Risks and benefits of regional anesthesia in the perioperative setting

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Risks and benefits of regional anesthesia in the perioperative setting

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Risks and Benefits of Regional Anesthesia in the Perioperative Setting

PROEFSCHRIFT

ter verkrijging van de graad van doctor aan de Universiteit Maastricht,
op gezag van de Rector Magnificus, Prof. Dr. L.L.G Soete,
volgens het besluit van het college van Decanen,
in het openbaar te verdedigen,
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door

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CHAPTER 1

Introduction

INTRODUCTION

Regional anesthesia is a commonly practiced mode of anesthesia, widely used, with high efficacy and safety. It can be applied to anesthetize a part of the body, in awake or sedated patients to avoid or complement general anesthesia and to benefit from good analgesia during surgery or preoperatively and also postoperatively.¹ Until the mid-19th century regional anesthesia did not exist,² but the discovery of cocaine by Carl Köller in 1884 and the development of less toxic synthetic local anesthetics in the beginning of the 20th century lead to a burst in the development and use of regional anesthetic techniques varying from local wound infiltration, peripheral nerve blocks to neuraxial blockade.²

As compared to general anesthesia some benefits and risks of regional anesthesia depend on the technique used whereas others are technique independent. Regional anesthetic techniques can be classified in those with immediate instant effects like direct cardiovascular effects (as hypotension), pulmonary, central and peripheral nervous, neuroendocrine, gastrointestinal effects, effects on temperature, coagulation,³ pain control,⁴ patient mobility, and those with delayed effects like discharge and rehabilitation.⁵

Many studies have been performed in order to test the effect of the mode of anesthesia on peri-operative mortality or morbidity. The outcome of these studies were inconsistent and the superiority of regional anesthesia over general anesthesia⁶ could not be documented. In this context it is important to note that a recent study reported a decreased mortality of epidural (local) analgesia as based on a meta-analysis.⁷ Furthermore, data from Cochrane collaboration demonstrated a reduced mortality (Risk ratio 0.71 Confidence Interval (CI) 0.53-0.94) and pneumonia with a regional anesthetic technique like neuraxial nerve blockade as compared to general anesthesia. No differences were detected for the risk of myocardial infarction after neuraxial nerve blockade as compared to either general anesthesia or a combination of regional and general anesthesia techniques.⁸

Hence the analysis and comparison of the mode of anesthesia in relation to peri-operative mortality and morbidity is complicated and recommendations on safety of use are difficult to make because of several reasons:

- 1 Anesthesia in general is a safe procedure. The incidence of peri-operative death during the first 24 hours in the Netherlands (1995-1997) was 8.8 per 10.000 anesthetics and with an incidence of anesthesia related death of 1.4/10.000 anesthetics.⁹ In the United States the current mortality risk of anesthesia for surgical inpatients is even lower at 0.82 in 100.000.¹⁰ In Western Australia the anesthesia-related mortality fell from 6.5 per 100.000 procedures in the eighties to 1.7 deaths per 100.000 procedures between 2000-2002, from which 0.2 per 100.000 were anesthesia related.¹¹ Therefore, large studies are needed to demonstrate safety of anesthetic procedures.

- 2 General anesthesia (GA) is effective, easy to apply and offers optimal surgical conditions, in particular during long lasting procedures. Nevertheless, post-operative cognitive dysfunction and post-operative delirium are frequently associated with GA^{12, 13} as well as cardio pulmonary adverse effects. Hence in order to minimize these complications selected patients could benefit from regional techniques.
- 3 The development of less invasive surgical techniques like endovascular treatment for aortic aneurysms, laparoscopic hemi-colectomy or robot-assisted prostatectomy may change daily practice without the need for neuraxial local anesthetic blockade.⁶
- 4 Regional anesthesia requires more specific skills of the individual anesthesiologist due to cooperation and communication with the patient. Furthermore regional anesthesia has a certain failure rate (technique and operator dependent) and has its own complications: local anesthetic toxicity, bleeding, nerve related complications like TNS, peripheral nerve injury, (epi-)dural hematoma, epidural abscess and meningitis and anterior spinal artery syndrome.^{14, 15}
- 5 Regional anesthesia is basically a variety of techniques, one more invasive than another and only appropriate for specific indications.
- 6 Due to the common use of anticoagulant drugs major contra-indications for the use of regional anesthesia do exist.¹⁶

It is because of these reasons, that studying the risks and benefits of regional versus general anesthetics is complicated. Therefore the use of selective and identified patient populations with high relative risks in complications like hip fracture surgery, obstetrics surgery, abdominal surgery or peripheral bypass surgery may offer major advantages.

Despite the relative high risk of complications in patients after hip fracture surgery insufficient evidence is presented on a different effect of local versus general anesthetics on mortality, cardiovascular morbidity, duration of surgery, incidence of deep vein thrombosis or pulmonary embolus,¹⁷⁻¹⁹ or postoperative delirium.¹⁸ Perioperative blood loss in patients with hip fracture surgery may be reduced when regional anesthesia is used and whereas at the same time a reduction in postoperative pain, nausea and vomiting was demonstrated.¹⁷ For hip fracture surgery only marginal advantages in use of local versus general anesthetics were reported in terms of early mortality and deep vein thrombosis.¹⁹ For obstetric patients the risk/benefit balance of regional versus general anesthetics was analyzed and reported in 2 reviews from the Cochrane collaboration.^{20, 21} In terms of maternal and neonatal outcome no superiority of either regional or general anesthetics was reported.²⁰ These findings were further confirmed in high risk patients.²² Furthermore both spinal and epidural anesthesia were equally effective in providing intra-operative anesthesia.²¹

Combined general and epidural anesthesia has become a popular technique in abdominal surgery with shown high efficacy and safety. However, the debate whether a regional anesthetic technique like epidural anesthesia is superior to general anesthesia with respect to the improvement on outcome has not been closed yet.²³ In abdominal surgery extensive clinical experience and research, but also an elaborate availability of

epidural anesthesia results in major advantages as for instance an effective pain management and the prevention of postoperative pulmonary complications.²⁴⁻²⁷ On the other hand the disadvantages of epidural anesthesia in abdominal surgery are related to the presence of side-effects including arterial hypotension, urine retention, and pruritus,^{25, 27} as well as technical difficulties like e.g. multiple punctures and malposition after correct placement but also technical difficulties based on physical characteristics of the catheters.

Also for patients who underwent a peripheral bypass surgery the debate whether epidural anesthesia is superior to general anesthesia with respect to the improvement on outcome is not closed. It should be taken into account that patients who underwent a peripheral bypass surgery might potentially benefit from a mere regional anesthetic approach, as these patients are generally elderly, with significant comorbidities and often use a variety of drugs resulting in an increased risk for anesthesia related complications.^{10, 28}

Furthermore a comparison of risks and benefits of regional versus general anesthetics is a manifestation of a limited approach and underestimates the following aspects:

- 1 The potential benefits of combined regional and general anesthesia techniques in high risk population's e.g. vascular surgery.
- 2 Peripheral arterial disease is an illness with a high prevalence in Europe and North America. This disease is associated with a significant impact on quality of life. Despite advanced medical and endovascular treatments, surgery is often indicated to prevent the consequences of ischemic injury. Usually, these patients do have significant comorbidities resulting in an increased risk for anesthesia related complications.

The development of improved post-operative pain management programs for specific types of surgery like abdominal surgery and major oncological breast surgery.

Optimal postoperative pain relief is currently still an underestimated item as severe pain after surgery has been shown to be a major problem and occurring in 20-40% of patients.²⁹ All together this implies the need for development of complementary advanced anesthetic techniques in order to optimize perioperative pain control.

In view of the above-mentioned considerations and aspects of perioperative anesthesia care we formulated the following research questions:

Research Question 1:

Does regional anesthesia improve outcome of peripheral vascular surgery as compared to general anesthesia?

In order to further analyze the risk and benefits of a regional and/or general anesthetic procedure the focus should be directed towards specific outcomes, like pain,^{25, 30} cardiovascular and pulmonary complications^{30, 31} or cancer.³²

A major burden for health systems is chronic postsurgical pain (CPSP). Various factors like the duration of the surgery but also the American Society of Anesthesiologists (ASA) physical status classification of the patient and the level of preoperative fear are main predictors for development of CPSP.^{33, 34} Another main predictor for CPSP is acute postoperative pain.³⁴ Regional anesthesia decreases acute postoperative pain. However, at present there is limited evidence for a positive effect of regional anesthesia on CPSP.³⁵ It has been shown that patients undergoing upper and lower abdominal surgery are at a high risk for acute postoperative pain.³⁶

Hence, it is expected that these patients might develop CPSP and we therefore addressed the following Research Question 2:

Does regional anesthesia reduce the incidence of chronic postsurgical pain in patients undergoing abdominal surgery?

Despite major efforts of regulatory agencies and professional societies to establish clinical practice guidelines³⁷⁻³⁹ effective postoperative pain management remains a significant clinical issue. A number of studies have demonstrated the extent of the problem: one in three operative patients experience pain of more than 3 on a 10 point Visual Analogue Scale (VAS).⁴⁰⁻⁴² Insufficiently controlled acute postoperative pain is a risk factor for postoperative complications³⁹ and long term adverse outcome e.g. chronic pain, functional limitations and quality of life.³³ It is therefore that the first step of reducing complications is an effective postoperative pain relief for a surgery with high risk of acute postoperative pain

Thoracic paravertebral block is currently recommended by the Prospect Working Group (www.postoppain.org) as the technique of choice for breast surgery and is associated with less acute postoperative pain than general anesthesia⁴³ and CPSP.³⁵ Local wound infiltration is a low risk procedure with few side-effects.

From this we formulated the following Research Question 3:

Is there an additional value of regional anesthesia (paravertebral block) with respect to acute postoperative pain compared to local wound infiltration?

There are several techniques to perform a paravertebral block. The oldest method, reappraised by Eason and Wyatt⁴⁴ relies on anatomical landmarks. For this technique, the patient is sitting or placed in a lateral position, 2,5 -3 cm lateral to the anatomical midline and a Tuohy needle is inserted at 90° into the skin and advanced to the rib or transverse process. The needle is then redirected to pass above the bony structures and the paravertebral space is localized with a loss of resistance technique, due to the crossing of the superior costotransverse ligament. If a prolonged block is required an end-hole catheter can be inserted for less than 1 cm to ensure correct positioning.^{44, 45}

The Eason and Wyatt paravertebral technique based on anatomical landmarks was further modified to enhance safety and efficacy to a nerve stimulator guided method and improved combining both techniques.^{46, 47} Others used pressure monitoring,⁴⁸ direct introduction of the paravertebral catheter by the surgeon,⁴⁹ a thoracoscopic paravertebral block⁵⁰ and a paravertebral lamina technique with a more medial approach.⁵¹

In regional anesthesia the use of ultrasound has become the “gold” standard during the last 10 years.⁵² With the use of ultrasound the regional anesthetic techniques became more safe and efficient as nerves, muscles, blood vessels, pleura and even the spread of local anesthetic peri-neurally can be visualized.^{52, 53} However the ultrasound view of the paravertebral space in a transverse scan is obscured by the acoustic shadow of the transverse process.⁵⁴ Furthermore, each of the various techniques to perform a thoracic paravertebral block (TPVB) has its own pitfalls e.g. loss of image of the needle tip, and needle direction towards the spinal canal⁵⁵⁻⁵⁸ versus needle direction towards the pleura.^{59, 60} In this respect no data exist related to the superiority of one of the thoracic paravertebral block techniques over the others and to our knowledge there are no published randomized controlled trials (RCT) that compare an anatomical landmark technique with an ultrasound technique. In order to further understand not only the effect of the TPVB but also its possible clinical consequences, a detailed description of the anatomical boundaries and the thoracic paravertebral space (TPVS) is important.

Hence we formulated Research Question 4:

What are the anatomical boundaries of the thoracic paravertebral space in view of potential risks and benefits of the thoracic paravertebral block?

As mentioned before the disadvantages of epidural anesthesia might be related to technical difficulties like e.g. multiple punctures, malposition after correct placement but also to the physical characteristics of the catheters needed for epidural anesthesia. Due to the different physical properties of catheters, both in vitro and in vivo, complication rates and handling characteristics of the catheters may vary significantly. A CE-marking of a medical device indicates that the technical device (here: catheter) meets the essential safety requirements of the European Community (EC) and is appropriate for the purpose it is designed for. In fact CE-marking is a declaration of conformity (Council Directive **93/42/EEC** concerning medical devices). In view of this it is important to systematically investigate new industrial products preferably in a clinical setting. This holds true in particular for the epidural catheters as it has been reported that anesthetic devices account for about 2 % of all new marked devices and they do account for 30-40 % of all alerts.^{61, 62}

Most of the technical problems related to use of epidural catheters e.g. kinking, breakage, clamp problems have been published in case report studies and then technical characteristics of the catheters are not documented.⁶³⁻⁷⁹ As each type of

catheter has different physical characteristics this may have severe implications for its use in clinical practice.⁸⁰⁻⁸³ For epidural catheters common features are tensile strength and stretch resistance, shaft stability, visualization of blood or spinal fluid, no risk of forming loops or knots, softening of catheter. When technical characteristics of a catheter are changed, both the type and the rate of complications may change. Incidence of serious complications is low and one of the more common complications is paresthesia upon catheter insertion. Reported incidences of paresthesia vary between 0.2 and 56% depending on approach,⁸⁴ patient characteristics,^{85, 86} technique,⁸⁷⁻⁸⁹ different catheters^{68, 90} and depth of insertion.⁹¹ Even an incidence as high as 81% -89% was reported.^{92, 93} The symptoms of paresthesia during conduct of neuraxial anesthesia are frequently mild and transient. However sometimes these symptoms are that intense, that the procedure must be aborted. Fortunately the incidence of permanent neurological damage of 1out of 20.000-30.000 regional anesthesia procedures for spinal anesthesia and 1out of 25.000 procedures for obstetric epidurals and 1out of 3600 in other epidurals procedures remains low.⁹⁴ Nevertheless in France two thirds of the patients with neurological deficits reported paresthesia during needle placement or pain on injection.⁹⁵

In order to reduce paresthesia upon catheter insertion the physical characteristics of the catheter were adapted; the material including the catheter tip was softened

In view of the fact that development of paresthesia is an important determinant of final outcome we used this parameter to test 2 types of catheters with different characteristics and formulated Research question 5:

What is the impact of technical characteristics of catheters used in regional anesthesia on the performance in patients scheduled for elective surgery during normal daily practice under thoracic or lumbar epidural anesthesia?

IN CONCLUSION

The main aim of this thesis is to study various aspects related to the risks and benefits in regional anesthesia. In this respect we focus at the risks and benefits related to the type of surgery (RQ1,Chapter 2). In Chapter 2 we focus on high-risk patients and reviewed the current literature for patients undergoing peripheral bypass surgery (RQ1). In Chapter 3 we address RQ2 and investigated the impact of epidural analgesia in patients at high risk for chronic post-surgical pain. In Chapter 4 (RQ3) we compare two types of regional anesthesia, a paravertebral block with local wound infiltration on the development of acute pain. As the anatomical background of the thoracic paravertebral space and the optimal technique for thoracic paravertebral block remain unclear, we investigate in Chapter 5 the anatomy of paravertebral space in a human cadaver (see

RQ4). In Chapters 6 and 7 we address the impact of technical characteristics of 2 different epidural catheters on the rate of paresthesia (RQ 5). In Chapter 8 findings we discuss and summarize the results of the studies performed.

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CHAPTER 2

Current techniques and strategies for anesthesia in patients undergoing peripheral bypass surgery

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ABSTRACT

Peripheral arterial disease is an illness with a high prevalence in Europe and North America. The disease is associated with a significant impact on quality of life. Despite advanced medical and endovascular treatments, surgery is often indicated to prevent the consequences of ischemic injury. Usually, these patients do have significant comorbidities resulting in an increased risk for anesthesia related complications. While general anesthesia is commonly used for the majority of the patients, local and regional anesthesia offer several possible advantages such as stable cardiovascular hemodynamic perioperative course, improved postoperative pain relief and prevention of chronic post-surgical pain syndromes. This review will discuss perioperative management, available evidence regarding general anesthesia and various regional anesthetic techniques for peripheral vascular surgery, and the current advises regarding anticoagulants and regional anesthesia. No definitive conclusions can be drawn from the existing literature with respect to superiority of general or neuraxial anesthesia or even regional anesthesia. May be the profits lie in the combination of techniques, a strategy, to overcome the risks of one and use the benefits of the other technique. From circumstantial evidence, it is most likely that the experience of the anesthetic and surgical team is one of the major determinants of perioperative complications independent from the individual anesthesia technique.

INTRODUCTION

Lower limb peripheral arterial disease has a prevalence of about 30 % in Europe and North America. The incidence is correlated to the risk of major cardiovascular events. Patients usually have generalized atherosclerosis affecting the cerebral, cardiac, splanchnic and peripheral circulation.^{1, 2} The population at risk increases rapidly due to life style and aging.³ When analyzing available data, the group of patients undergoing vascular surgery is one of the populations with the highest incidence of perioperative complications, usually related to the underlying cardio-vascular pathology. The main complications are myocardial ischemia or infarction, decompensated heart failure and cerebrovascular complications like stroke and transitory ischemic attack.

The major objective in the management of patients with peripheral vascular disease is to maintain functional status, avoid amputation and reduce overall mortality from cardiovascular disease. Although medical therapy, smoking cessation, exercise therapy and secondary risk modification are cornerstones of therapy, revascularization is often needed.

Peripheral endovascular revascularization in combination with extensive medical therapy showed in a longitudinal follow-up study improvement of symptoms, improved overall functional status and a better quality of life up to 3 years⁴ after the procedure. There appears a trend to perform endovascular instead of surgical procedures among women, who are older and have more advanced disease at initial presentation.⁵ The relative amount of percutaneous transluminal angioplasty or stenting is increasing and often performed in an outpatient setting.⁵ Despite advancing endovascular technique a significant amount patients do need surgical revascularization.^{1, 3, 5} In elderly patients admitted for critical limb ischemia, patients treated with bypass surgery had a significantly better functional status than those who received endovascular procedures.⁶ Patients who require vascular surgery for peripheral arterial disease suffer from concomitant co-morbidity: chronic obstructive pulmonary disease (COPD), arterial hypertension, recent history of congestive heart failure, recent myocardial infarction, previous percutaneous coronary intervention (PTCA)/ heart surgery, critical limb ischemia diabetes, chronic renal failure and history of TIA or stroke^{3, 5, 7} (Table 1). Even nowadays, a 30 day mortality of 2.7 % and major morbidity of 18.7 % was reported in a cohort study using the National Surgical Quality Improvement Program (NSQIP) database which almost doubles in patients who underwent surgery for critical limb ischemia.⁷ Thus, the mortality risk for these patients is higher than reported after coronary artery bypass surgery.⁸ So anesthesia for these high risk surgical patients is still demanding and associated with a high rate of perioperative complications. The available evidence is still inconclusive about the ideal anesthetic technique. General anesthesia with or without endotracheal intubation, neuraxial (e.g. epidural and spinal) anesthesia, peripheral nerve blocks, and local anesthesia combined with monitored anesthesia care have been performed. The majority of studies is still underpowered and meta-analyses

are performed based on studies of limited methodological quality which limits their value considerably. In the following chapters we discuss the available knowledge on different perioperative strategies and individual anesthetic techniques. Besides the choice of the individual technique, the planning of the perioperative period is of outstanding importance as a significant amount of complications occurs not in the immediate period but in the first 72 hours after surgery. Thus, careful preoperative evaluation (see below) and adequate planning of postoperative facilities, often in a monitored environment, is of value.

Table 1. Comorbidities of patients who require vascular surgery for peripheral arterial disease

Tobacco use	40-53% ^{3,7}
Alcohol use	10-12% ³
Hypertension	44-62 % ⁵
Recent myocardial infarction	2.7 % ⁷
(Recent)History of congestive heart failure	3.4-21 % ^{3,5,7}
Previous PTCA/ heart surgery	46% ⁷
Critical limb ischemia	48-74% ^{5,7}
chronic renal failure	4-14% ⁵
Diabetes	29-54% ^{3,5,7}
(severe)COPD	12-21%
history of TIA/stroke	6-16% ^{3,7}
Bleeding disorder	21 ⁷

PTCA percutaneous coronary intervention, COPD chronic obstructive pulmonary disease, TIA transient ischemic attack

Preoperative risk evaluation

It has been demonstrated that careful preoperative evaluation has additional value in the multidisciplinary treatment approach.⁹ In most centers, the American Society of Anesthesiologists (ASA) classification is used to describe the perioperative risk.

However, in patients at risk for cardiovascular complications, a number of institutions use the revised Lee Score or Revised Cardiac Risk Index in addition. The revised Lee Score is a simple scoring system based on high risk surgery: intraperitoneal, intrathoracic or suprainguinal vascular procedures, ischemic heart disease, history of congestive heart failure, history of cerebrovascular disease, insulin therapy for diabetes, and elevated preoperative creatinin levels (> 2 mg/dl or 176 mmol/l). With the number of risk factors the risk of major cardiac events rises.^{10, 11} Others use a patient risk stratification mainly based on functional status^{11, 12} i.e. functional capacity (less or greater than 4 metabolic equivalents (METS) in combination with procedure related risk (low e.g. endoscopic or superficial procedure, intermediate, or high risk like

aortic and major vascular surgery) and clinical factors like ischemic heart disease, pre-existing heart failure, a history of cerebrovascular disease, pulmonary disease, diabetes and renal insufficiency. Preoperative (pro) B Natriuretic Peptide ((pro)-BNP) may also be used to identify high risk patients susceptible for major cardiovascular events. Additional preoperative testing by stress echocardiography, thallium scintigraphy, and coronary angiography should be reserved for patients with poor functional capacity, in which the results of these tests will substantially change the clinical management.¹² Furthermore, as start of β -blockade immediately before surgery was associated with serious complications¹³ a gradual start several weeks before the operation is needed if indicated.¹² The need for an experienced team dealing with these patients was illustrated by the fact that in a recent survey in the Netherlands only 21% of the noncardiac surgical patients received the recommended preoperative non-invasive cardiac testing, however long term clinical outcomes were almost identical.¹⁴ This surprising finding could be due to additional value of involvement of experienced clinician in the care of these patients.⁹

Perioperative monitoring

For patients scheduled for peripheral bypass surgery anesthesia monitoring equipment usually consists of five-lead electrocardiogram with automated ST detection, non-invasive blood pressure monitoring and pulse oximetry. Advanced monitoring, like invasive arterial blood pressure monitoring, central venous pressure and transesophageal echography, is only indicated in high-risk patients, even for minor procedures. Currently a number of (non) invasive monitoring devices is available like esophageal Doppler monitoring and pulse contour cardiac output analysis. The available literature is inconclusive to support advanced hemodynamic monitoring.

Postoperative care

In the early postoperative phase, monitoring may be of outmost importance. As the preoperative (pro) BNP may be used to identify high risk patients, increased postoperative troponin levels after non-cardiac surgery are an independent predictor of mortality.¹⁵ In particular, only slight increases in troponin T are associated with a significant increase in perioperative morbidity and mortality.^{16, 17} Therefore a number of centers use regular troponin T measurements in the first 72 hours after surgery and use these values to decide which patients need prolonged monitoring of vital signs and modification of postoperative treatment including rescue PTCA in a subset of patients.

General anesthesia

General anesthesia with endotracheal intubation is the most common type of anesthesia (66-85%) used in infrainguinal bypass surgery.^{3, 7} General anesthesia usually offers optimal surgical conditions, in particular in long lasting procedures. However, there are several side effects associated with general anesthesia and controlled mechanical ventilation. In high risk patients, positive pressure ventilation may have an unfavorable effect on cardiac preload and output. General anesthesia compared to spinal anesthesia was associated with the highest rate of cardiac events, and postoperative pneumonia.^{3, 7} Results regarding graft failure are conflicting. In the earliest study³ a higher graft failure rate was found in patients receiving general anesthesia. However in a more recent analysis from the same database with a total rate of graft failure of 7.4%, general anesthesia was no longer associated with major surgical site complications including graft failure.⁷ The available randomized controlled trials are analyzed in a recent analysis from the Cochrane Collaboration. However, only 4 RCT's comparing these techniques are available, thus the authors concluded that no definitive conclusions can be drawn from the existing literature with respect to superiority of general or neuraxial anesthesia. There were no significant differences in mortality, myocardial infarction or the rate of lower limb amputations.¹⁸ Pneumonia was less common after spinal or epidural anesthesia,¹⁸ which is in accordance with a beneficial effect on postoperative pulmonary complications found in patients after open abdominal vascular surgery.¹⁹ So, it can be hypothesized that regional anesthesia has the potential to decrease the incidence of pulmonary complications.

In the above mentioned studies, general anesthesia combined with controlled mechanical ventilation was studied mainly with older long acting anesthetic drugs. Currently many procedures including carotid endarterectomy²⁰ even for geriatric patients are performed safely in out-patient setting with modern short-acting anesthetics²¹ in regional as well as general anesthesia using a supraglottic airway device (laryngeal mask), a technique which is known to reduce the perioperative stress response.²² Furthermore general anesthesia can be enhanced by adding various regional anesthetic techniques including local wound infiltration.

In conclusion, no definitive conclusions can be drawn from the existing literature with respect to superiority of general or neuraxial anesthesia or even regional anesthesia. It seems that the performing anesthesia and surgery by an experienced team is one of the major determinants independent from the individual anesthesia technique.

Regional anesthesia

Regional anesthesia (RA) possesses several qualities including high efficacy and safety. In comparison with general anesthesia, thoracic epidural anesthesia in particular is

associated with attenuated stress and pro-inflammatory response, increased coronary perfusion, improved tissue perfusion, optimal pain relief, increased gut motility and less inhibition of diaphragmatic activity.²³ Moreover, an awake patient is able to communicate with caregivers.

Usually, regional anesthesia is divided in neuraxial and peripheral techniques. In the following chapter we discuss the advantages and disadvantages of both techniques.

Spinal anesthesia, combined spinal-epidural anesthesia, and epidural anesthesia.

Spinal anesthesia is a safe and effective technique which provides excellent analgesia, with limited side effects in relation to spread of the spinal block. In a randomized trial of 101 patients undergoing spinal or general anesthesia, there were no difference in cardiac mortality and morbidity despite a significantly higher incidence of arterial hypotension and bradycardia possibly due to sympaticolysis in the group receiving spinal anesthesia. However, patients undergoing general anesthesia more often developed arterial hypertension. There were no significant differences regarding postoperative confusion, need for further surgery during hospital stay or lower limb amputation, whereas a significantly higher incidence of postoperative pulmonary complications was observed in patients receiving general anesthesia (33% versus 16 %).²⁴

Combining spinal with epidural anesthesia (CSE) may extend the duration of the block, provide postoperative analgesia and expand the applicability of the technique. The technique is compared to the other regional techniques not commonly used in vascular surgery.

Epidural anesthesia is another common anesthetic technique for lower limb revascularization. It has been shown to provide stable cardiovascular conditions²⁵ comparable rates of cardiac and non-cardiac morbidity,^{26, 27} with a lower rate of vascular graft failure at 7 but not at 30 days.²⁷ The incidence of reoperations was comparable to general anesthesia.²⁶ However, in patients with inadequate or failed regional anesthesia, (i.e technically unable to perform or insufficient to provide adequate anesthesia), the rate of in-hospital deaths (9.4 % versus 1.6 %) was increased compared to those with a successful regional or general anesthesia. Furthermore, in the same group with a failed regional anesthesia a trend towards increased rate of myocardial infarction and congestive heart failure was found, however the study was underpowered for this outcome.²⁸ In a recent Cochrane review in patients undergoing abdominal aortic operations, epidural anesthesia demonstrated a better pain relief, shorter duration of postoperative tracheal intubation, a lower incidence of prolonged mechanical ventilation, myocardial infarction, gastric and renal complications, but no beneficial effects on mortality and other complications.¹⁹ Epidural analgesia was associated with a reduced incidence of chronic post-surgical pain 6 months after abdominal surgery.²⁹ In earlier years, it was hypothesized that the use of epidural

anesthesia results in a decreased incidence of postoperative neuropathic pain syndromes (phantom pain). However, no evidence exists supporting the use of pre-emptive analgesia to minimize the risk of chronic pain after amputation for critical ischemia of peripheral vascular disease.³⁰ Currently, a multi-center study is addressing this issue (PLATA study).³¹

For all neuraxial techniques complexity and duration of the procedure may limit use in clinical practice and no randomized controlled trials exist addressing this issue.

Systemic anticoagulants and regional anesthesia

The continuous use of perioperative anticoagulants and platelet aggregation inhibitors is a cornerstone in the secondary prevention of cardiovascular complications in patients with atherosclerotic disease. Thus, it is highly recommended to continue this therapy throughout the perioperative period.³²

The use of these medications may limit the use of neuraxial anesthesia in patients with peripheral arterial disease, (Table 2) as the anesthesia techniques require a time interval between the last dose of the anticoagulant and the puncture. Moreover, if a catheter technique is used, it is necessary to stop anticoagulation again, because there is a time interval needed before the catheter can be safely removed.

For heparin, unfractionated and low molecular weight, anti-Xa agents, direct thrombin inhibitors, and vitamin K antagonists the European Society of Anaesthesiology (ESA) guidelines recommend to postpone neuraxial blockade until two times elimination half time of the specific drug. If dabigatran is used, a neuraxial catheter technique is not recommended.^{33, 34} (Table 2)

Platelet aggregation inhibitors are often continued during the perioperative phase, but the ESA guidelines strongly recommend a time interval between the administration of most platelet aggregation inhibitors and a neuraxial blockade. With the exception of acetylsalicylic acid, a drug free interval of 7 days pre-procedure is recommended. Restart of anti-platelet drugs must be delayed until removal of epidural catheters. (Table 2)

However the recommended time intervals only apply for patients with normal renal function. Besides patients with peripheral arterial disease often suffer from impaired renal function. Furthermore co-medication e.g. heparin use may also prolong safety intervals.³⁴

Table 2. Recommended time-intervals for anti-coagulants and neuraxial blockade by European Society of Anaesthesiology (ESA)²⁹

Drug class	Drug	Laboratory testing	Time interval before intervention	Time interval next dose
Heparin (P)	Unfractionated	Platelet count (T >5 days)	4-6h	1h
	LMWH (1dd)	Platelet count (T >5 days)	12 h	4h
	LMWH (2dd)	Platelet count (T >5 days)	24h	4h
Heparin (T)	Unfractionated	Platelet count (T >5 days), aPTT	4-6h iv 8-12h sc	1h
	LMWH	Platelet count (T >5 days)	24h	4h
Anti-Xa agents	Fondaparinux (P)	Anti X-a	32-42h	6-12h
	Fondaparinux (T)		contraindicated	
	Idrabiotaparinux		contraindicated	
	Rivaroxiban		extreme caution 22-26h	4-6h
	Apixaban		extreme caution 26-30h	4-6h
	Danaparoid		contraindicated	
Direct thrombin inhibitors	Desirudin	aPTT, ECT	8-10h, avoid combinations	2-4h
	Lepirudin	aPTT, ECT	8-10h, avoid combinations	2-4h
	Argatroban	aPTT, ECT, ACT	4h	2h
	Dabigatran		contraindicated	
Vitamin K antagonists	acenocoumarol, phenprocoumon, warfarin	INR <1,4		after catheter removal
Platelet aggregation inhibitors	Acetylsalicylic acid/aspirin		NA	NA
	NSAID's		NA	NA
	Ticlopidin		10 days	after catheter removal
	Clopidogrel		7 days	after catheter removal
	Prasugel		7-10 days	6 h after catheter removal
	Ticagrelor		5 days	6 h after catheter removal
	Cilostazol		5 days	5 h after catheter removal
Glycoprotein IIb/IIIa inhibitors	Abciximab		used only in acute coronary syndromes	catheter removal 48 h after dose
	Tirofiban, Eptifibatide			catheter removal 8-10 h after dose

P prophylactic, T therapeutic, 1dd once daily, 2dd twice daily, NSAID non steroid anti-inflammatory drug, aPTT activated partial thromboplastin time, ECT ecarin clotting time, ACT activated clotting time, INR international normalized ratio, h hour, iv intravenous, sc subcutaneous, NA not applicable

Peripheral nerve blocks

General considerations

Unlike the upper limb, the lower limb sensory innervation derives from two plexus, the lumbar and the sacral plexus, which makes a total anesthetic block more complex than for the upper limb. Due to this divergence in nerve supply it is almost always necessary to block more than one peripheral nerve to provide sufficient anesthesia for sufficient surgical anesthesia. A number of peripheral nerve blocks have been described for lower limb surgery e.g. the lumbar plexus block for infrainguinal artery bypass graft surgery and femoral-popliteal bypass surgery.³⁵ However, femoral-popliteal bypass surgery can also be performed with a combined sciatic and femoral nerve block.³⁶ Arterial bypass surgery below the knee can be performed with a combined popliteal and saphenous block. Although frequently published in case reports and case series³⁵⁻³⁷ there are no randomized controlled trials comparing peripheral nerve blocks with general, spinal or epidural anesthesia.

The use of ultrasound has increased the success rate of peripheral nerve blocks substantially^{38, 39} and may increase safety of the techniques.³⁴ Before the implementation of ultrasound, all lower plexus blocks were performed using an anatomical landmark or nerve-stimulation technique and required relatively large amounts of local anesthetics to obtain a safe and reliable block. These large amounts increase the risk of toxic plasma levels of local anesthetics resulting in potential life threatening systemic side effects like seizures, syncope and cardiac arrhythmias.³⁹ Furthermore as these techniques were done without visualization of nervous or vascular structures, the risk of inadvertent vascular, intrafascicular or intraneural puncture was always present. However with the introduction of ultrasound guided nerve blocks in the last decades, good visibility of anatomical structures like muscles, vessels and nerves is possible now. Thus, a significant reduction in the amount of local anesthetics potentially reducing the incidence of side effects and avoiding potential complications is now possible.⁴⁰ Furthermore, blockade of multiple nerves blocks can be performed and provide excellent anesthesia quality for infrainguinal vascular surgery. Especially in the elderly, where even lower volumes can be used, this is a potential advantage.⁴¹ (Table 3)

Table 3. Lower limb blocks, volume local anesthetic needed

Peripheral nerve block	Volume local anesthetic needed
Lumbar plexus	25-35ml
Femoral nerve	10-20 ml
Proximal Sciatic nerve	15-20 ml
Popliteal Sciatic nerve	20-30 ml
Saphenus nerve	5-10 ml

ml milliliters

Guidelines for performing neuraxial blockades do not apply for superficial peripheral techniques like axillary plexus or femoral nerve block in patients using systemic anti-coagulants as bleeding complications are less serious compared to neuraxial blockades. Even in the anticoagulated patient peripheral nerve blocks may seem an eligible choice due to the enhanced visibility with ultrasound and the possibility of adequate treatment in case of inadvertent vascular damage. However this is not the case for lumbar plexus block and paravertebral block. Retroperitoneal hematoma is considered a major complication. Therefore if these blocks are performed, the same precautions as for neuraxial blockade are applied.³³

A number of peripheral nerve blocks^{35, 36, 42-45} have been described to perform infra-inguinal vascular surgery. (Figure 1)

Lumbar plexus block

The lumbar plexus block or psoas compartment block is a variant of a paravertebral block. This block provides anesthesia and analgesia of the entire lumbar plexus including anterolateral and medial thigh, knee and saphenous nerve below the knee. In recumbent position, the anesthesiologist aims for the lumbar plexus via a paravertebral approach using a loss of resistance or nerve stimulation guided technique. It is the most complete block ventral side. (Figure 1) Major disadvantages include high volume of local anesthetic, possible epidural and spinal spread of local anesthetics, and possible retroperitoneal and spinal hematoma.

Femoral nerve block

The femoral nerve arises from the ventral rami of the third and fourth lumbar nerve roots and descends through the psoas major muscle to pass behind the inguinal ligament to enter the femoral triangle which is formed by the inguinal ligament, the sartorius muscle and the adductor longus muscle. The nerve supplies sensory innervation to the anterior aspect of the thigh and knee. (Figure 1) The lateral aspect of the leg is supplied by the lateral cutaneous nerve which is not anesthetized by a sole femoral nerve block and is located more lateral in proximity of the anterior superior iliac spine.

There are several approaches to block the femoral nerve. The ultrasound guided technique, where the nerve is visualized alongside the vascular structures in the inguinal canal, may be the safest method, since nerve and vascular structures are directly visualized. The femoral nerve is blocked after penetrating the fascia lata and fascia iliaca and injecting local anesthetic solution around the nerve to create a pool of local anesthetic solution around the nerve. The femoral nerve block is suitable as a continuous technique.

Lumbar plexus block

**Combined Sciatic/
Femoral Nerve block**

Femoral nerve block

**Sciatic nerve block
- popliteal approach
Saphenus nerve block**

**Sciatic nerve block
- subgluteal approach**

- Numbers represent:
- 1 Ilioinguinal nerve
 - 2 Obturator nerve
 - 3 Common peroneal nerve
 - 4 Superficial peroneal nerve
 - 5 Deep peroneal nerve
(webspaces between digitus 1 and 2)
 - 6 Sural nerve
 - 7 Tibial nerve
 - 8 Saphenus nerve
 - 9 Lateral cutaneous nerve
 - 10 Genitofemoral nerve

Figure 1. Lower limb blocks: nerve supply and dermatomes anesthetised
White areas are not blocked by the chosen technique.

When anesthesia of the lateral or medial aspect of the thigh is also required a three-in-one block can be performed. This block, usually performed with a high volume technique requires three separate injections at the lateral cutaneous nerve of the thigh, the femoral nerve and the obturator nerve.

Sciatic nerve block (subgluteal versus popliteal approach)

The sciatic nerve is the largest peripheral nerve in the human body and arises from the sacral nerve roots. A sciatic nerve block results in anesthesia of the skin of the posterior aspect of the thigh, hamstring, and biceps femoris muscles, part of the hip and knee joint and the entire leg below the knee with the exception of the skin of the medial aspect of the lower leg. (Figure 1) The sciatic nerve can be easily visualized by ultrasound in the lateral decubitus or prone position. Using a curved ultrasound probe with a frequency of 2-8MHz the nerve is usually visualized at the subgluteal crest between 5 and 11 centimeters depth. The nerve can be followed all the way to the popliteal crest and can be blocked at every level. Alternatively, the anterior approach have been described. However, this approach has not reached wide acceptance due to the fact that the posterior femoral cutaneous nerve, cannot be not blocked simultaneously.⁴³ Besides the anterior part is not easily visible by ultrasound, which reduces the safety of the procedure.

For surgery at the lower leg, the sciatic nerve can also be block at the level of the popliteal fossa just before dividing into the tibial and common peroneal nerve. Ultrasound guidance offers a good visualization of the sciatic nerve in relation to the vascular structures and posterior muscles of the thigh. The nerve is usually located between the biceps femoris and semimembranosus muscle. A popliteal block is an easy block to be performed.

Saphenus nerve block

The saphenus nerve, a part of the femoral nerve which supplies the medial aspect of the calf. In case of surgery of the lower limb a combination of a sciatic nerve block at the popliteal fossa and saphenous nerve block are required to provide adequate anesthesia of the lower leg. The saphenous nerve runs alongside the femoral artery throughout the thigh. It contains sensory nerves only, so a stimulation based technique will not provoke any motor response. However, the nerve usually can be easily visualized by ultrasound.

Local anesthesia

There are no randomized controlled trials comparing local anesthesia versus general anesthesia or local anesthesia versus regional anesthesia for peripheral vascular surgery. A recent study showed that infra-renal aortic endovascular repair was feasible under local anesthesia strategy in 75 % of the patients.⁴⁶

In general local infiltration alone or in combination with a peripheral nerve block has been considered for patients not eligible for standard anesthesia,^{44, 45} and successfully applied in a case series of 86 patients.⁴⁷ However, relatively high amounts (80-100 ml) of local anesthetics with additional 40 ml for harvesting arm vein are needed.⁴⁵ Accompanied by intravenous sedation, the injection of 0.5% or 1.0% lidocaine in

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combination with additional sedation at the moment of painful stimuli during various infrainguinal reconstruction procedures were performed. Conversion to general anesthesia was required in 4 patients (5%), whereas patient discomfort and disorientation occurred in 3 patients. Furthermore a mortality rate of 2% with 2 % non-fatal myocardial infarction was reported.⁴⁷

A matter of concern remains that inadequate regional anesthesia induce patients stress and may affect outcome.^{28, 47} On the other hand general anesthesia for procedures that can readily be performed under local anesthesia may add an additional risk resulting in an increase in mortality.⁴⁸ This implies that the need for additional sedation during monitored anesthesia care and thus the presence of an experienced anesthesiologist a prerequisite for the safe conduction of these procedures.

Emergency surgery

For emergency surgery the same principles apply. However in the emergency situation other factors may determine the anesthetic technique of choice e.g. is there an acute bleeding or ischemic situation. Moreover, the coagulation status is a matter of discussion. Moreover, even in big hospitals, the availability of anesthesiologists familiar with all local anesthesia techniques usually cannot be guaranteed during a 24/7 period.

CONCLUSION

Based on the current literature no evidence is available to consider one technique superior to another or to recommend a specific anesthetic strategy. So, the choice of anesthetic technique must be made on individual risk-benefit estimations, patient and surgical preferences and anesthetic skills to combine the advantages of general and regional anesthesia in peripheral vascular surgery. Moreover, in these patients, the individual comorbidity of the patients and in particular the use of anticoagulant medication has a significant impact on the choice of the anesthetic technique.

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CHAPTER 3

Reduced incidence of chronic post-surgical pain after epidural analgesia for abdominal surgery

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ABSTRACT

Background: Chronic post-surgical pain (CPSP) is a common complication of surgery with a high impact on quality of life. Peripheral and central sensitization caused by enhanced and prolonged afferent nociceptive input are considered important mechanisms for CPSP. This case-control study investigated whether epidural analgesia, by blocking afferent input, can reduce the incidence of CPSP after open abdominal surgery.

Methods: Six months after surgery, Short-Form-36 Health Survey (SF-36) pain scores, possible predictors of chronic pain, and quality of life were assessed. Patients treated with epidural analgesia in combination with general anesthesia (epidural group, N=51) were compared to patients receiving general anesthesia alone (general anesthesia group, N=50). Multivariate analysis was performed by logistic regression analysis.

Results: 26 (25.7%) patients experienced chronic pain, 9 in the epidural group (17.6%), 17 in the general anesthesia group (34%), crude odds ratio (OR) 0.42 (95% confidence interval (CI) 0.16–1.05). After adjustment for the most prominent predictors of CPSP, such as age, sex, pre-operative pain and acute postoperative pain, the OR for chronic pain in the epidural group was 0.19 (95% CI 0.05–0.76).

Patients with CPSP reported a significantly lower quality of life compared to patients without CPSP (SF-36 total score median (IQR) 39.2 (27.2–56.7) versus 84.3 (69.9–92.5, $p<0.001$) and a lower level of long time global perceived recovery (70.0% (50.0–80.0) versus 90.0% (80.0–100.0), $p<0.001$).

Conclusion: Chronic post-surgical pain occurs in a significant number of patients six months after open abdominal surgery. Postoperative epidural analgesia may reduce the incidence of CPSP after abdominal surgery.

INTRODUCTION

In the last decade there has been growing interest in the occurrence, development, and prevention of chronic post-surgical pain (CPSP). CPSP is a serious complication of surgery with a potentially high impact on the quality of daily life.¹⁻³ It has been defined as pain related to a surgical procedure, extending beyond the time course of the natural healing process and persisting for at least two months. Other medical causes (e.g. recurrent malignancy or chronic infection) for persisting pain as well as a pre-existing pain condition should be ruled out.⁴ A high incidence of CPSP is reported after a broad spectrum of surgical procedures e.g. mastectomy 20 - 50%, amputation 50 - 85%, cardiac surgery 30 - 55%, hip replacement 12%, caesarean section 6 %, thoracotomy 5 - 65% and the chronic pain level is severe in 2 - 10 % of the patients.^{5, 6} For abdominal surgery in particular the estimated risk of CPSP is about 20%. (18% for gastrointestinal surgery,³ 5 - 32% for hysterectomies⁷⁻⁹). For laparoscopic procedures it may be less (5% for laparoscopic cholecystectomy¹⁰).

Epidural analgesia has become a popular analgesic technique for abdominal surgery with high efficacy and safety. However, the debate whether epidural analgesia improves outcome has not been settled.¹¹ With regard to development of CPSP insufficiently controlled acute postoperative pain is one of the best predictors of CPSP.¹² Furthermore, epidural analgesia has proven to be effective in perioperative pain management and is superior to systemic intravenous analgesia with opioids.¹³⁻¹⁶ Peripheral and central sensitization are considered important mechanisms in the etiology of CPSP.^{17, 18} By blocking afferent input before the surgical trauma, sensitization and CPSP might be prevented.^{19, 20}

Only a few reports exist on the effect of epidural analgesia in relation to the development of CPSP. In a study with a small group undergoing thoracic surgery, comparison of postoperative epidural analgesia alone to postoperative analgesia accompanied by intraoperative epidural analgesia showed epidural analgesia administered before surgery reduced the incidence of CPSP.²¹ For abdominal surgery, a randomized controlled study with 85 patients showed a beneficial effect on acute postoperative and long-term pain up to 12 months after surgery in patients who received perioperative epidural analgesia.²² However, all patients received combined therapy with ketamine intravenously. In a recent Cochrane review it was concluded that for thoracotomy epidural anesthesia may reduce the risk for CPSP six months after surgery. However, this conclusion cannot be extended to other surgical interventions or regional anesthesia techniques.²³

The present case-control study was designed to investigate whether the use of epidural analgesia in combination with general anesthesia can reduce the incidence of CPSP in open abdominal surgery six months after surgery. Furthermore, to determine which patients may benefit most from the procedure an analysis of predictors was performed.

METHODS

Design

In this case-control study, consecutive elective open abdominal surgery patients who either received 'epidural analgesia in combination with general anesthesia' or 'general anesthesia alone' were compared. This study was approved by the local Medical Ethics Committee and written informed consent from all participants was obtained.

Patients

The study was based on the database of a previously performed cohort study on in-hospital surgical patients.^{12, 24, 25} All patients scheduled for elective surgery under general or regional anesthesia in the Maastricht University Medical Center+ (MUMC+) were enrolled. Exclusion criteria were: age under 18 years, need for acute surgery, cardiac surgery or caesarean section, need for surgery requiring postoperative mechanical ventilation, and communicative or cognitive limitations interfering with pain measurements. Unless contraindicated, according to hospital guidelines, epidural anesthesia was offered during the preoperative assessment as an adjunct to general anesthesia to all patients undergoing open abdominal surgery.

Patients with epidural analgesia received bupivacaine 0.125% 4-8 mL.h⁻¹ with sufentanil 1 µg.mL⁻¹ added for patients younger than 70 years of age, according to the hospital protocol. In case of inadequate pain reduction (Visual Analogue Score >4) the epidural catheter was tested with a bolus dose of 5 mL lidocaine 1% and the maintenance dose was increased by 2 mL.h⁻¹. In case of failure, the treatment was individually adapted according to instructions provided by the staff anesthesiologist in charge of the acute pain service. In case of catheter dislocation or ongoing malfunctioning the catheter was removed. In general, the epidural catheter was removed on the second postoperative day, but if required the treatment was continued.

Patients with patient controlled intravenous analgesia (PCIA) using piritramide started with a bolus dose of 1mg, lock-out interval of 5 minutes and no background infusion. In case of inadequate pain relief the bolus dose was increased.

All patients were managed according to the institutional perioperative pain protocol. All patients received paracetamol (acetaminophen) 1000 mg, four times daily, combined with non-steroidal anti-inflammatory drugs (NSAIDs) unless contraindicated on regular basis. In case of severe postoperative pain intravenous loading with piritramide was provided at the recovery room. This analgesic regimen was supplemented by intramuscular injections of piritramide (0.1-0.3mg/kg), six times daily, on an "on demand" basis, if neither a continuous regional analgesia technique nor PCIA was applied.

Data collection started the day before surgery and was continued until Day 4 after surgery. Patients were asked to complete questionnaires and to keep a pain diary (pain

VAS three times a day). During hospital stay patients were visited two times a day by members of the study team. Six months after surgery, patients received a questionnaire booklet by post for follow-up data collection. After 14 days, in case of no reply, another questionnaire was sent.

For follow-up analysis 625 participants were available of whom 51 patients received epidural analgesia in combination with general anesthesia (epidural group) for abdominal surgery. All were selected as the index group. The reference group was extracted from the same cohort and consisted of 133 patients who all received open abdominal surgery under general anesthesia. From this group 50 patients were matched by type of surgery, sex and age (general anesthesia group). None of the patients in the epidural or general anesthesia group underwent other surgical procedures during the follow-up period. (Figure 1)

Baseline data and predictors of CPSP

Data were collected regarding sex, age, weight, ASA classification, pre-operative pain using the Short-Form-36 Health Survey (SF-36) bodily pain subscale, type of surgery, malignancy, duration of surgery, type of analgesia, medication use, adverse effects and length of hospital stay. The effect of several known potential risk factors of CPSP was evaluated.^{5, 6, 26, 27}

Postoperative pain scores at rest, movement and when coughing were recorded 3 times a day using the Visual Analogue Scale (VAS), ranging from 0 to 100, up to Day 4.²⁸ Maximum VAS was defined as the highest VAS score (at rest, movement, or when coughing) per day during the first four postoperative days.

Primary outcome measure: pain at six months

Pain at follow-up after six months was assessed using the SF-36 bodily pain subscale.²⁹ Pain intensity is measured on a 6-point scale from 1 (no pain) to 6 (very severe pain), and pain interference with normal work on a 5-point interference scale from 1 (no interference) to 5 (extremely) during the last four weeks. The SF-36 bodily pain subscale is recalculated according to the SF-36 manual to a scale ranging from 0 to 100 (0 = very severe pain, 100 = no pain). Chronic pain is defined as SF-36 bodily pain subscale score of 60 or less. This cut-off value of 60 is analogue to the performance indicator "postoperative pain" used by the Dutch Health Care Inspectorate in 2006.³⁰

Secondary outcome measures

Quality of life was assessed using the SF-36.²⁹ Furthermore, long-term recovery was estimated with an 1-item Global Surgical Recovery index (GSR) ranging from 0 to 100%.³¹

Figure 1. Flow chart of inclusion procedure

Statistical analysis

Baseline characteristics were analyzed using Student's-t distribution, Fisher's exact test, Chi-squared test, and the Mann-Whitney U test. Odds ratios (OR) for the development of CPSP were obtained by bivariate logistic regression analysis with a dichotomized SF-36 bodily pain subscale score using a cut-off point of 60 as dependent variable and baseline characteristics as independent variables.

To evaluate whether the use of epidural analgesia combined with general anesthesia reduces the incidence of CPSP, a stepwise logistic multivariate analysis was performed. Variables that showed a bivariate association with outcome ($p < 0.1$) were considered for multivariate analysis. Adjustment for potential differences in baseline characteristics was performed. Variables that did not contribute to a better model fit (Nagelkerke R^2 and block χ^2 (df)) were not entered in the final model. For multivariate analyses a p -value < 0.05 was considered as statistically significant. Analyses were performed with the Statistical Package for the Social Sciences (SPSS® version 16, Chicago, Illinois, USA).

RESULTS

Baseline data

Patient characteristics of both groups are shown in Table 1. Open general surgical, gynecological or urological abdominal procedures were performed. There were no significant differences between groups regarding age, sex, weight and ASA category as well as levels of pre-operative pain (VAS baseline and SF-36 pain). The pain scores (maximum VAS) on the day of surgery (Day 0) and the first postoperative day (Day 1) were significantly lower in the epidural group compared to the general anesthesia group. ($p < 0.001$) (Figure 2)

Primary outcome: pain at six months

Overall, 25.7% of the participants suffered from CPSP (SF-36 bodily pain score of 60 or less after 6 months): 9 patients (17.6%) in the epidural group and 17 patients (34%) in the general anesthesia group. (crude odds ratio 0.42 (95% confidence interval (CI) 0.16–1.05)) (Table 2)

Unadjusted logistic regression analysis with SF-36 bodily pain at 6-month follow-up as the dependent variable identified the following possible predictors: pre-operative SF-36 bodily pain ≤ 60 , maximum VAS ≥ 40 on Day 0, maximum VAS ≥ 40 on Day 2, maximum VAS ≥ 40 on Day 4, age ≥ 70 years, ASA III, and complications as reported by the patient. No relationship was found regarding malignancy, type, duration of surgery, or length of hospital stay. The main predictors are shown in Table 2.

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Table 1. Baseline data

Patient characteristics	Epidural (N = 51)	General anesthesia (N = 50)
Age (years)	57.2 (12.7)	52.7 (14.8)
Sex M / F	23/28	14/36
Weight (kilograms)	73.7 (9.7)	76.0 (18.8)
ASA I / II / III	12 / 28 / 11	18 / 19 / 13
SF-36 pain baseline	76 (50–100)	69 (45–100)
VAS pain baseline	1 (0–3)	2 (0–11)
Type of surgery:		
Hysterectomy	11	13
Gastro-intestinal	18	16
Nephrectomy	4	4
Prostatectomy	6	2
Other	12	15
Epidural catheter		
Thoracic / Lumbar	41 / 10	NA
Sufentanil yes / no / missing	34 / 16 / 1	NA
Duration > 2 days / ≤ 2days	26 / 25	NA
Postoperative piritramide		
PCIA / IM	NA	11 / 39

M / F = male / female, ASA Class = American Society of Anesthesiology Classification, SF-36 pain = Short-Form-36 Health Survey bodily pain score (0–100), VAS = Visual Analogue Scale (0–100), Mean (SD), median (25th–75 percentile (IQR)), or number. NA not applicable. PCIA = patient controlled intravenous analgesia, IM = intramuscular.

Table 2. Main predictors of CPSP

Predictors		<i>p</i>	Crude OR (CI)	<i>p</i>	Adjusted OR (CI)
Anesthesia	Epidural vs General	0.064	0.42 (0.16–1.05)	0.019	0.19 (0.05–0.76)
SF-36 pain baseline	≤60 vs >60	<0.001	10.43 (3.59–30.30)	<0.001	20.83 (4.92–88.22)
Sex	M vs F	0.822	0.90 (0.36–2.26)	0.114	0.32 (0.08–1.31)
Age (years)	>70 vs ≤70	0.004	5.14 (1.68–15.79)	0.005	11.46 (2.06–63.58)
ASA	III vs I/II	0.012	3.50 (1.31–9.33)		NE
Max VAS Day 2	≥40 vs <40	0.022	3.91 (1.22–12.50)	0.019	6.54 (1.36–31.42)
Malignancy	No vs Yes	0.181	2.10 (0.71–6.23)		NE
Complications	No vs Yes	0.033	0.31 (0.10–0.91)		NE
Duration of surgery (hours)	≥2 vs < 2	0.167	1.59 (0.82–3.05)		NE

vs = Versus, SF-36 pain = Short-Form-36 Health Survey bodily pain score (0–100), M/F = male/female, VAS = Visual Analogue Scale (0–100), SD = standard deviation, ASA Class = American Society of Anesthesiology Classification, OR = odds ratio, CI = 95% confidence interval, NE = not entered in the final model.

Figure 2. Maximum VAS pain Days 0–2

VAS = Visual Analogue Scale (0–100). White columns: general anesthesia group; shaded columns: general and epidural anesthesia group. Day of surgery = postoperative Day 0, first postoperative = Day 1, and second postoperative day = Day 2. Values are median (95% confidence interval), * $p < 0.001$.

Consecutive stepwise logistic regression with the dependent variable SF-36 bodily pain score was performed. Type of anesthesia was evaluated in the first step, followed by age, sex, and pre-operative SF-36 bodily pain in step 2 (Nagelkerke R^2 0.466, block χ^2 (3) 33.18, $p < 0.001$). In step 3, maximum VAS on Day 2 was added (Nagelkerke R^2 0.533, block χ^2 (1) 6.11, $p = 0.01$). Even though the bivariate logistic regression analysis with the maximum VAS on the day of surgery and Day 4 showed a significant association with CPSP, they were not entered in the model because they did not contribute to a better model fit. Finally, in step 4 we analyzed ASA classification and complications reported by patients which did not significantly contribute to a better model fit. (Nagelkerke R^2 0.550, block χ^2 (2) 1.73, $p = 0.422$) After adjustment for age, sex, pre-operative SF-36 bodily pain, and maximum VAS on Day 2 the OR for chronic pain after 6 months in the epidural group was 0.19.(95% CI 0.05–0.76) (Table 2)

Secondary outcomes

The quality of life (SF-36 total score, scale 0–100) at follow-up were not significant different for both groups: 74.6 (50.9–90.1) for the epidural group and 77.4 (50.9–89.7) median (IQR) for general anesthesia. Also no effects were found for both groups on long time global perceived recovery.

Patients who were classified as CPSP reported a significantly lower quality of life (SF-36 total score) compared to patients without CPSP: 39.2 (27.2–56.7) versus 84.3 (69.9–92.5, $p<0.001$). They also had a lower level of long time global perceived recovery: (70.0% (50.0–80.0) versus 90% (80.0–100.0), $p<0.001$).

DISCUSSION

In this case-control study, the use of perioperative epidural analgesia after open abdominal surgery showed an association with reduced incidence of CPSP at six months.

Studies on the effect of epidural anesthesia compared to general anesthesia with regard to the occurrence of chronic pain are scarce. In patients receiving thoracotomy epidural anesthesia may reduce the risk of CPSP 6 months after surgery.³² Surgery is associated with hyperalgesia and allodynia.³³ The concomitant inflammatory response may lead to an enhanced, more intense or longer lasting pain perception.³⁴ Enhanced and prolonged afferent nociceptive input is a common factor in models explaining the transition from acute to chronic pain.^{26, 33, 35, 36} This input may cause structural changes in the spinal cord as well as in various structures in the brain. Also a very intense noxious stimulus is known to provoke such changes.³⁷ Considering the above, we investigated whether epidural analgesia, by blocking afferent input, can reduce the incidence of CPSP after abdominal surgery. Furthermore, if high VAS scores (at rest, after movement or when coughing) during the first four postoperative days are predictive for CPSP.

The results of this study support the conclusions of Lavand'homme who described a significant reduction in CPSP after abdominal surgery with either spinal anesthesia or perioperative epidural analgesia.^{20, 22} Katz et al. showed reduced hyperalgesia and reduced morphine use with pre- but not with intra-operative use of epidural analgesia,¹⁹ and reported reduced pain disability at 3 weeks but not at 6 months after major gynecologic surgery.³⁸ Haythornthwaite found no significant differences between general and epidural anesthesia regarding CPSP six months after abdominal surgery.³⁹ Possible reason for different findings is that epidural analgesia it is not always effective. Incorrect placement of catheters, catheter migration and asymmetric spread of local anesthetics, inaccurate puncture level, and technical problems with the catheter are well known causes for treatment failures.⁴⁰

In our study, epidural analgesia resulted in better acute pain treatment compared with general anesthesia only. This efficacy of epidural analgesia for acute pain relief is in accordance with the literature.^{13, 14, 41, 42}

The SF-36 bodily pain subscale was used to assess a composite score of pain intensity along with interference with daily work. The overall incidence of CPSP in our study group was 25.7%. This overall incidence is in line with previously reported studies.^{3, 8, 9, 43} In the epidural group in our study we found a 17.6% incidence of CPSP; in the general anesthesia group it was 34%. Furthermore, patients with CPSP reported a low quality of life and incomplete recovery. This confirms that CPSP is a clinically relevant problem.

This long-term follow-up study revealed that the predictors of the development of CPSP are: acute postoperative pain, age ≥ 70 years, ASA III, and complications reported by the patient. No relationship was found regarding sex, length of hospital stay, malignancy, and type or duration of surgery. The maximum pain score on the second postoperative day was a better predictor in our final model than the pain score on any other day. This could be due to the fact that in our study group, half of the epidural catheters were removed on the second postoperative day. The question remains whether the incidence of CPSP will decrease by increasing the duration of epidural pain treatment. Young age is a known predictor of CPSP.^{12, 44} However it was no significant predictor of CPSP in our population. In fact older patients suffered more from CPSP. Maybe the relative high mean age of the study population is attributable to this effect.

A limitation of the current study was that the concept of chronic pain was not elaborated to the fullest extent: a characterization of the pre- and postoperative pain in terms of type, duration, intermittence, and location could have provided additional information. The study group was heterogeneous regarding surgical procedures and anesthesia technique, but the postoperative pain treatment was strictly according to an established hospital protocol, monitored by the acute pain service. Furthermore patients with other types of surgery in the intervening period were excluded. In addition, the effect of tumor recurrence was not assessed, nor was the effect of postoperative chemo- or radiotherapy. However, the study groups were comparable with regard to malignancies.

One of the strengths of this study is that we accounted for pain intensity as well as pain interference by using the SF-36 bodily pain subscale six months after surgery. Additionally, all data were gathered prospectively.

Chronic post-surgical pain occurs in a significant number of patients six months after abdominal surgery. Postoperative continuous epidural analgesia is associated with a significantly reduced risk of CPSP. Best predictors of CPSP are pre-operative pain, age > 70 , and a high level of acute pain on Day 2. The occurrence of CPSP is accompanied by perceived low quality of life and incomplete recovery.

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CHAPTER 3

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CHAPTER 4

Continuous paravertebral block for postoperative pain compared to general anesthesia and wound infiltration for major oncological breast surgery

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ABSTRACT

We hypothesized that improved acute postoperative pain relief will be achieved using general anesthesia (GA) either in combination with continuous thoracic paravertebral block (GA-cPVB) or single shot (GA-sPVB) as compared to GA supplemented by local wound infiltration (GA-LWI) after unilateral major breast cancer surgery.

A randomized controlled trial was conducted in 46 adult women in a day-care or short-stay hospital setting after major breast cancer surgery. Pain-intensity was measured using an 11-point visual analogue scale (VAS) until postoperative day 2. GA-sPVB was stopped due to slow inclusion.

No significant difference in VAS score was noted between GA-LWI (VAS median 0.5 (interquartile range 0.18–2.00)) and GA-cPVB, (VAS 0.3 (0.00–1.55, $p = 0.195$)) 24 hours after surgery or at any point postoperatively until postoperative day 2.

We conclude that both GA-LWI and GA-cPVB anesthetic techniques are equally effective in treatment of acute postoperative pain after major oncological breast surgery. As GA-LWI is easily to perform with fewer complications and it is more cost-effective it should be preferred over GA-cPVB.

INTRODUCTION

Optimal acute postoperative pain relief after major surgical breast surgery is still a matter of debate. After major oncological breast surgery patients still suffer from acute postoperative pain. Data of our own patient population¹ showed that 22 % of the patients reported mean VAS of >40 (of a scale 0-100) on the first postoperative day after major breast surgery. This was confirmed in a recent cohort study with a reported mean pain score of 3.82 (SD 2,47) on the postoperative day 1.^{2, 3} In this context, a paravertebral block (PVB) which provides a unilateral segmental nerve block is advocated as the technique of choice for breast surgery.⁴ Previous studies observed improved acute postoperative pain management,⁵⁻⁹ less nausea,⁶⁻⁸ faster recovery from anesthesia,⁶ earlier hospital discharge,⁸ and reduced incidence of chronic postoperative pain when PVB is used.¹⁰ The lower incidence of postoperative nausea and vomiting (PONV) and the faster recovery makes PVB an attractive analgesic approach to day care surgery, as a significant amount of the surgical procedures are currently performed in this setting. (in the Netherlands 51%¹¹) The majority of studies only compared general anesthesia (GA) with PVB and systemic pain therapy with the use of intravenous opioids. However, in daily practice local wound infiltration (LWI) with local anesthetics is commonly used complementary to systemic analgesics for postoperative pain relief.¹²⁻¹⁴ Therefore, the use of GA with PVB (GA-PVB) should be compared to GA with LWI (GA-LWI).

In this study, the primary objective was to determine analgesic effects of a GA combined with PVB as compared to GA with LWI in patients undergoing major breast surgery in day or short stay hospital setting. We hypothesized that better acute postoperative pain relief 24 hours after surgery (Day 1) could be achieved using GA-PVB as compared to GA-LWI.

METHODS

This study was carried out in compliance with the Helsinki Declaration. Following approval by the medical ethics committee of Maastricht University Medical Center+ (reference number MEC 05-105), written informed consent and registration at www.clinicaltrials.gov identifier: NCT00547989, patients were included in a prospective, open, randomized controlled trial. The study population consisted of adult women scheduled for one-sided, major breast cancer surgery. Surgical procedures included wide local excision (WLI), mastectomy and modified radical mastectomy (MRM) Sentinel node procedure, axillary dissection, or immediate prosthetic breast reconstruction was mandatory in case of WLI and optional in case of mastectomy or MRM.

All patients were ASA class I or II and planned for day care or short stay surgery. Exclusion criteria were as follows: contra-indication for regional anesthesia, coagulation

disorders, infection at point of insertion, infection in thoracic cavity, tumor in paravertebral area, history of pleurectomy, and history of allergic reaction to contrast medium or local anesthetics.

Patients were randomized in two phases, using a computer generated list. First, patients were assigned to GA plus local wound infiltration (GA-LWI) or GA plus PVB (GA-PVB). Secondly, patients in the PVB group were then randomized either in a subgroup with single shot PVB (GA-sPVB) or in a subgroup with continuous PVB (GA-cPVB) using a paravertebral catheter and patient controlled analgesia.

Patients in groups GA-sPVB and GA-cPVB received a thoracic paravertebral block preoperatively according to a standard technique described in detail elsewhere.^{9, 15} A member of the study group (either EB or HG) performed all procedures. Briefly, a 20 Gauge catheter (B.Braun Melsungen AG, Melsungen, Germany) was inserted 3 cm into the paravertebral space at thoracic level 3-4, using an 18 Gauge Tuohy cannula needle. After a test dose of 3 ml ropivacaine 0.75%, a total dose of 0.25 ml/kg ropivacaine 0.75% was injected. Postoperatively, the position of the catheter was confirmed by thoracic X-ray and injection of 2-3 ml contrast medium (Iohexol 240 mg I/ml, Omnipaque® GE Healthcare B.V. The Netherlands) via the catheter.

Induction of GA was performed with propofol 2-3 mg/kg and sufentanil 0.1-0.2 µg/kg at induction, rocuronium 0.6 mg/kg to facilitate endotracheal intubation or laryngeal mask airway. Maintenance of anesthesia was performed according to hospital practice in general with sevoflurane/air (0.9-1.3 MAC) and additional boluses of sufentanil as clinically deemed necessary.

Surgery was performed by or under close supervision of a dedicated staff surgeon.

Patients in group GA-cPVB received continuous infusion of ropivacaine 0.2% at 5ml/h plus an optional patient controlled bolus of 5 ml (lock-out interval: one hour) (Easypump® RA 400-5 PCA, B. Braun Melsungen AG, Melsungen, Germany). Adjunct postoperative analgesia in all groups consisted of paracetamol (4x1000mg) fixed dose and a non-steroidal anti-inflammatory drug (NSAID) (naproxen or diclofenac) in combination with piritramide and ondansetron as required. Day care patients were allowed to stay overnight in case of delayed recovery.

Patient baseline characteristics age, length, weight, ASA classification, and surgical data were recorded. At the PACU, vital signs on arrival and nausea (Numeric Rating Scale, NRS 0-10) were registered. The primary outcome measure, postoperative pain, was measured using a visual analogue scale ranging from 0 to 10 (VAS). A pain score of less than 4 on the VAS was considered as sufficient for postoperative analgesia.¹⁶ Postoperative pain was measured on arrival at the PACU, on discharge from the PACU, and from then on three times per day (at 8.00-14.00-20.00 hr.) for two postoperative days. After discharge from the PACU the patients used a pain diary to record the pain scores. In addition, patients were asked to report the use of analgesics as well as the overall satisfaction with pain treatment (5-point-verbal rating scale). Initially a GA-sPVB patient group was planned and included in which the paravertebral catheter was

removed at the PACU after an additional dose of 10 ml ropivacaine 0.2%. However, due to very low inclusion rate of patients in the GA-sPVB group the Medical Ethical Committee suggested to stop the inclusion of patients into this group.

Patients in group GA-LWI received local wound infiltration with 10 ml bupivacaine 0.25% before wound closure according to the standard procedure for extended mamma surgery as used in our hospital. (MUMC+, the Netherlands)

In order to detect a 1.5 (SD 1.5) VAS pain score difference at 24 hours after surgery⁶ with a power of 80% and a significance level of 5%, our analysis revealed that 16 patients needed to be included per group. Assuming a drop-out of 10 %, we decided to include 18 patients per group.

Baseline data and secondary outcomes were analyzed using student's t-test and Fisher Exact Tests for parametric data, Mann Whitney U-tests for non-parametric data, and Chi-square tests for categorical data. Multivariate analysis of the primary VAS score outcome was performed using a multilevel linear model. Differences between GA-LWI and GA-cPVB as a function of time were assessed with the intervention and time as fixed effects. Interaction between treatment group and time was assessed.

Adjustment for covariates, age, ASA-classification, perioperative opioid use, and type of surgery was entered in the model. For the primary outcome measure, substitution of missing data was not performed as the multilevel linear model is sufficiently robust in handling missing data.

All data were analyzed according to the intention to treat principle, using the Statistical Package for the Social Sciences (SPSS® version 18, Chicago, Illinois, USA). A *p* value < 0.05 was considered statistically significant.

RESULTS

Trial recruitment was scheduled from October 2006 to April 2011. A total of 449 patients were screened. The proportion of eligible patients was 53% (238) of whom 19% (46) gave informed consent. (Figure 1) An interim analysis was performed in October 2009. Due to low inclusion rate it was decided to exclude the GA-sPVB group from analysis. The present analysis is therefore based on a total of 36 patients: GA-cPVB (*n*= 18) and GA-LWI (*n*=18). No relevant significant differences were noted between groups with regard to baseline characteristics or type of surgery. (Table 1) Patients in the GA-LWI group received significantly more opioids intraoperative than patients in the GA-cPVB group. (Table 1)

There was no significant difference in the primary outcome parameter VAS score between GA-LWI (VAS median 0.5 (0.18–2.00)) and GA-cPVB, (VAS median 0.3 (0.00–1.55, *p*=0.195)) 24 hours after surgery. No difference in VAS score between GA-LWI and GA-cPVB was noted at any time point postoperatively until postoperative day 2. (Figure 2)

Figure 1. Consort flow chart

GA-LWI: general anesthesia and local wound infiltration, GA-sPVB: general anesthesia and single shot paravertebral block, GA-cPVB: general anesthesia and continuous PVB.

Table 1. Population characteristics and intraoperative data

	GA-LWI n=18	GA-cPVB n=18	<i>p</i> value
Age (years)	57.9 (13.8)	60.9 (12.7)	0.52
Length (cm)	166.7 (6.9)	165.6 (4.2)	0.55
Weight (kg)	70.8 (17.5)	67.4 (9.43)	0.47
ASA I / II	9 / 9	5 / 13	0.17
Airway TT/LMA	11/7	10/8	0.74
Total dose sufentanil (µg)	33.1 (10.6)	25.1 (9.5)	0.024
Intraoperative infusion (ml)	1194 (300)	1428 (379)	0.048
Duration of anesthesia (hours)	2.50 (0.69)	2.57 (0.66)	0.78
Duration of surgery (hours)	1.98 (0.61)	2.09 (0.58)	0.58
Type of surgery			0.25
Lumpectomy / Ablatio / MRM +/- sentinel node	12	7	
Ablatio / MRM + Axillary node	3	6	
Ablatio / MRM + Plastic surgery +/- Axillary node	3	5	

GA-LWI: general anesthesia and local wound infiltration, GA-cPVB general anesthesia and continuous PVB, TT: tracheal tube; LMA: laryngeal mask airway

MRM: modified radical mastectomy. Values are numbers or mean (SD).

Moreover, no significant differences between GA-LWI and GA-cPVB were noted in the number of patients that used opioids on the day of surgery, although the amount of opioids used was significantly lower in GA-cPVB as compared to GA-LWI. (Table 2) This difference in the amount of opioids per patient was not associated with a difference in the incidence of postoperative nausea or the need for anti-emetic drugs in the PACU. Patient satisfaction questionnaire revealed equal results in GA-LWI and GA-cPVB. (Table 2)

The placement of the paravertebral catheter was successful in all patients. A vascular puncture occurred in one patient. The median indwelling time from start of surgery was 43.3 (IQR 41.7-46.3) hours.

Analysis of the course of pain on postoperative Days 1 and 2 using the multilevel linear model with VAS pain score as a function of time (hours) as the dependent variable revealed that the effect on postoperative pain did not differ between GA-cPVB and GA-LWI.

The postoperative time course (hours) and the interaction between intervention and time were significant predictors of postoperative VAS-pain score in both GA-LWI and GA-cPVB. (Table 3)

Figure 2. Postoperative pain scores

Values are VAS pain, median IQR. PACU: post anesthetic care unit.

Table 2. PONV, postoperative opioid use and satisfaction with analgesic treatment

	GA-LWI n=18	GA-cPVB n=18	p value
PACU Nausea at discharge	0.9 (1.4)	1.0 (1.5)	0.82
PACU anti-emetic use (yes / no / missing)	13 / 4 / 1	14 / 4 / 0	1.00
Opioid use day 0 (yes / no / missing)	7 / 10 / 1	6 / 12 / 0	0.63
Piritramide day 0 (mg)	7.5 (0-26)	1.5 (0-8)	0.03
Satisfaction with treatment			0.87
Bad - Moderate	0	1	
Good	2	2	
Very good - Excellent	13	14	
missing	3	1	

GA-LWI: general anesthesia and local wound infiltration; GA-cPVB: general anesthesia and continuous paravertebral block. Values are numbers, mean (SD), or mean (range).

Table 3. Multilevel analysis parameter estimates of fixed effects

Parameter	Estimate	95% CI		<i>p</i> value
		Lower Bound	Upper Bound	
GA-cPVB	-0.283	-1.287	0.721	0.57
Time after surgery	-0.052	-0.064	-0.039	0.000
GA-cPVB* Time	-0.023	-0.041	-0.005	0.012
Age	0.081	-0.027	0.043	0.64
ASA	-0.223	-1.073	0.628	0.60
Additional axillary dissection	0.184	-0.847	1.215	0.72
Additional immediate prosthetic breast reconstruction	0.715	-0.522	1.952	0.25
Total dose sufentanil	0.130	-0.030	0.056	0.54

GA-cPVB: general anesthesia and continuous paravertebral block, cPVB * Time: interaction effect between intervention and time after surgery.

Initially, VAS-pain score decreased rapidly; thereafter a slight decrease in pain intensity was observed which lasted several hours, for both groups. (Figure 2) The average reduction of 0.5 on VAS at postoperative day 1 is attributed to continuous paravertebral block using PCA. Assessment of potential confounding factors like age, ASA classification, and type of surgery did not reveal significant effects (Table 1)

Thoracic X-ray confirmed the correct position of the paravertebral catheter and revealed no pneumothorax. The contrast medium mainly spread along the thoracic paravertebral space (n=11) a cloud like pattern (n=3) an intercostal spread (n=2) or a combination of paravertebral and intercostal spread (n=2). (Figure 3)

Figure 3. A and B Examples radiographic contrast medium spread in patients with continuous paravertebral block A: spread in thoracic paravertebral space. B: Intercostal spread of radiographic contrast medium.

We observed only minor complications after GA-cPVB: minor bleeding at the puncture site in one patient, premature catheter dislocation in another patient. Furthermore, three patients complained about back pain, and one patient was not capable of pushing the PCA button.

DISCUSSION

The results of the present study suggest that general anesthesia combined with local wound infiltration (GA-LWI) and continuous paravertebral infusion of local anesthetics (GA-cPVB) are equally effective in acute postoperative pain relief. Patients receiving GA-cPVB used a significantly lower amount of systemic opioids as compared to patients in GA-LWI group. The increased amount of systemic opioids was, however, not associated with an increased incidence of postoperative PONV in group GA-LWI.

In the present study, correct placement of the paravertebral catheter was confirmed via chest X-Ray after surgery. Furthermore, PVB was performed by two members of the study team (EB, HG), in a standardized manner that contributed to the high success rate of the technique. A basic analgesic regimen was prescribed for all patients and surgery was performed by or under close supervision of a dedicated staff surgeon.

The main limitation of our study was the slow inclusion rate and a low proportion of screened patients suitable for randomization, as earlier reported.¹⁷ Another limitation might be the fact that the study was not performed in a blinded fashion. In this context it is important to note that due to the potentials risk of the PVB procedure and the eventual burden for study participants, sham PVB was not considered an option.

Relatively low pain scores were noted in both GA-LWI and GA-cPVB groups on postoperative day 1. These low pain scores, in particular in the GA-LWI group, were not expected as a previous study of our group¹ reported 22 % of the patients having a mean VAS at rest >40 (scale 0-100) on the first postoperative day.¹

The combination of assistance of an oncological support team (mamma-care nurse), a dedicated study team and a motivated patient group may have been contributed to these low pain scores at postoperative day 1. Moreover all patients received a basic analgesic regimen including paracetamol (4x1000mg) fixed dose and a non-steroidal anti-inflammatory drug (NSAID) (naproxen or diclofenac) in combination with piritramide and ondansetron as required.

Median and worst pain scores in patients undergoing different surgical procedures were analyzed recently in two cohort studies.^{2, 3} In the subgroup of patients undergoing breast surgery, mean numeric rating scale (NRS) was 3.26 and 2.98 for major and minor breast surgery, respectively. These cohort studies did not present detailed information on the use of PVB or LWI nor is there information provided on the pain scores 24 hours after surgery.^{2, 3} Nevertheless, we conclude that patients in our study showed postoperative VAS scores comparable to those reported for the general population

undergoing this type of surgery. Moreover, three RCTs addressed the same issues. Continuous wound infiltration was compared with single injection paravertebral block and low absolute postoperative VAS-pain scores in both groups up to 24 hours after surgery was reported.¹³ These results are in line with the present study where absolute postoperative VAS-pain scores in both GA-cPVB and GA-LWI groups were low and were comparable between the groups. It should be stressed that in the study of Sidiropoulou¹³ both groups received more systemic opioids after surgery than patients from the present study. Systemic opioid use is commonly associated with an increased incidence of nausea and vomiting¹⁸ a finding that, however, cannot be supported by the results of the present study.

The results of the present study and those presented by Sidiropoulou¹³ differ from a number of studies in which GA alone and GA-PVB were compared. For instance, no difference between groups was found when effects of single shot PVB alone, combined with continuous PVB and placebo was studied in 74 patients undergoing breast surgery.¹⁷ The latter study includes, however, some major limitations. Not only the PVB technique used was a mixture of a single shot and a multilevel approach, also the assignment of patients to the 3 groups was stratified by surgery class, and patients were deeply sedated during the procedure and conversion to GA was necessary in 12 % of the patients. Furthermore 21 patients with incomplete data were excluded from the analysis.¹⁷

Most recently, an interesting randomized controlled study¹⁹ was published which compared multilevel PVB/total intravenous anesthesia (TIVA) and a balanced volatile anesthetic technique. Here lower pain scores and improved recovery scores were reported in the PVB/TIVA group as well as a reduced incidence of nausea and vomiting. Comparison with the data from our study is difficult as study designs considerably differ. In the study of Abdallah¹⁹ patients in the volatile general anesthesia group also received nitrous oxide, whereas patients in the TIVA group received propofol and oxygen in air. Both, volatile anesthetics and nitrous oxide can contribute to the increased incidence of nausea and vomiting and can, at least in part, explain the lower recovery scores in the general volatile anesthesia group as noted in this study.¹⁹ Even more important, the patients received no local wound infiltration and no basic analgesic regimen. Interestingly, median pain scores in the PVB group¹⁹ were comparable to the results of the present study when patients met the discharge criteria from the PACU, suggesting that LWI, cPVB and multilevel single shot PVB are comparable with respect to peri-operative pain scores.

In a large observational single institution study patients with breast surgery not undergoing early reconstructive surgery, no differences in nausea, vomiting and postoperative pain scores were observed.²⁰ However hospital charts of patients were retrospectively analyzed.

The efficacy and safety of paravertebral blocks in breast surgery was calculated based on a meta-analysis of randomized clinical trials.²¹ In this meta-analysis, 15 RCTs

were included with a total of 877 patients. Then significant differences in pain scores in the initial period (< 2h) as well as up to 48 hours for both the combination of PVB and general anesthesia vs. GA alone were reported.²¹ The observation that the funnel plot showed asymmetry might be of significant importance and suggests publications bias regarding negative study results.

Although the direct postoperative VAS scores at PACU arrival in our study were somewhat higher, we noted that VAS values dropped consistently in both GA-cPVB and GA-LWI groups. From this we may conclude that LWI is a cost effective and low risk procedure and seems to be comparable to the PVB approach.

The results of our study are encouraging as LWI is easy, readily available and has almost no side effects. It is less invasive than other regional techniques like paravertebral and interpleural blocks²² and there is no need for any technical device or follow up for catheter removal. From our study we tentatively conclude that in contrast with more painful procedures the wearing off of local anesthetic effect after wound infiltration is not a major determinant for pain during the next days.

We have demonstrated that both GA-LWI and GA-cPVB techniques were effective in treatment of acute postoperative pain after major oncological breast surgery. As GA-LWI is easily to perform with fewer complications and it is more cost-effective it should be preferred over GA-cPVB. A possible additional value of continuous paravertebral block in more painful extended procedures has to be investigated.

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Conflict of interest

The Department of Anaesthesiology and Pain Management, Maastricht University Medical Center+, the Netherlands received payments from Grünenthal GmbH for consultancy provided by M. Marcus.

The other authors declare that they have no conflict of interest.

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CHAPTER 5

Boundaries of the thoracic paravertebral space: potential risks and benefits of the thoracic paravertebral block from an anatomical perspective.

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Submitted

ABSTRACT

Background: Thoracic paravertebral block (TPVB), may be an elegant alternative to thoracic epidural analgesia . In order to further understand not only the effect of the TPVB but also its possible clinical consequences, a detailed description of the anatomical boundaries and the thoracic paravertebral space (TPVS) is important. Hence, the aims of this cadaver study were: (1) to determine the anatomical boundaries of TPVS in human thorax specimens, (2) to describe the observed spread of fluid-like substances injected under ultrasound (US) guidance in the TPVS.

Methods: In two formalin-fixed thorax specimens' stratification of several layers of the TPVS was dissected, observed and photographed. In a third unembalmed human trunk, after ultrasound-guided identification of the TPVS, liquid catalyzed red plastic and methylene blue dye were injected.

Results: Our anatomical data show that TPVS communicated with all surrounding structures including the dorsal intercostal compartments, showing a segmental partition. Sub-division of TPVS in a sub-endothoracic and an extra-pleural compartment by the endothoracic fascia could not be confirmed. Injected plastic and dye were observed posteriorly to the costo-diaphragmatic recess and showed segmental intercostal spread.

Conclusions: The anatomical boundaries of the TPVS are relative borders as the TPVS does communicate with all surrounding neurological structures .This anatomical study indicates that the clinical effects and side-effects of the TPVB are related to a direct penetration of local anesthetics into the surrounding neurological structures.

What we already know about this topic

The TPVB is described with definite anatomical boundaries and is divided into an anterior and a posterior compartment by the endothoracic fascia. Injection of an aqueous dye solution shows pre-, paravertebral and intercostal spread over multiple segments.

What this article tells us that is new

The division of the TPVB into an anterior and a posterior compartment is considered to be artificial.

A part of the effect of the TPVB can be attributed to the intercostal spread of a local anesthetic. Clinical effects and side-effects of TPVB are most likely related to a direct penetration of local anesthetics into the surrounding neurological structures.

INTRODUCTION

The paravertebral block (PVB) is a regional anesthetic technique which revived after the publication of Eason and Wyatt¹ and became very popular especially for thoracotomy,^{2, 3} breast surgery,⁴⁻⁸ and inguinal hernia repair⁹⁻¹¹ during the last 10 years. It may be an alternative to thoracic epidural analgesia as it is as effective as epidural analgesia and has less complications.³ Additionally it is more effective than local wound infiltration.¹²

The thoracic paravertebral space (TPVS) is commonly described as triangular-shaped in transverse cross-section or wedge-shaped in 3D lying positioned bilaterally alongside the whole length of the thoracic vertebral column. The TPVS is filled with fat and is traversed by the dorsal branches, ventral branches, communicating branches, intercostal nerves and blood vessels, (hemi) azygos vein, thoracic duct and sympathetic chain.^{1, 13, 14}

The posterolateral aspect of the vertebral column forms the base of the TPVS. The apex of the TPVS communicates with the intercostal space laterally. The anterolateral boundary is formed by the parietal pleura and the posterior boundary by the transverse process of the vertebrae, the head and neck of the ribs together with their interconnecting musculoaponeurotic tissues.^{15, 16} The musculoaponeurotic system is formed by the superior costotransverse ligament¹⁷ and the aponeurosis of the internal intercostal muscle. The psoas muscle at L1 is considered to be the caudal boundary of the TPVS.¹⁸ A cranial boundary is not described.

Although described with these definite anatomical boundaries, the question remains if the paravertebral space is as anatomically isolated space as assumed. In cadaver studies with contrast dye this contrast enclosed somatic and sympathetic nerves in the epidural, prevertebral, paravertebral and intercostal spaces.¹⁵ Even dispersion into the abdomen through medial and lateral arcuate ligaments arching over the psoas major muscle was shown.¹⁹ In addition radiological studies with contrast medium^{20, 21} and methylene blue²² showed cranial to caudal spreading of contrast along the paravertebral space, an intercostal dispersion pattern, a combination of both and an intrapleural or cloudy pattern. In a combined radiological and computer tomography study²³ the radiological contrast remained restricted to the TPVS in only 18 % of the cases.

Aims of this anatomical cadaver study were:

- To determine the anatomical boundaries of the TPVS in human thorax specimens by dissection of the several tissue layers from the inside to the outside.
- To describe the observed spread of fluid-like substances injected under ultrasound guidance in the TPVS. In this cadaver study plastic and dye are used as a model to mimic the dispersion of anesthetic injection fluid.

The observed findings are discussed in relation to clinical relevance.

MATERIALS AND METHODS

For this study we used parts of three human cadavers: two formalin fixed thorax specimens and one un-embalmed fresh-frozen thawed trunk. Handwritten and signed codicils from the donors, as required by the Dutch law on body donation for scientific research, are kept at the Department of Anatomy and Embryology, Faculty of Health, Medicine and Life Sciences, Maastricht University, the Netherlands.

Systematic dissection of formalin fixed thorax specimen

The formalin fixed thorax specimens were positioned in supine position and were dissected from the inside to the outside. The anterior thoracic wall, inner organs, major blood vessels, esophagus and trachea were removed. The specimens were rinsed in running tap water. In each specimen, the stratification of the several layers from the inside to the outside was dissected, observed and photographed.

Ultrasound-guided identification of TPVS in the fresh-frozen trunk

The un-embalmed cadaver was positioned in prone position. The level of needle insertion was determined and the TPVS was localized by ultrasound using a linear array transducer of 12-5 MHz with an IU 22 ultrasound system (Philips). The sonographic orientation was standardized as described below. First, the ribs were identified from caudal to cranial and the different levels were marked..

Second, the probe was moved in a sagittal plane from lateral to medial along a specific rib. Third, the ultrasound operator identified the costo-transverse joint. Fourth, the probe was moved in a para-median and oblique direction, in a way that the ultrasound image on the proximal-cranial-medial section showed the costo-transverse transition with the rib of one level lower on the distal-caudal-lateral part. Using this procedure, it should be possible to identify the paravertebral space, the pleura and the aponeurosis of the internal intercostal muscle.

Injection of plastic and dye in the TPVS in the fresh-frozen trunk

Plastic and dye were used to model the dispersion of anesthetic injection fluid in the TPVS. To our knowledge, injection of plastic into the TVPS was not described earlier; therefore we decided to compare injection of plastic with that of methylene blue dye.¹⁵ To overcome extensive plastic and methylene blue dispersion over multiple intercostal levels, which was describe earlier,¹⁵ ,three levels several segments apart from each other at the left side and one level at the right side were selected. The TPVS was localized at the caudal inferior margins of rib 4, 6 and 10 on the left side and of rib 8 at the right side. An 18 g Tuohy needle was inserted in plane to the TPVS, with the bevel

oriented to the cranial side. (Figure 1) After confirming the needle position sonographically and by hydro dissection with a small amount of water (< 1, 0 ml), 8-10 ml of liquid catalyzed red plastic (Biodur™ epoxyresin E20, Biodur™ hardener E2) was injected on the left side. On the right side an epidural catheter was inserted and through this catheter 5 ml methylene blue dye was injected. The physician operating the ultrasound

Figure 1. Ultrasound-guided injection.

A: External view (posterior) of unembalmed cadaver specimen showing ultrasound probe position B: Ultrasound-image (paramedian and oblique view) CTJ: costotransverse joint, N: needle, P: pleura, PSM: paraspinal muscle, SP: spinal column, TPVS: thoracic paravertebral space

machine fixed the probe and needle and a second person performed the injection. In order to allow the plastic to cure, anatomical dissection was postponed until the next day.

Dissection of the TPVS was performed from posterior and anterior and locations of dye, plastic and needles were identified. Because the cadaver had to be turned for the anterior dissection it was necessary to cut the Tuohy needles.

The process of sonography-guided dye and plastic injection and posterior and anterior dissection was registered in photographs.

To consolidate tissues for further dissection and registration of the TPVS structures in detail it was necessary to fixate the un-embalmed trunk by submersion into formalin for several weeks.

RESULTS

The results are presented in two sections: (1) Stratification of the thoracic layers from the inside to the outside by anterior dissection of the formalin fixed thorax specimens, (2) Registration of the dispersion of US guided injected dye and plastic by posterior and anterior dissection of the un-embalmed trunk, followed by dissection of the TPVS structures in detail in this trunk after submersion fixation with formalin.

(1) Stratification of the thoracic layers by anterior dissection in the formalin fixed thorax specimens

The following stratification of the layers from the inside to the outside was identified and dissected: parietal pleura, endothoracic fascia, innermost intercostal muscle, neurovascular bundle (intercostal artery, vein and nerve), internal intercostal muscle and external intercostal muscle. The innermost intercostal muscle was the deep layer of the internal intercostal muscle. It was separated from the latter by the neurovascular bundle. The structure described as internal intercostal membrane in literature²⁴ was in fact the aponeurosis of the innermost intercostal muscle. This aponeurosis was continuous with the connective tissue on the inner surface of the rib. The endothoracic fascia was a continuous connective tissue layer between the parietal pleura and the aponeurosis of the innermost intercostal muscles.

TPVS boundaries on the anterolateral side were the parietal pleura and the fibro-elastic endothoracic fascia, separated from each other by a loose areolar sub serous fascia. On the posterior side the TPVS was limited by the transverse process and the superior costotransverse ligament, a thickened medial continuation of the aponeurosis of the internal intercostal muscle from the inferior aspect of the transverse process to the superior aspect of the rib tubercle below. Medially the vertebral body, the intervertebral disc and the intervertebral foramen were found as TPVS boundaries. The

TPVS appeared to communicate with the contiguous cranial and caudal space, with the epidural space and with the contralateral paravertebral space through the intervertebral foramen or directly through the pre-vertebral space. Laterally it was found to be a continuous entity with the intercostal space.

The TPVS was found to be a non-segmental wedge shaped fat compartment alongside the thoracic vertebral column, containing the sympathetic chain at a depth of 1 cm. Laterally it extended into lenticular shaped segmentally oriented dorsal intercostal compartments. From the costal angle each dorsal intercostal compartment narrowed to continue as an elongated fat pad along the neurovascular bundle, inferior to the adjacent rib. Each narrowing fat compartment guided the converging intercostal neurovascular bundle to the costal groove. (Figure 2)

Figure 2. Schematic drawings

(A,C) of thoracic paravertebral space with corresponding photograph of thoracic paravertebral space in formalin fixed thorax specimen (B) A and B: anterior view; C: transverse view. A; aorta, CA: costal angle, ES; esophagus, IA: intercostal artery, IN: intercostal nerve, IV; intercostal vein, N: needle, PreVS: prevertebral space, R: rib, SC: sympathetic chain, TD: thoracic duct, TPVS: thoracic paravertebral space.

(2) Registration of the dispersion of US guided injected dye and plastic by posterior and anterior dissection of the un-embalmed trunk, followed by dissection of the TPVS structures in detail in this trunk after submersion fixation with formalin.

In the mid-thoracic region localization of the costotransverse transition, the pleura, the aponeurosis of the internal intercostal muscle and the TPVS was easy to achieve at the levels of rib 4 and 6 on the left side and rib 8 at the right side. At the level of rib 10 on the left side the clear identification of the TPVS was difficult because of the different and steep angle of the ribs. The oblique positioning of the probe obviated the disruption of the sonographic view by acoustic shadowing of the transverse process. However, hydro dissection improved the visibility. (Figure 1)

Posterior injection and dissection

Dissection from posterior revealed that the US-guided procedure resulted in correct position of the needles at the caudal inferior margins of rib 4 and 6 on the left side and rib 8 at the right side with plastic/dye along the puncture site. (Figure 3) Methylene blue was visible along the neurovascular bundle one level inferior to the insertion site. The needle tip ended up close to the lung, approximately 0.3 cm in vitro. In the posterior view methylene blue dye was demonstrated in the intercostal space. At the level of rib 10 on the left side a too superficial position of the Tuohy needle in the external intercostal muscles was demonstrated, with plastic distributed in the erector spinae muscle and external intercostal muscles. (Figure 3)

Figure 3. Dissected unembalmed cadaver (posterior view, left paraspinal muscles removed)
N: needle, PSM: paraspinal muscle, R: rib, SP: spinal column

Registration of dye and plastic dispersion by anterior dissection

After the removal of the anterior thoracic wall, inner organs etc. identification of the injected dye and plastic near anatomical structures was complicated by the presence of blood in the cadaver. The spread of plastic on the left side and methylene blue on the right side was directed cranially in the TPVS, towards the thoracic prevertebral space, and along the intercostal neurovascular space and stopped at the costal angle. No contralateral dispersion was found neither with plastic nor with methylene blue. Due to cured, hard plastic used in this technique it was not possible to dissect the epidural space. At the level of rib 10 no plastic was found in the TPVS. (Figure 4)

After rinsing the specimen in running tap water it became clear that the plastic on the left side had spread around the sympathetic chain and laterally beyond the costal angle. The methylene blue on the right side had spread at the level of puncture surrounding the azygos system, one level caudad along the neurovascular bundle and also posterior to the costo-diaphragmatic recess. This was shown more in detail after submersion into formalin and further dissection. (Figure 5A & B)

Figure 4. Dissected unembalmed cadaver (anterior view, anterior thoracic wall removed)
D: diaphragm, GSN: greater splanchnic nerve, SC: sympathetic chain surrounded by plastic (N1), SCA: subclavian artery, VC: vertebral column, 1: intercostal spreading of plastic (N1), 2: intercostal nerve surrounded by plastic (N2), 3: intercostal nerve surrounded by methylene blue (N3)

Figure 5. Deep dissection of formalin fixed cadaver (anterolateral view , right (A) and left (B) hemi- thorax, anterior thoracic wall removed)

GSN; greater splanchnic nerve, IA: intercostal artery, IN: intercostal nerve, IV: intercostal vein, LSN: lesser splanchnic nerve, SC: sympathetic chain, VC: vertebral column

DISCUSSION

The aims of this study were to determine the anatomical boundaries of TPVS and to describe the observed spread of fluid-like substances injected under ultrasound guidance in the TPVS.

Summary of findings

The anatomical boundaries of TPVS in the two formalin fixed human thorax specimens were found to be relative borders, as the TPVS appeared to communicate with all the surrounding structures. The wedge-shaped space filled with structures is in accordance with the literature.^{1, 13, 14} The TPVS was communicating with the dorsal intercostal compartments, showing a segmental partition. At the costal angle these compartments narrowed, passing into fat pads surrounding the intercostal neurovascular bundles. The separation of the TPVS in a sub-endothoracic and an extra-pleural compartment by the endothoracic fascia²⁵ could not be confirmed.

The spread of the injected dye and plastic in the fresh frozen cadaver showed that a part of the effect of the thoracic paravertebral block can be attributed to the intercostal

spread of the local anesthetic. The distribution of the injected dye and plastic makes a hemi blockade reasonable. The sympathetic chain is commonly included in the area involved. Furthermore we observed spread posterior to the costo-diaphragmatic recess.

Methodological strengths and limitations

Strength of this study was that we first dissected the TPVS in two formalin fixed thorax specimens and used results of this dissection to copy the clinical execution on an unembalmed human cadaver. The combination of two injection substances, methylene blue and plastic, was as far as we know not published before and made it possible to compare the spread of both. This is important because injection of an aqueous solution shows pre-, paravertebral and intercostal spread over multiple segments whereas isolated injection of viscous solution with limited spread gives a detailed picture of the regional anatomy.

The application of a cadaver specimen for the clinical execution is also a limitation of this study. Loss-of-resistance technique or neurostimulation is not feasible postmortem. The physical properties of structures identified may have altered due to post-mortem changes of the TPVS. This makes ultrasound obligatory, although, ultrasound in human cadavers differs from ultrasound in living humans, due to this change in physical properties. After all, in general cadaver studies offer the best possible approximation of clinical practice and it is possible to have a very close look at the anatomical spread after dissection.

Anatomical considerations and comparison with literature

We dissected two formalin fixed thorax specimens and an un-embalmed thawed trunk. From other anatomical studies we know that anatomical variations do exist.²⁶ It is possible that our results are based upon such an anatomical variant. However, the extensive spread of dye along the cranial-caudal axis as described in a previous study of the thoracic vertebral spine¹⁵ was not found in our study. This could be due to the use of the smaller volume of methylene blue dye. Secondly the injection of dye and plastic was performed with the un-embalmed trunk in prone position. Furthermore the use of plastic is a different technique and due to the fact that the applied plastic is viscous, we needed a high pressure in the needle to execute it. It is possible that this high pressure caused part of the findings. Hence we used to different types of specimen fixation, three separate cadavers and two different injection substrates.

In our study the parietal pleura was located 0.3 cm from the insertion point of the Tuohy needle. During the opening of the thorax in a cadaver by dissection a pneumothorax occurs and therefore it must be assumed that the distance to the pleura in the in-vivo situation will be even smaller. The distance found from Tuohy needle to the parietal pleura is in concordance with a clinical study,²⁷ which correlated the sonograph-

ic depth of the parietal pleura with the needle depth necessary to perform an anatomical approach¹ of the paravertebral block. With the technique described it was possible in human cadavers to localize the TPVS and the pleura in the upper thoracic levels. Below the level of the 8th rib the costo-transverse joint lies in a more horizontal position which hampers the sonographic view.

In line with an earlier anatomical study¹⁹ that showed spread of dye into the abdomen, we found spread of the methylene blue dye posterior to the costo-diaphragmatic recess. The communication of the TPVS with the lumbar space can be explained by the fact that the abdominal transverse fascia is in fact a continuation of the endothoracic fascia.

Clinical consequences

The above mentioned theoretical considerations are important in order to understand clinical consequences of the TPVB. Direct penetration of local anesthetics into the neurological structures as the proposed mechanism of action²⁸ is supported by the results of our study. The extensive spread of plastic and methylene blue along the sympathetic chain makes the occurrence of a Horner syndrome as a side-effect reasonable.²⁹ The spread along the intercostal nerves may be an anatomical explanation for the abolishment of intercostal somatosensory evoked potentials³⁰ and the prevention of chronic post-surgical pain.³¹

Failure rate and complications with TPVB are not systematically investigated, but some are known from two case series.^{32, 33} Systematic investigation might be hampered by the variety of techniques used. Therefore we classified the probability of clinical consequences and complications in a 3 point rating scale based on our anatomical findings and on the technique we used. See table 1 The clinical consequences and risk of complications however depend on the technique of TPVB used: Blind performance with loss of resistance, nerve stimulator based, ultrasound based or surgical will have different implications. The likelihood of pneumothorax is probably higher with a blind or nerve stimulator based technique than with an ultrasound based one, whereas inevitable with a surgical technique. Furthermore, the consequences of a complication depend also on the surgery performed. After thoracotomy with thoracic drainage the consequences of a pneumothorax due to a TPVB are minimal, whereas in short stay programs in breast cancer surgery patients it is a major problem.

Directions for future research

It is important to evaluate the findings from this anatomical study and clinical practice, using modern imaging techniques like ultrasound, Computed Tomography and Magnetic Resonance Imaging. Clinical studies on the feasibility of ultrasound guided TPVB in patients, the effectiveness and its side-effects are needed. It is of great use to

test if the ultrasound technique procedure used to identify the TPVS is suitable for daily clinical practice in terms of efficacy and safety.

Table 1. Probability of clinical consequences and complications in a 3-point rating scale

Structures	Spread dye/plastic	Clinical consequences/complications	Clinical likelihood with technique used
Neurovascular bundle and intercostal space	Yes	Intercostal block	Likely
		Block failure	Possible
		Vascular puncture	Possible
Endothoracic fascia	Yes	Indistinguishable from parietal pleura	NA
Parietal pleura	Yes, not penetrated	Pneumothorax	Possible
Intervertebral foramen	Yes	Epidural or intrathecal spread LA	Possible
		Hematoma	Possible
Prevertebral space	Limited	None	NA
Thoracic Duct	No	Puncture	Unlikely
(Hemi-)azygos vessels	No	Vascular puncture	Possible
Sympathetic chain	Yes	Sympatic blockade Horner syndrome	Likely
Paravertebral vessels	Yes	Vascular puncture	Possible

CONCLUSIONS

Based on this anatomical study we conclude that:

- The TPVS is a potential space which is restricted laterally by the costal angle
- The separation of the TPVS in a sub-endothoracic and an extra-pleural compartment by the endothoracic fascia could not be confirmed.
- The sympathetic chain is commonly involved in the TPVB
- Isolated injection of a catalyzed viscous polymer solution with limited spread gives a detailed picture of the topographic anatomy

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CHAPTER 6

Evaluation of two different epidural catheters in clinical practice. Narrowing down the incidence of paresthesia!

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SUMMARY

Although epidural anesthesia is considered safe, several complications may occur during puncture and insertion of a catheter. Incidences of paresthesia vary between 0.2 and 56%.

A prospective, open, cohort-controlled pilot study was conducted in 188 patients, ASA I-III, age 19-87 years, scheduled for elective surgery and epidural anesthesia. We evaluated a 20 G polyamide (standard) catheter and a 20 G combined polyurethane-polyamide (new) catheter.

Spontaneous reactions upon catheter-insertion, paresthesia on questioning, inadvertent dural or intravascular puncture, and reasons for early catheter removal were recorded.

The incidence of paresthesia reported spontaneously was 21.3% with the standard catheter and 16.7% with the new catheter. Systematically asking for paresthesia almost doubled the paraesthesia rate. Intravascular cannulation occurred in 5%. No accidental dural punctures occurred. An overall incidence of 13.3% of technical problems led to early catheter removal.

The new catheter was at least equivalent to the standard regarding epidural success rate and safety: rate of paresthesia, intravascular and dural cannulation.

INTRODUCTION

Continuous epidural anesthesia is, besides for analgesia during labor, commonly used for postoperative analgesia. Although considered safe, several complications and problems may occur during epidural puncture and insertion of a catheter.^{1, 2} Inadvertent dural puncture is reported between 0.04 to 6 % and vascular cannulation occurs in 0.7% to 12%.³⁻⁵ Further complications are technical difficulties as breakage, kinking, coiling and entrapment during threading or removal of catheter.⁶⁻⁹ However the most frequently reported complication is paresthesia. Paresthesia usually does not lead to neurological sequelae but is an unpleasant sensation for the patient.¹⁰ Reported incidences of paresthesia vary between 0.2 and 56% depending on approach, patient characteristics, technique, and depth of insertion.^{4, 5, 11, 12} Even an incidence as high as 89% was reported.¹³

Considering these high incidences of paresthesia, it would be recommendable, in the development of a new catheter, to determine the incidence of paresthesia in the replaceable catheter as well as in the new one.

To determine the incidence of paresthesia in the use of epidural catheters in two academic hospitals, we prospectively observed in a pilot study the clinical characteristics of two different epidural catheters.

METHODS

After approval of the local ethics committee we conducted a prospective, open, non-interventional clinical study on two different epidural catheters to determine the incidence of paresthesia during catheter insertion. Secondary objectives were: rate of inadvertent vascular cannulation, dural puncture, difficulties with insertion or removal of the catheter and additional complications. Reasons for removal of the epidural catheter were recorded.

In 188 surgical patients, ASA I-III, age 19-87 years, the application of two different epidural catheters in normal clinical practice in UMC Utrecht and Academic Hospital Maastricht was evaluated. A 20 G polyamide catheter (Perifix[®] standard, B.Braun Melsungen AG, Germany) was compared with a 20 G combined polyurethane polyamide catheter (Perifix[®] new, B.Braun Melsungen AG, Germany). The polyamide catheter is currently used as standard catheter in both institutions. The polyurethane polyamide catheter has an outer polyurethane liner and a polyamide body and softens reaching body temperature upon insertion. Therefore a reduced rate of paresthesia is expected. Both catheters are CE-marked. Information regarding the procedure and oral consent of epidural anesthesia for the surgery was obtained as usual.

Experienced anesthesiologists from both institutions performed all epidural procedures. An independent observer was present during puncture and catheter insertion.

The patients were placed in a sitting position. After sterile preparation and subcutaneous infiltration with lidocaine 1% the epidural space was identified at a level between T6-T12 for thoracic epidural anesthesia (TEA) and at L1-L4 for lumbar epidural anesthesia (LEA) via the midline approach and the loss of resistance to saline technique using an 18 G Perican® epidural needle (B.Braun Melsungen AG, Germany). Upon successful identification of the epidural space the catheter was inserted, at a depth of 4 to 5 cm beyond the needle tip. The patients were as opposed to daily practice not warned that they might feel an electric sensation.

Spontaneous reactions of the patient upon catheter-insertion were recorded. If the patient did not spontaneously report paresthesia, the observer asked explicitly for it.

As paresthesia we considered: pain, electric shock, discomfort, burning sensation, shooting effect, motor reactions, and similar experiences.

Intensity of paresthesia was scored using a visual analogue scale (VAS) ranging from 0 to 10.

The insertion depth was documented. Fixation of the catheter was done according to standard hospital procedures using a transparent dressing and securing tape and the catheters were aspirated to exclude dural or intravascular positioning. The sensory block was tested 15 minutes after injection of a test dose of lidocaine 2% or bupivacaine 0.5%, both with epinephrine 1:200.000 by cold sensation. Inadvertent dural or intravascular positioning of the catheter was recorded.

The epidural anesthesia was considered successful if a sensory blockade could be measured. If not, an extra injection of 5 ml lidocaine 2% was given and the sensory blockade was re-evaluated. If again no block was achieved the catheter was removed. Difficulties with catheter-insertion or removal were recorded.

STATISTICAL ANALYSIS

There are no published data on the frequency of paresthesia with the used catheters. We therefore estimated an incidence of paresthesia of 40 % and assumed a clinically relevant

50% reduction in paresthesia. For a pilot study with a power of 80 % and two-tailed error of 5% 80 patients per study phase were appropriate.

Statistical analysis was performed using SPSS for Windows (version 12.0) statistical package (SPSS Inc., Chicago, IL). Patient characteristics were analyzed using the Student *t*-test for independent groups (age, height, weight) and the χ^2 test in a 2 x 2 contingency table (ASA-classification, sex, TEA/LEA). Paresthesia was analyzed using the χ^2 test in a 2 x 2 contingency table and logistic regression; VAS was analyzed using Mann – Whitney- U test.

A p value of < 0.05 was considered statistically significant.

RESULTS

From both institutions 188 patients were included. The standard catheter was used in 90 patients, the new one in 98 patients. Both groups were comparable regarding demographic data in age, gender, weight and ASA- classification. There was a small but significant difference in height. In the new catheter-group there were more thoracic epidural punctures. (Table 1)

Table 1. Patient characteristics, values are mean $\pm$ SD for age, height, and weight and numbers for TEA/LEA, gender and ASA.

	Standard (n=90)	New (n=98)	p
Age (year)	58.5 $\pm$ 16.3	57.0 $\pm$ 12.9	0.49
Height (cm)	169.8 $\pm$ 8.8	172.9 $\pm$ 8.3	0.015
Weight (kg)	72.4 $\pm$ 12.2	76.2 $\pm$ 15.8	0.072
TEA/LEA/missing	62/27/1	82/16	0.023
Male /Female/ missing	48/39/3	45/53/0	0.21
ASA status (I/II/III/missing)	26/42/21/1	27/55/16	0.37

TEA = Thoracic epidural anesthesia

LEA= Lumbar epidural anesthesia

The incidence of spontaneous paresthesia was 21.3% with the standard catheter and 16.7% with the new catheter ($p=0.42$). Using multivariate logistic regression we found an Odds Ratio of 0.75 (95% CI 0.34-1.66). Adjusting for height and thoracic epidural punctures did not influence this. The incidence of paresthesia increased to 37.8% respectively 32.6% when the paresthesia on questioning was added.

The intensity of paresthesia was scored using VAS. (Figure 1)

There was no significant difference in VAS scores between the catheters.

The mean VAS of patients who reported paresthesia spontaneously was not higher than the VAS of patients who reported paresthesia on questioning. (Table 2) The most frequently reported sensation was discomfort (43.1%) followed by pain (32.3%) and electric shock (32.3%), motor reactions (16.9%), shooting effect (9.2%) and other (7.6%). No patient reported a burning sensation. Patients could indicate more than one option. From the patients who reported paresthesia, 35.4% expressed more than 1 sensation.

Figure 1. Intensity of paresthesia by VAS score

Table 2. VAS score of paresthesia: incidence (n) and intensity of paresthesia on a visual analogue scale from 0 to 10 (mean $\pm$ SD)

	N	VAS	p
Spontaneously	35	3.97 $\pm$ 2.1	0.66
Only on questioning	28	3.57 $\pm$ 1.6	

With the standard catheter in 8 patients (8,9%) there was blood in the catheter after aspiration, which persisted even after 1cm withdrawal in 2 patients. With the new catheter in only 3 patients (3,2%) inadvertent intravascular cannulation occurred, which persisted after 1 cm withdrawal in 2 patients. In 8 (72.7%) cases in which the catheter was placed intravascular the level of catheter insertion was between T10 and L1. (Figure 2)

No dural punctures or cannulation occurred.

With both catheters a high success rate of epidural analgesia was achieved. (91.1% and 87.8% respectively)

Reasons for removal of the epidural catheter were: catheter not epidural, insufficient analgesia or dislodged catheter, disconnection, obstruction or occlusion, accidental removal, kinking, and backflow of local anesthetic. We observed an overall incidence of 13.3% technical problems leading to early catheter removal.

Figure 2. Intravascular cannulation and level of catheter insertion

DISCUSSION

This study showed that the new catheter was at least equivalent to the standard catheter in success rate of epidural anesthesia, rate of paresthesia, intravascular cannulation and dural puncture.

The incidence of spontaneous paresthesia varied between 16.7 and 21.3% and almost doubled by systematically asking for it.

The incidence of intravascular cannulation was 3,2 and 8,9% respectively. This was not significant.

Despite a well-functioning acute pain service we report an incidence of treatment failures due to technical problems of 13.3%.

We are aware that the study design is not optimal to compare different epidural catheters.

However as we were not aware of the incidences of paresthesia, rate of inadvertent vascular cannulation, dural puncture, difficulties with insertion or removal of the used catheter, we decided to evaluate it in a pilot study. The incidences of spontaneous paresthesia and intravascular cannulation were similar as reported in previous studies.^{11, 14}

We found a surprisingly high overall paresthesia rate. In daily practice we warn patients that they might feel an electrical sensation. The clinical relevance is not very well known, but as patients do report mean VAS scores of almost 4 (on a scale from 0 to 10) the sensation is at least relevant for the patient. Therefore reduction of paresthesia could attribute to improvement of quality of care.

Striking was that with both catheters about 50% of the patients, who experienced paresthesia did not report this spontaneously, but only on questioning. However the intensity of the sensation quantified by VAS was equivalent in both groups. Statistics about paresthesia therefore underestimate the real incidence of paresthesia.

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Regarding intravascular cannulation one could speculate about a possible difference due to technical aspect of the catheters. As the new catheter softens with the body temperature the new catheter is less expected to migrate intravascular. However the study was not powered to detect these differences.

The success rate of epidural analgesia is not only determined by successful introduction of catheter. The catheters ought to work as long as analgesia is required. Therefore we have to study the problems leading to early catheter removal.

A lot of articles addressing technical problems with epidural catheters e.g. coiling,⁹ kinking,¹⁵ and breakage⁶ are case reports.

Our results are consistent with a large audit in 5628 surgical patients¹⁶ where an incidence of 14% technical en 8 % treatment failure was observed. However our numbers are too small to make any definitive statements. In the future we need more audits to insure a standard quality of care.

We conclude that the new catheter was at least equivalent to the standard catheter regarding epidural success rate and safety: rate of paresthesia, intravascular and dural cannulation.

Although the clinical relevance of paresthesia during epidural catheter insertion is not known the sensation is relevant to the patients as they report high VAS scores. Reduction of incidence of paresthesia could improve quality of care.

Since patients do not always report paresthesia spontaneously, structured questionnaires are required to avoid the underestimation of the overall incidence of paresthesia.

A difference regarding intravascular cannulation was not shown, due to lack of power of the study.

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CONFLICT OF INTEREST

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CHAPTER 7

Paresthesia rate of two different epidural catheters:
a randomized single-blind non-inferiority trial

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Submitted

ABSTRACT

Background: Paresthesia with insertion of an epidural catheter is a common finding which can be disturbing to both the patient and the anesthesiologist. This study aimed to assess non-inferiority of a recently introduced polyurethane-polyamide catheter, compared to a 20 G polyamide catheter with regard to incidence of spontaneous paresthesia with insertion. Secondary endpoints were incidence of accidental dural puncture, inadvertent vascular puncture, and handling characteristics.

Methods: A randomized, prospective, single-blind non-inferiority trial was conducted in 131 patients, ASA I-III, age 18 to 90 years, who were scheduled for elective surgery under thoracic epidural anesthesia (TEA) at level from T4 to T10. Spontaneous reactions by patients upon catheter insertion were recorded, as well as inadvertent dural, intravascular positioning of the catheter and handling characteristics. Per protocol analysis was performed in 121 patients, using a non-inferiority margin of 0.20.

Results: Paresthesia was reported spontaneously in 12.3% of the patients which were inserted with the 20G polyamide catheter and 20.3 % of the patients receiving the polyurethane-polyamide catheter. This resulted in a risk difference of 0.08 (95% CI - 0.05-0.21). No accidental dural punctures occurred. No significant differences with regard to inadvertent vascular puncture were noted nor reasons for the early discontinuation of postoperative epidural analgesia treatment. However, flow problems occurred more frequently with the polyurethane-polyamide as compared to the 20G catheter, 7 versus 0 ($p = 0.01$).

Conclusion: The present study was not conclusive in establishing (non-)inferiority of the recently introduced polyurethane-polyamide catheter regarding paresthesia at insertion.

Trial registration: www.clinicaltrials.gov, NCT00394459

BACKGROUND

Medical companies often change the materials used for the production of invasive devices like epidural catheters. Currently, only a CE marking is required to replace epidural catheters used in daily practice. However, due to the different physical properties *in vitro*¹ and *in vivo*², complication rates and handling characteristics of epidural catheters may vary. Common features of epidural catheters are tensile strength, stretch resistance, shaft stability, and visualization of blood or spinal fluid, no risk of forming loops or knots, and pliability.

To our knowledge, there is a lack of studies that investigate whether a change in materials of the epidural catheter, for example from polyamide to a combined polyurethane-polyamide catheter, may influence the complication rates and handling characteristics. Due to the polyurethane outer layer, the combined polyurethane-polyamide catheter, with an outer polyurethane liner and an inner polyamide body, softens while reaching the body temperature and as a consequence a lower incidence of spontaneous paresthesia is expected. In a previous cohort study, we evaluated the complications related to the use of both polyamide and of polyurethane-polyamide catheters³ and reported an 18.9% overall incidence of spontaneous paresthesia, a 5% incidence of intravascular cannulation, and a 13.3% incidence of treatment failures. However no statistical significant differences in complications were noted after use of polyurethane-polyamide and polyamide catheters.³ Whereas these observations were based on a non- randomized and not controlled study in which both thoracic and lumbar epidural catheters were used a randomized controlled trial with only thoracic epidural catheters is needed to provide more conclusive results. Hence, the aim of the present study was to perform a randomized controlled trial on non-inferiority of a new 20 G epidural catheter with an outer polyurethane liner and an inner polyamide body in particular with respect to spontaneously reported paresthesia after insertion, as compared to a 20 G polyamide standard epidural catheter.

METHODS

After approval by the ethics committee of the University Hospital Maastricht/Maastricht University, and with registration at www.clinicaltrials.gov, NCT00394459, a randomized, prospective, parallel group, single-blind non-inferiority trial was conducted. We evaluated the rate of spontaneously reported paresthesia upon insertion of two different epidural catheters in 131 patients, ASA I-III, age 18-90 years, who were scheduled for elective surgery using thoracic epidural anesthesia. (TEA) Written informed consent was obtained from the participants. Exclusion criteria were: all contraindications for epidural analgesia, severe scoliosis, previous surgery on the spine, ankylosing spondylitis, non-competent and non-cooperative patients, drug abuse,

communication problems, and participation in other clinical studies. Patients were enrolled between May 2005 and November 2007.

We compared a 20 G polyamide catheter (Perifix[®] Standard, B. Braun Melsungen AG, Germany) with a 20 G combined polyurethane-polyamide catheter (Perifix[®] ONE, B. Braun Melsungen AG, Germany). Both catheters are CE marked.

After sterile preparation, local analgesia of the puncture site was provided by subcutaneous infiltration of lidocaine 4 ml 1%. An epidural puncture was performed with the patient in sitting position between levels T4-T10 using an 18 G Tuohy needle (B. Braun Melsungen AG, Germany). A midline or paramedian approach was allowed, depending on the personal preference of the anesthesiologist. In the event of puncture difficulties at the first attempt, one second attempt at another level was allowed. Assignment to one of the two catheter groups took place immediately before placement. A substitute catheter from the same group was used for the second attempt in the event of catheter placement failure. Insertion depth of the epidural catheter was restricted to a range of 4-5 cm beyond the needle tip, measured by the distance markings on the catheter. The insertion was carried out by experienced anesthesiologists or residents who were in at least their third year of training. Staff members of the study informed the patient when the catheter insertion started but made no reference to paresthesia at that point in the procedure. The staff members first observed to see if there was a spontaneous reaction from the patient. If the patient did not give a spontaneous reaction, the observer systematically inquired if the patient felt or experienced anything like shooting effect, any electrical sensation, discomfort, burning sensation, pain, motor reaction, pressure, or otherwise. For each patient, these questions were asked in the same manner and at the same time after the catheter insertion. Patients were visited postoperatively by the hospital acute pain service (APS) in order to provide adequate pain relief and to identify possible handling problems on the ward.

The null hypothesis was that the combined polyurethane-polyamide catheter for TEA is inferior to the polyamide catheter, and results in more spontaneous reported paresthesia at insertion. The primary outcome measure was the incidence of spontaneous paresthesia upon catheter insertion. The secondary outcome measures were the incidence of paresthesia both spontaneously reported or upon questioning (total paresthesia), intensity of paresthesia (VAS 0-10), and characteristics of paresthesia. The efficacy of epidural analgesia was assessed by measuring the level of sensory blockade 15 minutes after administration of a test dose and by evaluating whether epidural analgesia was effective postoperatively. Inadvertent dural or intravascular positioning of the catheter was recorded as well as handling features (classified as either: no difficulties at catheter insertion, minor difficulties, major difficulties, or unable to threat), early discontinuation, and damage to the catheter. The characteristics of the patients and details of the surgical procedure were collected.

Based on the data of a previous pilot study with the polyamide catheter for epidural anesthesia,³ we found a proportion of patients without complaints at 0.74 in the subgroup of TEA. At an alpha of 5%, and a power of 80%, we calculated a minimum of 60 patients per group.

Because of the wide range of reported incidences of paresthesia up to 56%³ a non-inferiority margin of 0.2 for spontaneous paresthesia caused by the polyurethane-polyamide catheter was chosen. Block randomization was determined by a computer generated list. The manufacturer provided sealed envelopes numbered for the purpose of randomization. Anesthesiologists were not blinded for type of catheter due to logistical reasons; the polyamide catheter is achromatic while the combined polyurethane-polyamide catheter is yellow. Per protocol analyses were performed. Patients were excluded in the case of catheter insertion depth of ≥ 6 cm. Statistical analyses were performed using the Student's t-test for parametric data, Mann-Whitney U test for non-parametric data, and Chi-square test and Fisher Exact test for categorical data. Statistical analyses were performed using the Statistical Package for the Social Sciences (SPSS® version 15, Chicago, Illinois, USA). Risk difference and 95% confidence interval (CI) of the incidence of spontaneous paresthesia were calculated using STATA 11 (StataCorp, College Station, Texas, USA). A p-value < 0.05 was considered to be statistically significant.

RESULTS

The participant flow is described in Figure 1. Due to a catheter insertion of 6 cm or more six patients in the polyurethane-polyamide group and three patients in the polyamide group were excluded. In the polyamide group one patient was excluded because the epidural space could not be localized. No differences in baseline data between the two groups were noted, except for ASA classification. (Table 1)

Main results are summarized in Table 2. The risk difference for incidence of spontaneous paresthesia was 0.08 (- 0.05 – 0.21). As the CI exceeds the non-inferiority margin of 0.2, this study is inconclusive with regard to testing (non-)inferiority.

No significant effect of catheter insertion depth on paresthesia rates was observed (Chi-square 0.061 df 4 p = 0.96). The following characteristics of paresthesia were noted (polyurethane-polyamide versus polyamide group): electrical sensation 11 versus 8, pressure 7 versus 5, pain 5 versus 2, discomfort 2 versus 2, shooting effect 2 versus 1, burning 2 versus 1, motor reaction 0 versus 0, otherwise 4 versus 3, missing 2 versus 1. No dural puncture or spinal cannulation occurred. Additional secondary outcomes, like dislocation, non-satisfactory block and co-morbidity are included into Table 3. As the flow problems using the polyurethane-polyamide catheter, like high resistance, catheter occlusion, and kinking of the catheter could not be attributed to an in vitro obstruction; the reason for malfunctioning of the catheters remains unknown.

Figure 1. Flow diagram of participants and recruitment

Table 1. Baseline characteristics (N = 121)

		Polyurethane-polyamide group N = 64	Polyamide group N = 57
Age (years)		60 ± 13	62 ± 11
Weight (kg)		70 ± 12	72 ± 13
Height (cm)		170 ± 9	170 ± 9
Sex	Male / Female	35 / 29	29 / 28
ASA	I / II / III	20 / 40 / 4*	12 / 33 / 12
Puncture level		T7 (T4-T10)	T7 (T4-T10)
Surgery site			
Thorax / upper abdomen / lower abdomen		15 / 25 / 24	21 / 16 / 20

Age, weight and height: mean ± standard deviation. Gender, ASA, and surgery site: number. The median of the puncture level is represented within the range (T4-T10). *p = 0.04

Table 2. The incidence of paresthesia (Per protocol analysis, N = 121)

		Polyurethane-polyamide group N = 64	Polyamide group N = 57
Spontaneous paresthesia*			
Yes		13 (20.3)	7 (12.3)
No		51 (79.7)	50 (87.7)
Total paresthesia**			
Yes		35 (54.7)	23 (40.4)
No		29 (55.3)	34 (59.6)

*Odds ratio (95% CI) 1.82 (0.67 – 4.94), risk difference (95% CI) 0.08 (-0.05- 0.21). **Odds ratio (95% CI) 1.78 (0.87-3.68), risk difference (95% CI) 0.14 (-.03-0.32). Total paresthesia: paresthesia both spontaneously reported or upon questioning. Values are numbers (%). (95% CI) = 95% confidence interval.

Handling problems consisted of problems with curling of the catheters and resistance at catheter insertion. APS reported minor difficulties handling the polyurethane-polyamide catheter in 5 patients at removal of the catheter; no problems were reported for the polyamide catheter (p = 0.04). Catheter-related adverse effects were: ineffective pain treatment (n = 2, one in the polyamide group and one in the polyurethane-polyamide group) and pain at the puncture site (n = 1, polyamide group). None of the catheter-related adverse effects was serious.

Table 3 Secondary outcomes (N = 121)

		Polyurethane-polyamide group N = 64	Polyamide group N = 57
Severity paresthesia	VAS 0 / 1-3 / > 4	30 / 24 / 10	35 / 13 / 9
Dural cannulation		0	0
Intravascular placement catheter		4	5
Success rate (%)		93.8	84.2
Handling problems at insertion	Minor / Serious	4 / 1	5 / 0
Early discontinuation			
	Disconnection	4	5
	Flow problems	7*	0
	Dislocation	6	5
	Non-satisfactory block	5	5
	Co-morbidity	3	1
	No other reason described	3	3

Values are numbers. Severity paresthesia: Visual Analogue Scale (0-10). Flow problems*: p = 0.01; high resistance, catheter occlusion, and kinking of the catheter after insertion. Co-morbidity: fever (n = 1), vasovagal collapse (n = 1), neurological deficit (n = 1), death (n = 1). Success rate: satisfactory block after surgery (60 out of 64 and 48 out of 57)

DISCUSSION

The present randomized controlled study was not conclusive in establishing non-inferiority of the recently introduced polyurethane-polyamide catheter as compared to the polyamide catheter based on paresthesia at insertion.

Literature reports incidences of paresthesia related to insertion of catheters which vary between very low (0.16%)⁴ to intermediate,⁵⁻⁸ and can be as high as 56% or more.^{9, 10} The incidence of paresthesia tends to be higher in older studies,⁹⁻¹² which may be related to the fact that a tendency in daily practice arose for selecting the smaller sized catheters (20 G) instead of the larger 18 G catheters over the years. In addition, manufacturers developed new materials¹³⁻¹⁵ to decrease catheter-related problems. Nevertheless, even nowadays an incidence of paresthesia of 30 % is reported.² Although paresthesia usually does not lead to neurological sequelae, it still is perceived as an unpleasant sensation for the patient.¹⁶ On the other hand studies have been presented which report a close relation between neurological deficits and paresthesia as two thirds of the patients who developed neurologic deficits had either paresthesia during needle placement or pain upon injection.¹⁷

In our view, this randomized single-blinded controlled study is well prepared, as sample size, power analysis and non-inferiority margins were based on a literature

study and a previously published cohort study.³ Furthermore patients were well informed about the randomized controlled study and that they may feel something when the catheter is being inserted. The paresthesia was meticulously monitored.

In the reference polyamide catheter group, the incidence of spontaneous paresthesia was lower than we expected based on our previous cohort study.³ Several reasons may explain this finding. First, in order to avoid too many technical problems with localization of the epidural space, we excluded those patients who might benefit from a different catheter, as there are previous spine surgery and ankylosing spondylitis. These patients have been documented to report a higher incidence of paresthesia, traumatic needle placement and accidental dural puncture.^{6, 18, 19} Second, because of the overall higher incidence of complications related to low thoracic and lumbar epidural analgesia^{3, 4} and a decreasing number of procedures suitable for lumbar epidural analgesia we only included patients with thoracic epidurals. Finally, all procedures were performed by experienced anesthesiologists or residents, although epidural catheter placements as performed by inexperienced anesthesia residents under supervision was shown not to be associated with a significantly greater number of complications than previously reported in the literature.²⁰ As a consequence, the lower than expected paresthesia rate in combination with the non-inferiority margin used led to an inconclusive result of our study.

Another limitation of the study is the single-blind design. Unfortunately the different physical properties of the catheters did not allow to conduct this study in a double-blind way.

Regarding the secondary objectives of this study, epidural analgesia was effective regardless of the catheter used. However, statistically significant and clinically relevant handling problems in the postoperative setting occurred with the combined polyurethane-polyamide catheter during the conduct of the study. More flow problems including high resistance, catheter occlusion, and kinking were noted in patients inserted with the polyurethane-polyamide catheter as compared to those inserted with the polyamide device. Although this kind of characteristics are generally tested in vivo²¹ the problems in daily practice are usually not reported or reported in case reports only.²²⁻²⁴

Medical companies engage in the continuing process of product development with the aim to improve materials and devices used for invasive therapy. Properties of the new materials used in these products may vary and they may influence how these devices are handled and how they affect complications. Even though a new device is CE marked before introduction, anesthesiologists are confronted with devices that look different, feel different, and might even require different skills to use them safely. As with changes in the formulation of medications, our present observations strongly suggest that also changes in materials and devices need to be tested in vivo with Phase IV clinical trials. Moreover the results of these in vivo tests should be published in

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scientific journals which then allows the anesthesiologist to make an optimal choice of materials and devices used in their clinical practice.

CONCLUSIONS

Although the present randomized controlled trial did not show a difference in spontaneous paresthesia occurring in patients inserted with thoracic epidural polyurethane-polyamide versus polyamide catheters this study was not conclusive in establishing (non-)inferiority of the polyurethane-polyamide catheter. No significant difference in the rate of satisfactory blockades after surgery was noted. Secondary outcomes of this study revealed that significantly more flow problems, like high resistance, catheter occlusion and kinking of the catheter occurred in patients inserted with the new polyurethane-polyamide catheter as compared to those inserted with the polyamide device.

COMPETING INTERESTS

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CHAPTER 8

General discussion and Summary

In this thesis we analyzed and investigated various risks and benefits of regional anesthesia in relation to different type of surgery and high-risk patient populations, chronic post-surgical pain, acute pain and anesthetic device characteristics.

We addressed the following research questions.

1. Does regional anesthesia improve outcome of peripheral vascular surgery as compared to general anesthesia?

In Chapter 2 we present an overview of current regional anesthesia techniques and strategies in a high-risk patient population undergoing peripheral bypass surgery. Our review showed that no superiority either of general, neuraxial, or local anesthesia or peripheral nerve blocks for this type of high-risk surgery can be demonstrated. At the same time we stated in this review that, even in the high risk patient population of peripheral bypass surgery, it is complicated to study the mode of anesthesia in relation to peri-operative morbidity and mortality. Surprisingly the present literature on this subject is scarce and the most recent analysis of the Cochrane collaboration¹ consisted only of 4 randomized controlled trials (RCT), analyzing studies from 1986-2007. Why is it then so difficult to perform RCT's in these peripheral bypass patients? Although the relative risk of mortality and morbidity in this patient population is relatively high, absolute numbers of patients involved in complications remain low² and thus a lot of patients have to be included in order to make evidence based recommendations. Inclusion of patients into RCT's in general is often complicated by the fact that they are excluded to participate based on age, co-morbidity and use of therapeutic anti-coagulation.^{3,4} Inclusion is further hampered by the fact that often the (elderly) patients are involved in other long term studies, which can make it unethical to include them in another study.

Nevertheless from our review we suggest that regional anesthesia supplemented by or in combination with either general anesthesia or monitored anesthesia care should be applied in view of a complete and comprehensive perioperative approach. This comprehensive perioperative approach includes not only combining anesthesia techniques. It is unlikely that one factor like regional anesthesia in itself may significantly change outcome.⁵ A comprehensive perioperative approach and enhanced recovery program matching patient health risk factors, pre-operative risk assessment, anesthesia monitoring and selecting the most appropriate type of anesthesia in combination with the anticipated abdominal surgical procedure is more likely to change outcome and is currently only standard care in colorectal surgery. A comprehensive perioperative approach has shown to reduce overall morbidity rates and shortened the length of hospital stay in patients with colorectal surgery, without increasing readmission rates.⁶ Regional anesthesia i.e. thoracic epidural analgesia is one of the major prerequisites of the enhanced recovery program.^{5,7} This fast track surgery/ accelerated or enhanced recovery program is at present incorporated in a lot of surgical pathways, like hernia

repair, thoracic and cardiac surgery, open aortic surgery and total hip and knee arthroplasty⁵, but should be extended to all procedures.

It should be noted that more focus at the individual patient and a tailored patient centered attitude is expected to significantly further improve the comprehensive perioperative approach. However, this may be difficult to prove as this inherently will lead to selection bias in studies, or a control group that may be more at risk for complications. Functional capacity of patients, whether dependent or not, may be suitable to select patients at risk for mortality and perioperative complications.⁸ Subdivision of ASA-III patients based on the functional capacity, independent or not, was helpful selecting the more vulnerable patients.⁸ For this group of vulnerable elderly patients at least additional depth of anesthesia and neuromuscular monitoring⁹ is indicated in case of general anesthesia. Regional anesthesia alone or in combination with monitored anesthesia care or general anesthesia may offer an alternative. However, the choice of the anesthetic technique used, either regional or general, may be limited by the continuous use of perioperative anticoagulants.¹⁰

It is without any doubt that in future developments the comprehensive perioperative approach and enhanced recovery program will be further improved based on patient individual characteristics to allow a tailor made anesthetic treatment regime and that the individual genetic print of a patient due to results of pharmacogenetic studies¹¹ then definitely will be included and used to perform a risk analysis based on specific patient characteristics.

2. Does regional anesthesia reduce the incidence of chronic postsurgical pain in patients undergoing abdominal surgery?

In order to answer RQ2 a case-control study was performed in consecutive patients scheduled for elective open abdominal surgery who either received epidural anesthesia in combination with general anesthesia or general anesthesia alone. (Chapter 3). We report an overall incidence of chronic post-surgical pain (CPSP) of 25.7%, which is in accordance with that reported in literature.¹²⁻¹⁵ We furthermore noted that patients with CPSP reported a significantly lower quality of life compared to patients without CPSP. After adjustment for prominent predictors of CPSP as there are not only age and gender but also pre-operative and acute postoperative pain, postoperative epidural analgesia was associated with a reduced incidence of CPSP after abdominal surgery. Studies on the effect of epidural anesthesia compared to general anesthesia with regard to the occurrence of CPSP are limited in number because the possibilities for randomized controlled trials (RCT's) are restricted as continuous epidural analgesia is superior to patient controlled intravenously administrated analgesia up to 72 hours.¹⁶ Hence cohort studies are the second best option. The obvious absence of RCTs implies that it is extremely difficult to substantiate and demonstrate a causal relationship between epidural anesthesia and the occurrence of CPSP. On the other hand reproducible

observations based on various cohort studies can provide scientific evidence which is needed for recommendation.

In the field of acute postoperative pain several opportunities exist to elaborate a causal relationship between regional anesthesia and the occurrence of CPSP because several new complementary therapies are available. These therapies do allow the testing of clinically beneficial effect based on RCT's. Lidocaine intravenous^{17, 18} and intraperitoneal infusion,¹⁹ transverse abdominal plane (TAP) block^{20, 21} and rectus sheath blocks²² are in this respect, promising regional anesthetic techniques with a clinically beneficial effect based on positive RCT's. Whereas, at present, no superiority of these new complementary techniques in the field of acute pain is yet proven, RCT's are needed to compare them with established techniques as related to the occurrence of acute postoperative pain. In this respect, the focus should, however, not only be the acute postoperative pain. Clearly, future clinical studies are urgently needed to evaluate the impact of these regional anesthetic techniques and the development of CPSP.

3. Is there an additional value of regional anesthesia (paravertebral block) with respect to acute postoperative pain as compared to local wound infiltration?

Paravertebral block is one of the possibilities for regional anesthesia of the trunk. The majority of the studies on use of paravertebral blocks compare general anesthesia alone with regional anesthesia i.e. paravertebral block. Local wound infiltration is common clinical practice. It is therefore that we performed a randomized controlled clinical trial (RCT) in unilateral major breast cancer surgery patients receiving general anesthesia (GA) either in combination with continuous thoracic paravertebral block (GA-cPVB) or single shot (GA-sPVB) as compared to GA supplemented by local wound infiltration (GA-LWI) and acute postoperative pain. (Chapter 4) We hypothesized that improved acute postoperative pain relief would be achieved by using a continuous paravertebral block (GA-cPVB) compared to local wound infiltration (GA-LWI). The findings, however, were not in favor of our hypothesis as GA-cPVB and GA-LWI were equally effective in treatment of acute postoperative pain in these major unilateral breast cancer surgery patients. At present PVB is not recommended for routine use and minor breast cancer surgery.²³ The latter might also be the case in major oncological breast surgery as well.

It should be taken into account that our results are based on a relatively small study group and that GA-sPVB as one of the arms of this study, had to be stopped. Furthermore the conduct of this study was hampered by a slow inclusion rate, a low proportion of screened patients suitable for inclusion, and a high proportion of patients refusing participation in the study. Therefore we do not know whether our results may be extrapolated to a more general population. Consequently additional further RCT's are needed to demonstrate the effectiveness of GA-PVB versus GA-LWI focused at acute postoperative pain but also on the development of CPSP in patients scheduled for unilateral thoracic surgery.

4. What are the anatomical boundaries of the thoracic paravertebral space in view of the potential risks and benefits of the thoracic paravertebral block?

In Chapter 5 we describe the results of a human cadaver study on the thoracic paravertebral block. We determined the anatomical boundaries of TPVS in human thorax specimens and described the observed spread of fluid-like substances injected under ultrasound (US) guidance in the thoracic paravertebral space (TPVS). Our anatomical data show that TPVS communicated with all surrounding structures including the dorsal intercostal compartments, showing a segmental partition. Sub-division of TPVS in a sub-endothoracic and an extra-pleural compartment by the endothoracic fascia could not be confirmed. Injected plastic and dye were observed posteriorly to the costo-diaphragmatic recess and showed segmental intercostal spread.

Hence we conclude that the anatomical boundaries of the TPVS were relative borders as the TPVS communicated with all surrounding neurological structures.

Although TPVB is known for a long time new clinical insights and applications warrant a closer look into the anatomical details. The anatomical data as described in Chapter 5 of this thesis result in a better insight into the clinical effects and side-effects of the TPVB and afforded a glance behind the scenes regarding a possible mechanism of action: the clinical effects and side-effects of the TPVB are related to a direct penetration of local anesthetics into the surrounding neurological structures.

5. What is the impact of technical characteristics of catheters used in regional anesthesia on the performance in patients scheduled for elective surgery during normal daily practice under thoracic or lumbar epidural anesthesia?

In Chapters 6 and 7 we describe the results of clinical studies with respect to the incidence of paresthesia at introduction of a recently developed epidural catheter. In a pilot study (Chapter 6) the incidence of spontaneously reported paresthesia with a standard polyamide catheter was shown to be 21.3 % and 16.7 % with use of a combined polyurethane-polyamide catheter. Furthermore an overall intravascular cannulation incidence of 5 % and overall incidence of technical problems in 13.3% leading to premature catheter removal was noted in this pilot study. The results of the follow-up non-inferiority randomized controlled trial (Chapter 7) could partially confirm the pilot-study data. The findings of this RCT were at the end inconclusive in demonstrating non-inferiority of the polyurethane-polyamide catheter and showed significantly more flow problems postoperatively.

In the highly technical environment anesthesiologists are used to work, it is striking to see that the quality and impact of new industrial products like epidural catheters in a clinical setting are still not systematically investigated.

Anesthetic devices account for about 2 % of all new marked devices worldwide. At the same time these anesthetic devices do account for 30-40 % of all alerts.^{24, 25} Whereas pharmacological drugs require extensive systematic clinical investigations and documentation on their effects and side-effects before approval the systematic testing and clinical data for clinical approval of anesthetic devices is extremely limited.²⁶ Only the so-called high-risk anesthetic devices are likely to have undergone extensive and systematic clinical testing before approval. High risk or Class III devices are usually those that support or sustain human life, are of substantial importance in preventing impairment of human health, or present a potential, unreasonable risk of illness or injury.²⁷ These high risk anesthetic devices are evaluated by the U.S. Food and Drug Association (FDA) in the United States of America²⁷ and by Notified Bodies i.e. standard organizations supervised by Competent Authority of each country of the European Union.²⁸ It should be noted that based on a new Medical Device Directive of the European Community (2007/47/EC, (http://ec.europa.eu/health/medical-devices/files/revision_docs/2007-47-en_en.pdf)) which became effective March 2010 stricter acceptance norms for devices are now required before approval and use in the clinic.²⁷ Manufacturers of high-risk anesthetic devices will now have to invest resources in conducting separate clinical trials for their devices as no longer new high-risk anesthetic devices will be CE approved just because of showing similarity with currently marketed products. The EC-directive obliges for clinical implementation of any new high risk anesthetic device (including epidural catheters) clinical studies and evidence based medicine. From our study we recommend approval of anesthetic devices based on careful study of inadvertent intravascular or dural cannulation, paresthesia rate, spontaneously and on questioning, catheter problem e.g. dislocation, kinking, disconnection, and problems with removal.

To improve patient care and to assist clinical decision making the concept of evidence based medicine was developed.²⁹ Then the highest level of evidence is established and based on systematic review and multiple randomized controlled trials (RCT). Nevertheless the question remains, even with the use of RCT's, if the results can be generalized to the wider community e.g. our daily practice.^{3, 4, 30}

In this thesis we focused on the risks and benefits of regional anesthesia. As we earlier stated recommendations on procedures and devices on safety are difficult to make because of the low incidence of complications in the general population in combination with a wide diversity in type of anesthesia, minimal invasive surgery and contraindications. This implies the execution of very large time consuming expensive clinical studies, which are needed for optimal evidence based medicine and recommendations. As within this view RCT's are very complicated and difficult to perform other trial designs as an alternative³¹⁻³³ have been proposed. In view of this it is important to mention that a high risk filtered shed blood re-transfusion device is introduced in a number of hospitals in the Netherlands based on evidence collected in a prospective observational study.³⁴ In the scope of high risk anesthetic devices one must consider a

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pragmatic rethinking of the design including RCT's needed for evidence based medicine. Hence, combining RCT's with a large observational cohort in a "cohort multiple randomized controlled trial" design would be a future interesting option.³⁵

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Valorization addendum

RELEVANCE

In this thesis we analyzed and investigated various risks and benefits of regional anesthesia and included not only the different type of surgery and high-risk patient populations in a context of chronic post-surgical pain and acute pain but also the characteristics and use of new anesthetic devices.

Not only the high number of operating room procedures (15,6 million in the United States of America annually¹) but also the recent increase in the number of procedures, (from 1,1 to 1,4 million procedures in the Netherlands²) makes evaluation of optimal peri-operative care including anesthesia of utmost importance.

We furthermore discuss difficulties (risks) and comparison of the mode of anesthesia and relation to peri-operative mortality and morbidity. At present, recommendations for use of a specific mode of anesthesia but also for the use of specific anesthetic devices are commonly based on small-scale studies. Whereas anesthesia in general closely facilitates and directs the surgery in the operating theatre it needs no further comment that the outcome small-scale studies may have huge clinical impact. As clearly pointed out in this thesis, due to the relative low number of accidents related to anesthesia in general, large scale multicenter studies are needed to allow the demonstration of increased safety of newly developed anesthetic procedures.

As discussed before (see Chapter 2) a comparison of the risks and benefits of regional versus general anesthetics only is a manifestation of a limited approach and underestimates the potential benefits of combined regional and general anesthesia techniques in high-risk populations. The combined use of regional and general anesthesia might considerably improve the development of post-operative pain management programs for specific types of surgery like abdominal surgery and major oncological breast surgery.

To develop and improve peri-operative anesthetic techniques (regional anesthesia) a detailed knowledge of the human anatomy is essential. Therefore feasibility testing and teaching³ of regional anesthesia techniques in the anatomy laboratory is highly recommendable.

To further implement a successful comprehensive perioperative pathway, anesthesia devices that are meant to relieve pain should optimally do their job. Clearly, the proper functioning of catheters might be affected by the material characteristics. In this context it is surprising to see that until recently no systematic investigations are required for CE approval even for high-risk anesthetic devices. It is therefore that we were among the first investigators in the field of Anesthetics to carefully test anesthetic devices based on the use of a scientific study protocol. Our approach, as we think, will set the tone and might be directive in future use and implementation of new anesthetic devices in the clinic.

TARGET GROUPS

Patients

Patients and patients' associations are the most important target groups. Patients should receive the best (anesthetic) care as possible that is preferentially based on scientific evidence. The use of carefully tested and certified anesthetic devices significantly improves the quality and safety of Anesthesia.

Clinicians

It is obvious that the Anesthetists needs to be extremely careful before implementing or generalizing newly developed anesthetic procedures because the scientific evidence often is limited as the observations are based on small scale studies. Also the safety of new anesthetic devices needs carefully be evaluated before implementing into the standard anesthetic procedures. Here future CE-approval, required for implementation into the clinic, needs to be strictly based on scientific evidence.

The results and observations as reported in this thesis furthermore demonstrate that the Anesthetist needs not to completely rely on the anatomical textbooks before development and/or application of new or revived anesthetic techniques like the thoracic paravertebral block (see Chapter 5). As anatomical textbooks are basically using schematic reproductions of human anatomy our study shows that detailed anatomical post-mortem studies are absolutely required before application of a new or revived anesthetic technique.

Health care policy makers and Stakeholders

The increasing demand for optimally monitored anesthetic care and anesthetic techniques will further increase the health care costs in general. Cost-benefit analysis will form a significant part of study design and conduct in the near future. The

recommendations with respect to the acceptance of alternative study designs should contribute to a cost effective way of monitoring quality of care.

Furthermore results from this thesis clearly show the urgent need for international standardization of regulations and procedures to assure constant quality of newly developed high tech industrial products.

Our findings support stakeholders in their efforts to contribute to a transparent and safe device development programs.

Activities, Innovation and Implementation

Identification of risks and benefits of various anesthetic techniques and devices is essential in the continuous process of improvement of anesthesia in general. Requirements for systematic investigations may lead to further standardization and certification of anesthetic devices. Furthermore results from this thesis may contribute to enhanced interest and insight into the immense problem of chronic post-surgical pain (CPSP). Accurate prevention and treatment of CPSP will be necessary to increase quality of life after surgery.

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Curriculum Vitae

Esther Bouman werd geboren op 28 december 1964 te Reuver

Na een onbezorgde jeugd te Stolzenau (D) volgden de middelbare schooltijd en het VWO diploma (1983) aan het Liemers College te Zevenaar. Ze studeerde Geneeskunde aan wat destijds nog de Katholieke Universiteit Nijmegen heette. In 1990 werd het artsexamen behaald. Tijdens deze studie periode werd bij NSAV 't Haasje en Cifla niet alleen de basis gelegd voor toekomstige duursport activiteiten, maar ook voor de uiteindelijke terugkeer naar het Limburgse.

Daarna startte ze als agnio bij de afdelingen Gastro-Enterologie van het St. Radboud ziekenhuis en Intensive Care van het Catharina ziekenhuis Eindhoven. De interesse in anesthesiologie en intensive care geneeskunde werden gewekt, wat leidde tot een fantastische opleidingstijd en specialisatie tot anesthesioloog (2001) en intensivist (2002) in het AZU. Tevens werd het EDIC diploma (2002) behaald.

Sinds 2002 werkt ze als stafid bij de afdeling Anesthesiologie en Pijnbestrijding van het MUMC⁺ en was tot januari 2015 tevens gedetacheerd als intensivist zowel te Maastricht als te Roermond.(St. Laurentius ziekenhuis)

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