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New Developments in Peritoneal Dialysis

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volgens het besluit van het College van Decanen,
in het openbaar te verdedigen
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Voor mijn ouders

Aan Geert,

Jelle, Wybe en ♥

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Chapter 1

General introduction

Introduction

Peritoneal dialysis (PD) is the second most commonly used modality of renal replacement therapy. Other modalities of renal replacement therapy are hemodialysis and transplantation. The utilization of PD worldwide varies greatly between various countries¹. Whereas it is by far the most commonly used dialysis modality in Hong Kong and Mexico (above 80%), in other countries such as Germany, the USA or Japan, only a relatively small percentage patients (<5%) are treated with PD. In 2010, there were in the Netherlands 6292 patients treated with dialysis, of which 5155 (82%) were on hemodialysis and only 1137 (18%) were treated with PD (www.renine.nl). These differences in PD utilization rate appear to be mainly related to local or national preferences, or factors such as patient education or reimbursement, but not to large differences in outcome between hemodialysis and PD². There is even circumstantial evidence that the outcome of patients, especially non-diabetics, is superior during the first years on PD compared to hemodialysis. This is probably because a better preservation of residual renal function (RRF)³⁻⁶.

In 1976, Popovich and Moncrief introduced PD as a new therapy in end-stage renal disease⁷, which is based upon the removal of excess of waste products and water using the peritoneum as a biological dialysis membrane. Today, PD has become a fully accepted treatment modality. Despite its long-term experience, there are still several unresolved issues in PD therapy, some of which will be described in this chapter. Among others, these issues are related to monitoring of peritoneal transport, the prescription of the PD treatment itself, and the use of PD in emerging indications, notably heart failure complicated by severe renal disease. This thesis is subdivided in 3 parts, in which some of these unresolved issues in PD are addressed.

Monitoring of peritoneal transport

With PD, the blood is cleansed by means of instillation of sterile dialysis fluid in the peritoneal cavity through an abdominal catheter, leading to exchange of fluid and solutes between the peritoneal cavity and capillaries of the peritoneal membrane. Movement of solutes are based on diffusion and convection over the peritoneal membrane, whereas fluid is removed (ultrafiltered) by a crystalloid osmotic pressure gradient induced (in conventional dialysis solutions) by the hyperosmotic dialysis solutions, usually different glucose based concentrations (1.36%/1.5%, 2.27%/2.5% or 3.86%/4.25% glucose)⁵. Solute and fluid transport is size-selective (intrinsic permeability) and occurs through a system of pores, the so-called three-pore model. According this model, fluid and low molecular weight solutes transport occurs primarily through a large number of small pores with radii of about 40 Å, whereas

macromolecular solutes transport occurs through a small number of large pores with a size >150 Å. Though the ultras-small transcellular pores with radii <5 Å are exclusively permeable to water and not to solutes. These ultras-small pores are identified as aquaporin-1 channels in peritoneal capillaries and venules of humans and rats⁸ and are responsible for the solute-free water transport. The resistance of the small pores (expressed as the reflection coefficient) for passage of glucose, is relatively low (0.03). The efficacy of glucose (diameter 1 nm) to act as an osmotic agent is mainly dependent upon the high reflection coefficient (1.0) of the aquaporin channels⁵.

Transport properties of the peritoneal membrane can be measured to characterize the functional status of the membrane during follow-up of PD treatment by performing a peritoneal equilibration test (PET). The PET was in 1987 introduced by Twardowski *et al.* and originally performed during a 4-hour dwell with a 2.27%/2.5% glucose solution⁹. The dialysate-to-plasma ratio (D/P) of creatinine at the end of the PET and the dialysate-to-initial dialysate ratio of glucose at 240 minutes (D_{240}/D_0) are calculated and used as parameters of solute transport. Drained volume is also measured at the end of the test.

Today, according the International Society of Peritoneal Dialysis (ISPD) Committee on ultrafiltration, a 3.86%/4.25% glucose solution is standardized for the PET¹⁰. A test with 3.86%/4.25% glucose provides better information on ultrafiltration failure because the larger drained volume compared to the 2.27%/2.5% glucose solution makes the result less subject to measurement errors and is more sensitive for detecting clinically significant ultrafiltration failure.

In addition, in the initial phase of the more hypertonic glucose solution, 3.86%/4.25% glucose, free water transport is induced by the hypertonic glucose solution. Free water transport is especially marked during the first hour of a hypertonic dwell. This results in a decrease in the sodium concentration in the dialysate through dilution by water present due to free water transport. This phenomenon is called sodium sieving¹¹. The maximum dip in dialysate sodium is often reached after one hour.

Sodium sieving is less pronounced with the use of the glucose polymer icodextrin (average molecular weight 18kD in contrast to 180D for glucose), which stimulates sodium and water transport through the small pores by an oncotic pressure gradient^{8,11,12}.

In addition, free water transport occurs through the intracellular aquaporin-1 channels and accounts for almost 35-40% of total ultrafiltration during the first hour of a 3.86%/4.25% glucose dwell, but can vary between 15% and 80%^{13,14}. Free water transport decreases to 20% after 4 hours due to absorption of glucose¹⁴.

During treatment with PD, morphological alterations of the peritoneal membrane may lead to impaired aquaporin function, which will result in loss of

free water transport and consequently in loss of sodium sieving. Impaired free water transport may contribute to especially long-term ultrafiltration failure in PD. A reduced peritoneal ultrafiltration is one of the predominant parameters for the failure of PD treatment^{12,15–18}. It may lead to overhydration¹⁹, especially in anuric patients, which is a high risk factor for cardiovascular morbidity and mortality^{17,18}. Reduced ultrafiltration is mainly related to a fast transport capacity of the peritoneal membrane for low molecular weight solutes, leading to rapid glucose absorption from the dialysate and a decline in the osmotic gradient. The fast transport status is acquired in the majority of patients, but may also be present at the start of PD treatment⁵, which is called *late* ultrafiltration failure²⁰.

Ultrafiltration failure is defined as an ultrafiltration volume below 400 ml after 4 hours of a standardized 3.86%/4.25% glucose PET, during which at least ultrafiltration volume, as well as D/P creatinine ratio and D/D₀ glucose is measured as a marker of the transport rate for small solutes.

However, also the preceding dwell might theoretically effects the ultrafiltration volume during PET. E.g. if ultrafiltration during the preceding dwell was high, the ultrafiltration volume during PET might be lower. This might for instance be of concern during automated PD (APD). Ultrafiltration per time unit during short dwells might be higher as compared to long dwells, and therefore results of PETs might be affected. This issue was addressed in *chapter 2*, in which the results of PET testing with long or short preceding dwells were compared.

If the diagnosis of ultrafiltration failure is made, it is important to distinguish between the two main types of ultrafiltration failure, which have a different pathophysiology and prognosis. The first is the so-called *early* ultrafiltration failure, which is present at the initiation of dialysis treatment and generally associated with a good prognosis. The pathophysiology of this entity has not yet been completely elucidated, but is possibly related to an increase in mesothelial cell mass and an increase in the peritoneal surface available for diffusion⁵. This leads to a rapid diffusive transport of small solutes, such as glucose and a rapid dissipation of the osmotic gradient. In addition, the lymphatic absorption is increased. Free water transport is not impaired, as shown by a high D/P gradient, showing that water can be removed without concomitant removal of sodium. Although, as will be discussed later, sodium sieving during actual treatment is in general not a favourable phenomenon, its presence after a 1-hour dwell using the so-called mini-peritoneal equilibration test (mini-PET) points at intact free water transport mechanisms²¹.

In contrast, so-called *late* ultrafiltration failure is associated with vascular abnormalities in the peritoneal membrane and increased fibrosis, and often related to co-morbidity. This form of ultrafiltration failure is associated with an adverse prognosis¹⁷ and may be a risk factor for encapsulating peritoneal sclerosis, a dreaded complication of PD with a high mortality²². Using the

standardized PET with a 3.86%/4.25% glucose solution, it is not possible to differentiate between the two types of ultrafiltration failure, as ultrafiltration volume during a standard 4-hour dwell is below 400 ml in both types. La Milia *et al.* developed the mini-PET to calculate the free water clearance after a 1-hour dwell period performed with a 3.86%/4.25% glucose solution²¹. Using this mini-PET with a dwell time of 1-hour, the presence of a low D/P ratio, pointing at impaired free water transport, is much higher in the *late* ultrafiltration group⁵. A problem in clinical practice is to combine the mini-PET, which gives an indication of free water transport, but no reliable data with regard to the presence of ultrafiltration failure or the D/P creatinine ratio, with those of the standardized PET. A potential solution could be a temporary drainage of the peritoneal cavity after 1-hour sample taking in order to estimate free water transport, followed by reinfusion and performance of the PET after 4 hours. However, it is not known whether this procedure has an impact on the results of the 4-hour PET results. Therefore, in *chapter 3*, the results of a temporary drainage and dialysate sampling, followed by reinfusion, for calculation of free water transport, of the standardized PET were compared with a standardized PET without a temporary drainage with regard to the D/P ratio of creatinine and D_t/D_0 ratio of glucose.

Treatment prescription in peritoneal dialysis

The second part of the thesis focuses on different modalities of PD treatment. In general, two treatment modalities are available for the patient in PD. The first is continuous ambulatory PD (CAPD) in which the exchanges are performed manually by the patient or a caretaker. The second modality is APD, in which the exchanges are performed automatically by a device. The most commonly performed APD modality is continuous cyclic PD (CCPD) with automated exchanges during nighttimes and, in general, a single dwell during daytime. In general, the automatic exchanges are performed more rapidly as compared to CAPD, resulting in shorter dwell times. An important advantage of APD is the larger freedom of the patient during daytime. Therefore, this modality is often preferred by patients for lifestyle reasons²³. In addition²⁴, in patients with high peritoneal transport rates of the peritoneal membrane (more permeable), more rapid exchanges would theoretically lead to improved fluid removal, as the influx of glucose from the dialysate to the peritoneal capillaries reduced due to the shorter dwell times. However, especially in patients with slower transport rates of the peritoneum, rapid exchanges may also have a theoretical drawback, as the removal of sodium (through the small pores) from dialysate to patient may lag behind the transport of water (through the ultras-small pores), which is also called sodium sieving. The tendency for plasma sodium to increase may lead to increased thirst and possibly volume overload. Indeed,

some studies showed a reduced sodium removal and a higher incidence of hypertension in patients treated with APD²⁵⁻²⁷. Although in another study, no relation between sodium removal and blood pressure control was observed²⁸. However, also given the relation between daily sodium removal and mortality in PD, these observations may be clinically relevant²⁹.

In addition, possibly due to more rapid fluid exchanges during APD and the need for more hypertonic glucose exchanges, as compared to the more gradual fluid removal profile during CAPD, RRF was shown to decrease more rapidly in APD patients as compared to CAPD patients^{27,30,31}.

However, most of these studies have been performed before the use of icodextrin for the long dwell. Icodextrin is a glucose polymer with a prolonged and more gradual ultrafiltration and an improved sodium removal as compared to standard hypertonic glucose solutions, which makes it suitable for use during long dwells. The use of icodextrin was shown to result in an improvement in fluid status and left ventricular hypertrophy in patients on PD^{32,33}. However, after the introduction of icodextrin, only few studies have compared sodium removal, fluid status and blood pressure control between CAPD and APD patients³⁴.

The PD modality may also have an effect on other clinically relevant parameters. One of the most common complications of PD treatment is peritonitis, most often due to contamination with skin-born bacteria, which may be introduced by connection and disconnection of the tubing connections from the catheter³⁵. The reduced number of connections with APD might theoretically result in a reduced peritonitis rate. Indeed, several small randomized trials showed a reduction in peritonitis rates, but results from large registry data are not unequivocal³⁶⁻³⁸.

Whether the different characteristics of APD and CAPD result in differences in technique and patient survival has not been definitely established. A recent systematic review of randomized trials did not show significant differences in patient or technique survival between APD and CAPD patients³⁶, although the power of the included trials was limited. Also, in several observational studies no difference in outcome between CAPD and APD was observed^{39,40}. However, in one large registry study from the USA a reduction in technique failure was observed with the use of APD⁴¹, whereas in a Mexican study patient survival was improved in APD patients⁴².

Summarizing, because of the differences in results in various reports, there is still a need for comparative studies between APD and CAPD patients with regard to important clinical and outcome parameters. In *chapter 4*, course of RRF, patient and technique survival were compared between APD and CAPD treatment in a large cohort study. In *chapter 5*, sodium removal, volume status and blood pressure control was compared between patients treated with APD and CAPD.

Apart from the treatment modality, also the prescription of dialysis fluid has an impact on the treatment of PD patients. Long-term PD results in adverse effects on the peritoneal membrane, such as mesothelial cell damage, peritoneal membrane thickening and vascular abnormalities, and may as such be a pivotal contributor to ultrafiltration failure, as discussed in the first paragraph⁴³.

In recent years, the use of dialysis solutions with low concentrations of so called glucose degradation products (GDP) has increased. In contrast to conventional glucose-containing single-compartment dialysis solutions, GDP formation is greatly reduced in multi-compartment dialysis solutions⁴⁴, because the glucose-containing compartment can be sterilized at a lower pH without the other components. Moreover, in some newer PD solutions bicarbonate has (partly) replaced lactate as buffer, which reduced the acidity of the solutions. All these changes are suggested to result in an improved biocompatibility as compared to standard glucose solutions⁴⁵.

Various in vitro studies have shown positive effects of low GDP solutions on peritoneal membrane integrity⁴⁵. Clinical data are less unequivocal. In randomized studies, an increase in CA-125 (as a marker of mesothelial cell mass) and a reduction in IL-6 (as a marker of peritoneal inflammation) was observed in peritoneal effluent with the use of low GDP solutions^{46,47}. Somewhat surprisingly, a reduction in ultrafiltration volume was observed with the use of low GDP solutions in the Euro-Balance trial⁴⁸, a phenomenon which was not observed in another randomized trial⁴⁹. Two retrospective surveys have suggested a survival benefit of low GDP solutions^{50,51}. However, due to the observational nature and methodological concerns, these data should be interpreted with some caution.

One of the factors which may play a role in peritoneal membrane damage is accumulation of advanced glycation end-products (AGEs), which may be stimulated by GDPs⁵². Accumulation of AGEs in the peritoneal membrane was observed in patients with ultrafiltration failure⁵³. Several AGE products have been identified. Well characterized AGE products with potential pathophysiological significance are N^ε-(carboxyethyl)lysine (CEL) and N^ε-(carboxymethyl)lysine (CML)^{54,55}. Until now, the effects of more biocompatible PD solutions on CEL and CML levels have not been compared. In *chapter 6*, the effect of low GDP versus standard glucose-containing solutions on CML and CEL levels in peritoneal effluent was assessed in a randomized study.

Peritoneal dialysis in heart failure

Apart from the use of PD in end-stage renal failure per se, the possibility for gradual fluid removal may also be of use for patients with cardiac failure. As reviewed recently, cardiac failure and chronic renal failure frequently coincide

in which the disturbance in function of both organs may lead to adverse and self-perpetuating interactions.

Recently, the term cardiorenal syndrome (CRS) was adopted and defined as “a pathophysiological disorder of the heart and kidneys whereby acute or chronic dysfunction in one organ may induce acute or chronic dysfunction in the other organ”. For instance, kidney damage due to reduced renal perfusion may compromise fluid and sodium removal, which in itself may worsen cardiac function and initiate a self-perpetuating cycle^{56,57}.

In the literature, 5 different subtypes of the CRS are distinguished⁵⁶ (Table 1.1).

Table 1.1 Classification of cardiorenal syndrome.

Type	Definition
CRS type 1 (acute cardiorenal syndrome)	Acute worsening of cardiac function leading to acute kidney injury
CRS type 2 (chronic cardiorenal syndrome)	Chronic abnormalities in cardiac function (e.g. chronic congestive heart failure) causing progressive and potentially permanent chronic kidney disease
CRS type 3 (acute renocardiac syndrome)	Acute worsening of renal function causing acute cardiac dysfunction
CRS type 4 (chronic renocardiac syndrome)	Chronic kidney disease contributing to progressive cardiac dysfunction
CRS type 5 (secondary cardiorenal syndrome)	Systemic conditions causing simultaneous cardiac and renal dysfunction

This part of the thesis focuses mainly on the CRS type 2. The pathogenesis of this entity is complex and not yet completely elucidated. An important factor is most likely the reduction in cardiac output, leading to chronic hypoperfusion of the kidney and subsequent renal fibrosis and sclerosis. However, not only a reduction in systolic function, but also diastolic dysfunction is related to kidney disease. No difference between left ventricular ejection fraction (LVEF) and renal function was observed in patients with heart failure⁵⁸. Possibly, also other factors than chronic hypoperfusion are related to chronic kidney dysfunction in heart failure, such as endothelial dysfunction and excessive production of vasoconstrictors such as endothelin. A reduction in glomerular filtration rate below 45 ml/min was shown to be an independent predictor for mortality in patients with heart failure⁵⁹. Also in another study, a decline in renal function was an independent predictor of outcome⁵⁸.

Treatment of patients with CRS is notoriously difficult⁶⁰. The most important of treatment is the support of cardiac function and relieve of symptoms due to

fluid overload, for instance with loop diuretics. However, especially in patients with severe cardiac failure, this may be difficult to achieve and diuretic-resistance may ensue, in which even treatment with high dose loop diuretics is unable to result in sufficient relief. In these patients, frequent hospitalization is often required, together resulting in a reduction in quality of life. The prognosis of these patients, i.e. patients with severe and diuretic unresponsive cardiac failure who are not eligible for heart transplantation is in general dismal. In one study, a 1-year mortality rate of 74% was observed in patients with end-stage heart failure treated with conservative therapy⁶¹.

In patients with primary cardiac failure complicated by severe renal failure, extracorporeal therapies may be the only method to correct fluid status. However, in clinical practice, this is not always applied due to the dismal prognosis of the patients and the difficulties in achieving adequate fluid removal. For instance, when intermittent dialysis therapies are used, the rapid fluid shifts in combination with the impaired cardiac function, patients may be at high risk for hypotension⁶² and cardiac ischemia⁶³.

In patients with acute heart failure and moderately disturbed renal function (CRS type 1), continuous venovenous or peripheral ultrafiltration resulted in an improvement volume removal, translating in a reduced number of readmissions⁶⁴. However, this treatment is, at least in the Netherlands, not yet available for the home setting. Moreover, with peripheral ultrafiltration, adequate removal of uremic waste solutes or the correction of electrolyte and acid base disturbances cannot be achieved in patients with end-stage renal disease.

Gradual fluid removal, as well as (partial) correction of the uremic milieu can also be achieved with PD. Several small studies have shown that PD may be useful in the treatment of CRS type 2. In most studies, an improvement of the functional status and a reduction in hospitalization was observed compared to historical data⁶⁵⁻⁶⁸. However, given the small number of included patients and the absence of prospective data, there is a need for further studies on the effect of PD on important clinical outcome parameters such as cardiac function, functional status, and outcome.

Chapter 7 comprises of a literature review on the experience with PD in the treatment of patients with treatment-resistant congestive heart failure. In *chapter 8*, a retrospective analysis is described on the treatment of chronic resistant heart failure, complicated by severe renal failure (CRS type 2), from a local perspective. In *chapter 9*, patients known with treatment-resistant congestive heart failure were prospectively followed after initiation of dialysis, and the effects on quality of life, functional status and cardiac function were assessed.

In *chapter 10* were the results of the thesis put into perspective with data from the literature.

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Part I

Monitoring of peritoneal transport

Chapter 2

Influence of the preceding dwell time on the peritoneal equilibration test with 3.86% glucose solution in automated peritoneal dialysis

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Abstract

Objective

The peritoneal equilibration test (PET) using 3.86% glucose solution is preceded by a long dwell with 3.86% glucose solution. A point of concern in patients treated with automated peritoneal dialysis (APD) is the influence of the preceding short nightly dwells on the results of a standardized PET. The aim of the study was to compare net ultrafiltration, small solute transport, sodium sieving and solute transport type between a PET preceded by a long night dwell and one preceded by short (APD) dwells.

Patients and methods

13 stable APD patients (mean age 60 ± 15 years; mean duration of peritoneal dialysis 31 ± 15 months) underwent 2 PETs: 1 preceded by short nightly dwells (PET A) and 1 preceded by a long night dwell (PET B).

Results

Both PETs were performed within a mean period of 8 (range 5-11) days. Mean total ultrafiltration of PET A was 626 ± 218 ml and PET B was 644 ± 223 ml (NS). The 4-hour results of both tests for dialysate-to-plasma (D/P) ratios of creatinine and urea, D_t/D_0 ratios of glucose, and the dip in D/P sodium (sodium sieving) were similar. Classification of transport categories was identical for 10 of 13 patients.

Conclusion

In APD, the preceding dwell time of a 3.86% glucose PET does not influence fluid transport, solute transport, or transport type.

Introduction

The transport properties of the peritoneal membrane can be measured to characterize the functional status of the membrane in the follow-up of peritoneal dialysis (PD) patients. The peritoneal equilibration test (PET) was originally performed during a 4-hour dwell with a 2.27%/2.5% glucose dialysis solution¹.

The International Society for Peritoneal Dialysis (ISPD) committee on ultrafiltration failure has proposed performing peritoneal function tests with a 3.86%/4.25% glucose solution to provide better information on ultrafiltration because the larger drained volume makes the result less subject to measurement errors and is more sensitive in detecting clinically relevant ultrafiltration failure². In addition, the phenomenon of sodium sieving, associated with a hypertonic glucose solution, offers an assessment of free water transport³. In the initial phase of a dwell with the most hypertonic solution, a decrease of the dialysate sodium concentration by dilution with water is present due to free water transport induced by this solution. The maximum dip in the dialysate sodium concentration is often reached after one hour.

The PET was originally developed for continuous ambulatory peritoneal dialysis (CAPD). Part of the standardization for PET was that it had to be preceded by a long dialysis dwell. The use of automated peritoneal dialysis (APD) has increased in many countries. Consequently, the duration of the exchange preceding the PET dwell is usually 2-3 hours. It is unknown whether this could influence the 3.86%/4.25% glucose PET results. Therefore, we compared the results for fluid transport and solute transfer of two PETs in APD patients: one after a nightly APD scheme with short exchanges (PET A) and one after a long night dwell (PET B).

Patients and methods

Thirteen stable APD patients (11 men and 2 women, mean age 60 ± 15 years) treated in the Maxima Medical Center, Veldhoven, and the Catharina Hospital, Eindhoven, The Netherlands, between March 2006 and February 2007, were enrolled in the study. Median duration of PD was 31 ± 15 (range 4-50) months. The patients were peritonitis free at the test, during the 4 weeks preceding the first test, and between the tests. All patients used commercially available dialysis solutions (Baxter Healthcare, IRL, Dublin, Ireland). No patient had any clinical sign of overhydration.

All patients gave their written informed consent. The study protocol was approved by the Ethics Committee of the Catharina Hospital, Eindhoven, The Netherlands.

Procedure

Two standardized 3.86% glucose PETs of 4 hours (PET A and PET B) were performed in all patients. The sequence of the tests was randomized. All tests were done using a 3.86% glucose dialysis solution (Physioneal, Baxter Healthcare). The volume was 2 l in all patients and the same volume was used in both tests in every patient. PET A was preceded by a long day dwell (more than eight hours) using 3.86% glucose solution the day before and a nightly APD scheme with short dwells of less than three hours. PET B was performed after a long night dwell of more than eight hours using a 3.86% glucose solution.

Urea, creatinine, and sodium were measured in plasma and in dialysate. Glucose was assessed in dialysate. Dialysate samples were taken before instillation of the dialysate and after 60, 120 and 240 minutes. Blood samples were obtained before inflow and at 60 minutes. Ultrafiltration volumes and dialysate-to-plasma (D/P) ratios of the two tests were compared.

Measurements

Plasma and dialysate creatinine, urea, sodium, and glucose were analyzed using an Advia 1650 (Siemens Medical Solutions Diagnostics, San Francisco, CA, USA); the sodium concentrations in plasma and dialysate were analyzed with an ion selective electrode using an indirect method. Urea and glucose were analyzed by enzymatic methods and creatinine by a compensated alkaline picrate method.

Calculations

The ratio of dialysate glucose concentrations was calculated by dividing the dialysate glucose concentration at the start and after 60 and 240 minutes (D_t) by the dialysate glucose concentration at the start (D_0). The D/P ratios of creatinine, urea, and sodium were calculated by dividing the concentrations in dialysate at the start and after 60 and 240 minutes by the concentrations in plasma at the same moments (D/P_0 , D/P_{60} , and D/P_{240}).

The dip in the D/P ratio of sodium at 60 minutes was calculated as follows:

$$\text{Dip Na (mmol/l)} = \text{Na}_{\text{Dialysate In}} / \text{Na}_{\text{Plasma}} - \text{Na}_{\text{Dialysate Out}} / \text{Na}_{\text{Plasma}}$$

where “Dialysate In” is the peritoneal dialysate sodium concentration in millimoles per liter at the start; “Dialysate Out” is the peritoneal dialysate sodium concentration after 60 minutes; and $\text{Na}_{\text{Plasma}}$ is the plasma sodium concentration in millimoles per liter.

Statistical analyses

Results are expressed as mean values and standard deviations (SD). The Student’s paired t-test was used for comparison of the D/P ratios of both PETs. A *P* value <0.05 was considered significant. All the statistical analyses were made using SPSS statistical software (release 15.0; SPSS Inc., An IBM Company, Chicago, IL, USA).

Results

Thirteen patients treated with APD were included. Only one patient had diabetes mellitus. Two patients were treated with 1.36% and 2.27% glucose solutions; all other patients were treated with 1.36% glucose solutions. The two PETs were done within a mean period of eight (range 5-11) days. A comparison of the results of the preceding long dwell is given in Table 2.1. Mean time of the preceding short dwell of PET A was 139 ± 38 (range 84-240) minutes and that of the preceding long dwell of PET B was 616 ± 63 (range 485-720) minutes. The previous long day dwell (PET A) and the preceding long night dwell (PET B) were not different with respect to dwell time, ultrafiltration, and dialysate concentrations of creatinine, urea, sodium, and glucose. One patient had three preceding short dwells, one patient had five preceding short dwells, and eleven patients had four short dwells during PET A. Ultrafiltration of the preceding short dwells of PET A was available in only six patients. Mean ultrafiltration was 295 ± 261 ml.

Table 2.1 Results of the preceding long dwell of PET A (long day dwell) and of PET B (long night dwell).

	PET A	PET B
Duration of preceding dwell (minutes)	139 ± 38	616 ± 63
Dialysate creatinine (µmol/l)	641 ± 195	692 ± 205
Dialysate glucose (mmol/l)	27.6 ± 9.3	26.9 ± 9.4
Dialysate urea (mmol/l)	19.2 ± 5.4	20.8 ± 5.0

PET = peritoneal equilibration test. None of the differences was significant.

A comparison of the results of both PETs is given in Table 2.2. Mean total ultrafiltration of PET A was 626 ± 218 ml and of PET B 644 ± 223 ml (NS). Also, no significant differences were found for parameters of solute transport or free water transport, estimated as dip D/P sodium after 60 minutes. Classification of transport categories was identical for 10 of 13 patients (Figure 2.1). Ultrafiltration failure was detected in one patient after PET A and in one other patient after PET B (380 ml and 365 ml respectively). These patients were treated with PD during 50 (PET A) and 26 months (PET B).

Table 2.2 Results of PET A (preceded by short night dwells) and PET B (preceded by a long night dwell).

	PET A	PET B
Ultrafiltration after 4 hours (mL)	626 ± 218	644 ± 223
D/P sodium after 1 hour	0.88 ± 0.022	0.89 ± 0.021
D/P sodium after 2 hours	0.88 ± 0.028	0.88 ± 0.028
D/P creatinine after 1 hour	0.38 ± 0.096	0.40 ± 0.085
D/P creatinine after 2 hours	0.51 ± 0.105	0.54 ± 0.092
D/P creatinine after 4 hours	0.67 ± 0.101	0.71 ± 0.086
D_t/D_0 glucose after 1 hour	0.63 ± 0.110	0.65 ± 0.110
D_t/D_0 glucose after 2 hours	0.48 ± 0.900	0.47 ± 0.103
D_t/D_0 glucose after 4 hours	0.31 ± 0.066	0.30 ± 0.062
D/P urea after 1 hour	0.56 ± 0.088	0.56 ± 0.070
D/P urea after 2 hours	0.73 ± 0.078	0.75 ± 0.069
D/P urea after 4 hours	0.89 ± 0.043	0.90 ± 0.048

PET = peritoneal equilibration test; D/P = dialysate-to-plasma ratio; D_t/D_0 = dialysate glucose concentration after t /dialysate glucose concentration at start. None of the differences was significant.

Figure 2.1 Classification of peritoneal membrane transport categories of PET A (preceded by short night dwells) and PET B (preceded by a long night dwell).

Discussion

Use of the 3.86%/4.25% glucose PET has become the standard for evaluation of peritoneal membrane transport and ultrafiltration failure. However, since the PET with a 2.27%/2.5% glucose solution has been the most widely used test for years to assess peritoneal transport in PD, some concern has been raised about the interpretation of the results when a solution with a different tonicity would be used. In different previous studies comparing “PET parameters” obtained with 1.36%/1.5%, 2.27%/2.5% and 3.86%/4.25% glucose dialysate, no effect of the glucose concentration on D/P creatinine was found⁴⁻⁶. Also reference values for the 3.86%/4.25% glucose PET in a large number of stable PD patients have been published⁷.

Another point of concern has been the influence of the preceding dwell on PET results. When most patients were on CAPD, the prior exchange was approximately eight hours, but with the growing number of patients treated with some form of APD this is no longer the case. Several groups have investigated the influence of a nightly APD scheme compared to a long CAPD dwell on transport parameters in the 2.27%/2.5% PET. Figueiredo *et al.* found no significant differences for D/P creatinine and D_t/D_0 glucose⁸. Twardowski *et al.* also investigated the influence of the preceding dwell time in the short 2.27%/2.5% glucose PET⁹. It appeared that only miniscule differences were present for small solute transport between a preceding dwell time of three or eight hours. However, the D/P ratios for proteins tended to be higher with the longer preceding exchange. This has been ascribed to a higher content of proteins in the residual volume, owing to the longer equilibration time. No information in these studies has been given on net ultrafiltration. Also, the possible influence of the preceding exchange on free water transport, estimated using the maximum dip in D/P sodium in the first phase of the dwell, has not been examined before.

In the present study all patients underwent two PETs preceded by different dwell times. Net ultrafiltration and values in dialysate of the preceding long dwell were similar. Parameters of solute transport such as D/P creatinine and urea and the values of D_t/D_0 of glucose obtained after 60, 120 and 240 minutes were also not different. This indicates that the duration of the preceding dwell does not influence solute transport or time to equilibration in patients treated with APD. These data of solute transport are supportive of those in previous publications^{8,9}.

A 3.86%/4.25% glucose PET is useful in the evaluation of sodium sieving and ultrafiltration failure⁶. Free water transport occurs through transcellular aquaporin-1 channels and creates a dip in D/P sodium. No influence of the preceding dwell time was found on free water transport or on net ultrafiltration. In addition, classification of the patients as fast, fast average, slow average and

slow transporters based on the values of D/P creatinine obtained after both 3.86%/4.25% glucose PETs showed a good agreement of categorization between the two tests. The observed disagreement in three patients shifted them only one peritoneal transport category.

In conclusion, the preceding dwell time does not influence peritoneal membrane transport during a standardized 3.86%/4.25% PET. Any preceding dwell time can be used.

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Chapter 3

Quantification of free water transport during the peritoneal equilibration test

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Abstract

Objective

Free water transport (FWT) can be calculated after a dwell of one hour with a 3.86% glucose solution using sodium kinetics (mini-PET, as developed by LaMilia *et al.*). This requires measurement of the intraperitoneal volume after drainage of the abdomen. Since valuable information of a 4-hour peritoneal equilibration test (PET) may be lost, the aim of the present study was to investigate whether temporary drainage of the peritoneal cavity after 1 hour and re-instillation thereafter would influence the results of the 4-hour PET.

Methods

Two PETs were performed in 10 stable peritoneal dialysis (PD) patients (mean age 59 ± 13 years, mean duration on PD 33 ± 15 months) within a mean period of 54 (range 13-104) days: one standardised 4-hour-PET using 3.86% glucose (PET A) and one with drainage after one hour followed by re-instillation (PET B).

Results

Mean total ultrafiltration (UF) of PETs A and B was 667 ± 210 ml and 621 ± 206 ml (NS). Mean FWT at 60 minutes was 164 ± 74 ml and mean UF through the small pores was 204 ± 181 ml; FWT correlated well with total UF ($r = 0.720$, $P = 0.019$). Classification of transport categories was identical for nine of the ten patients. Comparison of 1-hour and 4-hour results in test B showed a good correlation between dialysate-to-plasma ratios (D/P) of creatinine and urea and D_t/D_0 ratios of glucose.

Conclusion

A 4-hour 3.86% glucose PET, including temporary drainage after one hour for assessment of FWT, does not influence the results of D/P creatinine and D_t/D_0 glucose and gives essential additional information on aquaporin function.

Introduction

The transport properties of the peritoneal membrane can be measured to characterize the functional status of the membrane during the follow up of peritoneal dialysis (PD) patients. The peritoneal equilibration test (PET) was originally performed during a 4-hour dwell with a 2.27%/2.3% glucose dialysis solution¹. The dialysate-to-plasma ratio (D/P) of creatinine at the end of the procedure and the dialysate-to-initial dialysate ratio of glucose at 240 minutes (D_{240}/D_0) are calculated and used as parameters of solute transport. Drained volume is also measured.

The International Society for Peritoneal Dialysis (ISPD) committee on ultrafiltration (UF) failure proposed performance of peritoneal function tests with 3.86%/4.25% glucose solution instead of 2.27%/2.5% glucose, as was common practice during the previous decade². A test with 3.86%/4.25% glucose solution provides better information on UF because the larger drained volume makes the result less subject to measurement errors and is more sensitive for detecting clinically significant UF failure. In addition, the phenomenon of sodium sieving associated with a hypertonic glucose solution offers an assessment of aquaporin-mediated water transport³. In the initial phase of the more hypertonic solution, 3.86%/4.25% glucose, there is a decrease in dialysate sodium concentration through dilution by water present due to free water transport induced by the hypertonic glucose solution. The maximum dip in the dialysate sodium concentration is often reached after one hour.

Osmotically induced water transport occurs through small interendothelial pores and through intracellular water channels (aquaporin-1) present in the endothelium of peritoneal capillaries and venules⁴. Free water transport occurs through those channels and is especially marked during the first hour of a hypertonic dwell. Free water transport accounts for almost half of the UF in this first phase of a hypertonic dwell and correlates well with the maximum dip in D/P sodium⁵. Impaired aquaporin function will result in loss of free water transport and consequently in loss of sodium sieving. LaMilia *et al.* developed a simple and fast method to assess free water and small solute transport across the peritoneal membrane⁶. Free water transport is calculated after a dwell of one hour with 3.86%/4.25% glucose using the kinetics of sodium transport; however, this requires measurement of intraperitoneal volume after one hour.

In the present study we investigated whether temporary drainage of the peritoneal cavity after one hour to measure the intraperitoneal volume, followed by reinfusion, would influence the results of the 4-hour PET.

Patients and methods

Ten stable PD patients (5 men, 5 women; mean age 59 ± 13 years) were enrolled in the study. The duration of PD was 33 ± 15 (range 4-52) months. The patients were peritonitis free during the 4 weeks preceding the first test, during the tests, and between the two tests. All patients used commercially available dialysis solutions (Baxter Healthcare, IRL, Dublin, Ireland). No patient had any clinical sign of overhydration.

All patients gave their written informed consent. The Ethics Committee of the Catharina Hospital, Eindhoven, The Netherlands, approved the study protocol.

Procedure

Two standardized 4-hour 3.86% glucose PETs (PET A and PET B) were performed in all patients. The sequence of the tests was randomized. All tests were done with Physioneal (Baxter Healthcare) and the volume was 2 l in each patient.

During PET A, the dialysis solution was continuously in the intraperitoneal cavity during the four hours. The volume of the dialysis solution was determined by weight between flushing and infusion. Blood samples were taken after complete infusion of the dialysis solution and after 60 and 240 minutes (P_0 , P_{60} and P_{240} , respectively). During the first minute after complete infusion of 2 l dialysis solution and after 60 and 240 minutes, 10 ml of peritoneal dialysate (D_0 , D_{60} and D_{240} , respectively) was sampled. After 240 minutes, the drained volume was collected and weighed.

During PET B, an additional measurement of UF volume after one hour was performed by total drainage of the peritoneal cavity; the volume was reinfused immediately. Blood and dialysate samples were taken at instillation of the dialysate and after 60 and 240 minutes.

Urea, creatinine, and sodium were measured in plasma and in dialysate. Glucose was assessed in dialysate. Ultrafiltration volumes and D/P ratios from the two PETs were compared.

Measurements

Plasma and dialysate creatinine, urea, sodium, and glucose were analyzed using a Advia 1650 (Siemens Medical Solutions Diagnostics, San Francisco, CA, USA); sodium concentrations in plasma and dialysate were analyzed with an ion-selective electrode using an indirect method. Urea and glucose were analyzed with enzymatic methods and creatinine with a compensated alkaline picrate method.

Calculations

Ratios of dialysate glucose concentrations were calculated by dividing the dialysate glucose concentration at the start and after 60 and 240 minutes (D_t) by the dialysate glucose concentration at the start (D_0).

The D/P ratios of creatinine, urea, and sodium were calculated by dividing the concentrations obtained at the start and after 60 and 240 minutes in dialysate by the concentrations in plasma at the same moments (D/P_0 , D/P_{60} , D/P_{240}). The dip in D/P sodium was calculated as

$$\text{Dip D/P sodium} = \text{Na}_{\text{Dialysate in}} / \text{Na}_{\text{Plasma } 0} - \text{Na}_{\text{Dialysate out}} / \text{Na}_{\text{Plasma } t}$$

where “Dialysate in” is the peritoneal dialysate sodium concentration at the start and “Dialysate out” is the peritoneal dialysate sodium concentration at 60 minutes or at the end of the PET; $\text{Na}_{\text{Plasma } 0}$ is the plasma sodium concentration at start and $\text{Na}_{\text{Plasma } t}$ is the plasma sodium concentration at time point 60 minutes or 240 minutes.

Ultrafiltration through small pores during the first 60 minutes of a dwell was calculated from the amount of sodium transported during that period. Assuming that the small pore system provides no hindrance to the transport of sodium, UF can be calculated as

$$\frac{\text{Amount of sodium transported}}{\text{Plasma sodium concentration}}$$

Where the amount of sodium transported is the difference between the amount of sodium in the drained volume after 60 minutes ($\text{volume} \times \text{Na}_{\text{Dialysate out}}$) and the instilled amount ($\text{volume} \times \text{Na}_{\text{Dialysate in}}$).

Free water transport is the difference between total UF at 60 minutes and small pore fluid transport. Its contribution to total fluid transport is expressed as a percentage of total UF.

Statistical analyses

Results are expressed as mean values and standard deviations (SD). The Student's paired t-test was used for comparison of the D/P ratios for the two PETs. Correlation analyses were used to investigate possible relationships between free water transport and total UF, between D/P ratios of creatinine and urea after 60 minutes and 240 minutes, and between D_t/D_0 ratios of glucose after 60 minutes and 240 minutes.

A P value >0.05 was considered significant. All statistical analyses were made using SPSS 12.0 (SPSS Inc., Chicago, IL, USA).

Results

Five patients treated with continuous ambulatory PD and 5 patients treated with automated PD were included. The two PETs were done within a mean period of 53 days (median 58 days, range 13-104 days).

A comparison of the two PETs is given in Table 3.1. No significant differences were found for drained volume after 4 hours or for parameters of solute transport. Classification of transport categories was identical for nine of the ten patients.

Table 3.1 Results of PET A (no temporary drainage) and PET B (with temporary drainage of the intraperitoneal volume after 60 minutes).

	PET A	PET B
Total UF after four hours (ml)	667 ± 210	621 ± 206
Dip D/P sodium		0.873 ± 0.0287
Small-pore transport after 60 minutes (ml)	NA	204 ± 181
Free water transport after 60 minutes (ml)	NA	164 ± 74
Free water transport (%)	NA	49.7 ± 20.8
D/P creatinine after four hours	0.637 ± 0.095	0.636 ± 0.100
D _t /D ₀ glucose after four hours	0.420 ± 0.102	0.368 ± 0.0909
D/P urea after four hours	0.922 ± 0.0569	0.925 ± 0.0586
None of the differences was significant.		

(PET = peritoneal equilibration test; UF = ultrafiltration; D/P = dialysate-to-plasma ratio; D_t/D₀ = dialysate-to-initial dialysate ratio of glucose at time t; NA = not assessed)

Free water transport was related to total UF after four hours (Figure 3.1). Relationships between values at 60 minutes and 240 minutes for D/P creatinine, D/P urea and D_t/D₀ glucose are shown in Figure 3.2.

Figure 3.1 Correlation of free water transport after 60 minutes and net ultrafiltration after 240 minutes ($r = 0.720$, $P = 0.019$).

Figure 3.2 Correlations between dialysate-to-plasma (D/P) ratios of creatinine (A) and urea (B), and D_t/D_0 ratios of glucose (C) after 60 minutes and 240 minutes during peritoneal equilibration test B.

Discussion

Fluid transport induced by a crystalloid osmotic pressure gradient during PD is composed of small pore fluid transport and free water transport^{3,7}. The latter occurs through aquaporin-1⁸. The contribution of both pathways can be calculated by comparing the transport of sodium and water^{4,6}. The first hour of a dialysis dwell is the preferred time because UF rates are high and effects of confounding factors, such as lymphatic absorption and diffusion of sodium, are small. However, for the calculation of sodium transport, the intraperitoneal volume after one hour is required.

The present study showed that a temporary drainage of the peritoneal cavity to allow measurement of the drained volume and for dialysate sampling, followed by reinfusion, allows the calculation of free water transport without influencing UF or solute transport after four hours. The results obtained for free water transport were in line with those of LaMilia *et al.*⁶ and with the results obtained in studies using a volume marker⁹.

It could be argued that measurement of peritoneal function should only be done using a dwell of one hour, but this would have a profound effect on solute transport rates. The present study and others¹⁰ showed good correlation between D/P creatinine after one and four hours, but the one hour value was always markedly higher than the expected one calculated from a 4-hour dwell^{10,11}. This has been found previously for mass transfer area coefficients. The highest values were found during the first hour of a dwell, due probably to a non-specific effect of instillation of the dialysis fluid¹². It follows from these

findings that a 4-hour dwell is the best way to measure peritoneal solute transport.

Why is assessment of free water transport important? A number of studies have suggested or shown that impaired free water transport as a contributing cause of UF failure is especially present in long-term PD patients¹³⁻¹⁶ and probably indicates more severe membrane damage than neo-angiogenesis only. This is supported by the relationship between free water transport and osmotic conductance to glucose¹⁶. Early detection of a decrease in free water transport might identify patients at risk for the development of severe membrane alterations but much more data are necessary to substantiate this hypothesis. The method investigated in the present study makes it feasible to obtain them also in routine clinical practice.

It can be concluded that measurement of free water transport after one hour is possible during a 3.86%/4.25% glucose PET. It is a valuable addition to current methods for assessment of the peritoneum as a dialysis membrane.

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Part II

Treatment prescription

Chapter 4

Comparison of outcomes on continuous ambulatory peritoneal dialysis versus automated peritoneal dialysis: results from a USA database

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Abstract

Background and objective

Automated peritoneal dialysis (APD) is being increasingly used as an alternative to continuous ambulatory peritoneal dialysis (CAPD). However, there has been concern regarding reduced sodium removal leading to hypertension and resulting in a faster decline in residual renal function (RRF). The objective of the present study was to compare patient and technique survival and other relevant parameters between patients treated with APD and patients treated with CAPD.

Methods

Data for incident patients were retrieved from the database of the Renal Research Institute, New York. Treatment modality was defined 90 days after the start of dialysis treatment. In addition to technique and patient survival, RRF, blood pressure, and laboratory parameters were also compared.

Results

179 CAPD and 441 APD patients were studied. Mean as-treated survival was 1407 days [95% confidence interval (CI) 1211 - 1601] in CAPD patients and 1616 days [95% CI 1478 - 1764] in APD patients. Adjusted hazard ratio (HR) for mortality was 1.31 in CAPD compared to APD [95% CI 0.76 - 2.25, NS]. Unadjusted as-treated technique survival was lower in CAPD as compared to APD, with HR of 2.84 [95% CI 1.65 - 4.88, $P = 0.002$]; adjusted HR was 1.81 [95% CI 0.94 - 3.57, $P = 0.08$]. Peritonitis rate was 0.3 episodes/patient-year for CAPD and APD; exit-site/tunnel infection rate was 0.1 and 0.3 episodes/patient-year for CAPD and APD respectively [NS].

Conclusions

Patient survival was not significantly different between APD and CAPD patients, whereas technique survival appeared to be higher in APD patients and could not be explained by differences in infectious complications. No difference in blood pressure control or in decline in RRF was observed between the 2 modalities. Based on these results, APD appears to be an acceptable alternative for CAPD, although technique prescription should always follow individual judgment.

Introduction

Automated peritoneal dialysis (APD) is an attractive alternative to continuous ambulatory peritoneal dialysis (CAPD), because it provides more freedom to the patients since most exchanges can take place during nighttime. The most frequently used APD modality is continuous cycling peritoneal dialysis (CCPD). Moreover, especially in patients with a high transport status, which is characterized by a rapid absorption of glucose and water during a dwell, the more frequent exchanges with APD might be beneficial for fluid management¹. On the other hand, the short dwells with APD might lead to reduced sodium removal ("sodium sieving")², this might have clinical significance as sodium removal in peritoneal dialysis (PD) patients was found to be related to hypertension control³ and even survival⁴. In addition, some studies have found a faster decline in residual renal function (RRF) with the use of APD^{5,6}. On the other hand, the incidence of peritonitis was found to be lower with CCPD⁷. Whether these differences affect outcome is uncertain. A systematic review of three small randomized trials with a total of 139 patients did not show differences in survival between APD and CAPD patients^{1,8}. Recently, in the NECOSAD database, no difference in outcome between CAPD and APD was observed, although only 87 patients on APD could be studied⁹. Comparable results were reported by the Australia and New Zealand Dialysis and Transplant Registry¹⁰, in which no differences in adjusted mortality or technique failure between APD and CAPD patients were observed. In contrast, in US patients a reduced technique failure was observed with the use of APD^{11,12}, whereas improved patient survival in APD patients was observed in a Mexican study¹³. Apart from the Baxter database reports^{11,12}, limited data on US patients are available. However, due to the increasing use of APD in the US¹¹, more data on potential differences between both modalities would be useful. The objectives of the present study were to compare treatment outcome between CAPD and CCPD patients, and to analyze potential differences in important surrogate outcome parameters such as blood pressure control, serum albumin levels and RRF.

Methods

This is a retrospective cohort study from the Renal Research Institute (RRI, New York) database that includes all patients who started PD treatment from 2001 to 2008. RRI peritoneal dialysis patients are treated in 21 clinical centers primarily concentrated in New York State with some additional clinics in Connecticut, North Carolina, California, Michigan, and Illinois. Except three clinics, all clinics have both in-center hemodialysis patients and PD programs.

PD programs vary in size from as small as 2 patients to as large as 80 patients. PD population represents about seven percent of all patients treated within RRI clinics. However, about 33% of the patients studied were treated in PD-only programs. It is the decision of the nephrologist to choose between either CAPD or APD. The vast majority of APD patients have a daytime dwell, generally with dextrose but some with icodextrin (Baxter®). The nephrologist may also decide what solutions (Fresenius® or Baxter®) to use for PD. In general no low GDP solutions are used, about 30% of patients use icodextrin (Baxter®).

In this study data from 887 patients with dialysis treatment are available. Of these patients, only those who were treated with PD treatment from 90 days after the start of chronic dialysis treatment were included (n = 620). In agreement with the NECOSAD cohort, the 90 days period was chosen because patient outcome or switch to another therapy is more likely to be due to the initial health status of the patient.

The PD treatment modality (CAPD or APD) was defined three months after the start of (any) dialysis treatment. Patients were censored for renal transplantation, switch to any other treatment modality for a period longer than 90 days, death, or loss of follow-up.

Description RRI database

Baseline data were collected as averaged values for the first 90 days after the start of chronic dialysis and laboratory and adequacy parameters were expressed as time-averaged values from three months after the start of dialysis treatment until the time of censoring for comparison between CAPD and APD. Laboratory examinations were performed monthly, while data on dialysis adequacy and RRF were assessed every three months.

Peritonitis is reviewed monthly in the CQI meetings. If for one reason, peritonitis would not have been registered, it would be a random error and not be expected to affect differences between CAPD and APD.

Technique survival was assessed as the switch from CAPD or APD to hemodialysis treatment. Unlike the NECOSAD approach, but in agreement with Badve *et al.*¹⁰, we did not define treatment switches from APD to CAPD or vice versa as technique failure, as these switches are not due to failure of PD therapy per se.

Blood pressure values were only available for 30% of patients (CAPD patients 27%, APD patients 23%).

Statistical analysis

Survival analysis was performed using both on an as-treated basis (patients who remained on the original treatment until the censoring date), and as an

intention-to-treat analysis. Survival analysis data are presented as Kaplan Meier curves. A Cox proportional hazards regression analysis was performed adjusted for age, race, gender, diabetes, size of dialysis facility (continuous), RRF (no, defined as absence of urine; yes, production of urine), hemoglobin, and albumin at baseline (first 90 days).

Continuous variables were tested with a t-test whereas Chi-squared or Fisher exact test was used for categorical variables. The change in parameters was assessed by the differences between baseline and time averaged values of the follow-up period.

Results are expressed as mean values and standard deviations (SD). A *P*-value of less than 0.05 was considered significant. Statistical analyses were made using SPSS for Windows statistical software (release 16.0; SPSS Inc., An IBM Company, Chicago, IL, USA), SYSTAT for Windows release 12, and SAS for Windows version 9.1.

Results

Baseline data

Tables 4.1 and 4.2 show the baseline and entire patient's exposure laboratory and other parameters, respectively, between patients treated with APD and CAPD.

Table 4.1 Comparison of baseline laboratory and other parameters between patients starting with APD and CAPD.

	APD (n = 441)	CAPD (n = 179)
Albumin (g/dl)	3.67 ± 0.49	3.59 ± 0.53
RRF (ml/min)	1.65 ± 2.77	1.67 ± 2.51
Peritoneal Kt/V	1.51 ± 0.44	1.49 ± 0.33
Weight (kg)	82.65 ± 23.61	81.82 ± 17.28
SBP (mmHg)	141.33 ± 17.21	136.46 ± 14.39
DBP (mmHg)	83.10 ± 9.91	80.05 ± 10.54
Pulse rate (beats/min)	76.72 ± 11.9	75.75 ± 10.26
Percent Black	28.3%	34.1%
Percent White	67.4%	61.4%
Percent Male	56.9%	44.7%
Percent Diabetic	46.9%	50.8%
Age (years)	56.1 ± 15.7	55.6 ± 15.6

No significant differences. SBP = systolic blood pressure, DBP = diastolic blood pressure, RRF = residual renal function.

Table 4.2 Comparison of entire patients’ exposure laboratory and other parameters between APD and CAPD.

	APD (n = 441)	CAPD (n = 179)
Albumin (g/dl)	3.61 ± 0.44	3.51 ± 0.51
RRF (ml/min)	0.97 ± 1.84	1.01 ± 1.9
Peritoneal Kt/V	1.6 ± 0.45	1.52 ± 0.36
Weight (kg)	84.25 ± 24.51	82.33 ± 18.33
SBP (mmHg)	132.25 ± 18.65	132.82 ± 18.76
DBP (mmHg)	79.88 ± 10.51	80.44 ± 11.85
Pulse rate (beats/min)	79.23 ± 11.14	77.04 ± 11.17

* *P* < 0.05. RRF = residual renal function, SBP = systolic blood pressure, DBP = diastolic blood pressure.

Patient survival

Duration of follow-up period

179 CAPD and 441 APD patients were studied. During the as-treated follow-up period (Figure 4.1), 25 CAPD and 76 APD patients died. Mean as-treated survival in CAPD patients was 1407 days [95% CI 1211-1601] and 1616 days in CCPD patients [95% CI 1478-1764]. In the as-treated analysis, adjusted HR for mortality was 1.31 in CAPD [95% CI 0.76-2.25, NS].

In the intention-to-treat analysis, mean survival was 1872 days in CAPD [95% CI 1725-2220] and 1776 days in APD patients [95% CI 1659-1894]. In the intention-to-treat analysis, the adjusted HR for mortality in CAPD patients was 0.87 compared to APD [95% CI 0.51-1.5, NS].

Figure 4.1 Patient survival between treatment modalities of peritoneal dialysis (CCPD = cycling continuous peritoneal dialysis and CAPD = continuous ambulatory peritoneal dialysis).

Technique survival

Of 179 CAPD patients, 42 switched to APD (23%), 23 switched to hemodialysis (13%) (Figure 4.2). Of the 441 APD patients, 5 switched to CAPD (1%), and 31 switched to hemodialysis (7%).

Technique survival (as-treated) was lower in CAPD as compared to APD [HR = 2.84, 95% CI 1.65-4.88, $P = 0.002$]; adjusted HR was 1.81 [95% CI 0.94-3.57, $P = 0.08$].

Figure 4.2 Technique survival between treatment modalities of peritoneal dialysis (CCPD = cycling continuous peritoneal dialysis and CAPD = continuous ambulatory peritoneal dialysis).

Peritonitis rates

Peritonitis rate for both CAPD and APD was 0.3 episodes/patient year. Exit site/tunnel infection rate was 0.1 for CAPD and 0.3 episodes/patient year for APD [$P = 0.6$].

RRF and laboratory parameters

Baseline serum albumin levels were lower in CAPD patients, and remained so during the follow-up period it remained significantly lower.

No difference in time averaged RRF was observed between treatment modalities (Figure 4.3).

Systolic blood pressures did not differ significantly between APD and CAPD patients.

Figure 4.3 Residual renal function during follow-up between treatment modalities (CCPD = cycling continuous peritoneal dialysis and CAPD = continuous ambulatory peritoneal dialysis).

Discussion

In the US, the use of APD in PD is steadily increasing despite higher treatment costs^{1,11,12}. However, data from observational trials regarding the effect of APD on treatment outcome as compared to CAPD are conflicting. A recent systematic review of controlled trials did not show a difference in patient survival between APD and CAPD¹. In the present study, survival in incident patients treated with APD and CAPD was comparable and similar to the above mentioned review. Our results on survival are also in agreement with the survival data observed in the NECOSAD database using incident⁹, or the Australia and New Zealand Dialysis and Transplant Registry, which includes both incident and prevalent patients¹⁰. In contrast, survival was better in incident APD patients in a Mexican database¹³. In a large cohort of incident patients, more than 30.000 patients, from the US Baxter database¹¹, mortality during the first six months of therapy was lower in APD as compared to CAPD patients, although survival differences were restricted to this time period. A difference from the NECOSAD cohort, the study of Badve *et al.*¹⁰ and the US Baxter database study¹¹, which also only included incident patients, is the much higher percentage of APD patients in the present study period. Two-year survival in the present study was 89%, and thus comparable to the two-year survival data in the NECOSAD study of 77%¹⁴, the Baxter database studies^{11,12} and the Badve study¹⁰ at about 75%.

An important message from the present study is that the potential disadvantages of APD, such as an increased risk of sodium sieving, did not translate into impaired patient outcome in a large database. Although in a recent study, no differences were observed in volume state and blood pressure in patients treated with CAPD or APD¹⁵. Indeed, no study so far has found a lower patient survival in APD as compared to CAPD patients. Also the results of the present study appear to support the recommendations of the European Best Practice Guidelines for APD as a general alternative for CAPD¹⁶. However, in individual patients, potential differences in sodium and fluid removal between CAPD and APD, especially in patients with so-called “high transport” status, should be a focus of attention as these patients appeared to be at higher risk for sodium retention during short dwells⁵.

Technique failure appeared to be lower in the present study with APD patients (13%) as compared to CAPD patients (7%). Reasons for this difference, which is in agreement with the US Baxter database report¹¹, cannot be deduced from the present study, as the cause of technique failure is not documented in the RRI database. These results are at variance with the NECOSAD and ANTZAS data, of which the NECOSAD differs from the present database by the low number of APD patients (5.1%). Two-year technique survival was 64%, and three-year technique survival was 53% in the NECOSAD study¹⁴. It is interesting that differences in technique failure between APD and CAPD was only different in the US studies¹¹. Factors such as treatment satisfaction, and patient and physician preference might play an important role in the differences between the various studies, but remain hypothetical as a potential cause. Peritonitis rates, which could be an important determinant of technique failure, have been found to be different between APD and CAPD in various studies^{7,17,18}. In a systematic review of 3 randomized studies it was shown that APD patients had significantly lower peritonitis rates¹. In the present database, no difference in peritonitis rate or rate of exit-site/tunnel infection was observed, which is a strong argument against infectious complications being a cause for the differences in technique failure. Thus peritonitis rates were lower in APD patients and social circumstances such as significantly more time for work, family and social activities, appeared to be improved^{1,8}.

Although in this study, time averaged albumin levels were lower in CAPD patients, this is likely due to existing differences at the baseline period, as the change in albumin levels from baseline to the exposure time was not significantly different between CAPD and APD patients. As such, the differences might be due to case-mix, although also differences in inflammatory or hydration status might play a role¹⁹. A recent study suggested that peritoneal albumin loss might even be somewhat higher in APD as compared to CAPD patients²⁰ although no differences in protein loss or serum albumin levels were observed when patients were changed from CAPD to APD²¹.

Earlier studies²² did not show differences in biochemical parameters between APD and CAPD patients, although a Spanish multicentre study observed a significant increase in hemoglobin after switching from CAPD to APD^{15,21}. However, data on EPO dose was not mentioned in the later study.

No differences were observed in blood pressure levels between CAPD and APD patients in this study, although data on blood pressure were only available for a subset of patients. The comparable results on blood pressure control are in agreement with earlier data from Wang *et al.*^{21,22}, although in this study the prevalence of left ventricular hypertrophy was increased in APD patients, caused by volume overload. Data on LVM or detailed parameters of fluid state are not available in the present database. Ortega *et al.* observed slightly improved blood pressure control in CAPD patients³. In contrast, Rodriguez-Carmona *et al.* even observed a higher blood pressure in CAPD patients despite higher sodium removal, although this might be due to the higher age in their CAPD population².

Preservation of RRF in peritoneal dialysis is very important as it has been shown to be a predictor for patient survival²⁴. In our study, no differences were observed in time averaged RRF between CAPD and APD. In contrast, earlier reports showed a faster decline in RRF in APD as compared to CAPD patients^{2,6}. One reason for the faster decline in RRF in APD patients might be more intensive ultrafiltration during shorter dwell times during APD as compared to the more gradual fluid removal during CAPD⁶. Surprisingly, in the study of Rodriguez Carmona *et al.*², the decline in RRF with APD was faster as compared to CAPD despite a lower ultrafiltration volume (APD 1067 ml/day versus CAPD 1310 ml/day).

This study comprises one of the larger studies comparing APD and CAPD. However, in the largest database studies regarding this topic^{10,12}, no detailed data on surrogate outcome parameters such as blood pressure and RRF are available. On the other hand, the present database also has its limitations, most importantly the absence of data on peritoneal transport status and peritoneal fluid removal. Moreover, this study suffers from the general limitations of observational studies and results should thus be interpreted with caution. Indeed, the divergent results between the different observational studies are strongly supportive for the importance of case mix and possibly treatment prescription in determining patient outcome and other relevant clinical and treatment parameters.

In conclusion, in the present observational study, no differences in patient survival were observed in APD versus CAPD patients, although technique survival appeared to be higher in APD patients, which could not be explained by differences in infectious complications. No difference in RRF or dialysis adequacy was observed. Based on these results, APD appears to be an acceptable alternative for CAPD with specific advances.

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Chapter 5

**Fluid state and blood pressure
control; no differences between
automated and continuous
ambulatory peritoneal dialysis**

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Abstract

Objective

To compare fluid state, ambulatory blood pressure and sodium removal in automated peritoneal dialysis (APD) and continuous ambulatory peritoneal dialysis (CAPD).

Design

Observational cross-sectional study.

Patients

Twenty APD and 24 CAPD patients, mean duration on peritoneal dialysis of 30 ± 26 and 21 ± 23 months, respectively. 64% of patients were treated with icodextrin.

Methods

24-hrs dialysate and urine collections, standardized 3.86% glucose PET, bioimpedance analysis and 24-hrs ambulatory blood pressure monitoring.

Results

Extracellular water (ECW) corrected for body weight was 0.23 ± 0.03 l/kg both in APD and CAPD patients. The slope normovolemia value according to Chamney was 0.0 ± 0.2 l/kg in APD patients and 0.0 ± 0.05 l/kg in CAPD patients (NS). Mean SBP and DBP were respectively 132 ± 25 and 79 ± 8 mmHg in APD and 129 ± 16 and 76 ± 11 mmHg in CAPD patients (NS). Sodium concentration in dialysate was respectively, 129.5 ± 3.5 mmol/l in APD and 132.4 ± 4.1 mmol/l in CAPD ($P=0.017$). Dialysate sodium removal was 80.6 ± 78.4 mmol/24-hrs in APD and 108.7 ± 96.8 mmol/24-hrs in CAPD patients (NS). Natriuresis was respectively, in APD 76.6 ± 65.5 mmol/24-hrs and in CAPD 93.5 ± 61.7 mmol/24-hrs (NS). Total sodium removal was 149.5 ± 76.6 mmol/24-hrs in APD and 198.4 ± 75.0 mmol/24-hrs in CAPD ($P = 0.039$).

Conclusion

Despite a higher daily sodium removal in CAPD patients, fluid state and blood pressure were not different between APD and CAPD. In general, volume status and blood pressure appeared to be reasonably controlled in this unselected population.

Introduction

Fluid and sodium balance are crucial for the management of patients on peritoneal dialysis (PD). Inadequate fluid and sodium removal by dialysis are potential risk factors for overhydration, which is significantly related to hypertension, left ventricular hypertrophy, and higher cardiovascular morbidity and mortality in PD patients¹⁻⁴.

In clinical practice, PD patients are either treated with continuous ambulatory peritoneal dialysis (CAPD) or automated peritoneal dialysis (APD), in the latter usually with more rapid exchange cycles during night-time followed by a daytime dwell. Whereas previously, APD was primarily used to treat patients with a high membrane transport status, it has become increasingly popular with patients for life style reasons.

Due to the shorter dwell times in APD sodium sieving, possibly resulting in a reduced sodium removal, is more pronounced. Consequently, a higher prevalence of overhydration and hypertension was suggested in APD patients^{5,6}, although the use of icodextrin for the long dwell might improve sodium removal in CAPD as well in APD patients⁷⁻⁹. However, there are few studies which compared fluid and sodium balance, as well as blood pressure and volume control between APD and CAPD patients after the widespread introduction of new dialysis solutions such as icodextrin¹⁰.

The hypothesis of the study that sodium and daily fluid removal is significantly lower, whereas extracellular volume is significantly higher in patients with a low transport status treated with APD compared to CAPD, whereas in patients with a high transport status of the peritoneal membrane, extracellular volume is significantly lower and fluid removal significantly higher in patients treated with APD.

The aim of the present study was to compare fluid state, ambulatory blood pressure and sodium removal between patients treated with APD and CAPD.

Patients and methods

Study design

In this multi-centre study 44 patients were included from 3 dialysis centres (Medical Centre Leeuwarden, Catharina Hospital Eindhoven and Maastricht University Medical Centre+, The Netherlands). Fluid state, peritoneal transport, residual renal function (RRF), peritoneal ultrafiltration volume, urine volume and blood pressure were compared in a cross-sectional design between patients treated with APD and CAPD. Exclusion criteria were acute intercurrent

infection and peritonitis during the 4 weeks preceding the peritoneal equilibration test (PET).

Patients

Totally 44 stable patients (26 men, 18 women; mean age 60.2 ± 13.7 yrs) were enrolled in the study. The causes of renal insufficiency were diabetes mellitus ($n = 14$), glomerulonephritis ($n = 6$), ADPKD ($n = 4$), IgA glomerulonephritis ($n = 4$), athero/glomerulosclerosis ($n = 7$), urological disorder ($n = 2$), ANCA-associated vasculitis ($n = 2$), Good Pasture syndrome ($n = 1$), multiple myeloma ($n = 1$), stenosis of renal artery ($n = 1$), syndrome of Alagille ($n = 1$) and unknown origin ($n = 1$). The mean duration of PD was 25.0 ± 24.8 (range 2-129) months. Mean diuresis was 1172 ± 808 ml/24-hrs and RRF 6.7 ± 5.0 ml/min. Patients were treated with APD or CAPD according to the preference of the patient and/or treating physician based on PET results (Table 5.1). All patients used commercially available dialysis solutions (Baxter Healthcare, IRL, Dublin, Ireland). Dialysis prescription was at the discretion of the treating physician. All patients were advised to follow a sodium restricted diet (2000 milligrams sodium per day) by dietary counselling.

Study protocol

Patients came to their own dialysis centre to perform a standardized 3.86% glucose PET of 4 hrs. First, the overnight PD fluid was drained and fluid status was measured by multifrequency bioimpedance analysis. Thereafter, the abdomen was filled with 3.86% glucose solution to perform the PET. Hereafter, 24-hrs ambulatory blood pressure measurements were performed. The day before the PET, 24-hrs dialysate and urine collections were performed. The Ethics Committee of the Catharina Hospital, Eindhoven, The Netherlands, primarily approved the study protocol, followed by approval of the Institutional Review Boards of the other participating centres. All patients gave their written informed consent.

Study parameters

Peritoneal transport characteristics

The transport characteristics of the peritoneal membrane were characterized using a standardized PET after a 4-hrs dwell with a 3.86% glucose solution. Dialysis adequacy, determined by Kt/V urea and weekly creatinine clearance normalized to body surface area was calculated using PD Adequest® 2.0 (Baxter Healthcare).

Fluid state

Fluid state was assessed with a multifrequency bioimpedance measurement. Two different devices were used; 35 patients were measured by Xitron 4200 (Xitron Technologies Inc, San Diego, USA) and 9 patients by whole body bioimpedance spectroscopy (BCM -- Fresenius Medical Care D GmbH). Measurements were performed in a standard fashion while the patient was lying supine on a flat, non-conductive bed and with an empty abdomen. Multifrequency (5-500 kHz), alternating currents were introduced at distal electrodes on the hands (just proximal to the phalangeal-metacarpal joint in the middle of the dorsal side of the hand) and the feet (proximal to the transverse (metatarsal) arch on the superior side of the foot), and resistances were measured by proximal electrodes (to the wrist midway between the styloid process, to the ankle midway between the malleoli). ECW assessed by Xitron 4200, was predicted from a general mixture theory (Cole-Cole model): water compartments were directly calculated from resistance values, assuming specific resistances of ECV provided by the manufacturer. ECV assessed by BCM, was determined using a recently modified equation developed by Moissl *et al.*^{11,12}. Whole body ECW (wECV) and ICV (wICV) measured by the BCM were recalculated by Xitron using equations 1, 2 and 3, respectively¹³

$$wECV = ((\rho_{ECV} * K_B * H^2 * \sqrt{W}) / (1025 * R_E))^{\frac{2}{3}} \quad (1)$$

$$wICV = wECV * (((\rho_{MIX} * (R_I + R_E)) / (\rho_{ECV} * R_I))^{\frac{2}{3}} - 1) \quad (2)$$

$$\rho_{MIX} = \rho_{ICV} - (\rho_{ICV} - \rho_{ECV}) * (R_I / (R_I + R_E))^{\frac{2}{3}} \quad (3)$$

where R_E and R_I are extra- and intracellular resistances in Ω , H is body height in cm and W is body weight in kg. The resistivity constants in ECV calculation (ρ_{ECV}) were $40.5 \Omega * \text{cm}$ for males and $39.0 \Omega * \text{cm}$ for females; and in ICV calculation (ρ_{ICV}) were $273.9 \Omega * \text{cm}$ and $264.9 \Omega * \text{cm}$ respectively. K_B is a constant factor ($K_B = 4.3$), correcting whole body measurements by relating the relative proportions of the leg, arm, trunk and height.

Overhydration was expressed by the slope normovolemia model, described by Chamney¹⁴. The slope normovolemia is calculated as ECW:BW with 0.239 L/kg in males and 0.214 L/kg in females as the slop levels for normovolemia¹⁴.

24-hrs Ambulatory blood pressure

In all patients, 24-hrs blood pressure measurements were performed using a Spacelabs Oscillometric Blood Pressure Monitor (Redmond, WA). Blood pressure was measured every 20 minutes from 8 a.m. until 22 p.m. and every 60 minutes from 22 p.m. until 8 a.m.

Measurements in plasma and dialysate

Plasma and dialysate creatinine, urea, sodium and glucose were analyzed using an Advia 1650 (Siemens Medical Solutions Diagnostics, San Francisco, CA, USA); sodium concentrations in plasma and dialysate were analyzed with an ion-selective electrode using an indirect method. Urea and glucose were analyzed with enzymatic methods and creatinine with a compensated alkaline picrate method. NT-proBNP was measured using the proBNP assay for the Elecsys 2010.

Statistical analyses

Results are expressed as mean values and standard deviations (SD). The Student's t-test was used for differences between APD and CAPD. Mann–Whitney U-tests were used where appropriate. All statistical analyses were made using SPSS 16.0 (SPSS Inc., Chicago, IL, USA). P values less than 0.05 were considered significant.

The study was powered to detect a difference in ECV of 0.03 L/kg body weight between APD and CAPD patients, assuming a SD of 0.03 L/kg¹⁵. In order to show this difference a sample size of 16 patients per group would be needed ($\alpha = 0.05$, power 80%).

Results

Twenty patients (12 men; 8 women) treated with APD and 24 patients (14 men; 10 women) treated with CAPD were included. Baseline characteristics of patients treated with APD and CAPD are given in Table 5.1. Mean systolic (SBP) and diastolic blood pressure (DBP) were comparable between APD and CAPD patients (Table 5.1) (NS). 72.7% of patients used one or more antihypertensive and/or cardio-protective agents (excluding diuretics). Mean number of antihypertensive drugs was not significantly different between APD and CAPD patients, although there appeared to be some differences in the classes of antihypertensive drugs used between both groups (Table 5.2). Patients treated with APD had a lower sodium concentration in dialysate, although dialysate sodium removal was not significantly different between both groups (Table 5.2). However total daily removal of sodium was lower in APD as compared to CAPD patients ($P = 0.039$). Parameters of fluid state of patients treated with APD and CAPD are shown in Table 5.3. There were no significant differences between patients treated with both modalities. Also NT-pro BNP levels were not significantly different between both groups. The median value

in APD patients was 188 pmol/l [interquartile range 79-366] and was 248 pmol/l [interquartiles range 93-884] in CAPD patients (NS).

When comparing patients (combining APD and CAPD) treated with ($n = 28$) and without icodextrin ($n = 16$) no significant differences in fluid status (-0.01 ± 0.05 versus 0.0 ± 0.02 l/kg respectively), despite a lower urinary sodium removal in patients treated with icodextrin (68 ± 41 versus 112 ± 182 mmol/24-hrs). Dialysate sodium removal (111 ± 90 versus 70 ± 83 mmol/24-hrs) and peritoneal ultrafiltration (854 ± 705 versus 577 ± 648 ml/24-hrs) were not significantly different between patients treated with icodextrin and those who were not.

Table 5.1 Comparison of laboratory and other parameters between patients treated with automated peritoneal dialysis (APD) and continuous ambulatory peritoneal dialysis (CAPD).

	APD (n = 20)	CAPD (n = 24)
Age (years)	59.9 $\pm$ 13.2	60.5 $\pm$ 14.4
Men (%)	12 (60)	14 (58)
Diabetes (%)	6 (30)	10 (42)
Time on PD (months)	30 $\pm$ 26	21 $\pm$ 23
Weight (kg)	80.1 $\pm$ 15.8	78.5 $\pm$ 11.0
BMI (kg/m ²)	26.6 $\pm$ 4.4	27.5 $\pm$ 4.5
24-hrs SBP (mmHg)	132 $\pm$ 25	129 $\pm$ 16
24-hrs DBP (mmHg)	79 $\pm$ 8	76 $\pm$ 11
Icodextrin use (%)	14 (70)	13 (54)
RRF (ml/min)	5.6 $\pm$ 4.2	7.7 $\pm$ 5.5
Hemoglobin (mmol/l)	7.8 $\pm$ 0.8	7.7 $\pm$ 0.7
Albumin (g/l)	34.9 $\pm$ 5.0	34.7 $\pm$ 6.5
Weekly Kt/V _{urea}	2.46 $\pm$ 0.63	2.71 $\pm$ 0.71
Weekly creatinine clearance (l/week/1.73m ²)	90.71 $\pm$ 38.22	116.29 $\pm$ 51.24
D/P creatinine	0.75 $\pm$ 0.08	0.74 $\pm$ 0.10

None of the differences was significant. (BMI = body mass index, SBP = systolic blood pressure, DBP = diastolic blood pressure, RRF = residual renal function, D/P = dialysate-to-plasma ratio).

Table 5.2 Use of anti-hypertensives/cardioprotective agents and diuretics in automated peritoneal dialysis (APD) and continuous ambulatory peritoneal dialysis (CAPD).

	APD (n = 20)	CAPD (n = 24)
Diuretics (%)	10 (50)	9 (38)
Calcium-antagonists (%)	4 (20)	9 (38)
Beta-blocking agents (%)	7 (35)	16 (67)
Inhibitors of the renin-angiotensin system (%)	10 (50)	17 (71)
Central acting drugs (%)	3 (15)	2 (8)
Number of anti-hypertensives	1.15 $\pm$ 0.37	1.79 $\pm$ 0.36

Table 5.3 Sodium removal in automated peritoneal dialysis (APD) and continuous ambulatory peritoneal dialysis (CAPD).

	APD (n = 20)	CAPD (n = 24)
Serum sodium concentration (mmol/l)	137.5 ± 2.7	138.7 ± 4.0
Urine volume (ml/24-hrs)	1097 ± 949	1234 ± 683
Urine sodium removal (mmol/24-hrs)	76.6 ± 65.5	93.52 ± 61.7
Ultrafiltration volume (ml/24-hrs)	661 ± 609	834 ± 754
Dialysate sodium concentration (mmol)	129.5 ± 3.5*	132.4 ± 4.1*
Dialysate sodium removal (mmol/24-hrs)	80.6 ± 78.4	108.7 ± 96.8
Total sodium removal (mmol/24-hrs)	149.5 ± 76.6**	198.4 ± 75.0**

* Difference was significant ($P = 0.017$), ** Difference was significant ($P = 0.039$).

Table 5.4 Fluid state and NT-pro-BNP in automated peritoneal dialysis (APD) and continuous peritoneal dialysis (CAPD).

	APD (n = 20)	CAPD (n = 24)
Total body water (l)	39.8 ± 10.7	38.0 ± 7.6
ECW (l)	18.9 ± 4.5	18.2 ± 3.1
ICW (l)	20.9 ± 6.8	19.8 ± 5.2
ECW/TBW ratio	0.49 ± 0.05	0.48 ± 0.05
ECW/weight (l/kg)	0.23 ± 0.03	0.23 ± 0.03
Slope normovolemia (l)	0.0059 ± 0.022	-0.00625 ± 0.050
NT-pro-BNP (pmol/l)*	644.6 ± 1192	703 ± 1170

No significant differences; * for median and interquartile range of NT-pro-BNP (please see text) (ECW = extracellular water, ICW = intracellular water).

Discussion

The main findings of the present study are the fact that blood pressure control, assessed by ambulatory blood pressure measurements, and fluid state were not significantly different between patients treated with APD and CAPD. These findings are in line with the study of Boudville, which studied only APD patients but observed an acceptable volume and blood pressure control in APD patients with a liberal use of icodextrin⁸. In the more recent papers of Davison *et al.* and Van Biesen *et al.*, also no difference in fluid status or blood pressure control between APD and CAPD was observed^{10,16}. In those studies, also a majority of patients were treated with icodextrin, whereas in the study of Davison, significantly more APD patients used icodextrin as compared to the CAPD group, whereas in our study, the percentage was not significantly different. Dialysate sodium concentration was lower in APD patients, possibly reflecting increased sodium sieving, but possibly due to the higher ultrafiltration volume, dialysate sodium removal was not significantly different between both groups. Nevertheless, total daily sodium removal was higher in CAPD as compared to

APD patients. Possibly, the higher daily sodium removal in our CAPD patients is due to a combination of higher dialysate sodium removal, which might not have reached significance due to the relatively small sample size and a higher urinary sodium removal. In the study of Davison, dialysate sodium removal was lower in APD compared to CAPD patients without evidence for differences in volume status or blood pressure control. Still, in view of the relation between sodium removal and mortality, observed by Ates¹⁷, these differences should not be neglected, although the causal relationship remains uncertain.

Comparing our patient population with that of Davison *et al.* and Boudville *et al.*, volume state, which was assessed by Xitron 4200BIS in all three studies, was reasonably comparable. ECW:TBW ratio, which was the sole marker of fluid state in the study of Davison¹⁰, was somewhat lower in our study, which might also be related to the higher residual renal function in our patients¹⁸. Indeed, total sodium removal was higher in our study population as compared to the patients of Davison, whereas dialysate sodium removal was comparable. In the EuroBCM in PD study, 63.7% of the total study cohort used icodextrin, which was associated with less overhydration and more underhydration¹⁶. More than 25% of the study cohort was severely fluid overloaded.

Although it is difficult to compare results of previous studies¹⁻⁴ with the result of the present study, as the computational model between the Xitron 4000 and 4200 is not entirely comparable, volume status in PD patients appeared to be relatively well controlled in most recent studies. In our study, the mean normalized overhydration index according to the Chamney approach, was around zero¹⁴. Also blood pressure in the patient populations of Boudville and Davison, as well as in the present study, was reasonably well controlled, in contrast to earlier observations¹⁹. Whether the improvement in volume and blood pressure control in more recent studies is due to the more liberal use of icodextrin, or to an increased awareness for volume status in general in PD patients cannot be deduced from the present study.

In the present study, the slope normovolemia level developed by Chamney, which corrects for sex, was used as the parameter for volume status¹⁴. The reason that we did not use the ECW:TBW ratio as the prime marker of overhydration, despite the recent establishment of normalized values²⁰, is the fact that this ratio might be increased either by overhydration, by a reduction in lean body mass due to malnutrition, or by both. Until now, undisputed cut-off levels and normalizing techniques for overhydration by the Xitron 4002 have not been definitively established. The best validated normal values in bioimpedance technology in dialysis patients so far have been developed for the Body Composition Monitor^{11,12}, which was derived from Xitron technology but with an improved mathematical model. In addition to the comparable fluid

status, also NT-pro BNP levels, which were found to be related to cardiac load in previous studies²¹, were not significantly different between both groups.

Icodextrin use was widespread in all three studies. Previous studies^{9,22} showed a beneficial effect of icodextrin on fluid status. In the study of Boudville⁸, normalized extracellular volume was lower in the patients treated with icodextrin, whereas in the present study no difference in volume status was observed between patients treated with icodextrin and those who were not. However, urine sodium removal was significantly lower in those patients treated with icodextrin, and therefore the absence of differences might be explained by confounding by indication.

Drawbacks of the present study are the observational nature, cross-sectional design and relatively small peritoneal dialysis population. However, the group of APD and CAPD patients appeared to be reasonably well matched. Moreover, detailed analysis of fluid state, natriuretic peptides, and 24-hrs ambulatory blood pressure measurements were available in our patients.

Concluding, in this cross-sectional study, fluid state and ambulatory blood pressure measurements were comparable between patients treated with CAPD or APD despite a lower total daily sodium removal in the latter group. In general, in agreement with recent studies, fluid status and blood pressure appeared to be reasonably well controlled in both APD and CAPD patients.

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Chapter 6

The influence of Physioneal[®] on advanced glycation end-products and inflammatory markers in plasma and dialysate in patients on CAPD

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Abstract

Objective

Accumulation of advanced glycation end-products (AGE) may be involved in the pathogenesis of peritoneal membrane dysfunction. As glycoxidation may play an important role in AGE formation, peritoneal dialysis fluids with low levels of glucose degradation products (GDPs) might result in a reduction in AGE concentration in the peritoneal effluent. The aim of this study was to compare the effects of conventional glucose-containing dialysis solutions and low level GDP fluids on the concentration of the AGEs N^ε-(carboxymethyl)lysine (CML) and N^ε-(carboxyethyl)lysine (CEL) in peritoneal effluent.

Design

Prospective randomized control study.

Methods

23 patients were treated with either conventional glucose-containing fluid (n = 11, group A) or low level GDP fluid (n = 12, group B) during a period of 12 weeks. Before and after this period, CML and CEL were measured in the peritoneal effluent.

Results

In groups A and B there were changes in CML concentrations [respectively 13.7 ± 17.0 and -16.0 ± 46.0 nmol/l (NS)] and CEL concentrations [respectively 20.3 ± 26.6 and -8.8 ± 18.9 nmol/l ($P = 0.015$)]. Residual renal function (RRF) in groups A and B was, respectively, 6.8 and 6.1 ml/min (NS). CML, but not CEL, in the peritoneal effluent was inversely related to RRF ($r = -0.67$, $P < 0.05$).

Conclusion

CEL, and not CML, in the peritoneal effluent appears to be influenced by the prescription of low GDP level fluid, probably due to the highly reduced concentration of methylglyoxal, which is needed for formation of CEL. CML is primarily influenced by RRF.

Introduction

Glucose degradation products (GDP) in peritoneal dialysis (PD) fluids lead to damage of the peritoneal membrane. These alterations may be mediated in part through formation and accumulation of advanced glycation end product (AGE), such as N^ε-(carboxyethyl)lysine (CEL) and N^ε-(carboxymethyl)lysine (CML)¹⁻⁴, since GDPs are major precursors in the formation of AGEs. In contrast to the very high formation of GDPs in conventional glucose-containing lactate-buffered PD fluids by heat sterilization, GDP formation is greatly reduced in multicompartiment bicarbonate/lactate-buffered PD fluids, such as Physioneal (Baxter Healthcare, IRL, Dublin, Ireland), due to sterilization of the glucose-containing compartment at a lower pH⁵. Consequently, the concentrations of the GDPs glyoxal (GO) and methylglyoxal (MGO) are much lower in bicarbonate/lactate-buffered PD fluids⁶. In clinical and experimental studies, Physioneal was associated with a reduction in inflammatory markers and vascular endothelial growth factor formation in peritoneal effluent compared to conventional glucose-containing lactate-buffered PD fluids^{7,8}. The use of another low GDP-containing PD fluid resulted in a reduction in oxidative stress compared with conventional glucose-containing PD fluids³. However, the effect of more biocompatible and low GDP-containing PD fluids on the levels of the AGEs CEL and CML in effluents has not been compared. This effect might be different as CEL and CML formation is dependent upon different pathways⁶. The aim of the present study was to assess levels of CEL and CML in peritoneal effluent during the use of glucose-containing bicarbonate/lactate buffered low GDP-containing PD fluids in comparison with conventional glucose-containing lactate-buffered dialysis solutions containing high concentrations of GDPs.

Patients and methods

Study design

Effluent levels of CEL and CML were compared in patients treated with conventional glucose-containing lactate-buffered dialysis solutions (Dianeal®) and glucose-containing bicarbonate/lactate buffered dialysis solutions (Physioneal) in a prospective randomized controlled study design. CML and CEL levels were measured at the beginning and at the end of the follow-up period of 12 weeks.

Exclusion criteria were acute intercurrent infection, use of 1.1% amino acids (Nutrineal, Baxter Healthcare) (use of this solution may have an independent effect on AGE formation^{21,22}), and treatment with automated PD. Patients were

randomized to either continuation of Dianeal or to treatment with Physioneal. For clinical reasons, the prescription of glucose concentration was changed in 3 patients during this study period (1 patient of the Dianeal group, 2 patients of the Physioneal group). However, prescription of 7.5% icodextrin (Extraneal, Baxter Healthcare) was not changed during the study period.

The study protocol was approved by the local Institutional Review Board. All patients gave their written informed consent.

Patients

Twenty-six stable patients treated with continuous ambulatory PD in the Maxima Medical Center, Veldhoven, The Netherlands, and the Kuratorium für Heimdialyse, Würselen, Germany, were enrolled in the study. No patient had any clinical sign of overhydration. Twenty-three patients (17 men, 6 women; mean age 58.3 ± 14.3 years) completed the study. Mean duration of PD was 638 ± 647 (range 105-2058) days. Reasons for dropout were renal transplantation ($n = 1$), peritonitis ($n = 1$), and refusal of second measurement ($n = 1$).

Study parameters

Peritoneal glucose prescription and absorption

At the beginning and at the end of the follow-up period, 24-hour collections of peritoneal effluent and urine were performed. The CML and CEL concentrations were measured in pooled dialysis solutions. The 24-hour peritoneal glucose dosage prescription was calculated from the volume and glucose concentration of the prescribed PD fluid. Residual renal function (RRF) was assessed by estimation of the residual glomerular filtration rate, expressed as the mean of the urea and creatinine clearances obtained from 24-hour urine collections. Adequacy of PD was determined by Kt/V urea and weekly creatinine clearance normalized to body surface area using PD Adequest 2.0 (Baxter Healthcare).

Laboratory parameters

Detection of CML and CEL was done by liquid chromatography/mass spectrometry, as described previously⁹.

Statistical analysis

Repeated measurements ANOVA were used for inter- and intragroup comparisons, whereas correlation analyses were performed by Pearson test. A

P -value < 0.05 was considered significant. All the statistical analyses were done using SPSS statistical software (release 16.0; SPSS Inc., An IBM Company, Chicago, IL, USA).

Results

Table 6.1 shows the baseline characteristics. Patients randomized to the Physioneal group used 7.5% icodextrin more frequently ($P = 0.034$).

Table 6.1 Patient demographics and study parameters.

	Glucose-containing lactate buffered fluid (n = 11)	Glucose-containing bicarbonate/lactate buffered fluid (n = 12)
Male (female)	10 (1)	9 (3)
Age (years)	55.3 $\pm$ 15.6	70.0 $\pm$ 13.1
Weight (kg)	74.6 $\pm$ 13.3	72.8 $\pm$ 10.3
Time on PD (months)	15.5 $\pm$ 19.6	12.0 $\pm$ 24.0
Diabetes	2 (18%)	2 (17%)
Use of 7.5% icodextrin	1 (9%)*	6 (50%)*
Weekly Kt/V urea	2.29 $\pm$ 0.27	2.46 $\pm$ 0.56
Weekly creatinine clearance (l/week/1.73m ²)	102.2 $\pm$ 25.7	92.5 $\pm$ 26.1
Peritoneal fill volume (ml)	7550 $\pm$ 1753	7363 $\pm$ 1220
RRF (ml/min)	6.8 $\pm$ 2.4	6.1 $\pm$ 3.7
Peritoneal glucose dosage prescription (mmol/l)	533 $\pm$ 116	488 $\pm$ 81
NPCR	0.88 $\pm$ 0.17	0.96 $\pm$ 0.15
Serum albumin (g/l)	35.3 $\pm$ 4.9	35.6 $\pm$ 3.0
CRP (mg/l)	21.2 $\pm$ 23.3	6.7 $\pm$ 5.6
Haemoglobin (mmol/l)	7.4 $\pm$ 0.7	7.6 $\pm$ 1.0

RRF = residual renal function, D/P = dialysate-to-plasma ratio, nPCR = normalized protein catabolic rate, CRP = C-reactive protein, CML = N^ε-(carboxymethyl)lysine, CEL = N^ε-(carboxyethyl)lysine). * Difference is significant ($P = 0.034$)

The results of the measurements of concentrations of CML and CEL at baseline and after 3 months of PD treatment are shown in Table 6.2. The change in CEL concentrations, but not in CML concentrations, in effluent after 3 months of treatment with Dianeal and Physioneal was significantly different ($P = 0.015$; Figures 6.1 and 6.2). The CEL concentrations, but not CML concentrations, in effluents after 3 months of dialysis with Physioneal were significantly lower compared to Dianeal (76.4 $\pm$ 34.9 and 117.1 $\pm$ 44.3 nmol/l, respectively; $P = 0.034$). CML, but not CEL, in the peritoneal effluent was

inversely related to RRF ($r = -0.67$, $P = 0.000$) (Figure 6.3). Peritoneal glucose prescription was not related to CML or CEL in peritoneal effluent.

Table 6.2 Comparison of the concentrations of N^ε-(carboxymethyl)lysine (CML) and N^ε-(carboxyethyl)lysine (CEL) in peritoneal effluent, respectively at baseline and after 3 months of treatment with conventional glucose-containing lactate buffered dialysis solution (Dianeal®) and glucose-containing bicarbonate/lactate buffered dialysis solution (Physioneal®).

	Glucose-containing lactate buffered fluid (n = 11)	Glucose-containing bicarbonate/lactate buffered fluid (n = 12)
CML in effluent (nM)		
T = 0	93.9 ± 28.1	110.6 ± 58.7
CEL in effluent (nM)		
T = 0	96.8 ± 32.7	5.3 ± 29.1
CML in effluent (nM)		
T = 3 months	107.6 ± 40.1	94.6 ± 34.2
CEL in effluent (nM)		
T = 3 months	117.1 ± 44.3*	76.4 ± 34.9*

* Difference is significant ($P = 0.034$)

Figure 6.1 Change of N^ε-(carboxymethyl)lysine (CML) concentrations in peritoneal effluent of the Dianeal®-treated and Physioneal®-treated group (NS)

Figure 6.2 Change of N^ε-(carboxyethyl)lysine (CEL) concentrations in peritoneal effluent of the Dianeal®-treated and Physioneal®-treated group ($P = 0.015$).

Figure 6.3 Correlation between N^ε-(carboxymethyl)lysine (CML) concentration in peritoneal effluent and residual renal function (RRF) at baseline (Spearman's Rho).

Discussion

The present study has two important findings: First, CEL in the peritoneal effluent appears to be influenced by the prescription of glucose-containing bicarbonate/lactate-buffered dialysis solution, whereas the effect on CML levels appeared to be less pronounced. Second, CML, but not CEL, in the peritoneal effluent was inversely related to RRF.

In our study, changes in CEL levels in the peritoneal effluent were significantly different in Physioneal compared with Dianeal. CEL is formed mainly by the reaction of MGO with lysine, whereas CML is a reaction adduct of GO and lysine. Earlier studies showed that the concentration of MGO is 90% reduced in Physioneal in comparison with Dianeal, while the concentration of GO is only 50% reduced in Physioneal in comparison to Dianeal. This might explain the fact that the prescription of Physioneal had a larger effect on levels of the MGO-derived AGE product CEL compared to the GO-derived AGE product CML. Another explanation for the nonsignificant change in CML levels could be the small size of the study population and it could be considered a type 2 error (false negative).

In accordance with earlier observations, CML level in the peritoneal effluent was inversely related to RRF, whereas for CEL the relation with RRF was less strong. In contrast, Schmitt *et al.* did not find a relation between CML levels and RRF¹⁰. However, their study concerned a pediatric population with different treatment prescription and RRF compared to our population and the population studied by Van de Kerkhof *et al.*⁹. This confirms that CML levels in peritoneal effluent as well as in serum are significantly related with the RRF¹⁶.

The present study is a randomized prospective trial with some drawbacks. Although AGEs may have an effect on peritoneal membrane as well as on vascular complications in patients with renal failure¹¹; the present study was not designed to study the effect of prescription of more biocompatible PD solutions on these complications. The observed parameters and the sample size were limited. More patients randomized to the Physioneal group used 7.5% icodextrin. Although this might have an effect on CML levels at baseline, it is not likely that it interfered with the changes observed during the present study, as treated prescription of 7.5% icodextrin was not altered during the study.

The clinical relevance of our findings should be the subject of further study. However, given the possible effects of AGE formation on peritoneal membrane abnormalities, the lower CEL concentrations in the peritoneal effluent might be a further argument for the improved biocompatibility of low-GDP solutions.

Conclusion

Levels of CEL in peritoneal effluent were lowered by the prescription of low-level GDP dialysis solution as compared to conventional dialysis solutions, whereas CML levels appeared to be less affected. In contrast, CML is primarily influenced by RRF. Further studies are needed in long-term trials to investigate the effect of low-GDP dialysis fluids in preventing peritoneal membrane failure.

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Part III

Peritoneal dialysis in heart failure

Chapter 7

Peritoneal dialysis in patients with congestive heart failure

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Abstract

Background

Peritoneal dialysis (PD) may be a useful technique in the treatment of patients with congestive heart failure (CHF), both with and without primary end-stage renal disease (ESRD).

Methods

In the treatment of patients with ESRD and CHF, PD has theoretical advantages compared with hemodialysis (HD), such as the absence of an arteriovenous fistula and the more gradual fluid removal. In cohort studies, the incidence of heart failure was less as compared with HD, however, randomized studies on this aspect are lacking.

Results

As an acute rescue treatment of patients with treatment refractory heart failure, functional improvement has been observed with the use of PD. However, fluid removal is less predictable compared with continuous hemofiltration therapies.

Conclusions

As a long-term option for patients with treatment refractory CHF without ESRD, various case series showed improved functional performance and a reduction in hospitalization with the use of PD. However, also with the use of PD, median survival is still limited in these patients. Randomized studies are needed to establish the potential role of PD for this indication.

Introduction

Fluid state has to be regulated between narrow margins in patients with congestive heart failure (CHF). In patients with concomitant end-stage renal disease (ESRD), hypovolaemia may lead to hypotension that may exacerbate cardiac and cerebral ischaemia, whereas overhydration may lead to pulmonary oedema. In heart failure patients without ESRD, it may be very difficult and sometimes impossible to maintain the balance between pre-renal failure and clinical signs of fluid overload. Peritoneal dialysis (PD) provides the opportunity to remove excess fluid in a gradual manner. Whereas PD is a well-established technique in patients with ESRD and CHF, there is discussion about the relative advantages and disadvantages of PD for this patient category compared with haemodialysis (HD). For patients with CHF without ESRD, data are much scarcer, although case series showed promising results.

In this short review, the role of PD in the chronic treatment of patients with ESRD and CHF, its role as a rescue therapy in patients with CHF, and also in the long-term treatment of patients with CHF without ESRD will be discussed.

PD in patients with ESRD and CHF

The HEMO study found that the incidence of CHF in dialysis is >30%¹. This is in line with USRDS data in incident patients². In the HEMO study, symptoms of CHF improved in 16% of the patients after the start of dialysis, but also developed *de novo* during dialysis therapy in 17% of the patients. Patients with CHF and ESRD have a far worse prognosis than patients without heart failure. In a study by Parfrey and Foley³, the 5-year survival of patients with systolic dysfunction of the heart was <10%. In a study, in incident patients by the same group, 5-year survival in patients with cardiac failure at the start of dialysis was virtually zero⁴.

Data on the effect of PD in patients with CHF are scarce. Hebert *et al.*⁵ followed 17 patients with a LVEF below 35%, of which 13 had clinical signs of CHF NYHA III or IV. Survival at 24 months was 64%. Ten of these patients had a second measurement of LVEF during the follow-up period, which increased from 23 ± 9 to $30 \pm 8\%$.

There are no randomized studies which compared HD and PD in patients with CHF. Theoretical advantages of PD are the gradual fluid removal and the absence of an arteriovenous access, which may, especially in case of a high flow fistula, exacerbate or cause signs of heart failure. Potential disadvantages of PD are the less predictable and, in case of peritoneal membrane failure, inadequate fluid removal⁶.

In a large cohort study⁷, hospitalization for heart failure was less in PD

compared with HD. However, due to their uncontrolled nature, it is difficult to make solid conclusions from these observations.

Theoretically, the use of icodextrin would appear promising in patients with CHF due to its prolonged and gradual effect on peritoneal ultrafiltration^{8,9}. However, icodextrin and standard glucose solutions have not been formally compared in PD patients with CHF.

To conclude, patients with CHF and ESRD have in general a limited life expectancy. Although, PD has theoretical advantages over HD in this patient population, the effect of both treatment modalities on outcome has not been compared in clinical studies. From a theoretical point of view, icodextrin appears promising, but has not yet been compared with standard glucose solutions in this patient population.

PD as a rescue therapy in patients with CHF without ESRD

A consequence of the reduction in renal perfusion due to forward failure in patients with heart failure is an increased activation of the renin-angiotensin and sympathetic nervous systems. This leads to renal vasoconstriction and increased proximal tubular sodium and water reabsorption. In turn, these phenomena cause a reduced distal sodium and water delivery, resistance to the effects of atrial natriuretic peptide and increased sensitivity of the distal nephron to the actions of aldosterone¹⁰. These mechanisms explain the occurrence of diuretic resistance. The concomitant fluid accumulation may lead to further reduction in cardiac output due to the Frank-Starling mechanism or a reduction in left ventricular inflow due to an increase in right ventricular diastolic volume¹¹.

Extracorporeal fluid removal may aid in the acute management of patients with severe CHF with insufficient response to diuretics. Theoretical advantages of these therapies are an improvement in cardiac output due to the Frank-Starling mechanism, an increased left ventricular diastolic inflow, and an improvement in lung compliance after removal of the excess fluid^{11,12}. Moreover, a potential advantage above fluid removal by diuretics is the near-isotonic fluid removal with extracorporeal therapies compared with the hypotonic fluid removal with diuretics¹³.

PD, isolated ultrafiltration or continuous hemofiltration have been used in the acute treatment of patients with CHF^{13,14}. In various studies, the use of PD resulted in a reduction in plasma volume, improvement of hyponatremia, an improvement in diuretic responsiveness and improvement in glomerular filtration^{15,16}. Clinical improvement has also been obtained with the use of isolated ultrafiltration and continuous haemofiltration^{13,17}. A theoretical

advantage of PD above isolated ultrafiltration is the more gradual fluid removal, although this advantage also holds true for continuous filtration therapies. Moreover fluid removal is less predictable with PD compared with continuous hemofiltration. Yet, no controlled studies have compared the effectiveness of these different treatment modalities in the acute treatment of patients with acute cardiac failure.

A potential disadvantage of the use of PD in an acute situation is the occurrence of leaks. There are only few data on the occurrence of leaks after an acute start of PD, although early start of PD is usually considered a risk factor for pericatheter leaks^{12,18}. In a study in 13 patients with CHF, in which PD was started immediately with low exchange volumes, no leaks occurred¹⁹. No further data with regard to the relation between pericatheter leaks and early start or filling volume are available in patients who used PD as an acute treatment modality for PD.

In the acute treatment prescription of patients with CHF, most often initial small volume (500-1000 ml) hypertonic glucose solutions with short dwell times (1-2 h), possibly through a cycler^{14,15} are advocated, later on incrementally followed by larger exchange volumes (which will lead to more effective removal). With these treatment prescriptions, an hourly fluid removal of 67-568 ml/h was reportedly achieved^{14,15}. Yet, data on the effect of PD as an acute treatment of cardiac failure on the long-term prognosis are very limited, which also holds true for other extracorporeal therapies. In 18 patients, unresponsive to diuretics and inotropic agents who were treated with isolated ultrafiltration, 78% showed an improvement in clinical status, but only 22% could be discharged from the hospital and 22% died after one session¹⁷.

Summarizing, the use of extracorporeal treatment modalities, including PD, may result in clinical improvement in the acute treatment of patients with severe heart failure without ESRD, although its use so far is only supported by case series. However, the effect of extracorporeal treatment on the often dismal prognosis of these patients is not known and the experience still rather limited.

Long-term management of patients with CHF without ESRD

For the same reasons as previously mentioned, PD may also be useful in the chronic treatment of patients with CHF without ESRD. With more than 100 patients reported in the literature, the experience with PD as a chronic treatment for heart failure is larger compared with the acute situation^{14,15,20-28}.

Although, all the evidence available all has to be derived from case series, the large majority of patients treated with PD and reported in the literature

experienced an improvement in functional status and in three of the four reports which addressed this parameter, also retrospectively a reduction in hospitalization was observed. Mean serum creatinine in these reports ranged from 1.7 to 4.4 mg/dl, although not in all reports, detailed data on renal function at baseline were provided^{14,15}.

Despite clinical improvement, the median survival of patients treated with PD in the reports available appears to be <1-2 years. Therefore, a definite beneficial effect of PD on outcome in these patients remains as yet to be shown. Moreover, despite the theoretical advantages above intermittent extracorporeal therapies, no comparative data are available. Comparable results, with an improvement in functional status and reduction in hospitalization compared with the previous period, were also reported with intermittent ultrafiltration on an outpatient basis.

It is difficult to propose selection criteria for the initiation of PD in patients with treatment refractory CHF. Mousson *et al.*²⁰ were not able to define the criteria for the success or failure of PD as a chronic treatment in patients with CHF, although patients with cardio-thoracic ratio >70% or patients with prior need for ventilatory support fared worse.

PD in patients with CHF without ESRD is most commonly prescribed as an intermittent treatment with 1-3 exchanges/day, with glucose concentrations prescribed on an individual basis, although in most series hypertonic solutions were used^{14,15,19,21}. No data on the use of icodextrin are yet available.

To summarize, positive experience with PD as a chronic treatment for patients with treatment refractory CHF is reported in the literature. However, all evidence has to be derived from case series. No comparative data of PD with other extracorporeal treatment modalities are available in these patients.

Conclusion

In patients with heart failure and ESRD, there is a wide experience with the use of PD, which has theoretical advantages compared with HD, although there are no comparative data on the effects of outcome and/or functional status between these two therapies. For the acute treatment of heart failure in patients without ESRD, experience with PD is limited, although in most series, functional improvement was shown. However, potential disadvantages of PD compared to continuous filtration therapies are the less predictable fluid removal and the possibility of early leaks.

As a long-term option in patients with CHF without ESRD, the use of PD reportedly resulted in an improvement in clinical symptomatology and a reduction in hospitalization. However, as yet, the only evidence has to be derived from case series and should be confirmed in randomized trials.

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Chapter 8

Retrospective analysis of peritoneal dialysis in patients with primary cardiac failure complicated by renal failure

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Abstract

Background/Aims

Clinical outcome in cardiorenal syndrome type 2 and treated with peritoneal dialysis (PD).

Methods

Retrospective analysis over a period of 10 years.

Results

Twenty-four patients with mean age at start of dialysis of 67 ± 10 years and had mean survival on dialysis of 1.03 ± 0.84 years (median survival 1.0 year). The number of hospitalizations for cardiovascular causes were reduced (13.7 ± 26.5 predialysis versus 3.5 ± 8.8 days/patient/month postdialysis, $P = 0.001$). Patients who survived longer than the median survival time ($n = 12$) also had a reduced number of hospitalizations for all causes (3.7 ± 3.8 predialysis versus 1.4 ± 2.1 days/patient/month postdialysis, $P = 0.041$), a lower age (62 ± 10 versus 71 ± 8 years, $P = 0.013$) and fewer had diabetes (2 versus 7 patients, $P = 0.039$), but left ventricular ejection fraction was not different.

Conclusion

After starting PD for cardiorenal syndrome, hospitalizations for cardiovascular causes were reduced for all patients. Survival after starting PD is highly variable. Age and diabetes seem to be significant prognostic factors, but not left ventricular ejection fraction.

Introduction

The incidence of congestive heart failure (CHF) is increasing due to a growing number of cardiovascularly compromised patients. Treatment of hypervolemic patients with treatment-resistant CHF complicated by progressive and permanent chronic renal insufficiency, also known as the cardiorenal syndrome (CRS) type 2¹, is notoriously difficult. Ambulant intermittent treatment with intravenous diuretics has been a rational option²⁻⁴. However, diuretic resistance may lead to recurrent episodes of pulmonary congestion and edema. The purpose of peritoneal dialysis (PD) is gradual fluid and excess sodium removal. PD offers potential advantages in patients with CRS type 2, even when not complicated by end-stage renal failure⁵⁻²⁵.

Several small studies have shown that PD may be useful in the treatment of CRS type 2⁵⁻²⁴. In the majority of the patients, an improvement of the functional status^{6,7,9,14-19, 21-23} and a reduction in hospitalization was observed compared to historical data^{13-15,20-23}.

The aim of the present retrospective study was to investigate the outcome of PD in patients known with CRS type 2. Secondly, the effect of PD on hospitalization during the first year after starting dialysis was compared with the last year before starting dialysis. Thirdly, potential prognostic factors for survival were studied.

Patients and methods

All patients treated with PD in our centre between August 1, 1997, and January 1, 2008, were screened for the primary cause of severe renal failure. Data on patients with CRS type 2¹ were retrieved from patient charts in the medical archive. The end of the follow-up period was January 1, 2009. Generally, in our hospital, we use PD primarily as a treatment option for CRS only in patients with severe renal failure, and not as a routine option in patients with less advanced stages of renal failure. All patients used commercially available glucose- and non-glucose-containing (e.g. icodextrin) dialysis solutions (Baxter Healthcare, IRL, Dublin, Ireland).

Data were collected on co-morbidity, laboratory investigations, renal function, body weight, C-reactive protein (CRP), left ventricular ejection fraction (LVEF) on echocardiography and medication, duration and reasons of hospitalization, and causes of mortality.

Blood laboratory investigations included hemoglobin, serum albumin, plasma urea and plasma creatinine. Residual glomerular filtration rate (GFR) was calculated using Modification of Diet in Renal Disease (MDRD) formula.

Adequacy of PD, determined by Kt/V urea was assessed from the 24-hour peritoneal effluent and urine collections, and calculated using PD Adequest® 2.0 for Windows (Baxter Healthcare).

The study was approved by the Ethical Committee of the Maastricht University Medical Center+, Maastricht, The Netherlands.

Statistical analysis

Results are expressed as mean values and standard deviations. Because the data of our study population are asymmetrically distributed, nonparametric tests as the Mann-Whitney U and Wilcoxon signed rank tests, were used to analyze the differences before and after starting dialysis and the outcome between patients who survived less and longer than the median survival time. Survival was assessed by Kaplan-Meier survival analysis. Due to the limited number of patients, Cox regression analysis was not used.

A *P*-value of < 0.05 was considered significant. All of the statistical analyses were made using SPSS for Windows statistical software (release 16.0).

Results

Over a period of 10 years, 24 patients (6 women and 18 men) known with primary treatment-resistant CHF complicated by severe renal failure (CRS type 2) were treated by (incremental) chronic ambulatory PD. Six patients (25%) started initially with 1 dwell per day (incremental continuous ambulatory PD). The other patients started with 4 dwells of 2000 ml per 24 h. The underlying cause of renal failure was mainly chronic glomerulosclerosis/atherosclerosis. In absence of hematuria or significant proteinuria, kidney biopsies were in general not performed. Commercially available glucose and non-glucose containing (e.g. icodextrin) solutions were used. Ten patients (42%) started first with intermittent hemodialysis (IHD) and switched later to PD. Mean time on IHD was 35 ± 60 days. Twelve patients (50%) with a hypervolemic status due to treatment-resistant CHF started with dialysis in an acute setting (CRS type 1). At the end of the follow-up period 3 patients were still treated for more than 12 months. Mean duration of dialysis was 1.03 ± 0.84 years (median survival time 1.0 years; range 10 days to 41 months). The cumulative survival after starting dialysis is shown in a Kaplan-Meier curve (Figure 8.1).

Mean age at start of dialysis was 67 ± 10 years. Mean diuresis before start of dialysis was 1403 ± 756 ml per 24 h, and mean GFR was 14.8 ± 12.1 ml/min. Eleven patients (46%) did not have ESRD (highest GFR was 52.2 ml/min). Eight patients had heart failure because of ischemic cardiomyopathy, 12 patients had a dilated cardiomyopathy and 4 patients had a dilated ischemic

cardiomyopathy. Most patients also had valvular disease. There were no differences between patients with known different types of cardiomyopathy.

Figure 8.1 Kaplan-Meier survival curve.

Echocardiography was performed in all patients before starting dialysis. LVEF was $33 \pm 16\%$. A second echocardiography was performed in 18 patients. LVEF was either stable ($n = 2$), improved ($n = 11$) or aggravated ($n = 5$). Mean LVEF at the second echocardiography was $34 \pm 13\%$ (NS).

Body weight was reduced from 68.7 ± 21.9 kg before to 60.4 ± 25.3 kg after starting PD ($P = 0.045$). Adequacy of PD could be retrospectively retrieved of only 10 patients. Mean total Kt/V was 1.98 ± 0.63 , mean Kt/V of PD 1.05 ± 0.28 and mean renal Kt/V 0.54 ± 0.68 .

Twenty-one of the 24 patients dropped out during the follow-up. One patient was transferred to another dialysis centre after having been treated for more than 18 months. Four patients (17%) were withdrawn from treatment on their own initiative after, respectively, 54 days, 58 days, 19 months and 24 months of dialysis, and died within a few days. Eight patients (40%) died due to a cardiovascular event such as myocardial infarction, heart failure, brain stem infarction, cerebrovascular accident and sudden death. One patient (5%) died after pneumonia and another patient due to ischemic colitis. The cause of mortality was unknown in 7 patients (35%), but was not attributed to any complication related to PD treatment.

Hospitalization, expressed as the number of hospitalization days per patient per month, was compared between the last year before starting dialysis and the first year after starting dialysis. For all patients, the hospitalization time for all causes was 14.0 ± 26.4 days/patient/month before and 7.4 ± 10.5 days/patient/month after starting dialysis (NS). Reasons for hospitalization were umbilical hernia, diabetic foot, implantation of pacemaker or internal cardiac defibrillator, gastric perforation, pulmonary embolus and infections as peritonitis ($n = 4$), pneumonia and catheter sepsis during temporary IHD ($n = 1$). Hospitalization time for cardiovascular causes was 13.7 ± 26.5 days/patient/month before and 3.5 ± 8.8 days/patient/month after starting dialysis ($P = 0.001$), equivalent to a 74% reduction.

Patients who survived less than the median survival time (group A; $n = 12$) were compared with patients who survived longer than the median survival time (group B; Table 8.1). In group B, there were fewer patients with diabetes mellitus (17% in group B versus 58% in group A; $P = 0.039$). There were no differences between both groups in other co-morbidities such as cerebrovascular accident, coronary artery bypass graft, peripheral arterial disease or chronic obstructive pulmonary disease. Mean age at start of PD in group B was lower than in group A (62 ± 10 years in group B versus 71 ± 8 years in group A; $P = 0.013$). LVEF at start was not significantly different between both groups. Use of medications as angiotensin-converting enzyme and angiotensin II inhibitors, diuretics, beta-blockers, statins and anticoagulation, was not different between both groups.

Table 8.1 Characteristics of patients in groups A and B.

	Group A	Group B
Patients	12	12
Men (%)	11 (92)	7 (58)
Diabetes (%)*	7 (58)	2 (17)
Start in acute setting (%)	7 (58)	5 (42)
Initial treatment with IHD	5	5
Use of icodextrin (%)	5 (42)	8 (67)
Age at start PD (years)**	71.3 ± 8.0	61.5 ± 9.6
Mean body weight (kg)***	74.9 ± 13.0	64.8 ± 25.9
Time on IHD (days)	18 ± 36	52 ± 75
Mean MDRD (ml/min/1.73m ²)	15.0 ± 9.0	15.3 ± 10.7
Mean hemoglobin (mmol/l)®	6.8 ± 0.99	6.7 ± 0.78
Mean diuresis (ml/24 h)	928 ± 878	1333 ± 552
Mean GFR (ml/min)	15.7 ± 9.6	14.3 ± 13.7
Mean serum albumin (g/l)	34.4 ± 6.1	34.2 ± 7.5
Mean CRP (mg/l)	9.0 ± 10.2	26.1 ± 31.5
LVEF predialysis (%)	32 ± 17	34 ± 16

* $P = 0.039$, ** $P = 0.013$, *** $P = 0.000$. ® Correction factor: 1 mmol/l is equivalent to 1.611 g/l

Body weight at start was lower in group B than in group A (64.8 ± 25.9 kg versus 74.9 ± 13.0 kg; $P = 0.000$). Adequacy of PD was not different between patients who survived less or more than 1 year.

Hospitalization for all causes was compared for groups A and B between the last year before starting dialysis and the first year after starting dialysis (Table 8.2A). Hospitalization time for all causes was lower in group B than in group A before starting dialysis (3.7 ± 3.8 versus 24.3 ± 34.8 days/patient/month, respectively; $P = 0.040$) and after starting dialysis (1.4 ± 2.1 versus 13.3 ± 12.2 days/patient/month, respectively; $P = 0.003$). In group B, hospitalization for all causes was decreased from 3.7 ± 3.8 days/patient/month before dialysis to 1.4 ± 2.1 days/patient/month after starting dialysis ($P = 0.041$), equivalent to a 62% reduction.

Also hospitalization for cardiovascular causes was compared for both groups (Table 8.2B). Patients in group B were less frequently hospitalized for cardiovascular causes before starting dialysis (3.1 ± 3.9 days/patient/month in group B and 24.2 ± 34.9 days/patient/month in group A; $P = 0.026$). In both groups, the hospitalization time for cardiovascular causes reduced after starting dialysis [group A: 24.2 ± 34.9 before versus 6.7 ± 11.9 days/patient/month after starting dialysis ($P = 0.013$), equivalent to a 72% reduction, and in group B: 3.3 ± 3.9 before versus 0.3 ± 0.5 days/patient/month after starting dialysis ($P = 0.008$), equivalent to a 91% reduction].

Table 8.2 Hospitalization (number of days/patient/month) for all causes and cardiovascular causes before and after starting dialysis.

A. All causes		
	Before start	After start
All patients (n = 24)	14.0 ± 26.4	7.4 ± 10.5
Group A (n = 12)	$24.3 \pm 34.8^{**}$	$13.3 \pm 12.2^{***}$
Group B (n = 12)	$3.7 \pm 3.8^{* **}$	$1.4 \pm 2.1^{* ***}$

* $P = 0.041$, ** $P = 0.040$, *** $P = 0.003$.

B. Cardiovascular causes		
	Before start	After start
All patients (n = 24)	$13.7 \pm 26.5^{*}$	$3.5 \pm 8.8^{*}$
Group A (n = 12)	$24.2 \pm 34.9^{** \#}$	$6.7 \pm 11.9^{**}$
Group B (n = 12)	$3.3 \pm 3.9^{*** \#}$	$0.3 \pm 0.5^{***}$

* $P = 0.001$, ** $P = 0.013$, *** $P = 0.008$, # $P = 0.026$.

Further, patients who started dialysis in an acute setting (during hospitalization; n = 12) were compared with patients who started in the outpatient setting. Patients in the outpatient setting started more frequently with PD (83%), whereas patients in the acute setting were more frequently (67%, $P = 0.015$)

and longer treated with IHD, (57 versus 13 days, respectively; $P = 0.020$). Patients with diabetes started less frequently in an acute setting (17 versus 58%, respectively; $P = 0.039$). Mean level of hemoglobin was higher in patients starting dialysis in the out-patient setting (7.1 ± 0.8 versus 6.4 ± 0.8 mmol/l, respectively; $P = 0.045$).

Hospitalization for cardiovascular causes was reduced for patients in the acute setting (18.0 ± 29.1 before and 6.9 ± 11.7 days/patient/month after dialysis, $P = 0.041$, equivalent to a 62% reduction), as well for patients in the outpatient setting (9.5 ± 24.2 before and 0.04 ± 0.12 days/patient/month after dialysis, $P = 0.003$, equivalent to a 99% reduction; Table 8.3). But hospitalization time was lower for all causes and cardiovascular causes after starting dialysis in the outpatient setting (2.4 ± 4.1 versus 12.3 ± 12.7 days/patient/month for all causes, $P = 0.035$, and 0.04 ± 0.12 versus 6.9 ± 11.7 days/patient/month for cardiovascular causes, $P = 0.000$; Table 8.3).

Table 8.3 Hospitalization (number of days/patient/month) for patients starting dialysis in acute versus outpatient setting.

	Acute setting (n = 12)	Outpatient setting (n = 12)
Hospitalization time for all causes		
Before dialysis	18.2 ± 29.0	9.7 ± 24.1
After dialysis	$12.3 \pm 12.7^*$	$2.4 \pm 4.1^*$
Hospitalization time for cardiovascular causes		
Before dialysis	$18.0 \pm 29.1^\#$	$9.5 \pm 24.2^{##}$
After dialysis	$6.9 \pm 11.7^{*,\#}$	$0.04 \pm 0.12^{*,##}$

* $P = 0.035$, ** $P = 0.000$, # $P = 0.041$, ## $P = 0.003$.

Hospitalization times of group A patients who started dialysis in an acute and an outpatient setting were not different compared to group B (Tables 8.4A, 8.4B). Although, hospitalization of group B patients who started dialysis in the outpatient setting was reduced for all causes (2.5 ± 0.8 before versus 0.9 ± 1.1 days/patient/month after starting dialysis, $P = 0.028$, equivalent to a 64% reduction) and for cardiovascular causes (2.1 ± 0.8 before and 0.1 ± 0.2 days/patient/month after starting dialysis, $P = 0.018$, equivalent to a 95% reduction; Table 8.4B).

There were no differences between first starting with IHD and first starting with PD with respect to mean age, mean diuresis, mean GFR, mean body weight, mean hemoglobin, mean CRP, mean serum albumin, LVEF predialysis, number of hospitalizations for all and cardiovascular causes and survival.

Complications related to PD technique (17%) were pericatheter leakage (n = 1), recurrent peritonitis (n = 2) and umbilical hernia (n = 1). Complications

such as exit site infections, tunnel infections and catheter tip migration could not be retrieved in our population.

Table 8.4 Hospitalization (number of days/patient/month) of groups A and B starting dialysis in acute versus outpatient setting.

A. Group A		
	Acute setting (n = 7)	Outpatient setting (n = 5)
Hospitalization time for all causes		
Before dialysis	27.4 ± 35.8	19.9 ± 37.1
After dialysis	19.5 ± 11.9	4.5 ± 5.9
Hospitalization time for cardiovascular causes		
Before dialysis	27.3 ± 35.8	19.8 ± 37.1
After dialysis	11.5 ± 14.0	0.00 ± 0.00
No significant differences.		
B: Group B		
	Acute setting (n = 5)	Outpatient setting (n = 7)
Hospitalization time for all causes		
Before dialysis	5.4 ± 5.8	2.5 ± 0.8 [#]
After dialysis	2.1 ± 3.1	0.9 ± 1.1 [#]
Hospitalization time for cardiovascular causes		
Before dialysis	4.9 ± 5.9	2.1 ± 0.8 ^{##}
After dialysis	0.6 ± 0.6	0.1 ± 0.2 ^{##}

[#] $P = 0.028$, ^{##} $P = 0.018$.

Discussion

The present study has three important findings. First, survival in patients with treatment-resistant CHF complicated by severe renal failure is highly variable after starting PD. Secondly, age and diabetes mellitus, but not LVEF, seem to be prognostic factors in respect to 1-year survival. Thirdly, after starting PD, there was a reduction in hospitalization for all causes in patients who survived longer than 1 year and in all patients hospitalized for cardiovascular causes.

In our study, survival in treatment-resistant CHF was highly variable after starting PD. The mean survival in our study was 1.0 years, while 3 patients were still undergoing PD for more than 12 months at the end of follow-up. This is comparable with the study of Ryckelynck *et al.*¹⁵, in which mean survival of 15 patients was 12.7 months, including 8 patients who were still undergoing treatment for more than 12 months. In the study by Elhalel-Dranitzki *et al.*²⁰, mean survival was 17 months including 4 patients who were still undergoing PD.

The patients in our study who survived longer than the median survival time were younger at starting PD, had lower prevalence of diabetes and were less hospitalized before starting dialysis. Probably they were in a better functional (and cardiovascular) condition than those who survived less than the median survival time. Also, in the first year after start of dialysis those patients had a significant reduction in hospitalizations for all causes and cardiovascular causes.

We could not reveal any correlation between LVEF at the beginning of dialysis and survival time after starting dialysis, as was earlier described by Hebert *et al.*²⁶. Survival rate is usually correlated with LVEF at the beginning of treatment, but in our study mean LVEF assessed by echocardiography was not significantly higher in patients who survived longer than the median survival time. During follow-up, only 10 of the 18 patients who had a second echocardiography showed an improvement in LVEF. Probably due to intercurrent diseases and co-morbidities as diabetes, which could influence the (cardiovascular) condition and survival prognosis, LVEF does not always increase after starting dialysis. In the study of Kim *et al.*⁶, 2 patients showed an improvement in LVEF, 1 patient had a stable LVEF and 1 patient had a deterioration in LVEF, although all patients exhibited a functional improvement by 1 or 2 NYHA classes after starting PD. Mehrotra and Kathuria analyzed 7 studies, and concluded that >90% of the patients improved in functional status after starting dialysis²⁴. An important limitation of our retrospective study is that the change in functional status could not be retrieved.

In the present study, a reduction of hospitalization after starting dialysis for cardiovascular causes was observed in all patients. A reduction in hospitalization for all causes was only observed in patients who were treated longer than the median survival time. This finding is consistent with earlier studies^{13-15,20-23}.

Hospitalization for cardiovascular causes was reduced for patients starting in the acute as well in the outpatient setting. In patients who survived longer than the median survival time and starting dialysis in an outpatient setting, hospitalization for all and cardiovascular causes was significantly reduced. Probably patients starting dialysis in the outpatient setting were in a better functional and more stable (cardiovascular) condition. They started more frequently with PD, had a higher mean hemoglobin concentration and more frequently diabetes.

In contrast, in our study the number of hospitalization days for all causes did not increase in patients who were treated shorter than the median survival time, which did not appear to be primarily related to complications of PD treatment, but rather to a worsening of the general (cardiovascular) status of the patients. Also in the study performed by Rubin and Ball, hospitalization

rates failed to improve due to dialysis complications and underlying heart disease²⁷.

In our study, patients who survived less than 1 year were significantly older and had significantly greater prevalence of diabetes mellitus. Older age and diabetes are important prognostic factors for survival in patients known with treatment-resistant CHF complicated by severe renal failure and treatment with PD. This finding is consistent with earlier studies.

A reduction in hospitalization and an improvement in functional status after starting PD in treatment-resistant CHF, could consequently lead to an improvement in quality of life. In only two observational studies was the quality of life assessed. First, in the study of Stegmayr *et al.*, 16 patients with known CHF were treated with PD, and within 1 month all patients improved in functional status and also in quality of life¹⁸. In the study by Bertoli *et al.*, only 2 patients with known CHF were treated with a single nocturnal exchange with icodextrin. After at least 12 months of treatment, a reduction in morbidity and hospitalization was seen, as well as a significant improvement in quality of life in both patients²⁵. More studies are needed to confirm these data.

Use of icodextrin, a glucose polymer, might be particularly interesting in patients with hypervolemic treatment-resistant CHF because of the ability to generate sustained ultrafiltration over periods of long dwells and to allow euvolemia by a single nocturnal exchange. It may reduce ECF volume, TBW and BW due to better ultrafiltration and sodium losses²⁸⁻³². Furthermore, it contains a lower concentration of glucose degradation products than conventional PD fluids. In the present study, only 13 of the 24 patients (54%) used icodextrin. This number of patients was too small in order to reveal any correlation with outcome parameters. Further, body weight was significantly reduced after starting PD. This may reflect the increased sodium removal and results in a reduced number of hospitalizations. Patients who survived less than 1 year had a significantly higher body weight at start of dialysis. Probably, these patients were more hypervolemic before starting dialysis and consequently in a worse (cardial) condition. This may suggest that dialysis should be started in time in patients with CRS and progressive chronic renal insufficiency.

Limitations of the present study are its retrospective design, the limited number of patients over a relatively long observation period and the absence of detailed information on functional status, quality of life and fluid status. Prospective studies are needed to know more about the effect and outcome of dialysis in patients with known treatment-resistant CHF.

Conclusion

In our opinion, based on the results in literature and our own clinical experience, PD is a feasible therapy in a selected group of patients with treatment-resistant CHF complicated by severe renal failure. However, after starting PD we observed a reduction in hospitalization for cardiovascular causes for all patients, but a reduction in hospitalization for all causes was only observed in patients who survived longer than the median survival time. Age and diabetes mellitus, but not LVEF, appeared to be important prognostic factors with respect to survival. However, patient selection remains difficult. Further investigations are needed to clearly define the criteria for starting PD in CRS type 2.

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Chapter 9

Prospective study on clinical effects of renal replacement therapy in treatment-resistant congestive heart failure

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Abstract

Background/Aims

Clinical outcome in cardiorenal syndrome (CRS) type 2 and treatment with dialysis.

Methods

Prospective observational non-randomized study.

Results

Twenty-three patients were included, mean age 66 ± 21 years. Twelve (52%) patients were treated with peritoneal dialysis (PD) and 11 (48%) with intermittent hemodialysis (IHD). Median survival time after start of dialysis was 16 months. Hospitalizations for cardiovascular causes were reduced (1.4 ± 0.6 predialysis versus 0.4 ± 0.6 days/patient/month postdialysis, $P = 0.000$), without significant changes in hospitalization for all causes (1.8 ± 1.6 versus 2.1 ± 2.9 days/patient/month). New York Heart Association (NYHA) class (3.8 ± 0.4 at start versus 2.4 ± 0.7 after 4 months, $P = 0.000$, versus 2.7 ± 0.9 after 8 months, $P = 0.001$) and quality of life tended to improve (63 ± 21 at start, versus 41 ± 20 after 4 months, versus 51 ± 25 after 8 months; $P = 0.056$). Left ventricular ejection fraction did not change. The number of technical complications associated with dialysis therapy was relatively high in this population.

Conclusion

After starting dialysis for CRS, hospitalizations for cardiovascular causes were reduced, but not hospitalizations for all causes. Functional NYHA class improved and quality of life tended to improve, without evidence for a change in cardiac function. In this small study, no differences between IHD and PD were observed.

Introduction

The incidence of congestive heart failure (CHF) is still increasing. Continuous improvement of cardiac care has resulted in more people surviving acute cardiac diseases and consequently living longer to develop finally heart failure. Treatment of hypervolemic patients with CHF complicated by progressive and permanent chronic renal insufficiency, also known as the cardiorenal syndrome (CRS) type 2, is notoriously difficult¹. Patients may develop diuretic-resistance leading to recurrent episodes of pulmonary congestion and oedema^{2,4}. In patients with acute decompensated heart failure, continuous ultrafiltration resulted in a reduction in rehospitalization during a 90-day follow-up period⁵. However, this treatment modality is less suitable for chronic treatment of diuretic-resistance, such as may occur during CRS type 2.

Peritoneal dialysis (PD) has as main characteristics of gradual fluid removal and extra sodium loss and offers potential advantages in patients with CRS type 2, even when not complicated by severe renal failure⁶⁻²⁴. Several small studies have shown that extracorporeal treatment may be useful in the treatment of CRS type 2⁷⁻²⁵. In the majority of these patients, an improvement of functional status^{11-22,25} and a reduction in hospitalization was observed compared to historical data¹⁸⁻²⁵. Recently, we performed a retrospective analysis in patients with CRS type 2 and treated with PD²⁶. Survival time after starting PD was highly variable and the number of hospitalizations for cardiovascular causes was reduced after starting PD. Age and diabetes appeared to be significant prognostic factors, but not left ventricular ejection fraction (LVEF).

However, despite the possible advantages of PD in the treatment of diuretic-resistant CHF, in our clinics, also intermittent hemodialysis (IHD) and (daily) isolated ultrafiltration are offered if PD is either not feasible or based on patient preference.

The aim of our study was to investigate the outcome of renal replacement therapy in patients' known with hypervolemic treatment-resistant CHF complicated by renal impairment. Secondly, the effect of renal replacement therapy on hospitalization after starting dialysis was compared with the last 2 years before starting dialysis. Thirdly, functional status, echocardiographic parameters and quality of life were evaluated.

Patients and methods

In this prospective observational non-randomized study, 23 patients with CRS type 2 were treated with PD or IHD based on clinical indication. Only patients with severe congestive heart failure accompanied by renal failure resistant to

high dose of intravenous diuretics were included. These were all patients in whom conservative therapy had failed to control their symptoms. Patients were included between 1 February 2008 and 1 August 2009. The end of the follow-up period was 1 April 2011.

Patient characteristics at baseline are displayed in Table 9.1. Mean age at start of dialysis was 66 ± 21 years. Mean glomerular filtration rate (GFR) at baseline was 14.6 ± 12.1 ml/min (95% CI 0 - 28.5 ml/min). Fourteen patients had chronic kidney disease (CKD) Stage V, 7 patients had CKD IV and 2 patients had CKD III. According to clinical charts, renal failure was related to nephro-angiosclerosis ($n = 7$), reduced renal perfusion due to hypotension ($n = 14$) and diabetic nephropathy ($n = 2$). In the absence of hematuria or significant proteinuria, kidney biopsies were in general not performed. Charlson's co-morbidity index was 4.9 ± 1.2 (range 3-7).

Table 9.1 Characteristics of the patients at baseline.

Peritoneal dialysis (%)	12 (52)
Gender (% men)	17 (74)
Age (years)	66.1 ± 21.9
Diabetes (%)	12 (52)
Weight (kg)	81.6 ± 12.4
Systolic blood pressure (mmHg)	117.2 ± 31.2
Diastolic blood pressure (mmHg)	63.7 ± 15.9
Diuresis (ml/24 hours)	1062 ± 567
GFR (ml/min)	14.6 ± 12.1
Hemoglobin (g/dl)*	11.3 ± 1.5
Sodium (mmol/l)	138 ± 4
Urea (mmol/l)	29.5 ± 11.5
Creatinine (μ mol/l)	369 ± 168
Serum albumin (g/l)	33.2 ± 4.2
CRP (g/l)	22.8 ± 24.5
NT-proBNP (pmol/l)	2411 ± 2857
Charlson's co-morbidity index	4.9 ± 1.2

Values displayed as mean $\pm$ SD. * Hemoglobin correction factor: 0.62 g/dl = 1 mmol/l

Fifteen patients had CHF because of ischemic cardiomyopathy, 5 patients had a dilated cardiomyopathy, 1 patient had a reumatic cardiomyopathy, 1 patient had a restrictive cardiomyopathy and 1 patient had a metabolic cardiomyopathy due to diabetes. Most patients also had valvular disease. There were no differences between patients with different types of cardiomyopathy. At the start of dialysis, all patients were receiving bumetanide (mean dose 8.1 ± 2.7

mg/day), 2 received spironolactone, 10 angiotensin-converting enzyme inhibitors and 15 used beta-blocking agents.

The choice for PD or hemodialysis (HD) was based on patient preference and clinical indication. However, in those patients who had to start acutely with dialysis treatment, HD was used as the starting therapy.

Generally, in our hospital, we use dialysis as a treatment option for CRS only in patients with severe renal failure and not as a routine option in patients with less advanced stages of renal failure. Eleven patients (48%) were treated with IHD and were dialyzed during 4 hours for three times per week. Twelve patients (52%) were treated with PD. If the GFR was >10 ml/min, only icodextrin was used. In others, the combination of glucose-containing dialysis fluids and icodextrin was used. Nine patients started initially with one single night dwell of icodextrin (incremental PD) and three patients started immediately with continuous ambulatory PD. All patients used commercially available glucose- and non-glucose-containing (e.g. icodextrin) dialysis solutions (Baxter Healthcare, IRL, Dublin, Ireland).

According to the design of the study, at the start of dialysis and after 4 and 8 months, data were collected for laboratory investigations, renal function, functional status [according to the New York Heart Association (NYHA) classification] and LVEF and left ventricular end-diastolic diameter (LVEDD) by echocardiography and quality of life. Quality of life was evaluated by using the Minnesota Living with Heart failure Questionnaire because all included patients are known with primary heart failure. Other parameters collected were co-morbidity and causes of mortality. Time of dialysis, duration and causes of hospitalization and complications of dialysis were registered till the end of the follow-up period of the study.

Laboratory investigations included hemoglobin, serum albumin, plasma urea and plasma creatinine, serum C-reactive protein and NT-pro Brain Natriuretic Peptide (NT-proBNP). GFR was calculated by calculating creatinine clearance using 24-hours urine collection.

The study was approved by the Ethical Committee of the Catharina Hospital Eindhoven and the other participating centres (Maastricht University Medical Center and Franciscus Hospital Roosendaal). All patients provided written informed consent.

Statistical analysis

Results are expressed as mean values and standard deviations (SD) or median and range, where appropriate.

Survival was assessed by Kaplan-Meier survival analysis. Due to the limited number of patients, Cox regression analysis was used with only a limited number of elementary variables at baseline (age, dialysis modality, Charlson's

co-morbidity index, GFR and LVEF). Parameters at baseline and after 4 and 8 months were compared using repeated measures analysis of variance (ANOVA) and, if significant, further analysed by a paired Student's t-test. In case of data which were not normally distributed, the related-samples Friedman's analysis was used.

A P-value of <0.05 was considered significant. All of the statistical analyses were made using SPSS for Windows statistical software (release 18.0).

Results

Over a period of 18 months, 23 patients (6 women and 17 men) with primary treatment-resistant CHF complicated by renal impairment were included and started with dialysis. Fourteen patients (61%) started with HD in an acute setting. Some of the patients chose to maintain on HD and others switched to PD. Eventually, 11 patients (48%) were treated with IHD and 12 patients (52%) with PD. The cumulative survival after starting dialysis is shown in a Kaplan-Meier survival curve (Figure 9.1). The median estimated survival time was 16.0 months. At the end of the follow-up period, five patients were still treated for >20 months. The cumulative survival of HD versus PD is shown in Figure 9.2. There were no significant differences observed between HD and PD.

Figure 9.1 Kaplan-Meier survival curve.

Figure 9.2 Kaplan-Meier survival curve for PD versus HD patients.

Nine of the 23 patients (39%) dropped out within the follow-up period of 8 months. Seven patients (30%) died during the study period. Reasons of death were end-stage heart failure ($n = 3$; 13%), sudden death ($n = 1$; 4%), pulmonary carcinoma ($n = 1$; 4%), complications of diabetic foot ($n = 1$; 4%) and one patient developed a cardiac arrest during an IHD session ($n = 1$; 4%). Two patients (9%) were transferred to other dialysis centres, but duration and reasons of hospitalizations of those patients were registered throughout the total follow-up period of the study.

Hospitalization, expressed as the number of hospitalization days per patient per month, was compared between the last 2 years before starting dialysis and throughout the follow-up period (Table 9.2). The absolute number of hospitalization days is presented for all patients. The corrected number was not calculated in patients who survived <1 month after the start of dialysis therapy. Hospitalization time for all causes was 1.8 ± 1.6 days/patient/month before and 2.1 ± 2.9 days/patient/month after starting dialysis ($P = 0.54$). Reasons for hospitalization were creation of arteriovenous fistula (AVF) ($n = 4$), thrombectomy of AVF, occlusion of AVF, diabetic foot followed by amputation ($n = 2$), hip fracture ($n = 2$), sigmoid carcinoma, transient ischemic attack, necrotic peripheral vascular disease, intra-abdominal bleeding after PD catheter insertion, replacement of PD catheter ($n = 3$), fever of unknown origin ($n = 2$), and infections as primary peritonitis ($n = 3$), diverticulitis with secondary

peritonitis, pneumonia and post-obstruction pneumonia due to pulmonary carcinoma.

Hospitalization time for cardiovascular causes declined from 1.4 ± 0.6 days/patient/month before to 0.4 ± 0.6 days/patient/month after starting dialysis ($P = 0.004$) (Table 9.2).

Table 9.2 Hospitalization during the last 2 years before dialysis and after start of dialysis.

	Predialysis	Postdialysis
All causes (days/patient/month)	1.8 ± 1.6	2.1 ± 2.9
Cardiovascular causes (days/patient/month)*	1.4 ± 0.6	0.4 ± 0.6
All causes (number of hospitalizations)	0.27 ± 0.29	0.23 ± 0.23
Cardiovascular causes (number of hospitalizations)**	0.19 ± 0.25	0.03 ± 0.06

*Difference is significant ($P < 0.001$); **Difference is significant ($P < 0.001$)

Complications related to PD technique were pericatheter leakage, vaginal leakage, peritonitis ($n = 3$), recurrent peritonitis, secondary peritonitis due to diverticulitis, abscess near insertion of catheter and migration of catheter tip ($n = 3$) (Table 9.3).

Table 9.3 Adverse effects of dialysis during the study.

PD-related	HD-related
Intra-abdominal bleeding after catheter insertion	Occlusion of arteriovenous fistula (AVF)
dislocation of catheter ($n = 3$)	Thrombectomy of AVF
Primary peritonitis ($n = 3$)	
Recurrent peritonitis	
Secondary peritonitis due to diverticulitis	
Pericatheter leakage	
Vaginal leakage	
Abscess near catheter insertion place	

According to the NYHA classification, at baseline 19 patients were in functional Class IV and 4 in Class III. NYHA class changed significantly during the follow-up period ($P < 0.001$). After 4 months, 14 (82%) of 17 patients improved in their functional status ($P = 0.000$), 11 (65%) patients improved two classes, the rest one class in the NYHA scale. After 8 months, there was still a significant improvement of functional status in 8 (73%) of 11 patients compared to start of dialysis ($P = 0.001$) (Table 9.4).

Quality of life was evaluated by using the Minnesota Living with Heart failure Questionnaire where a lower score is related with a higher quality of life. Quality of life tended to improve after starting renal replacement therapy

($P = 0.056$ by repeated measures ANOVA). Quality of life improved after 4 months in 12 of 16 patients. After 8 months, quality of life was still better in 7 of 11 patients than before starting dialysis (Table 9.4).

Table 9.4 Parameters at start of dialysis and after 4 and 8 months.

	start	4 months	8 months
NYHA class	3.8 $\pm$ 0.4	2.4 $\pm$ 0.7*	2.7 $\pm$ 0.9**
Quality of life	63 $\pm$ 21	41 $\pm$ 20	51 $\pm$ 25
Echocardiography			
LVEF (%)	37 $\pm$ 20	38 $\pm$ 20	36 $\pm$ 13
LVEDD (mm)	56 $\pm$ 6	54 $\pm$ 6	55 $\pm$ 5
Diuresis (ml/24hours)	1134 $\pm$ 535	803 $\pm$ 807	456 $\pm$ 473***

* Difference is significant ($P=0.000$); ** Difference is significant ($P = 0.001$); *** Difference is significant ($P = 0.011$). (NYHA=New York Heart Association, QoL=Quality of life, LVEF=Left Ventricular Ejection Fraction, LVEDD=Left Ventricular End-Diastolic Diameter).

Echocardiography was performed in all patients before starting dialysis. Mean LVEF was 37 $\pm$ 20%. After 4 months a second echocardiography was performed in 11 patients. The LVEF was either stable ($n = 1$), improved ($n = 6$) or aggravated ($n = 4$). Mean LVEF at the second echocardiography was 38 $\pm$ 20% ($P = 0.68$). After 8 months a third echocardiography was performed in 6 patients. Mean LVEF at the third echocardiography was 36 $\pm$ 13% ($P = 0.99$). LVEDD did not change after the start of dialysis (Table 9.4).

NT-proBNP levels also changed not significantly during the observational period (2411 $\pm$ 2600 pmol/l at baseline; 1247 $\pm$ 979 pmol/l at 4 months and 5537 $\pm$ 9629 pmol/l at 8 months; $P = 0.46$).

Mean daily ultrafiltration (extrapolated in IHD patients according to total ultrafiltration and treatments per week) was 1332 $\pm$ 922 ml, which was in general well tolerated. There were no significant changes in body weight during follow-up (81.6 $\pm$ 12.2 kg at baseline, 78.4 $\pm$ 10.8 kg after 4 months versus 79.1 $\pm$ 11.2 kg after 8 months; $P = 0.20$). Urine production changed during follow-up ($P = 0.03$ by repeated measures ANOVA) (1134 $\pm$ 535 ml/day at baseline versus 803 $\pm$ 807 ml/day after 4 months; $P = 0.08$, versus 456 $\pm$ 473 ml/day after 8 months; $P = 0.011$) (Table 9.4). GFR remained stable throughout the study (14.6 $\pm$ 12.1 ml/min at baseline versus 17.8 $\pm$ 21.1 ml/min after 4 months versus 11.7 $\pm$ 15.2 ml/min after 8 months; $P = 0.23$).

In Cox regression analysis, age, dialysis modality, Charlson's co-morbidity index, GFR and LVEF at baseline were evaluated. No significant relation with outcome was observed.

Discussion

The present prospective observational study has three important findings. Firstly, survival in patients with CRS type 2 and treated with dialysis was highly variable. Secondly, hospitalization for cardiovascular causes, but not for all causes, was reduced. Thirdly, improvements in quality of life and functional status were observed after starting dialysis.

In our study, survival in CRS type 2 was highly variable after starting dialysis. The median survival time was 16 months, including five patients who were still undergoing dialysis for >20 months. This may be longer than expected given the fact that the mean Charlson's co-morbidity index in our population was 4.9. However, we admit that this observation is not a solid argument for a potential beneficial effect of renal replacement therapy on survival, as a randomized controlled trial with a sufficient number of patients would be needed to evaluate this aspect.

The survival in our present study (median 16 months) was comparable with the data of Elhalel-Dranitzki *et al.*, in which the mean survival was 17.3 months, including four patients who were still undergoing PD²⁴, and somewhat higher as compared to our retrospective analysis in which the median survival was 12 months²⁶. In the study by Ryckelynck *et al.*, the mean survival was 12.7 months, including eight patients who were still treated with PD for >12 months²². Gotloib *et al.* treated 20 patients with CRS type 2 with comparable mean age and GFR²⁰. Mean survival was 16 months and also longer than expected according to the mean Charlson's co-morbidity index of 7.8 at the start of dialysis. In our study, we did not observe significant differences between HD and PD due to insufficient power. However, when looking to both survival curves in detail, a higher mortality appeared to be present for HD patients at the start of treatment. This is possibly due to the fact that if dialysis had to be started in an acute setting, HD was used.

In the present study, a reduction of hospitalization for cardiovascular causes after starting dialysis was observed. This finding is consistent with earlier published studies¹⁸⁻²⁶. In contrast, in our study, the number of hospitalization days for all causes did not change. Hospitalizations for non-cardiovascular causes appeared to be especially pronounced in patients who were already

hospitalized at the start of dialysis and were in general not related to complications of the treatment. In the study performed by Rubin and Ball, all-cause hospitalization rates failed to improve due to dialysis complications and underlying heart disease²⁷.

After starting dialysis, the majority of the study population showed an improvement of the functional status during the follow-up period. Almost 62% increased two NYHA classes after 4 months of dialysis. This finding is also consistent with earlier studies^{7,22,23,25,26}. Mehrotra and Kathuria analysed seven studies and concluded that >90% of the patients improved in functional status after starting dialysis⁷. In the study of Sanchez *et al.*, even all patients improved one or two classes in functional status²⁵.

In the study of Kim *et al.*¹¹, all patients exhibited a functional improvement by one or two NYHA classes after starting PD, although in only two patients, an improvement of LVEF was observed. In our study, only 11 patients had a second echocardiography and 6 patients showed an improvement of LVEF, but changes were not significant. The same held true for changes in NT-proBNP. Thus, it appears that the effect of increased fluid removal by extracorporeal therapy has a significant effect on functional status, but likely not on cardiac function *per se*.

A reduction in hospitalization for cardiovascular causes and an improvement in functional status after starting dialysis in CRS type 2 could consequently lead to an improvement in quality of life. Quality of life improved in 75% of the patients included in this study, whereas the scores on the Minnesota Living with Heart failure Questionnaire declined, although differences on repeated measures ANOVA did just not reach significance. This finding is consistent with the study of Stegmayr *et al.*, where 16 patients with CHF and treated with PD, improved all within 1 month in functional status and also in quality of life¹⁶. In the study of Bertoli *et al.*, two CHF patients were treated with a single nocturnal exchange with icodextrin⁶. After at least 12 months of treatment, an improvement in quality of life in both patients was observed. Sanchez *et al.* treated 17 patients known with treatment-resistant CHF with PD. Quality of life was increased, which was positively correlated with a better functional status, less hospitalizations and higher survival rate²⁵.

Thus, the results of the present study and those of others suggest that extracorporeal treatment may lead to a significant improvement in quality of life. However, admittedly, also in our study, the follow-up time was relatively short and limited to 8 months. Moreover, the incidence of technical complications associated with the dialysis therapy was relatively high, and far greater as compared to our "standard" dialysis population. In some cases, this resulted in hospitalization. We hypothesize that this might be due to the fact it concerns a

sick and relatively old population with multiple co-morbidities, making the patients more prone for complications²⁸. Notably, in contrast to hospitalizations for cardiovascular causes, the number of all-cause hospitalizations did not decrease significantly.

Diuresis decreased throughout the follow-up period, whereas mean GFR remained stable. In the study by Sanchez *et al.*, urine production did not change throughout the study period of 12 months²⁵. However, mean daily ultrafiltration was higher in our study, whereas we also included patients on IHD in our study.

In this prospective study we could not detect any prognostic factors for survival in patients known with treatment-resistant CHF complicated by severe renal failure and starting dialysis. This is in contrast to the results of our retrospective study in which age and diabetes were prognostic poor factors for survival. We did not observe a significant effect of elementary baseline variables such as age, GFR, Charlson's co-morbidity index and LVEF on outcome, nor a significant difference between PD and IHD in Cox regression analysis. However, we admit that the number of patients in our analysis is too small to draw firm conclusions on this subject.

Limitations of the present study are the limited number of patients, relative short follow-up time with regard to functional status and quality of life measurements and incomplete measurements with regard to cardiac function. Patients were treated with both PD and IHD. More prospective studies are needed in order to assess effect and outcome of dialysis in patients with treatment-resistant CHF.

Conclusions

In our opinion, based on the results in literature and our own clinical experience, extracorporeal treatment is a feasible therapy in a selected group of patients with treatment-resistant CRS type 2 complicated with severe renal insufficiency. After starting dialysis, a reduction in hospitalizations for cardiovascular causes was observed, but not in hospitalizations for all causes. The number of technical complications related to the dialysis therapy in this population was relatively high. In this study, we could not detect a prognostic factor for survival. Therefore, patient selection remains difficult. Further investigations are needed to define well the criteria for starting renal replacement therapy in treatment-resistant CHF.

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Chapter 10

General discussion and summary

Discussion

Peritoneal dialysis (PD) is a commonly used modality of renal replacement therapy besides hemodialysis and renal transplantation. PD is based upon the removal of excess of waste products and water using the peritoneal membrane as a semi-permeable dialysis filter. Through an abdominal catheter sterile dialysis solutions are installed in and drained from the peritoneal cavity in repeated cycles. Excess of fluid is removed (ultrafiltered) by an osmotic gradient induced by glucose in the dialysis solutions, whereas removal of solutes is based on diffusion and convection over the membrane.

Long-term PD may be complicated by an impaired functioning of the peritoneal membrane, especially with regard to fluid removal, which may be hampered by rapid transport of glucose from the dialysis solution into the capillaries of the peritoneal membrane, leading to rapid dissipation of the osmotic gradient. Regular monitoring of the functional status of the peritoneal membrane transport during follow-up is pivotal for optimal prescription of dialysis solutions. Several relevant aspects regarding the monitoring of peritoneal transport properties are addressed in the first part of the thesis.

The second part focuses on factors regarding the treatment prescription of PD, for which various choices are available. The first regards the prescription of PD modalities, which is most commonly a choice between continuous ambulatory peritoneal dialysis (CAPD), by which the cycles of drainage and installation of the dialysis solutions are performed manually by the patient, and automated peritoneal dialysis (APD), by which these cycles are performed automatically. Patient preference, but also potential differences in fluid and sodium removal, as well as risk of peritonitis are important factors in treatment decisions regarding the prescription of these modalities. However, more data are needed in order to help the patient and the treatment team in a rational choice for either modality. The same holds true for the prescription of dialysis solutions. Recently, new solutions have become available with a lower concentration of glucose degradation products (GDP) as compared to conventional dialysis solutions. This might result in an improved biocompatibility and lower formation of advanced glycation end-products (AGE), which might in turn improve the membrane integrity for the long-term. However, the evidence of the potential beneficial effects of these novel peritoneal solutions on the peritoneal membrane is still increasing.

The third part of this thesis focuses on the use of PD in emerging indications, notably treatment-resistant congestive heart failure complicated by a severe renal insufficiency. Worldwide there is an increasing incidence and prevalence of patients with heart failure due to a growing number of cardiovascular compromised patients due to risk factors as ageing, diabetes, hypertension, hypercholesterolemia and nicotine abus, but also to the higher survival rates

after cardiac incidents due to continuous development of new medications and better treatment techniques. Heart failure is a progressive disease and with the progress of the disease hospitalizations become usually frequent. PD is a simple choice for daily ultrafiltration. Due to the gradual and continuous fluid removal with PD, the use of this technique for hypervolemic treatment-resistant congestive heart failure would appear a rational option for the long-term treatment. Other arguments for PD in this indication are the hemodynamic instability during hemodialysis and the impact of an arteriovenous fistula on the cardiac performance. However, at the moment only limited data are available on the clinical effects of PD on functional status, quality of life, and factors such as hospitalization in this patient group.

Monitoring of peritoneal transport

Monitoring of the peritoneal membrane function is of utmost importance during the follow-up of PD patients. In everyday practice, a standardized 4-hour peritoneal equilibration test (PET) with a 3.86%/4.25% glucose solution is performed to measure the dialysate-to-plasma ratio (D/P) of creatinine and the dialysate-to-initial-dialysate ratio (D_t/D_0) of glucose at the end of the test for monitoring small solute transport¹. The ultrafiltration volume is also measured to detect ultrafiltration failure, defined as an ultrafiltration volume of less than 400 ml after a 4-hour PET with a 3.86%/4.25% glucose solution. Ultrafiltration failure often associated with high transport rate of small solutes due to peritoneal membrane damage and neovascularisation in the peritoneal membrane.

Since the introduction of the PET by Twardowski *et al.* in 1987², it is a very commonly used test in daily practice, but there are still some issues remaining. One issue concerns the preceding dwell. In 2001, the International Society of Peritoneal Dialysis proposed to perform a standardized PET with a 3.86%/4.25% glucose solution instead of a 2.27%/2.5% glucose solution¹. A test with a 3.86%/4.25% glucose solution provides better information on ultrafiltration failure. Part of the standardization of the PET was that it had to be preceded by a long dwell of 8 to 12 hours with the same hyperosmotic glucose solution. This standardized PET was originally developed for patients treated with CAPD. However, with the increasing use of APD, the test is also commonly used for monitoring of the functional status of the peritoneal membrane in APD. Due to the frequent exchanges during night-time, the dwell times in APD are usually 1.5 to 3 hours. Consequently, the preceding dwell times before performance of the PET are significantly shorter in APD than in CAPD. The question arises whether the preceding dwells indeed have a significant influence on the results of the PET, as the continuation of the daily

prescription of APD treatment would be more convenient for the patient. However, from a theoretical point of view, differences in ultrafiltration during the preceding dwell might influence ultrafiltration during the PET due to effects on volume status³. In *chapter 2* this issue was investigated. In a study on 13 patients, the net ultrafiltration, small solute transport, sodium sieving and solute transport between a PET preceded by a long night dwell and one preceded by short dwells were compared. The preceding dwell time did not influence the results of the PET, indicating that the duration of the preceding dwell has no/little effect on the interpretation of the PET results and suggesting that the standard treatment prescription in APD could be continued.

In the future, the effect of icodextrin as preceding dwell instead of 3.86%/4.25% glucose solution on the results of a standardized PET could be investigated in CAPD.

Whereas the PET provides invaluable information in monitoring PD treatment, it may be insufficiently sensitive for monitoring subtle changes in peritoneal membrane transport. For example, the standardized 4-hour PET does not provide information on free water clearance and as such, no information on the free water transport rate through the ultrasmall pores or aquaporin-1 channels in the peritoneal capillaries. However, a loss of free water clearance may be an early warning sign for the development of peritoneal sclerosis or ultrafiltration failure in long-term PD⁴. The mini-PET was developed by La Milia *et al.* in order to calculate the free water transport through the aquaporin channels and is based on the sodium kinetics of peritoneal membrane transport⁵. With a healthy peritoneal membrane, 35-45% of the total ultrafiltration volume after one hour occurs through the ultrasmall pores, leading to a temporary decrease in the dialysate sodium concentration through dilution by water present by free water transport, and consequently to a decrease of the ratio between dialysate and plasma sodium⁶. This is known as sodium sieving and indicates adequate free water transport. The maximum dip in dialysate sodium is often reached after one hour induced by the hypertonic glucose solution⁷. The mini-PET of La Milia *et al.* requires drainage of the peritoneal cavity after 1-hour to measure the ultrafiltration volume and calculate the free water transport through the aquaporin channels.

In order to assess also the free water transport, basically 2 PETs should be performed, which is not convenient for the patient or the dialysis staff. In *chapter 3*, it was investigated whether a combination of the standardized and the mini-PET would lead to compatible results as the standard approach. In 10 patients two standardized PETs of 4 hours were performed with a 3.86% glucose solution. One PET was performed with a temporary drainage of the intraperitoneal cavity after 1 hour to determine the ultrafiltration volume at that time by weighing. After taking a dialysate sample, the drained volume was reinfused and left for another 3 hours. No differences were found for net

ultrafiltration and small solute transport compared with the standardized PET without drainage. This suggests that a combination of the mini-PET and standardized PET is indeed feasible. In the new national guideline for monitoring PD treatment by the National Federation of Nephrology in the Netherlands, it is advised to measure the free water transport during the PET.

Treatment prescription in peritoneal dialysis

In this part of the thesis two aspects of PD treatment were investigated. The first aspect concerned a comparison between APD and CAPD, and the second the use of peritoneal fluids with low concentrations of GDP. Possible advantages of the use of APD, apart from the convenience for the patient, are the reduction in disconnection procedures, possibly leading to a reduced risk of peritonitis, and the possibility for shorter dwell periods, which may be especially indicated for patients with a high transport capacity of the peritoneal membrane. However, in patients with a low transport rate of the peritoneal membrane, more rapid exchanges might lead to an increase in sodium sieving, in turn resulting in an increase in plasma sodium, thirst, and finally overhydration. Overhydration could lead to an increased risk for cardiovascular death, especially in anuric patients^{8,9}. Moreover, a faster decline in residual renal function (RRF) has been observed with APD treatment in some studies. Some studies showed a higher incidence in hypertension with APD, while others did not¹⁰⁻¹⁴. As discussed in the introduction, data on the literature on peritonitis rate and technique survival between APD and CAPD are inconclusive as well¹⁵⁻²¹.

In *chapter 4*, a comparison between APD and CAPD was performed in a large database in collaboration with the Renal Research Institute in New York. In this chapter, treatment survival was higher in APD versus CAPD treated patients, with no differences in blood pressure control, peritonitis rate and decline in RRF. The difference in technique survival between CAPD and APD is in agreement with the US Baxter database report²², but are at variance with the NECOSAD and ANTZAS databases in which no difference in technique survival was observed^{23,24}. The reason for the differences in technique survival between both modalities observed in *chapter 4*, which are unlikely to be due to differences in infectious complications, could not be deduced definitely from the present data. It cannot be excluded that patient or physician preference, which was not recorded, might play a role in this aspect. Given the magnitude of the database, the data in the *chapter 4* add to the literature given the ongoing controversy on this subject. However, for more definite proof, large randomized trials on this subject would be needed, given the fact that available randomized trials are inconclusive as yet given the small number of patients included²⁵.

Importantly, in the study in *chapter 4* no difference in blood pressure control were observed between APD and CAPD patients, although no detailed data on volume status were available.

This aspect was addressed in more detail in *chapter 5*. Overhydration is still a major problem in PD patients, 25% of patients being defined as severely overhydrated according to bioimpedance techniques in a recent European observational study²⁶.

In chapter 5, volume status and blood pressure control were compared between CAPD and APD patients in a cross-sectional study, using bioimpedance techniques and biochemical markers, as well as ambulatory blood pressure measurements. Despite a tendency to higher sodium removal in CAPD, no differences in volume status or blood pressure regulation were observed between APD and CAPD treatment. This is in agreement with the data of Davison *et al.*, who also did not observe differences in volume control between CAPD and APD patients despite a lower sodium removal in patients treated with the latter treatment modality²⁷. In the study of van Biesen, even a tendency to a lower corrected extracellular volume was observed in APD patients²⁶. Why the tendency towards a reduced sodium removal does not translate in impaired volume control remains enigmatic. However, overhydration in PD patients is multifactorially determined, important factors being fluid and sodium intake, peritoneal transport ratio, prescription of polyglucose solutions versus standard glucose solutions, as well as residual diureses^{26,28,29}.

Drawbacks of the studies in the present thesis, but also of other studies in the literature is that no clear separation in different groups according to peritoneal transport status could be made, in chapter 4 due to a lack of information on PET test in the database, in chapter 5 due to the relatively small sample size. Therefore, more detailed studies are still needed to definitely address the drawbacks and advantages of APD and CAPD in different patient categories, separated according to peritoneal membrane status.

Apart from the treatment modality, also the prescription of the PD fluids may have important effects on PD treatment. Glucose degradation products (GDP) may have a direct effect on the long-term integrity of the peritoneal membrane, for instance by an effect on the production of AGE, which may contribute to damage of the peritoneal membrane, possibly mediated by RAGE expression and NFκB binding activity³⁰. The formation of AGEs such as N^ε-(carboxymethyl)lysine (CML) and N^ε-(carboxyethyl)lysine (CEL) may be stimulated by GDP products such as methylglyoxal and glyoxal. Also residual renal function has a pronounced effect on the concentration of AGE products such as CML, as shown previously³¹. Treatment with low GDP fluids might be more biocompatible for the peritoneal membrane, and lead to a lesser

formation of AGE products as compared to standard glucose solutions. However, comparative data on AGE formation between standard and low GDP solutions are limited. In a randomized study, described in *chapter 6*, the concentrations of the AGE products CML and CEL were compared between standard and low GDP solutions. Effluent levels of CEL declined with the use of low GDP solutions. However, the change in CML, which was more strongly related to RRF, was less pronounced with the use of low GDP solutions, suggesting different pathways in the formation of these products. The results of this study suggest that low GDP fluids may influence AGE levels in the peritoneal cavity. Whether this translates in increased levels of AGE in plasma was not addressed in the present study, but not unlikely given the significant relation between peritoneal and plasma levels of CML and CEL observed in a previous study³¹ and the fact that in children treated with low GDP solutions, reduced systemic AGE products were observed³². Interestingly, recently a relation between tissue AGE levels and peritoneal glucose exposure was observed, which would be congruent with this hypothesis³³. Given the possible relation between peritoneal and plasma AGE levels, it would be interesting to study potential differences in endothelial function between patients treated with low GDP and conventional solutions, given the fact that advanced oxidation products were associated with endothelial dysfunction in peritoneal dialysis patients³⁴.

It should be noted that in the study reported in *chapter 5*, as well as in previous studies³¹ a significant inverse relationship was observed between AGE products and residual renal function. Therefore, the synthesis of AGEs, which admittedly was not assessed directly in this study, is to be expected a multifactorial process with probable an important role for the uremic milieu per se. Future studies are needed to focus on the effect of low GDP solutions on membrane integrity and technique survival.

Peritoneal dialysis in heart failure

The last part of the thesis focused on the use of PD treatment in emerging indications, especially the use of PD in patients with treatment-resistant congestive heart failure complicated by progressive and potentially permanent chronic kidney disease, also known as the cardiorenal syndrome (CRS) type 2³⁵. This concerns a very difficult to treat patient group with a very poor prognosis, frequent hospital admissions and high mortality. In *chapter 7*, a short review of the literature is given on the use of PD in congestive heart failure. Although in several studies, mostly case series concerning small patient groups (and retrospective), an improvement in functional status and a reduction in hospitalization rate was suggested. In general, median survival time was

between only 12 and 15 months. At the moment there are no comparative studies with continuous or isolated ultrafiltration techniques available.

In *chapter 8*, the results of a retrospective analysis on the experience in patients with CRS type 2 treated with PD are described. Data of 24 patients could be retrieved. Mean age at start was 67 ± 10 years and mean GFR 15.4 ± 9.6 ml/min. In patients who survived longer than the median survival time of 12 months, a reduction in hospitalization was observed. Age and diabetes, but not left ventricular ejection fraction, were suggested as prognostic poor factors for survival. The median survival rate is comparable to data from the literature²⁵. This relatively poor outcome likely reflects the severity of the underlying cardiac disease, given the fact that in a study in patients with end-stage heart failure which were conservatively treated a 1-year mortality rate of 74% was observed³⁷. In the retrospective analysis, no data on functional quality and quality of life were available. Nevertheless, these data are pivotal when prescribing a relatively complicated and invasive treatment to patients with a general dismal prognosis.

In *chapter 9* the results of a prospective observational study on the clinical outcome in 23 patients with CRS type 2, treated with either hemodialysis or peritoneal dialysis described. Mean age at start was 66 ± 21 years, mean GFR 14.6 ± 12.1 ml/min and mean Charlson's co-morbidity index 4.9 ± 2.1 . After starting dialysis, the median estimated survival was 16 months, which was longer than expected according the mean Charlson's co-morbidity index at start, but patient survival was still highly variable. No differences were detected between PD and intermittent hemodialysis, but given the small sample size, no firm conclusions can be made in this regard. A recent observational study showed a higher mortality in incident dialysis patients with associated congestive heart failure following treatment with PD as compared to intermittent hemodialysis³⁸. In this study, congestive heart failure was defined on clinical grounds only, whereas the primary cause of renal failure in this cohort was due to other causes than heart failure, most commonly renal vascular disease. Therefore, the patient population appears not to be comparable to that of the CRS type 2 patients in the present study, and extrapolation of the results of this to patients with CRS type 2 may be hazardous. Moreover, if defined on clinical grounds only, it may be difficult to differentiate between overhydration at the start of dialysis or associated cardiac disease.

Hospitalization time after start of dialysis was compared with the 2 years before start of dialysis. A significant reduction in hospitalization time was observed for cardiovascular causes, but not for all-causes. Moreover, an improvement of functional status and a tendency in improvement of quality of life was observed, but LVEF did not change during the follow-up period. Moreover, diuresis decreased significantly after 8 months of follow-up, but GFR remained stable.

The results of our studies suggest that renal replacement therapy may be a feasible therapy for a selected group of patients known with CRS type 2. However the number of technical complications associated with the dialysis therapy was relatively high in our population, and greater as compared to our “standard” dialysis population. Probably this might be due to the fact it concerns a sick and relatively old population with multiple co-morbidities, making the patients more prone for complications. Moreover, it should be recognized that patient selection for this indication remains difficult.

The outcome of our prospective study is in line with the results of the one other published prospective study performed by Sanchez *et al.*, in which 17 patients with CRS type 2 were treated with PD³⁹. Mean age at start was 64 ± 9 years, mean GFR 35 ml/min and mean Charlson’s co-morbidity index 6.9 ± 1.7 . Despite the same mean age at start, but higher mean GFR and Charlson’s co-morbidity index than in our study, the mean survival was also 16 months. Drawbacks of our studies are the limited number of patients and the relative short follow-up period. In the future, more research is needed on this subject. Especially prospective randomized controlled studies with a larger study population should be performed to compare renal replacement therapy with optimal standardized treatment, and to define well the criteria for starting renal replacement therapy in patients with CRS type 2.

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Samenvatting

Samenvatting

Dit proefschrift gaat over enkele nieuwe ontwikkelingen op het gebied van peritoneale dialyse. Eerst zal ik kort toelichten wat peritoneale dialyse is. Daarna zal ik uiteenzetten waar dit proefschrift over gaat.

Wat is peritoneale dialyse?

De nier heeft verschillende belangrijke functies, onder andere het verwijderen van afvalstoffen en overtollig lichaamsvocht. Indien door de nieren onvoldoende afvalstoffen en/of water worden uitscheiden ('nierfalen'), is nierfunctievervangende behandeling noodzakelijk. Hiervoor bestaan drie mogelijkheden: hemodialyse, peritoneale dialyse en niertransplantatie. Bij hemodialyse wordt het bloed gezuiverd van afvalstoffen en overtollig vocht met behulp van een kunstnier. Bij peritoneale dialyse, ook wel buikvliesspoeling genoemd, kunnen afvalstoffen en overtollig vocht via het buikvlies ('peritoneum') uit het lichaam worden verwijderd.

Het buikvlies bekleedt de buikholte en bedekt alle buikorganen. Het buikvlies zelf is bedekt met veel kleine bloedvaten ('capillairen') en kan daardoor functioneren als een semipermeabel membraan ('peritoneale membraan').

Figuur 1: Een patiënt die wordt behandeld met peritoneale dialyse.

Voor deze behandeling wordt in de buikholte van de patiënt een slangetje ('catheter') geplaatst, waarlangs meerdere malen per dag steriele vloeistof de buikholte kan in- en uitlopen (Figuur 1). Tussen de ingelopen steriele vloeistof en de bloedvaten van het buikvlies vindt uitwisseling van verschillende stoffen plaats. De overtollige hoeveelheid lichaamsvocht wordt verwijderd door middel

van een osmotische gradiënt geïnduceerd door de aanwezigheid van glucose in de dialysevloeistof ('ultrafiltratie'), waarbij verwijdering van afvalstoffen plaatsvindt op basis van diffusie en convectie over het buikvlies. Momenteel worden in Nederland ongeveer 1100 (18%) patiënten met nierfalen behandeld met peritoneale dialyse.

Langdurige behandeling met peritoneale dialyse kan worden gecompliceerd door achteruitgang van de kwaliteit van het buikvlies, waarbij in het bijzonder de vloeistofverwijdering wordt belemmerd door het snelle transport van glucose uit de dialysevloeistof naar de capillairen van het buikvlies, welke leidt tot een snelle daling van de osmotische gradiënt. Regelmatige monitoring van de kwaliteit van het transport over het buikvlies gedurende de behandeling is essentieel voor een optimaal behandelvoorschrift van de te gebruiken dialysevloeistoffen. In deel I van dit proefschrift worden verschillende aspecten betreffende de monitoring van het transport over het buikvlies beschreven.

Deel II handelt over verschillende factoren die van belang zijn voor het behandelvoorschrift bij peritoneale dialyse, waarbij verschillende mogelijkheden beschikbaar zijn. Eerst is gekeken naar de twee belangrijkste vormen van peritoneale dialyse; enerzijds de handmatige continue peritoneale dialyse ('CAPD'), waarbij alle vloeistofwisselingen handmatig worden uitgevoerd door de patiënt, en anderzijds de automatische peritoneale dialyse ('APD'), waarbij vloeistofwisselingen automatisch worden uitgevoerd met behulp van een machine. De voorkeur van de patiënt, maar ook mogelijke verschillen in water- en zout ('natrium') verwijdering, als ook het risico op een buikvliesontsteking ('peritonitis') zijn belangrijke factoren in de keuze van peritoneale dialyse.

Op dit moment is er meer onderzoek noodzakelijk om de patiënt en het behandelteam te helpen om een juiste keuze te kunnen maken tussen de twee genoemde vormen van peritoneale dialyse. Dit geldt ook voor het gebruik van de dialysevloeistoffen. Sinds enkele jaren zijn er nieuwe dialysevloeistoffen beschikbaar met een lagere concentratie aan glucose afbraakproducten in vergelijking met de conventionele dialysevloeistoffen. Dit kan mogelijk resulteren in een verbetering van de biocompatibiliteit en minder vorming van advanced glycation end-products (AGE), waardoor de integriteit van het buikvlies mogelijk langer behouden blijft. Er is toenemend bewijs voor de potentiële gunstige effecten op het buikvlies van deze nieuwe dialysevloeistoffen.

In het derde deel van dit proefschrift wordt de toepassing van peritoneale dialyse in acute situaties, in het bijzonder bij therapieresistent congestief hartfalen gecompliceerd door een ernstig verminderde nierfunctie, beschreven. Wereldwijd is er een toename van het aantal patiënten met hartfalen vanwege een toename van hart- en vaatziekten als gevolg van risicofactoren zoals vergrijzing, suikerziekte, hoge bloeddruk, verhoogd cholesterol en roken, maar

ook als gevolg van de toegenomen overleving na een hartprobleem vanwege de continue ontwikkeling van nieuwe medicijnen en verbeterde behandelings-technieken. Daarnaast is hartfalen een progressieve ziekte, waarbij de frequentie van ziekenhuisopnames toeneemt naarmate de ernst van hartfalen. Peritoneale dialyse is een relatief eenvoudige manier om dagelijks overtollig lichaamsvocht te verwijderen. Als gevolg van de geleidelijke en continue vochtonttrekking bij peritoneale dialyse, lijkt deze vorm van dialyse voor patiënten met therapieresistent congestief hartfalen een potentiële behandeloptie voor de langere termijn. Andere argumenten om peritoneale dialyse te starten bij deze patiëntenpopulatie zijn de bloeddrukdalingen die hemodialyse kan veroorzaken en de impact van een arterioveneuze fistel op de pompfunctie van het hart. Op dit moment is er relatief weinig informatie beschikbaar over de klinische effecten van peritoneale dialyse bij congestief hartfalen op de lichamelijke conditie, kwaliteit van leven en andere factoren zoals ziekenhuisopnames.

Monitoring van peritoneale membraan transport

Monitoren van de functie van het buikvlies is zeer essentieel tijdens de behandeling met peritoneale dialyse. In de dagelijkse praktijk wordt hiervoor een gestandaardiseerde 4 uur durende test gebruikt, de zogenaamde peritoneale equilibratie test (PET). De PET wordt met behulp van een 3,86%/4,25% glucose houdende dialysevloeistof uitgevoerd. Hierbij worden dialysaat-plasma ratio (D/P) van kreatinine en dialysaat-initiële dialysaat ratio (D_t/D_0) van glucose aan het einde van de PET gemeten om het transport van de kleinere deeltjes over het buikvlies te meten. De hoeveelheid onttrokken vloeistof ('ultrafiltratie') wordt ook gemeten aan het einde van de test om ultrafiltratiefalen te kunnen vaststellen. Dit wordt gedefinieerd als een ultrafiltratievolume van minder dan 400 ml na 4 uren bij gebruik van een 3.86%/4,25% glucose oplossing. Ultrafiltratiefalen is dikwijls geassocieerd met een snel transport van de kleine deeltjes over het buikvlies ten gevolge van schade en bloedvatnieuwvorming van het buikvlies.

Ondanks dat sinds de introductie van de PET door Twardowski *et al.* in 1987 deze test zeer veel wordt gebruikt in de dagelijkse praktijk, zijn er nog enkele onbegrepen aspecten aan deze test. Een van de vraagstukken betreft het gebruik van de vloeistof voorafgaand aan de test. In 2001 heeft de International Society of Peritoneal Dialysis (ISPD) voorgesteld om de gestandaardiseerde test uit te voeren met een 3,86%/4,25% glucose vloeistof in plaats van een 2,27%/2,3% glucose vloeistofoplossing. Een PET met een 3,86%/4,25% glucose oplossing biedt meer informatie over ultrafiltratiefalen. Hierbij werd geadviseerd voorafgaand aan de PET eenzelfde hyperosmolaire glucose oplossing met een verblijfsduur van 8 tot 12 uren te gebruiken. Deze PET was oorspronkelijk ontwikkeld voor patiënten welke werden behandeld met

handmatige peritoneale dialyse. Aangezien er een toenemend aantal patiënten wordt behandeld met automatische peritoneale dialyse, wordt deze test ook gebruikt voor monitoring van het transport over het buikvlies bij deze laatste patiëntengroep. Als gevolg van de frequente wisselingen tijdens de nacht, zijn de verblijftijden van de dialysevloeistof gewoonlijk slechts 1,5 tot 3 uren bij automatische peritoneale dialyse. Als gevolg hiervan zijn de verblijftijden voorafgaand aan de uitvoer van een PET significant korter bij automatische dan bij handmatige peritoneale dialyse. De vraag is of de verschillen in verblijftijden voorafgaand aan de PET een significante invloed hebben op de resultaten van de PET, aangezien het continueren van het voorschrift van de behandeling voor automatische peritoneale dialyse veel prettiger voor de patiënt is. Theoretisch gezien zullen de verschillen in ultrafiltratie gedurende de voorafgaande vloeistofwisseling mogelijk de ultrafiltratie gedurende de PET kunnen beïnvloeden vanwege de effecten van de volumestatus. In hoofdstuk 2 is dit vraagstuk onderzocht. In een studie van 13 patiënten, was de netto ultrafiltratie, kleine deeltjes transport, 'sodium sieving' en deeltjes transport van een PET voorafgegaan door een lange nachtelijke verblijftijd vergeleken met een PET voorafgegaan door kortere verblijftijden. De voorafgaande verblijftijd lijkt niet de resultaten van de PET te beïnvloeden, waaruit geconcludeerd kan worden dat de verblijftijd van de vloeistof voorafgaand aan de PET de resultaten niet significant beïnvloed. Dit suggereert dat het standaard behandelvoorschrift bij automatische peritoneale dialyse kan worden gecontinueerd.

In de toekomst zou het effect van icodextrin als voorafgaande dialysevloeistof, in plaats van een 3,86%/4,25% glucose oplossing, op de resultaten van de PET kunnen worden onderzocht.

Hoewel de PET waardevolle informatie geeft voor monitoring van de behandeling met peritoneale dialyse, is deze test onvoldoende sensitief voor het monitoren van subtiele veranderingen in het transport over het buikvlies. De gestandaardiseerde 4 uur durende PET geeft bijvoorbeeld geen informatie over vrij water klaring en als gevolg hiervan geen informatie over het vrij watertransport door de waterkanalen ('aquaporine-1') in de haarvaten van het buikvlies. Afname van de vrij water klaring kan een vroegtijdige waarschuwing zijn voor het ontwikkelen van peritoneale sclerose of ultrafiltratiefalen bij langdurige behandeling met peritoneale dialyse. Door LaMilia *et al.* is de mini-PET ontwikkeld met als doel het vrije water transport door de aquaporine kanalen te kunnen berekenen. Deze berekening is gebaseerd op de kinetika van het natriumtransport over het buikvlies. Over een gezond buikvlies wordt over een periode van een uur 35 - 45% van het totale ultrafiltratievolume door de aquaporine kanalen getransporteerd. Hierdoor ontstaat een tijdelijke daling van de natriumconcentratie in de dialysevloeistof als gevolg van verdunning door water, en vervolgens een daling van de verhouding tussen de

natriumconcentratie in de dialysevloeistof in de buikvliesholte en het plasmanatrium. Dit wordt 'sodium sieving' genoemd en duidt op adequaat vrij watertransport. De maximale daling in de natriumconcentratie bij een hypertone glucose oplossing wordt na ongeveer een uur bereikt en wordt 'sodium dip' genoemd. De mini-PET van LaMilia *et al.* vereist een drainage van de buikvliesholte na een uur om het ultrafiltratievolume te kunnen meten en vervolgens het vrije watertransport door de aquaporine kanalen te kunnen berekenen.

Om het vrije watertransport te kunnen monitoren, zouden 2 PETs uitgevoerd moeten worden. Dit is erg belastend voor zowel patiënten als dialyse-verpleegkundigen. Daarom is in hoofdstuk 3 gekeken naar de mogelijkheid om de gestandaardiseerde PET en de mini-PET te kunnen combineren. In 10 patiënten zijn 2 gestandaardiseerde PETs uitgevoerd met een 3,86%/4,25% glucose oplossing. Een PET is uitgevoerd met een tijdelijke drainage van de buikholte na een uur om het ultrafiltratievolume te kunnen vaststellen. Na afname van een vloeistofmonster, is de gedraineerde vloeistof terug in de buikholte gelopen en daar nog 3 uren verbleven. Er zijn geen verschillen aangetoond wat betreft ultrafiltratievolume en kleine deeltjes transport in vergelijking met de gestandaardiseerde PET zonder een tijdelijke drainage. Dit suggereert dat de combinatie van de mini-PET en de gestandaardiseerde PET inderdaad mogelijk is. In de nieuwste richtlijn voor "Monitoring adequaatheid en membraanfunctie bij PD", opgesteld door de Nederlandse Federatie voor Nefrologie in 2009, wordt nu ook geadviseerd het vrije watertransport te meten tijdens de PET middels een extra drainage.

Behandelvoorschrift

In deel II worden twee aspecten van behandeling met PD onderzocht. Ten eerste zijn de twee belangrijkste vormen van peritoneale dialyse, de continue handmatige en de automatische peritoneale dialyse, met elkaar vergeleken. Ten tweede zijn dialysevloeistoffen met een lage concentratie glucose afbraakproducten onderzocht.

Potentiële voordelen van automatische peritoneale dialyse, onafhankelijk van het comfort voor de patiënt, zijn ten eerste een gereduceerd aantal connecties (aansluitmomenten), die kunnen leiden tot een verminderd aantal buikvliesontstekingen ('peritonitis'), en ten tweede de mogelijkheid voor korte verblijftijden, die vooral geïndiceerd is voor patiënten met een snel transport over het buivlies. Echter kortere verblijftijden kunnen vooral bij patiënten met een langzame transportsnelheid over het buikvlies leiden tot een toename in 'sodium sieving', zodat er een stijging is van het plasmanatrium, en uiteindelijk dorst en overvulling ontstaan. Overvulling kan leiden tot een verhoogd cardiovasculair risico, in het bijzonder voor patiënten zonder

urineproductie. Verder is in enkele studies aangetoond dat bij automatische peritoneale dialyse de restnierfunctie sneller afneemt, hoewel andere studies dit tegenspreken. Ook zijn er enkele studies waarin is aangetoond dat hypertensie meer zou voorkomen bij automatische peritoneale dialyse, terwijl in andere studies dit weer niet is geobserveerd. Zoals eerder genoemd, zijn de gegevens uit de literatuur over frequentie van buikvliesontstekingen en techniek falen voor handmatige en automatische peritoneale dialyse ook inconclusief.

In hoofdstuk 4 zijn de automatische en handmatige peritoneale dialyse met elkaar vergeleken aan de hand van gegevens afkomstig uit de database van het Renal Research Institute (RRI) in New York. De overleving na starten van automatische peritoneale dialyse was hoger dan met handmatige peritoneale dialyse. Er waren geen verschillen in bloeddruk, incidentie van peritonitis en afname van de gemiddelde restnierfunctie. De verschillen in techniekfalen tussen handmatige en automatische peritoneale dialyse komen overeen met de US Baxter database report, maar zijn verschillend aan die van de NECOSAD en ANTZAS databases waarin geen verschillen in techniek falen zijn aangetoond. De redenen voor de verschillen in techniek falen in hoofdstuk 4, lijken niet infectieus te zijn, echter welke reden wel ten grondslag ligt is niet duidelijk uit de RRI database. Mogelijk dat de behandelende arts met eigen voorkeuren hierin ook een belangrijke rol speelt. Gezien de omvang van de database dragen de resultaten beschreven in hoofdstuk 4 bij aan de continue controverse van dit onderwerp in de literatuur. Grote gerandomiseerde studies over dit onderwerp zijn nodig, aangezien de momenteel beschikbare gerandomiseerde studies inconclusief zijn vanwege het gering aantal geïnccludeerde patiënten. Belangrijk is dat de studie in hoofdstuk 4 geen verschillen aantoonde in bloeddrukcontrole tussen automatische en handmatige peritoneale dialyse, hoewel geen gedetailleerde gegevens over de volumestatus beschikbaar waren.

Dit aspect is nog meer bestudeerd in hoofdstuk 5. Overvulling blijft een belangrijk probleem in patiënten die worden behandeld met peritoneale dialyse. In een recente observationele Europese studie is met behulp van bio-impedantie metingen aangetoond dat 25% van de patiënten die behandeld worden met peritoneale dialyse, ernstig overvuld zijn.

In hoofdstuk 5 zijn volumestatus en bloeddrukregulatie vergeleken tussen handmatige en automatische peritoneale dialyse in een cross-sectionele studie. Hiervoor zijn bio-impedantie metingen uitgevoerd, biochemische markers bepaald en ambulante bloeddrukregistraties verricht. Ondanks een tendens tot een hogere natriumverwijdering bij handmatige peritoneale dialyse, zijn geen verschillen aangetoond tussen beide behandelingen. Deze bevindingen komen overeen met de resultaten van een studie uitgevoerd door Davison *et al.*, waarin ook geen verschillen in volumestatus tussen handmatige

en automatische peritoneale dialyse konden worden aangetoond ondanks het feit dat er een lagere hoeveelheid natrium werd verwijderd bij patiënten die behandeld werden met automatische peritoneale dialyse. In de studie van Van Biesen *et al.* was ook een tendens tot een lager gecorrigeerd extracellulair volume bij patiënten die werden behandeld met automatische peritoneale dialyse. Waarom deze tendens van verminderde natriumverwijdering niet duidelijk leidt tot minder goede volumecontrole blijft tot op heden onvoldoende verklaard. Overvulling in patiënten die worden behandeld met peritoneale dialyse is multifactorieel bepaald waarbij vocht- en zoutinname, transport over het buikvlies, gebruik van polyglucose houdende vloeistoffen versus standaard glucose houdende vloeistoffen, als ook de resterende urineproductie belangrijke factoren zijn.

Tekortkomingen aan de studies beschreven in de hoofdstukken 4 en 5 van dit proefschrift, maar ook in andere studies uit de literatuur, zijn dat er geen duidelijke scheiding in verschillende groepen volgens de transportsnelheid over het buikvlies kon worden gemaakt; in hoofdstuk 4 ten gevolge van te weinig beschikbare informatie met betrekking tot de PETs in de database, en in hoofdstuk 5 vanwege de relatief kleine studiepopulatie. Vanwege deze reden zijn meer gedetailleerde studies nog steeds nodig om de voor- en nadelen van handmatige versus automatische peritoneale dialyse te bestuderen voor de verschillende patiëntencategorieën ingedeeld volgens de transportsnelheid over het buikvlies.

Naast de behandelingsmodaliteit is ook het behandelvoorschrift van de dialysevloeistoffen van groot belang in de behandeling met peritoneale dialyse. Glucose afbraakproducten kunnen op de langere termijn een direct effect hebben op de integriteit van het buikvlies, bijvoorbeeld door vorming van versuikerde eiwitten, ook wel advanced glycation end-products (AGE) genoemd, welke kunnen bijdragen aan schade van het buikvlies. De vorming van AGEs zoals N^ε-(carboxymethyl)lysine (CML) en N^ε-(carboxyethyl)lysine (CEL) kan worden gestimuleerd door glucose afbraakproducten zoals methylglyoxal en glyoxal. Zoals eerder is aangetoond heeft ook de restnierfunctie een uitgesproken effect op de concentratie AGE producten zoals CML. Behandeling met vloeistoffen met een lage concentratie glucose afbraakproducten zullen waarschijnlijk beter zijn voor het buikvlies, en leiden waarschijnlijk tot minder productie van AGE producten in vergelijking met standaard glucose houdende dialysevloeistoffen. Op dit moment zijn er beperkte vergelijkende gegevens beschikbaar over AGE vorming tussen de standaardvloeistoffen en dialysevloeistoffen met een lage concentratie glucose afbraakproducten. In een gerandomiseerde studie, beschreven in hoofdstuk 6, zijn de concentraties van de AGE producten CML en CEL vergeleken tussen standaardvloeistoffen en dialysevloeistoffen met een lage concentratie glucose afbraakproducten. De concentratie van CEL daalde bij gebruik van vloeistoffen

met een lage concentratie glucose afbraakproducten. De verandering in CML concentratie was enerzijds sterker gerelateerd aan de restnierfunctie en anderzijds minder uitgesproken bij gebruik van vloeistoffen met lage concentraties glucose afbraakproducten. Dit suggereert dat de vorming van deze producten via verschillende manieren kan plaatsvinden. De resultaten van deze studie suggereren dat vloeistoffen met een lage concentratie glucose afbraakproducten de hoeveelheid AGE in de buikholte kunnen beïnvloeden. Of deze bevinding zich vertaalt naar een toegenomen AGE concentratie in het plasma kunnen we met deze studie niet aantonen, maar is niet onwaarschijnlijk gezien de significante relatie tussen concentraties CML en CEL in het dialysaat en plasma aangetoond in eerdere studie en het feit dat bij kinderen die behandeld werden met lage glucose afbraakproducten vloeistoffen, een gereduceerde hoeveelheid aan systemische AGE producten werden geobserveerd. Recent werd een relatie aangetoond tussen weefsel AGE en blootstelling van het buikvlies aan glucose, welke congruent is met deze hypothese.

Gezien de significante omgekeerde relatie tussen AGE producten en restnierfunctie, zou verwacht kunnen worden dat de verhoging van AGEs, ook aanwezig in de dialysevloeistof, welke niet direct in deze studie werden gemeten, waarschijnlijk verklaard wordt door meerdere factoren met mogelijk een belangrijke rol voor de uremie zelf, naast het effect van de dialysevloeistof. Toekomstige studies zijn noodzakelijk om het effect van dialysevloeistoffen met lage concentratie glucose afbraakproducten te beoordelen op de integriteit van het buikvlies en techniek survival.

Hartfalen en peritoneale dialyse

In deel III van dit proefschrift is gekeken naar de toepassing van peritoneale dialyse in noodsituaties, in het bijzonder bij patiënten met therapieresistent congestief hartfalen, gecompliceerd door een progressieve en potentieel permanente chronische nierinsufficiëntie. Dit wordt in de literatuur het cardiorenaal syndroom type 2 genoemd. Het betreft meestal ernstig zieke patiënten met een slechte prognose, frequente ziekenhuisopnames en een hoge mortaliteit.

In hoofdstuk 7 is een overzicht gegeven over wat er in de literatuur bekend is over het gebruik van peritoneale dialyse bij therapieresistent congestief hartfalen. Ondanks de schaarste aan informatie in de literatuur, wordt een verbetering van de functionele toestand en een afname in het aantal ziekenhuisopnames gesuggereerd. De mediane overleving is slechts 12 tot 15 maanden. Op dit moment zijn er geen studies beschikbaar die de resultaten van peritoneale dialyse hebben vergeleken met continue of geïsoleerde ultrafiltratietechnieken.

In hoofdstuk 8 worden de resultaten van een retrospectieve studie beschreven naar de toepassing van peritoneale dialyse bij patiënten met cardiorenaal syndroom type 2. Er zijn 24 patiënten geanalyseerd. De gemiddelde leeftijd was 67 jaar en de gemiddelde restnierfunctie 15,4 ml/min. De mediane overleving was 12 maanden. Patiënten die langer dan 12 maanden overleefden toonden een significante afname van het aantal ziekenhuisopnames. Leeftijd en aanwezigheid van diabetes, en niet de pompfunctie van het hart, bij aanvang van de peritoneale dialyse bleken negatief voorspellende factoren te zijn voor de overleving. De mediane overleving is vergelijkbaar met resultaten van andere kleine studies in de literatuur. De relatieve slechte resultaten van deze analyse reflecteren de ernst van het onderliggend hartfalen, waarbij een conservatieve behandeling leidt tot een mortaliteit van 74% na 1 jaar. In onze analyse ontbraken de gegevens betreffende de functionele toestand en kwaliteit van leven.

In hoofdstuk 9 worden de resultaten beschreven van 23 patiënten welke prospectief zijn behandeld met hemodialyse of peritoneale dialyse vanwege het cardiorenale syndroom type 2. Gemiddelde leeftijd was 66 jaar, gemiddelde restnierfunctie 14,6 ml/min en gemiddelde Charlson's co-morbidity index 4,9. De mediane overleving na starten van dialyse was 16 maanden, wat volgens de Charlson's co-morbidity index langer was dan verwacht. Ondanks de gemiddelde overlevingsperiode was de individuele overleving erg variabel. In deze studie konden geen significante verschillen tussen hemodialyse en peritoneale dialyse worden aangetoond, maar aangezien het een kleine studiegroep betreft kunnen aan deze studie geen harde conclusies worden ontleend. Een recente observationele Franse studie toonde een hogere mortaliteit bij patiënten met congestief hartfalen na behandeling met peritoneale dialyse in vergelijking met hemodialyse. Echter in deze studie werd congestief hartfalen uitsluitend gedefinieerd op basis van klinische criteria, en extrapolatie van de resultaten naar patiënten met het cardiorenaal syndroom is moeilijk. Aangezien op basis van klinische criteria alleen patiënten werden geïnccludeerd, is het zeer lastig om in de Franse studie te differentiëren tussen overvulling bij aanvang van de dialyse dan wel geassocieerd cardiaal lijden.

Ziekenhuisopnames na het starten van dialyse werden vergeleken met de twee jaren voorafgaand aan de dialyse. Er was een significante afname van de ziekenhuisopnames voor cardiovasculaire redenen, echter niet voor alle oorzaken. Tevens werd er een verbetering van de functionele conditie en een trend tot verbetering in kwaliteit van leven waargenomen. De pompfunctie van het linker ventrikel veranderde niet tijdens de follow-up periode. Verder werd er een significante afname waargenomen in de diurese na 8 maanden, echter de renale klaring bleef ongewijzigd.

De resultaten van de studies beschreven in hoofdstukken 8 en 9 suggereren dat dialyse geschikt is voor een selecte groep patiënten bekend met

cardiorenale syndroom type 2. Het aantal technische complicaties geassocieerd aan de dialyse behandeling was in onze populatie relatief hoog en meer dan in de 'standaard' dialyse populatie. Waarschijnlijk is dit gerelateerd aan het feit dat het een ernstige zieke en relatieve oude patiëntenpopulatie betreft met een hoge co-morbiditeit, waardoor zij meer gevoelig zijn voor complicaties. Daarbij komt dat de patiëntselectie voor deze indicatie ook zeer lastig blijft.

De uitkomsten van deze prospectieve studie komt overeen met de resultaten van een andere recente studie uitgevoerd door Sanchez *et al.*, waarin 17 patiënten met het cardiorenale syndroom type 2 werden behandeld met peritoneale dialyse. De gemiddelde leeftijd was 64 jaar, gemiddelde renale klaring 35 ml/min en gemiddelde Charlson's co-morbidity index 6,9. Ondanks dezelfde leeftijd bij aanvang van dialyse, maar een hogere gemiddelde restnierfunctie en Charlson's co-morbidity index dan in onze studie, was de gemiddelde overleving ook 16 maanden.

Nadelen van onze studies zijn de beperkte patiëntenaantallen en de relatieve korte follow up duur. Er is zeker veel meer onderzoek nodig. In het bijzonder is er behoefte aan prospectieve gerandomiseerde gecontroleerde studies met grotere patiëntenpopulaties om nierfunctievervangende behandelingen te kunnen vergelijken en om criteria te kunnen definiëren voor het starten van nierfunctievervangende behandeling bij patiënten met het cardiorenale syndroom type 2.

Epicrise

Ten slotte wordt in hoofdstuk 10 de in voorgaande hoofdstukken vergaarde kennis geïntegreerd en gezien in het licht van de recente literatuur.

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