptation in action potential duration.

Future perspectives

The question remains whether the occurrence of electrical remodeling affects the LV function and eventually mortality rates. Also for cardiac memory it has never been demonstrated whether the presence of cardiac memory is beneficial or deleterious. A large prospective clinical trial should be performed in which TAVI-induced LBBB patients are followed over time and in which the relation between changes in the T_{area} and reverse remodeling or, on the long term, mortality is investigated.

9.4 Differences between TAVI-induced LBBB patients and CRT candidates

It is interesting to compare TAVI-LBBB patients with CRT candidates, because TAVI most likely causes a ‘typical’ proximal LBBB, due to the pressure of the artificial valve on the proximal part of the left bundle branch. In CRT candidates the exact location of the block is unknown, but the fact that in at least a subset of LBBB patients His-bundle pacing can shorten the QRS complex suggests that also some of those patients have a proximal LBBB.^{18–20}

Because the CRT candidates most likely had LBBB for a longer period of time, their ECG characteristics can be best compared with the chronic data of the TAVI-induced LBBB patients. There are several differences between these patients. Looking at the baseline characteristics (Table 9.1) the TAVI-population was older, included more men, had less ischemia but the patients were more often in NYHA class III than the patients in the CRT-population. Moreover, most likely ejection fraction was considerably larger and LV volumes smaller in TAVI patients.^{21–26} Keeping these differences in mind, it is interesting to observe that QRS

duration was shorter but QRS_{area} and QRS_{ampl} were larger for the TAVI-population. Similarly, QTc and JTc interval were shorter while T_{area} and direction of the maximal T-vector were similar between the two patient groups (Table 9.1). The shorter QRS duration and larger QRS_{area} in the TAVI-induced LBBB population could be explained by the fact that the TAVI patients are subject to concentric LV hypertrophy (LVH)²⁷ while CRT candidates often show signs of dilated cardiomyopathy. The smaller LV cavity in the TAVI patients may have led to a shorter QRS duration. This idea is supported by the notion that, in patients with a narrow QRS complex, LVH is known to result in a larger R-wave due to a higher LV mass.^{28,29} The larger QRS_{area} in the TAVI-induced LBBB patients may relate further to the lower number of patients with ischemic HF etiology. van Deursen et al.³⁰ previously showed that the QRS_{area} is lower in LBBB patients with an ischemic HF etiology compared to the ones without ischemic HF etiology. Moreover, the TAVI-induced population all had LBBB, while 43% of the CRT candidates had non-LBBB. Non-LBBB has been associated with a lower QRS_{area} than LBBB patients, resulting in an overall lower average QRS_{area} in the CRT candidates.^{30–32}

Table 9.1: Patient characteristics in chronic TAVI-induced LBBB patients and CRT candidates. Extracted from Table 4.1, Table 4.3, and Table 6.1.

Variable	Chronic TAVI-induced LBBB n = 67	CRT candidates n = 335
Baseline characteristics		
Age (years)	79 ± 6	67 ± 13
Male gender (n, %)	32 (48)	265 (79)
Ischemic HF etiology (n, %)	14 (21)	192 (57)
NYHA class		
I (n, %)	2 (3)	0 (0)
II (n, %)	10 (15)	8 (3)
III (n, %)	47 (70)	236 (70)
IV (n, %)	8 (12)	31 (9)
Unknown (n, %)	0 (0)	60 (18)
LBBB (n, %)	67 (100)	199 (59)
VCG measurements		
QRS duration (ms)	159 ± 20	172 ± 33
QTc interval (ms)	488 ± 51	516 ± 77
JTc interval (ms)	307 ± 39	325 ± 62
QRS amplitude (mV)	1.7 ± 0.4	1.5 ± 0.5
QRS_{area} ($\mu V s$)	113 ± 35	88 ± 46
T amplitude (mV)	0.6 ± 0.2	0.5 ± 0.2
T_{area} ($\mu V s$)	84 ± 34	82 ± 47

Variables are shown as counts (percentage) or mean ± standard deviation when appropriate. HF = heart failure; NYHA = New York Heart Association.

9.5 CRT after TAVI?

From previous studies it is known that TAVI-induced LBBB affects LV function and has been associated with increased mortality.³³ Therefore the question rises whether these patients should receive CRT, as LBBB is considered the ideal electrical substrate for CRT in patients with dilated cardiomyopathy and TAVI-induced LBBB patients have the most typical form of LBBB. However, several factors may withhold the application of CRT in the TAVI-LBBB patients. The current TAVI cohort is older than most CRT patients. This is due to the fact that currently TAVI is only applied in patients whom are considered high risk patients for standard open chest surgery. Several prior studies evaluating the effects of CRT reported that octogenarians derive similar benefits from CRT to younger patients.^{34–36} Nevertheless, it may be questionable to add implantation of one device after another in such old and often frail patients. However, on the long term it may be expected that TAVI procedures will also be applied in younger patients. In the ESC guidelines for the use of TAVI there is already a IIa indication for high-risk patients with symptomatic aortic stenosis who may still be suitable for surgery but in whom TAVI is favored by the Heart Team.³⁷ Clearly, in these younger patients the age argument against CRT does not hold. Furthermore, according to current CRT guidelines, CRT is indicated in patients with dyssynchronous heart failure accompanied by a reduced LVEF, but the TAVI-induced LBBB patients do not have a reduced LVEF and thus do not qualify for CRT implantation. Nevertheless, based on various experimental and clinical studies, the benefit of CRT does not depend on LVEF.^{38–40} Given the effects of TAVI-induced LBBB on LV function and mortality, these patients should be monitored closely. If heart failure with LV dysfunction develops in presence of persistent LBBB, CRT may be considered irrespective of LVEF.

In **chapter 4** we showed that there was a high variability in the TAVI-induced LBBB patients regarding the amount of remodeling. However, it is unknown whether the amount of remodeling relates to changes in LV function and clinical outcome. Patients showing signs of electrical remodeling might also be expected to show reverse remodeling after CRT. However, as shown in **chapter 5** and **6** LBBB patients with a $T_{\text{area}} \geq 72 \mu\text{Vs}$ have a larger chance of CRT response than patients with a lower T_{area} . The TAVI patients showing a high degree of reverse remodeling almost all had a T_{area} lower than this cut-off value. This would suggest that LBBB patients who underwent the least electrical remodeling after onset of LBBB may be the best CRT responders.

Future perspectives

In order to achieve a better understanding of the relation between electrical, structural, and mechanical changes in the heart of patients who undergo a TAVI procedure and develop LBBB a large multicenter prospective study is required that collects data on electrocardio-

graphy, echocardiography, and clinical outcome. On the basis of this data patients may be selected who might benefit from CRT after developing TAVI-LBBB.

9.6 The role of repolarization in patient selection for CRT

In **chapter 5** and **6** we showed that T_{area} predicts CRT response even better than QRS_{area} . The predictive power for both echocardiographic and long term clinical CRT response was found to be primarily evident in the group of patients with LBBB, who already are known to benefit most from CRT. As a logical consequence also sum QRST is a good predictor.

This latter observation is supported by a recent study in which it was shown that the sum absolute QRST integral (SAI QRST) can also be used for prediction of CRT response.⁴¹ The method used to calculate SAI QRST was however different from our calculation of the sum QRST area; the SAI QRST was equal to the absolute area under the QRST curve, while for our sum QRST area the area under the QRST curve below baseline was subtracted from the positive area under the curve. Moreover, in the article of Tereshchenko et al.⁴¹ the inverse Dower method was used to calculate the VCG which might induce a larger error compared to a measured Frank-VCG. Despite these differences with our studies, this study supports the possible importance of the T_{area} in the patient selection for CRT.

The use of VCG for CRT response prediction was initiated by van Deursen et al.³⁰ who showed that a large QRS_{area} is associated with high odds of long-term volumetric CRT response. Moreover, QRS_{area} predicted CRT response better than QRS duration and then conventionally defined LBBB and at least as good as the most refined LBBB definition.³⁰ It was hypothesized that large electrical dyssynchrony, amenable to CRT, would lead to large unopposed electrical forces during ventricular depolarization and that the size of these forces may be well represented by the QRS_{area} . Similarly, the size of the T_{area} is a reflection of the extent of unopposed electrical forces during the repolarization phase. The T_{area} is partially determined by the size of the QRS_{area} ³², but other factors such as changes in K^+ and Ca^{2+} ion channel expression might also play a role. Important to note is also that a larger T_{area} was primarily caused by larger amplitude and not so much by a longer JTc-interval, the most commonly applied measure of repolarization. Further research is needed to investigate which other factors are exactly reflected in the T_{area} .

Future perspectives

The exact mechanism why the T-wave is such a good predictor of CRT response is still unknown. Therefore, the different roles of ion channels such as the L-type Ca^{2+} channel, transient outward potassium current or the rapidly activated delayed rectifying potassium current should be investigated. This can for instance be performed using a dedicated computer

model, as was previously done for the L-type Ca^{2+} channel by Kuijpers et al.⁴². It would also be interesting to see how the T-wave changes after CRT implantation; do the patients with a large T_{area} show a larger reduction in T_{area} after CRT compared to the patients with a small T_{area} ? This analysis is currently being performed in our group in a small patient population. Furthermore, we only showed the importance of the T_{area} in a retrospective study, it should be investigated whether the importance of the T_{area} over the QRS_{area} is still present when performed in a larger prospective clinical trial.

9.7 Tailor-CRT: optimizing stimulation intervals

While the results from dogs with LBBB (**chapter 7**) and CRT patients (**chapter 8**) support the use of VCG for optimization of AV-delay of CRT devices, comparison between these studies is complicated by the observation that on one third of the patients the highest LV dP/dt_{max} occurred at an unphysiologically short AV-delay ('mismatch-responders'). However, in patients the EGM-VCG was able to predict the AV-delay resulting in highest LV systolic pressure with reasonable accuracy (**chapter 8**). Moreover, **chapter 8** provides evidence that the VCG and the EGM-VCG can be used to identify the time of onset of intrinsic RV activation (A-RV) in each patient individually, a time that is extremely helpful for programming LV fusion pacing.

9.7.1 Robustness of VCG-mediated CRT optimization

The way the EGM-VCG was calculated differed between the canines and the patients. In canines we showed that the device-based VCG could be extracted from the non-paced electrodes using two electrodes in the RV and one electrode just above and one just below the LV pacing electrode (**chapter 7**). However, in patients, only one RV electrode was available, being the RV ring. For the LV electrodes, the most distal and most proximal electrodes from the quadripolar lead were available (distance of 47 mm). Since the proximal RV electrode was missing we chose to use the RV ring as RV reference electrode for both the A and B lead. This might have resulted in some information loss. However, when performing both methods in the canine study, the patient method performed almost as good in predicting the optimal CRT setting as the canine method (Fig. 9.1). Therefore, as long as the recorded EGMs are in RV-LV direction, the EGM-VCG seems to work.

In the canine study described in **chapter 7**, LBBB dogs without any comorbidities were used. Since half of the CRT patients have ischemic cardiomyopathy, we also tested the performance of the EGM-VCG in dogs with infarction. The results were similar compared to LBBB canines without ischemia (Fig. 9.2). Therefore, EGM-VCG derived QRS_{area} also works in the presence of ischemic cardiomyopathy.

Figure 9.1: ROC-curves for QRS_{area} derived from the EGM-VCG in LBBB dogs, using the dog (2 RV and 2 LV EGM signals; red) or patient (1 RV and 2 LV EGM signals; grey) method for classifying CRT_{opt} (settings $\geq 90\%$ of maximal LV dP/dt_{max}).

Figure 9.2: ROC-curves for QRS_{area} derived from the EGM-VCG for classifying CRT_{opt} (settings $\geq 90\%$ of maximal LV dP/dt_{max}) in LBBB canines with (blue line) and without (red line) ischemia. MI: myocardial infarction.

9.7.2 Device-based VCG and the body surface VCG

The results observed in **chapter 8** are in line with the results reported by van Deursen et al.⁴³ who showed that the QRS vector is a reliable tool for optimizing stimulation intervals in patients. As the acute measure of response, they used a combination of echocardiography (LV outflow tract velocity time integral) and finger blood pressures whereas in our study the hemodynamic response was purely pressure based. A combination of outcome measures might result in a lower signal-to-noise ratio resulting in a more accurate estimation of the optimal AV-delay. In addition, optimization in our study was performed during CRT implantation while in the study performed by van Deursen et al.⁴³ it was performed at least 3 months after CRT implantation. Some myocardial remodeling might have already taken place during these 3 months, but this did not influence the ability of the QRS vector to optimize the AV-delay.

Beside LV-only pacing, as represented in **chapter 8**, the performance of the VCG and EGM-VCG in optimizing the CRT device settings during simultaneous biventricular (BiV) pacing was also investigated (Fig. 9.3). During the BiV pacing protocol, almost no changes were observed for QRS_{area} and QRS_{ampl} under conditions when the AV-delay was shorter than the A-RV. At these relatively short AV-delays, the electrical activation is only originating from the RV and LV pacing electrodes, resulting in an unchanged activation pattern. At AV-delays longer than the intrinsic activation of the RV, the intrinsic activation of the right bundle branch is contributing leading to changes in the QRS_{area} derived from the VCG while still no changes are observed in the EGM-VCG derived QRS_{area} . The latter observation can be explained by the fact that all three electrodes used to derive the EGM-VCG are close to the pacing electrodes. This shortcoming may be solved by adding more electrodes on the leads, especially at larger distance from the sites of pacing.

In all patients included for the study described in **chapter 8** the possible role of the EGM-VCG was also investigated during sequential BiV pacing by changing the interventricular delay (VV-delay; Fig. 9.4). The VV-delay varied between LV pre-excitation by 60 ms until RV pre-excitation by 40 ms in steps of 20 ms. During all different VV-delays, the AV-delay was set to A-RV-60 ms. Due to the delay between activation of the ventricles, depolarization waves other than directly from the pacing electrode could be measured. Therefore, both the VCG and EGM-VCG variables provided a good estimation of the optimal VV-delay, confirming the observations during VV-delay optimization by van Deursen et al.⁴³ Interestingly, QRS_{area} and QRS_{ampl} were able to estimate the delay with highest LV dP/dt_{max} even in the ‘mismatch responders’.

Figure 9.3: Examples of the same three patients as in Fig. 8.4: one non-responder, one mismatch-responder, and one match-responder. Relative LV dP/dt_{max} and LV systolic pressure increase at various AV-delays during BiV pacing. Corresponding changes in QRS area and amplitude extracted from the VCG or EGM-VCG are shown. AAI-pacing (~LBBB) results are illustrated by a green diamond. Bold AV-delays and the corresponding vertical dashed lines indicate the individual onset of RV activation.

Figure 9.4: Examples of the same three patients as in Fig. 8.4 and Fig. 9.3: one non-responders, one mismatch-responder, and one match-responder. The AV-delay was set to A-RV-60 ms. Relative LV dP/dt_{max} and LV systolic pressure increase at various VV-delays. A negative VV-delay indicates LV pre-excitation, a positive VV-delay indicates RV pre-excitation. Corresponding changes in QRS area and amplitude extracted from the VCG or EGM-VCG are shown. AAI-pacing (~LBBB) results are illustrated by a green diamond.

9.7.3 Mismatch between LV $dP/dt_{\max}$ and LV systolic pressures measures

Even though the QRS vector was able to find the optimal AV-delay when LV systolic pressure is the outcome measure, this was not the case when using LV $dP/dt_{\max}$ as outcome measure. This discrepancy was mainly due to the fact that in the patient population presented in **chapter 8**, there was a ‘mismatch’ subgroup in which the optimal AV-delay according to the maximal increase in LV $dP/dt_{\max}$ and the AV-delay matching the maximal increase in LV systolic pressure did not match ($n = 8$). In fact, in these patients the AV-delay corresponding to a maximal increase in LV $dP/dt_{\max}$ was very short. The only observed difference between these ‘mismatch’ patients and the ‘match’ patients is that none of the ‘mismatch’ patients had ischemic cardiomyopathy, whereas in the ‘match’ patients, 7 out of 10 had ischemic cardiomyopathy.

In the literature, most studies show a bell-shaped curve for LV $dP/dt_{\max}$ as function of AV-delay.^{44–46} However, in some patients in these studies, LV $dP/dt_{\max}$ only decreases to a limited extent at very short AV-delays compared to their maximal increase in LV $dP/dt_{\max}$. Whinnett et al.⁴⁷ observed an unphysiologically short optimal AV-delay of 40 ms for some patients. However, they did not provide an explanation for this short AV-delay and it is unknown whether these patients with a very short optimal AV-delay also showed a mismatch between LV $dP/dt_{\max}$ and LV systolic pressure.

When comparing the relative increases in LV systolic pressure by CRT in our patient study with those from others, our values were smaller. This may be explained by the fact that others measured the relative change in LV systolic pressure measured at the transition between a reference and tested AV-delay^{43,48,49}, whereas we measured this relative change in LV systolic pressure at a certain delay after the transition. This delay allows some time for stabilization before recording but also allows the start of the influence of the baroreflex, leading to smaller differences in LV systolic pressure.

9.7.4 Future application of the EGM-VCG for optimization of the CRT device settings

To some extent the idea of using electrical parameters for optimization has already been used by several device manufacturers to automatically optimize CRT device settings. The SmartDelay algorithm calculates the optimal AV-interval using an equation that was formed based on the results of several clinical studies and uses as input the intrinsic sensed or paced AV-interval, QRS duration, and a series of constants.⁵⁰ The QuickOpt method is an empirical method also resulting from clinical observations, in which the AV-interval is calculated as the width of atrial intrinsic depolarization added to an offset factor.⁵¹ This offset is equal to 30 ms when the electrogram duration is > 100 ms and 60 ms when it is smaller than 100 ms, which

is a zigzag relationship. Therefore, some patients with long EGM duration have the same AV-interval as those with a short duration. Furthermore, patients with EGM duration around 100 ms can have very different AV-intervals. Both the SmartDelay and QuickOpt method optimize the delays only once, while this may not translate into full long-term clinical benefit because optimal settings may change with time and patient activity. The patient's disease state evolves, for example due to an acute heart failure decompensation event or because of deleterious remodeling that occurs in the progression of heart failure or otherwise during the course of heart failure treatment and therapy. Furthermore, the optimal AV-interval may change between physician visits and thus would benefit from a closed loop method and apparatus for adapting. A similar condition may arise during physical exercise, when conduction properties of the heart may change due to activation of the sympathetic and parasympathetic nervous system. The continuous optimization as well as being able to optimize relatively fast could potentially result in a higher response rate for CRT. A method that adjusts CRT pacing based on periodic ambulatory evaluation of intrinsic electrical conduction intervals is the ADAPTIVECRT™ (trademark of Medtronic, Inc.) algorithm. This algorithm also uses a definition of optimal AV-interval based on a relation found in earlier studies, the AV-interval is calculated as the intrinsic interval between atrial pacing or sensing and RV sensing (A-RVsense) and is pre-empted by at least 40 ms or 70% of the total interval.⁵²

While the above described algorithms use predictions of optimal fusion extrapolated from the mean values taken from a group of patients and based on measurements when the CRT was off, our method using the EGM-VCG or VCG traces the predicted optimal resynchronization parameter during pacing for each patient individually. Using the $QRS_{\text{ampl}} - \text{AV-delay}$ plot, the exact onset of contribution of intrinsic RV activation can be determined. While the equation used in the ADAPTIVECRT™ algorithm depends among others on RV lead location and latency during LV pacing, the QRS_{ampl} either derived from the VCG or the EGM-VCG is not dependent on these factors.

The individual and exact A-RV found using the VCG or EGM-VCG could be used to individualize the ADAPTIVECRT™ algorithm even more. One could imagine two options: 1) A single determination of A-RV, at time of implant or shortly after, using the regular ECG from which the maximal QRS vector can be calculated. Instead of taking 70% of A-RV sense or pre-empt A-RV sense with 40 ms, the exact difference between A-RV sense and A-RV can be programmed into the CRT device. The continuous optimization process already present in the adaptive CRT algorithm can now still be performed, but with an individual optimal AV-delay. 2) It is possible that conduction between RV and LV may change over a period of time (e.g. due to remodeling of the heart). Therefore, multiple determinations of A-RV using the EGM-VCG could be performed, for instance once a week. During this automatic measurement, the AV-delay will be varied around the programmed AV-delay to again find the exact A-RV. Also in this method, the difference between A-RV sense and A-RV will be

programmed into the device.

9.8 Conclusions

Throughout this thesis, we have tried to find ways to reduce the number of non-responders to cardiac resynchronization therapy using vectorcardiography. We showed that repolarization might play an important role in how the heart adapts to LBBB as well as in the patient selection for CRT. Furthermore, for those patients suitable for CRT, a device-based VCG can be extracted from the leads which could eventually be used for continuous and individual optimization of the stimulation intervals.

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Summary

An increasing number of people suffer from heart failure (HF). In about one quarter of HF patients the electrical conduction pattern is disturbed. One of the most severe disturbances is left bundle branch block (LBBB) which causes a delayed electrical impulse conduction through the left ventricle (LV). In LBBB, the electrical activation starts in the septum and right ventricle (RV) and proceeds through the slowly conducting septum to activate the LV. Cardiac resynchronization therapy (CRT) is an established treatment for HF patients with LBBB and comparable conduction disturbances. Since initial approval of the therapy 15 years ago, there have been hundreds of thousands of implants worldwide. On the group level, CRT has been shown to improve LV systolic function, reduce the amount of HF hospitalizations, and improve survival rates. However, 30-50% of the patients do not respond significantly to the therapy. Such lack of response is not desirable for a treatment which involves a relatively expensive therapy and that requires essentially irreversible life-long implantation of a device.

Improvement of response to CRT

The main aim of this thesis is to improve the response to CRT using vectorcardiographic (VCG) analysis. To this purpose, the underlying disease LBBB is investigated in more detail as well as the role of improved patient selection and better therapy application.

In the past, already a great deal of research has been performed towards improved selection of the appropriate candidates for CRT. An extensive overview regarding this matter is presented in **chapter 2**. There it is reviewed that the currently used electrocardiogram (ECG) selection criteria, QRS duration and morphology, both have their shortcomings: QRS duration may not be specific enough, while LBBB criteria may be too complex and/or user-dependent. The VCG derived QRS_{area} might be a good alternative measure to improve patient selection, because it provides an objectively determined index with continuous values and because QRS_{area} reflects LV activation delays, the primary substrate for CRT. Small studies showed better performance of prediction of CRT response by QRS_{area} compared to QRS duration. Of note, in these studies QRS_{area} was determined with a dedicated system to measure the ('Frank-')VCG.

In order to further investigate the role of the VCG in improving the response to CRT, it

would be easier to synthesize the VCG from the often employed 12-lead ECG. A few studies had already demonstrated in healthy subjects that a VCG can be calculated from the 12-lead ECG using the Kors matrix. In **chapter 3**, we showed that also in CRT candidates the Kors-VCG nicely resembles the Frank-VCG. This enables retrospective as well as prospective VCG analysis of routinely recorded 12-lead ECGs, which is used in all other chapters in the present thesis.

Electrical remodeling in patients with LBBB

It is known that patients with LBBB have a high response rate to CRT. The way the heart adapts electrically to a new situation of LBBB is unknown mainly because in the general population the onset of LBBB is often unknown. In **chapter 4** we investigated the electrical adaptations to LBBB in patients who developed LBBB during a transcatheter aortic valve implantation (TAVI) procedure. In this procedure LBBB is an undesired complication, occurring in approximately one third of all TAVI patients, presumably caused by the valve pressing against the left bundle branch. For our purposes, the advantage of the developed LBBB is that the exact onset time of LBBB is known. Therefore, these patients can be used as a ‘human model’ to study the time course of electrical remodeling with LBBB. In the majority of patients (67 out of 107 patients) who developed LBBB during a TAVI procedure, electrical remodeling occurs in the first month of LBBB, as evidenced by a reduction in various repolarization variables (like JTc interval, T-wave amplitude and T_{area}). However, the extent of electrical remodeling was highly variable between patients. The role of the extent of electrical remodeling for CRT response and patient prognosis in general requires further investigation.

The role of repolarization in patient selection for CRT

In **chapter 5** and **6** the potential role of repolarization in the patient selection for CRT was investigated. In a study population of 244 CRT recipients, retrospective VCG analysis revealed that the responders to CRT have a larger area under the curve for the T-wave (T_{area} ; **chapter 5**) and the predictive value of the T_{area} was even better than for QRS_{area} . The T_{area} was especially of added value in the patients diagnosed with LBBB. In a larger patient population consisting of 335 CRT recipients, again the patients with a large T_{area} and LBBB less frequently reached the combined endpoint of HF hospitalization, heart transplantation, left ventricular assist device implantation or death after 3 years compared to the other patients (**chapter 6**). The predictive power of T_{area} may be due to a combination of a reflection of good intrinsic myocardial properties as well as a reflection of a substrate for CRT, i.e., in the LBBB patients with a large T_{area} , females and patients with non-ischemic HF were overrep-

resented. The combined analysis of QRS morphology and T_{area} provides an easy and widely applicable approach to improve selection of CRT candidates.

Tailor-CRT: optimizing stimulation intervals

Once a patient is selected for CRT and the implantation has been performed, the timing of atrial to RV and LV stimulation could be optimized to achieve maximal benefit from CRT. The different timings affect the amount of ventricular filling and timing of contraction (atrioventricular [AV] interval during biventricular [BiV] pacing) and the amount of resynchronization (AV-interval during LV only pacing, and interventricular [VV] interval during BiV pacing). Optimization of these timings can be performed using different measures such as echocardiography or hemodynamic measures. However, all measurements are often time-consuming and are limited in their accuracy, contributing to the scarce evidence of long-term benefit of CRT optimization. Therefore, most physicians leave the timings at the ‘out-of-the-box’ settings.

VCG has been shown to be more reliable in finding the optimal timing settings than the traditional hemodynamic measurements. However, these measurements can only be performed during in-office visits. While a single optimization is probably valuable, regular optimization, preferably in an automated fashion, may be more desirable. Therefore, in **chapter 7** the possibility to extract a VCG from the electrograms (EGMs) derived from unpaced implanted electrodes (EGM-based VCG, EGM-VCG) was investigated in dogs. In these dogs the proximal left bundle branch was ablated, creating a typical LBBB activation pattern. In eight dogs with chronic LBBB the EGM-VCG derived QRS_{area} predicted hemodynamic response as measured by LV dP/dt_{max} and identified optimal settings accurately. A minimal QRS_{area} , indicating maximal cancelation of opposing electrical forces, coincided with the optimal settings. These data support the potency of EGM-VCG as a non-invasive and easy tool to individually and continuously optimize the AV- and VV-intervals in CRT.

Subsequently, these results were validated in patients receiving CRT (**chapter 8**). The study population consisted of 25 patients whom represented a typical CRT population with mostly males and half of the patients having ischemic cardiomyopathy. However, the results regarding the optimization guided by EGM-VCG derived QRS_{area} in these patients were more complicated than those observed in the dogs. A minimal QRS_{area} did not correspond to a maximal hemodynamic response as defined by LV dP/dt_{max} , but a better match was found when LV systolic pressure was taken as index of hemodynamic response. Possibly the most practically applicable finding was that the onset of contribution of intrinsic RV activation could be determined from both the VCG and EGM-VCG. This point in time, is required when optimizing CRT in the ‘LV fusion pacing’ mode: using RV activation from the intact RV conduction system in combination with LV only pacing.

Conclusions

The studies presented provide evidence that the relatively old method of VCG can be used to improve the response of the new technique of CRT. The studies presented provide evidence that the relatively simple and widely available measures of VCG-derived QRS_{area} can be used to improve the patient selection, and that besides the importance of depolarization, repolarization also plays a significant role. Furthermore, an EGM-VCG can be extracted from unpaced electrodes which could be used for continuous and individual optimization of the stimulation intervals, especially in the setting of LV fusion pacing.

Samenvatting

STEEDS meer mensen in de westerse wereld leiden aan hartfalen. In ongeveer een kwart van deze patiënten gaat dit gepaard met een geleidingsstoornis in de hartkamers (ventrikels). Eén van de meeste voorkomende geleidingsstoornissen is linker bundeltakblok (LBTB). Bij een LBTB start de elektrische activatie in de rechter ventrikel (RV) en het kamertussenschot (septum), waarna de linker ventrikel (LV) via het langzaam geleidende septum vertraagd geactiveerd wordt. Sinds ongeveer 15 jaar is cardiale resynchronisatie therapie (CRT) een belangrijke behandeling voor patiënten die symptomatisch hartfalen hebben in combinatie met een geleidingsstoornis. Inmiddels zijn er wereldwijd honderdduizenden CRT-implantaties uitgevoerd. Gemiddeld zorgt CRT voor een verbeterde LV-pompfunctie, minder opnames voor hartfalen en een verbeterde overlevingskans. Echter, bij 30-50% van de patiënten leidt CRT niet tot duidelijke verbeteringen. Aangezien CRT de implantatie van een pacemaker vereist, die bijkomende kosten en risico's op complicaties met zich meebrengt, is het wenselijk om de pacemaker alléén te implanteren bij die patiënten die er ook baat bij zullen hebben en om de therapie te verbeteren.

Verbeteren van respons na CRT

De hoofddoelstelling van dit proefschrift is om met behulp van vectorcardiografie de respons na een CRT-implantatie te verbeteren. Om het succespercentage te verbeteren wordt de onderliggende ziekte LBTB in meer detail onderzocht, alsook de mogelijke rol van het vectorcardiogram (VCG) in de selectie van patiënten en in de verbetering van het effect van CRT.

In het verleden is reeds veel onderzoek gedaan naar de optimale selectie van CRT-kandidaten. Een uitgebreid overzicht van deze studies en hun bevindingen staat beschreven in **hoofdstuk 2**. In dit hoofdstuk worden de tekortkomingen van de op dit moment gebruikte criteria, QRS-duur en -morfologie, besproken. QRS-duur is waarschijnlijk niet specifiek genoeg, terwijl de vaststelling van de aanwezige QRS-morfologie subjectief en complex is. Er zijn aanwijzingen dat gebruik van de oppervlakte van het QRS-complex (QRS_{area}), gemeten met behulp van het VCG, de patiënt selectie zou kunnen verbeteren. QRS_{area} is een objectieve en continue maat die mogelijke vertraging in LV-activatie weerspiegelt, het primaire substraat voor CRT.

Tot nu toe werd de QRS_{area} bepaald met behulp van het zelden gebruikte ('Frank-')VCG. Voor toekomstige studies zou het praktisch zijn als het VCG kon worden berekend uit het standaard 12-afleidingen elektrocardiogram (ECG). In **hoofdstuk 3** laten we zien dat in kandidaten voor CRT het Frank-VCG en Kors-VCG erg op elkaar lijken. Hierdoor kunnen zowel retrospectieve als prospectieve VCG-analyses worden uitgevoerd met behulp van de routinematig opgenomen 12-afleidingen ECG. Van deze techniek wordt dan ook gebruikgemaakt in alle volgende hoofdstukken van dit proefschrift.

Elektrische remodellering in patiënten met LBTB

De belangrijkste doelgroep voor CRT zijn patiënten met LBTB. Echter, de manier waarop het hart zich aanpast na het ontstaan van een LBTB is onbekend, omdat het exacte aanvangsmoment van LBTB vaak niet bekend is. In **hoofdstuk 4** hebben we onderzocht hoe het hart zich elektrisch aanpast aan de nieuwe LBTB-situatie in patiënten die een LBTB ontwikkelen tijdens een transkatheter aortaklep implantatie (TAVI). LBTB ontstaat in een deel van de patiënten die een TAVI-procedure ondergaan. Bij hen drukt de nieuwe aortaklep vermoedelijk tegen de linker bundeltak, waardoor een 'typisch' LBTB ontstaat. Voor onze studie hebben deze TAVI-patiënten het voordeel, dat bij hen het exacte aanvangsmoment van LBTB bekend is. Hierdoor kunnen deze patiënten gebruikt worden als een 'menselijk' model van LBTB om het tijdsverloop van elektrische remodellering te onderzoeken. In de meerderheid van de patiënten die tijdens een TAVI procedure LBTB ontwikkelt (67 van de 107 patiënten) treedt er binnen één maand elektrische remodelering op, zoals blijkt uit een afname in meerdere repolarisatie variabelen (JTc-interval, T-golfamplitude, en oppervlakte van de T-golf [T_{area}]). De mate van elektrische remodellering was echter zeer variabel tussen patiënten. Daarnaast is het nog onbekend wat de rol van de mate van elektrische remodellering is voor de response na CRT, alsook voor de algemene prognose voor de patiënt.

De rol van de repolarisatie in patiëntenselectie voor CRT

In **hoofdstuk 5** en **6** werd de potentiële rol van VCG in de patiëntenselectie voor CRT onderzocht. In 244 CRT-kandidaten werd retrospectief een VCG-analyse uitgevoerd en hieruit bleek dat T_{area} groter was in patiënten met echocardiografische verbetering door CRT dan in patiënten zonder deze verbetering (**hoofdstuk 5**). T_{area} had zelfs een betere voorspellende waarde dan QRS_{area} en had de best voorspellende waarde in LBTB-patiënten. In een grotere groep van 335 CRT-kandidaten bleek ook dat patiënten met een grotere T_{area} in 3 jaar minder vaak het gecombineerde eindpunt van opname voor hartfalen, harttransplantatie, steunhart, of dood bereikten (**hoofdstuk 6**). Deze voorspellende waarde van T_{area} zou verklaard kunnen worden doordat vrouwen en patiënten met niet-ischemisch hartfalen relatief een grotere T_{area}

lieten zien. Van deze groepen is bekend dat ze in het algemeen goed reageren op CRT. De gecombineerde analyse van QRS-morfologie en T_{area} biedt een eenvoudige en breed toepasbare aanpak om de selectie van CRT-kandidaten te verbeteren.

Tailor-CRT: het optimaliseren van stimulatie-intervallen

Als een patiënt eenmaal geselecteerd is voor CRT en de implantatie is uitgevoerd, kunnen de tijdsintervallen tussen de stimulatie van de rechterboezem (atrium) en de RV of LV worden geoptimaliseerd om maximaal voordeel te bereiken van CRT. De verschillende timings beïnvloeden de ventriculaire vulling en het moment van ventrikelcontractie, alsmede de mate van resynchronisatie. Voor het optimaliseren van de atrioventriculaire (AV-) en interventriculaire (VV-) intervallen is een maat voor hartfunctie nodig. Deze kan bijvoorbeeld uit echocardiografie afgeleid worden, of uit hemodynamische kathetermetingen. Alle bestaande metingen zijn echter tijdrovend en beperkt in nauwkeurigheid, waardoor er weinig bewijs is voor de lange termijn voordelen van CRT-optimalisatie. Hierdoor gebruiken de meeste artsen vaak de standaardinstellingen van het CRT-apparaat.

Het VCG is een betrouwbaar alternatief voor het optimaliseren van het AV- en VV-interval in vergelijking met hemodynamische metingen. Het nadeel van deze VCG-metingen is dat ze nog steeds alleen kunnen worden uitgevoerd wanneer de patiënt het ziekenhuis bezoekt. Hoewel een eenmalige optimalisatie waarschijnlijk al waardevol is, zou een regelmatige en bij voorkeur automatische optimalisatie wenselijker zijn. Daarom werd er in **hoofdstuk 7** in honden onderzocht of de pacemaker-draad elektroden die niet gebruikt worden om te stimuleren, gebruikt kunnen worden om de elektrische activiteit van het hart te meten. Uit deze metingen kan vervolgens ook een soort vectorcardiogram worden geëxtraheerd (het electrogram-VCG, EGM-VCG). In 8 honden werd een typisch LBTB gecreëerd door ablatie van de proximale linker bundeltak. De veranderingen in QRS_{area} berekend uit het EGM-VCG en veranderingen in $LV\ dP/dt_{max}$ kwamen overeen en QRS_{area} voorspelde de optimale stimulatie intervallen. De kleinste QRS_{area} , overeenkomend met maximale opheffing van tegenovergestelde elektrische krachten van LV en RV, voorspelde de optimale stimulatie intervallen goed. Deze gegevens ondersteunen het gebruik van EGM-VCG als een niet-invasieve en eenvoudige methode om de AV- en VV-intervallen voor CRT individueel en continu te optimaliseren.

Deze bevindingen in honden werden vervolgens gevalideerd in patiënten (**hoofdstuk 8**). De 25 patiënten geïnccludeerd in dit onderzoek representeerden een typische CRT-populatie met veelal mannen en waarin de helft van de patiënten een ischemische cardiomyopathie had. In deze patiëntenstudie kwam een minimale QRS_{area} niet overeen met een maximale toename in $LV\ dP/dt_{max}$, maar wel met een maximale toename in de LV systolische druk. Echter, misschien wel de meest praktisch toepasbare bevinding was dat het begin van de bijdrage van

de intrinsieke RV activatie aan de totale ventrikel activatie tijdens LV stimulatie kan worden bepaald uit zowel het VCG als het EGM-VCG. Dit begin van RV activatie is van belang als men gebruik wil maken van zogenaamde LV-fusiestimulatie. Hierbij dient de LV simultaan met de intrinsieke RV-activatie te worden gestimuleerd, een methode waarvan steeds meer duidelijk wordt dat dit een goede CRT response geeft.

Conclusies

De studies beschreven in dit proefschrift tonen aan dat de relatief oude VCG-methode kan worden gebruikt om de respons na de relatief nieuwe CRT te verbeteren. De studies laten zien dat de eenvoudige en ruim beschikbare QRS_{area} als maat kan worden gebruikt om de selectie van patiënten voor CRT te verbeteren en dat naast depolarisatie ook repolarisatie een belangrijke rol speelt. Verder kan een EGM-VCG worden geëxtraheerd uit geïmplanteerde elektroden die niet gebruikt worden voor het stimuleren, en kan EGM-VCG gebruikt worden voor continue en individuele optimalisatie van stimulatie-intervallen, voornamelijk tijdens LV-fusiestimulatie.

Valorization

In the Netherlands, approximately 150,000 people suffer from heart failure (HF). Annually approximately 7000 patients die due to HF and there are around 30,000 HF hospitalizations.¹ These hospital admissions and treatment of patients with severe symptoms are associated with high costs. In 2007 the costs for HF amounted 455 million euros, of which 60% was due to hospitalizations.

In approximately 25% of all HF patients², the electrical conduction pattern is disturbed leading to a dyssynchronous electrical activation and contraction of the right and left ventricle (LV), resulting in a reduced pump function. In 2001 a new therapy was approved by the FDA, cardiac resynchronization therapy (CRT), which aims to resynchronize the right and left ventricle leading to a better pump function of the heart. In the last few years, the CRT implantation rate was >2000/year in the Netherlands alone.³ CRT has been shown to improve cardiac pump function and quality of life, and reduce HF symptoms, hospitalization, and mortality at the population level.⁴ However, benefits at the individual level vary considerably. On the one hand, ~20% of patients that are implanted according to current guidelines^{5,6} show complete normalization of LVEF whereas a significant portion (30-50%) of patients benefit little from this therapy.⁷ Such lack of response is especially undesirable since CRT requires the implantation of a costly device during an invasive procedure. Improved patient selection and optimal programming of the CRT device could lead to a higher response rate to CRT.

Improving patient selection

In this thesis it was shown that the baseline value of QRS_{area} and T_{area} , both indices derived from the vectorcardiogram (VCG), are good predictors of response to CRT. They perform better than the established criteria QRS duration and certain LBBB criteria. Patients with a higher baseline value of QRS_{area} or T_{area} have a higher change of CRT response, making both variables good measures to improve patient selection for CRT. The advantage of these measures is that they are easily obtained and widely applicable.

As was pointed out in **chapter 3** it is justified to synthesize a VCG from a 12-lead electrocardiogram (ECG) using the Kors matrix. This conversion can be applied to almost any 12-lead ECG, with the only requirement being that either a common running lead or a common reference point, such as a pacing artefact, must be present. Ideally, the ECGs are stored

digitally but semi-digital ECGs as stored in a pdf-file or even paper ECGs could also be used to calculate the QRS_{area} and T_{area} . As we described in this thesis, the pdf-files contain vector-graphics that embed the digital information of the displayed ECG. The digital information can thus be extracted, making it possible to synthesize a VCG and calculate the QRS_{area} and T_{area} . For scanned ECGs, the program ECGscan (AMPS LLC, New York, NY, USA) can be used to digitize the ECGs. This enables retrospective as well as prospective VCG analysis of routinely recorded 12-lead ECGs in large patient populations.

VCG indices like QRS_{area} and T_{area} can also be calculated in a prospective manner. Most commercially available ECG machines already have algorithms to construct a VCG from standard 12-lead ECGs and the beginning and ending of the QRS complex and T-wave are often indicated. The excellent predictive power of QRS_{area} and T_{area} for CRT response indicates that these parameters deserve to be applied more frequently in clinical practice to identify appropriate candidates for CRT.

Furthermore, if the predictive power of these VCG indices is proven in larger clinical trials, they may be included in the official guidelines as a selection criterion for CRT implantation. The present thesis provides important supportive data for this introduction.

Optimal programming of the CRT device

Beside optimal patient selection, optimal programming of the CRT device settings can also play an important role in increasing the response rate to CRT. Currently, all available tools to optimize CRT device settings are time-consuming and/or subject to noise, leading to the use of the ‘out-of-the-box’ default settings by a vast majority of cardiologists. Furthermore, the optimization can often only be performed during in-hospital visits, while the optimal setting might change over time or during different levels of activity.

CRT is often employed by pacing both the right and left ventricle of the heart (biventricular [BiV] pacing). However, several acute⁸ and chronic⁹ studies have demonstrated that in patients with sinus rhythm and intact AV conduction, LV-only pacing can be at least as effective as BiV pacing. CRT using LV-only pacing has been shown to be most effective when the paced LV impulse is properly timed with respect to the intrinsic activation of the right ventricle (RV), ensuring appropriate fusion with intrinsically conducted activation wave fronts. In **chapter 8** of this thesis, we showed that using either the normal VCG or an electrogram (EGM) based VCG (EGM-VCG) that can be extracted from the EGM signals measured from the unused implanted electrodes, this exact optimal timing can easily be found. The point at which the maximal QRS vector amplitude changes or flips direction was equal to the onset of intrinsic activation of the RV.

A few years ago, the ADAPTIVECRT™ (trademark of Medtronic, Inc.) algorithm has been developed that switches between BiV pacing and LV only pacing depending on con-

duction measurements.¹⁰ When LV only pacing is applied, the ADAPTIVECRT™ algorithm aims to perform fusion pacing which estimates the delay between atrial activation and intrinsic RV activation (A-RV) based on an average, general relation. With the VCG or EGM-VCG the A-RV can be determined individually, making it more precise. It does so by obtaining information during LV-only pacing at different AV-delays, while the ADAPTIVECRT™ estimates A-RV using measurements performed when CRT is turned off. Furthermore, the VCG and EGM-VCG methods are more robust than the ADAPTIVECRT™ algorithm since they are independent of the exact position of the RV lead and of LV latency. Therefore, the ADAPTIVECRT™ algorithm could be improved by using the VCG or EGM-VCG to find the exact A-RV. The idea of using the VCG or EGM-VCG to find the exact A-RV and using it to individualize the ADAPTIVECRT™ algorithm is already part of a patent application in collaboration with Medtronic, Inc. To do so, there are two options. First, a single determination of A-RV, at time of implant or shortly after, could be performed using the regular ECG from which a VCG and subsequently the maximal QRS vector amplitude can be calculated. The exact difference in timing between A-RV_{sense}, the delay between atrial activation and the moment of sensing of activation on the RV lead, and A-RV can be programmed in the device instead of the estimated difference relation used in the current ADAPTIVECRT™ algorithm. This would only require adding the constant delay to the algorithm. A second option would be to extract the A-RV from the EGM-VCG. The algorithm needed to find A-RV can be embedded in the CRT device, making automatic and continuous optimization possible. Again, the difference between A-RV_{sense} and A-RV can be determined every few days, and the ADAPTIVECRT™ algorithm can perform its usual continuous optimization with this timing difference embedded.

The methods proposed here can be used to objectively and easily tailor the ADAPTIVECRT™ algorithm, possibly leading to a further increase in hemodynamic response by CRT. It does so without spending additional current, purely by optimizing fusion pacing, which benefits from the natural activation of the RV. Because of the high potential of the VCG or EGM-VCG to improve the ADAPTIVECRT™ algorithm, this idea was patented together with Medtronic, Inc.

Conclusion

The findings of this thesis provide valuable tools to improve patient selection for CRT and CRT device optimization, which have been derived from extensive basic and clinical research prior to and during this thesis. These tools can be easily embedded in already existing systems, either ECG machines or devices. Therefore, findings from the present study may well have significant practical implications.

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About the author

Curriculum Vitae

ELIEN Engels was born on the 4th of August 1989 in Helmond, The Netherlands. From 2001 until 2007 she attended secondary education at the Theresialyceum in Tilburg where she obtained her high school diploma (atheneum).

In September 2007 she started her academic education at Eindhoven University of Technology where she obtained her Master of Science degree in Biomedical Engineering in January 2013. The Master's program included an internship at the Hôpital du Sacré-Coeur in Montreal, Canada (September 2011–December 2011) under the supervision of dr. ir. Vincent Jacquemet. She investigated the sensitivity of QT heart-rate correction methods for detecting drug-induced QTc changes. In her final Masters project, she developed an algorithm to automatically analyze 12-lead electrocardiograms and convert them to vectorcardiograms. She used both tools to study changes over time in left bundle branch block patients and to study different types of left bundle branch blocks. This project was performed under supervision of dr. ir. Nico H.L. Kuijpers and prof. dr. Frits W. Prinzen.

From March 2013 until July 2016, she worked as a PhD candidate at the department of Physiology (CARIM School for Cardiovascular Diseases, Maastricht University) under the supervision of dr. Kevin Vernooij and prof. dr. Frits W. Prinzen. During her PhD project, she aimed to investigate vectorcardiographic manners to improve the response rate of cardiac resynchronization therapy. Moreover, she was involved in supervising medical students during this period. In 2015 she received an award for best oral presentation at the annual MALT meeting and won the best poster prize at the local CARIM conference.

As of August 2016, she is working as a postdoctoral research fellow at the department of Physiology at Maastricht University.

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