Towards personalized antiplatelet therapy

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Towards personalized antiplatelet therapy

Heleen J. Bouman

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Towards personalized antiplatelet therapy

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Voor mijn ouders en Robert

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ABBREVIATIONS

AA	arachidonic acid	PRU	P2Y ₁₂ reaction units
ACE	angiotensin converting enzyme	RNA	ribonucleic acid
ACS	acute coronary syndrome	SC	surface coverage
ADP	adenosine 5'-diphosphate	SNP	single nucleotide polymorphism
AMC	active metabolite of clopidogrel	ST	stent thrombosis
ARU	aspirin reaction units	STEMI	ST-segment elevation myocardial infarction
BMI	body mass index	TIMI	thrombolysis in myocardial infarction
BMS	bare metal stent	TRAP	thrombin receptor-activating peptide
CABG	coronary artery bypass grafting	TXA ₂	thromboxane A ₂
CAD	coronary artery disease	VASP	vasodilator associated phosphoprotein
CCB	calcium channel blocker	WBA	whole blood aggregometry
C _{max}	maximum or peak plasma concentration		
COX	cyclooxygenase		
CYP	cytochrome P ₄₅₀		
DAPR	dual antiplatelet therapy resistance		
DES	drug-eluting stent		
DNA	deoxyribonucleic acid		
EDTA	Ethylenediaminetetraacetic acid		
HAPR	high on-clopidogrel platelet reactivity		
HCPR	high on-clopidogrel platelet reactivity		
HPR	high on-treatment platelet reactivity		
IPA	inhibition of platelet aggregation		
LAD	left anterior descending artery		
LC-MS/MS	liquid chromatography-tandem mass spectrometry		
LD	loading dose		
LTA	light transmittance aggregometry		
LVEF	left ventricular ejection fraction		
MEA	multiple-electrode aggregometry		
MI	myocardial infarction		
NPR	normal platelet reactivity		
PAD	peripheral arterial disease		
PCI	percutaneous coronary intervention		
PFA	platelet function analyzer		
PGE ₁	prostaglandin E ₁		
PON-1	paraoxonase-1		
PPACK	D-phenylalanyl-L-prolyl-L-arginine chloromethyl ketone		
PPI	proton pump inhibitor		
PPP	platelet poor plasma		
PRI	platelet reactivity index		
PRP	platelet rich plasma		

Introduction and outline of the thesis

THE ERA OF PERSONALIZED MEDICINE

The notice that not all patients respond equally to a standard one size fits all dosing regimen of any given drug therapy is generally appreciated. It has led to the development of personalized medicine: the use of new methods of analysis to better manage an individual patient's disease or predisposition to disease¹. This concerns both the aims to achieve an increased efficacy in hyporesponsive patients as well as to dampen the burden of side-effects in susceptible patients or hyperresponders. Recent advances in the fields of genomics (genome-wide association studies and whole exome or targeted region sequencing)², transcriptomics (mRNA expression profiling)³, proteomics (protein structure, function and expression profiling)^{4,5} and metabolomics (profiling of metabolites)⁶ enable comprehensive searches for potential determinants of a variable drug response. The increasing development of bedside diagnostic tests allows the subsequent implementation of near patient testing in clinical practice⁷. In the last decade, numerous diseases and therapies across different fields of medicine have been subject to attempts to stratify risk and personalize treatment based on individual genetic and phenotypic characteristics, including antiplatelet therapy.

The antiplatelet drug clopidogrel exhibits a high degree of interindividual variation in response resulting in hyporesponsiveness accompanied by an increase in atherothrombotic events at one end of the spectrum and hyperresponsiveness with consequent bleeding at the other end of the spectrum⁸⁻¹⁰. Alternative, more potent antiplatelet agents have recently become available and are suggested to replace clopidogrel therapy to overcome thrombotic events due to hyporesponsiveness to clopidogrel^{11,12}. However, the majority of patients respond adequately to clopidogrel and thus not every patient may benefit from stronger antiplatelet therapy but will have the risk to experience side-effects due to over-treatment. This phenomenon has been described in 1981 by Geoffrey Rose as the *prevention paradox*: 'A preventive measure which brings much benefit to the population offers little to each participating individual'¹³. On the contrary of this population-based approach there is the high-risk strategy, which aims to trace and focus on susceptible individuals with characteristics suggesting high-risk of disease to apply preventive measures. Advantages of this strategy include that treatment interventions are appropriate to the individual, increasing the motivation to comply to the intervention for both the patient as well as the treating physician, and more favorable cost-benefit and benefit-risk ratios¹⁴. Finding determinants of the variable response to clopidogrel might help to identify subjects that do benefit from stronger antiplatelet therapy, and is therefore an essential step on the road towards individualized antiplatelet therapy.

ANTIPLATELET THERAPY ARMAMENTARIUM

The first antiplatelet agent successfully used in the secondary prevention of coronary thrombosis was the irreversible COX-inhibitor acetylsalicylic acid (aspirin)¹⁵. At present, patients undergoing percutaneous coronary intervention (PCI) are treated with aspirin life-long and a thienopyridine for a duration of at least 1 month to 1 year, depending on the clinical presentation and type of stent implanted^{16,17}. Dual antiplatelet therapy decreases the occurrence of thrombotic complications following PCI, including myocardial infarction, stent thrombosis and death^{18,19}.

Thienopyridines

Thienopyridines are a group of structurally related pro-drugs that irreversibly antagonize the platelet ADP-receptor $P2Y_{12}$ ²⁰. At the time the first thienopyridines were marketed, neither the exact mechanism of action nor the structure of the targeted receptor had been elucidated^{21, 22}.

Ticlopidine (Ticlid®, Sanofi-Aventis) was the first thienopyridine available²³. The side-effect agranulocytosis (occurring in $\pm 1\%$) and a slow onset of action taking 5-6 days, were major drawbacks of the use of ticlopidine and created the urge for novel $P2Y_{12}$ -receptor antagonists²⁴. In 1998 the thienopyridine clopidogrel (Plavix®, Sanofi-Aventis) was registered in Europe²⁵. For more than a decade, clopidogrel and aspirin were the antiplatelet agents of choice following PCI. Clopidogrel is an effective antiplatelet agent, but some limitations leave room for improvement. Despite the use of a loading dose (LD) of clopidogrel - reaching maximal effect within 24 hours (300 mg LD) or even 4-6 hours (600 mg LD) - the delay in the onset of clopidogrel action remains an issue in the management of ACS. Furthermore, the response to clopidogrel varies widely among individuals, resulting in a higher event-rate in patients who can be classified as poor responders^{26, 27}. Both the slow onset of action as well as the wide variation in response are caused by the complex and inefficient formation of the active metabolite of clopidogrel (AMC)²⁸. Moreover, the irreversible nature of receptor-binding causes inhibition of the platelet for the duration of the rest of its lifespan. This may result in bleeding problems especially when elective or emergent surgery is required in patients on dual antiplatelet therapy, while discontinuation poses a risk for stent thrombosis²⁹.

A series of novel $P2Y_{12}$ -antagonists were developed with the aim of an improved therapeutic profile. Prasugrel was registered in 2009 as Effient (USA) or Efient (Europe; Eli-Lilly)²⁹. Structurally, the active metabolites of prasugrel and clopidogrel are almost identical, but generation of the active metabolite of prasugrel is much more efficient. While a major part of approximately 85% of the absorbed pro-drug clopidogrel is inactivated by carboxylesterases, prasugrel uses carboxylesterases for the first step of its transformation to the active metabolite. The resulting higher plasma levels of the active metabolite of prasugrel with a faster onset of action of approximately 2 hours after a 60 mg LD and less variation in active metabolite levels, create a pharmacokinetic benefit of prasugrel over clopidogrel^{30, 31}. Clinically this property was translated into a further reduction of thrombotic events following PCI compared to clopidogrel, as expected against the price of a higher rate of bleeding³². However, when taking both thrombotic and bleeding events into account, prasugrel had a net clinical benefit over clopidogrel^{32, 32}.

Reversible $P2Y_{12}$ -inhibitors

Cangrelor and ticagrelor are reversibly acting, $P2Y_{12}$ -antagonising ATP-analogues (cyclopentyl-triazolopyrimidines) that do not require metabolic activation for their antiplatelet effect and exhibit a more potent antiplatelet profile than clopidogrel³³. Cangrelor (not registered, The Medicines Company) is administered intravenously and has a very short time to onset as well as a rapid offset of action, creating an 'on-off-feature' enabling temporary suppression of platelet activation on top of oral platelet inhibition during and after PCI³⁴. A major problem is that cangrelor prevents binding of the active metabolite of clopidogrel to the $P2Y_{12}$ -receptor, creating the need for a drug-free interval before administration of clopidogrel in the early time-frame after PCI in which patients are vulnerable to early thrombotic complications including acute stent thrombosis^{35, 36}. Although promising, the two phase III clinical trials CHAMPION-PCI and CHAMPION-PLATFORM were prematurely terminated by the sponsor due to a lack of clinical efficacy compared to, or on top of, clopidogrel, respectively³⁷⁻³⁹.

Introduction

Currently, cangrelor is investigated as bridging antiplatelet agent in thienopyridine-treated patients undergoing coronary artery bypass grafting (CABG) to minimize the period without antiplatelet therapy around surgery (the BRIDGE-study)⁴⁰.

The orally administered ticagrelor (Brilinta [USA] or Brilique [Europe], AstraZeneca) reaches optimal antiplatelet action within 2 hours, with 2- to 3-fold higher levels of platelet inhibition as compared to clopidogrel^{41, 42}. Also the offset-time is shorter than for clopidogrel, although a period of several days is still needed for clearance of the antiplatelet effect⁴³. Clinically, this resulted in a reduction of myocardial infarction, stent thrombosis and death⁴¹. This benefit was troubled by an increase in non-CABG major bleeding. Of note, besides bleeding ticagrelor has several side-effects distinct from thienopyridine therapy, including bradycardia (especially in the initial week of treatment), dyspnoea and an increase in plasma creatinine and urea, of which the clinical consequences are unclear as of yet⁴¹.

Elinogrel (PRT060128, not registered, Novartis) is a novel P2Y₁₂-antagonist currently between phase-II and III clinical studies. Results of preliminary studies are promising, showing that elinogrel reaches a higher magnitude of platelet inhibition than clopidogrel reaching its optimum at 20 minutes, the first time-point measured, and that platelet function returns to pre-drug values within 24 hours^{44, 45}. The INNOVATE-PCI study randomized patients undergoing elective PCI to treatment with either clopidogrel 75 mg, elinogrel 100 mg or elinogrel 150 mg daily for a duration of 120 days. It was demonstrated that the incidence of thrombotic events and bleeding did not exceed that of clopidogrel, as expected in a phase II study underpowered to detect clinically significant differences between elinogrel and clopidogrel⁴⁶. Salient is the fact that elinogrel is available as preparations for both oral as well as intravenous administration⁴⁷. Importantly, this enables fast and continuous suppression of platelet reactivity without the problems of a therapeutic gap as encountered with the combination of cangrelor and a thienopyridine³⁵.

CLOPIDOGREL

Clopidogrel is orally administered as a prodrug, requiring a two-step transformation to its active metabolite for its antiplatelet effect. After absorption in the gastro-intestinal tract, approximately 85% of the parent compound is immediately degraded by carboxylesterases into its inactive carboxylmetabolite. The remaining 15% first undergoes oxidation by different enzymes of the hepatic cytochrome P450 (CYP) enzyme system to 2-oxo-clopidogrel. Then opening of the γ -thiobutylolactone ring represents the final step to formation of the active thiol metabolite^{48, 49}. There is an ongoing debate on which enzymes are precisely responsible for the transformation of clopidogrel into its active metabolite. Different dosing regimens are applied for optimal inhibition of platelet aggregation. In the acute setting, loading doses of either 300 mg or 600 mg can be used, which reach their maximal antiplatelet effect 24 and 4-6 hours after administration, respectively^{50, 51}. With daily doses of 75 mg in the chronic setting, optimal antiplatelet effects are established after approximately 5 days⁵². Due to the irreversible binding of clopidogrel to the P2Y₁₂-receptor, it takes 1 week until baseline platelet reactivity is restored after clopidogrel discontinuation⁵³.

The clinical efficacy of clopidogrel has been established in several large randomized clinical trials. Clopidogrel was compared to aspirin in patients with recent MI, ischemic stroke and symptomatic PAD in the CAPRIE-study³⁸. In the total group clopidogrel achieved a relative risk reduction (RRR) in ischemic

stroke, MI or vascular death of 8.7% ($p=0.043$) compared to aspirin at a mean follow-up duration of 1.91 years. Subanalyses showed that this benefit was mainly caused by an effect in patients with PAD and that clopidogrel and aspirin were equally effective in the subgroup of patients with recent MI. When clopidogrel was administered on top of aspirin in the CURE-study, a 20% relative risk reduction for ischemic events after non-ST elevated myocardial infarction (non-STEMI; RR [95% confidence interval, CI] 0.80[0.72-0.90], $p<0.001$) was observed, which was even higher when considering only patients who underwent PCI (RR[95%CI] for CV death or MI at 30 days 0.69[0.54-0.87], $p=0.002$)⁵⁴. Importantly, the PCI-CURE study showed that this benefit was consistent during long-term follow-up of on average 8 months⁵⁹. Later it was shown that also patients with STEMI benefit more from dual antiplatelet therapy with aspirin and clopidogrel as compared to platelet inhibition with aspirin alone in the CLARITY-study (OR[95%CI] for CV death, recurrent MI or recurrent ischemia with urgent revascularization at 30 days post-PCI 0.80[0.65-0.97], $p=0.03$)⁵⁵.

MEASURING THE RESPONSE TO CLOPIDOGREL

The need for large trials with thousands of patients to study the clinical effects of antiplatelet therapy encourages the search for biomarkers to evaluate clopidogrel response on a smaller scale. Clopidogrel response is traditionally measured using platelet function tests. Also the active metabolite of clopidogrel can be quantified, but the unstable character of the active metabolite of clopidogrel requires a labor-intensive protocol that is not apposite for use in clinical practice^{56, 57}. The currently available platelet function tests can be categorized according to the principle of technique as based on agonist-induced aggregation such as the historical gold-standard light transmittance aggregometry (LTA)⁵⁸, VerifyNow® (Accumetrics Inc, San Diego CA, USA)⁵⁹, PlateletWorks assay (Helena Laboratories, Beaumont TX, USA)⁶⁰ and whole blood impedance aggregometry performed with the Multiplate® Analyzer [Dynabyte, Munich, Germany] or Chronolog device (Chronolog, Havertown PN, USA)^{61, 62}; shear-stress-based including the platelet function analyzer (PFA)-100® (Siemens Healthcare Diagnostics Products GmbH, Marburg, Germany)⁶³ and the cone-and-platelet analyzer (Impact-R™, Diamed, Cressier, Switzerland)⁶⁴; or flowcytometric tests including the vasodilator-stimulated phosphoprotein (VASP) assay (PLT VASP/P2Y₁₂, Biocytex, Marseille, France)⁶⁵.

HIGH ON-TREATMENT PLATELET REACTIVITY

A large heterogeneity in clopidogrel response exists among patients, following a Gaussian curve rather than a more favorable and easy to interpret bimodal distribution separating responders from non-responders²⁶. The lower response to clopidogrel in part of the treated individuals has been designated different terms, including clopidogrel resistance, poor or non-responsiveness to clopidogrel and high on-treatment platelet reactivity⁶⁶. The term resistance implies that the drug clopidogrel would not be able to reach its pharmacological target, impairing responsiveness to clopidogrel. Responsiveness to clopidogrel is defined as the degree of ADP-induced platelet aggregation before (*baseline or intrinsic platelet reactivity*) minus the degree after (*on-treatment platelet reactivity*) the administration of clopidogrel. The major drawback of the term responsiveness is that the required baseline measurement will not be available for most patients in clinical practice. Furthermore, baseline platelet aggregation

is also subject to substantial interindividual variation. Therefore clopidogrel-resistance or a low level of responsiveness can be accompanied by either a high or low level of on-treatment platelet reactivity, depending on the degree of baseline platelet reactivity^{67, 68}. In 2010 a consensus document was published stating that the appropriate measure for clopidogrel response is the absolute degree of platelet reactivity during treatment with clopidogrel termed *on-treatment platelet reactivity to ADP*, since this measure represents the net result of baseline ADP-induced platelet reactivity and responsiveness to clopidogrel⁶⁹.

OUTLINE OF THE THESIS

The present thesis concerns achievements in the personalization of clopidogrel therapy. The presence and consequences of the large heterogeneity in on-treatment platelet reactivity among patients are described. Furthermore, methods to measure on-treatment platelet reactivity are evaluated and potential determinants of the laboratory and clinical efficacy of clopidogrel are investigated.

Part I of this thesis describes the presence of high on-treatment platelet reactivity to both aspirin and clopidogrel in patients with early stent thrombosis (*Chapter 1*), the capability of a novel, more potent P2Y₁₂-inhibitor to decrease the degree of variation in clopidogrel response (*Chapter 2*), and the relevance of blood withdrawal conditions for the measurement of on-treatment platelet reactivity (*Chapter 3 and 4*).

Part II starts with an evaluation of the shear-stress based cone and platelet analyzer compared to the historical gold-standard platelet function test LTA and the VASP-assay (*Chapter 5*), followed by identification of the most appropriate platelet function test to measure the response to clopidogrel with respect to correlation with plasma levels of the active metabolite of clopidogrel (*Chapter 6*) and clinical outcome (*Chapter 7*).

The contribution of P2Y₁₂-receptor genetics to the extent of heterogeneity in on-treatment platelet reactivity is described in **Part III** for the *in vitro* response to cangrelor in healthy volunteers (*Chapter 8*) and on-treatment platelet reactivity (*Chapter 9*) as well as clinical outcome (*Chapter 10*) in clopidogrel-treated patients undergoing elective coronary stent implantation.

Part IV concerns the impact of pharmacokinetic determinants of on-treatment platelet reactivity in clopidogrel-treated patients. First the relative contribution of the *2 genetic polymorphism of the CYP2C19-enzyme was determined in clopidogrel-treated patients undergoing elective PCI (*Chapter 11*). Then, the role of CYP2C19 in the transformation of the intermediate clopidogrel metabolite 2-oxoclopidogrel into the active thiol metabolite of clopidogrel was established using human microsome preparations expressing CYP2C19 (*Chapter 12*). Finally, a comprehensive *in vitro* metabolomics study determining the exact pathway of clopidogrel metabolism, with confirmation of the role of paraoxonase-1 in a cohort of stent thrombosis patients and patients undergoing coronary stent implantation was performed (*Chapter 13*).

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Part I

Interindividual variability in the response to antiplatelet therapy

Chapter 1

A case-control study on platelet reactivity in coronary stent thrombosis

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ABSTRACT

Background

The pathophysiology of ST has evolved from the identification of single causative factors to a complex multifactorial model.

Objectives

The aim of the present study was to investigate whether patients with a history of stent thrombosis (ST) exhibit a heightened platelet reactivity to clopidogrel and aspirin.

Patients/Methods

Pre- and on-treatment platelet reactivity status to clopidogrel and aspirin, as well as dual antiplatelet therapy resistance was determined in 84 patients with a history of definite ST (cases; 41 early ST, 43 late ST) and in 103 control patients with a previously implanted coronary stent but no ST after the index procedure. Platelet function was evaluated with optical aggregometry, the VerifyNow P2Y₁₂ and Aspirin assays, PFA-100 Innovance P2Y cartridge, flowcytometric VASP-assay and urine 11-dehydro thromboxane B₂ measurement before and after the administration of a 600mg loading dose of clopidogrel and 100mg aspirin. The study was registered at ClinicalTrials.gov, number NCT01012544.

Results

Patients with a history of early ST clearly demonstrated a higher on-clopidogrel platelet reactivity as compared to controls. Both early and late ST exhibited a heightened on-aspirin platelet reactivity status, and dual antiplatelet therapy resistance was more frequent.

Conclusions

Patients with a history of early ST exhibit a poor response to clopidogrel. Furthermore, both early as well as late ST are strongly and independently associated with a heightened on-aspirin platelet reactivity and dual antiplatelet therapy resistance is more frequent.

INTRODUCTION

Stent thrombosis (ST) remains the dark site of coronary stenting since it is associated with a considerable morbidity and mortality as well as a high recurrence rate.¹ The pathophysiology of ST has evolved from the identification of single causative factors to a complex multifactorial model.² Predictors can be categorized as clinical, procedural, or lesion related. Recently, the involvement of novel determinants has been recognized, including an impaired responsiveness to antiplatelet therapy and a heightened platelet reactivity status despite antiplatelet therapy.³ Inhibition of circulating platelets with the combined treatment of aspirin and a thienopyridine is currently standard care in preventing ST in patients undergoing coronary stent implantation.⁴ However, the wide interindividual variability in the established response to the thienopyridine clopidogrel results in a poor responsiveness in a substantial number of patients.⁵ Similarly, aspirin exhibits a certain degree of variability in the residual platelet function among aspirin-treated individuals.⁶ Patients exhibiting a high on-treatment platelet reactivity status, either for clopidogrel, aspirin or both, have an increased risk of adverse clinical outcome after coronary stent implantation.^{3, 7-12}

As ST is a relatively rare complication occurring in 1-5% undergoing coronary stent implantation, prospective studies mostly uncover only few cases of ST during follow-up, and even case-control studies investigating the relation between high on-treatment platelet reactivity and the occurrence of ST have drawn their conclusions on data from relatively small patient cohorts.¹³⁻²¹ In addition, it is known that platelet reactivity is increased during the early phase after an acute thrombotic event.²²⁻²⁵ Available studies on the relation between on-treatment platelet reactivity and ST have measured platelet reactivity at the time of the event or shortly thereafter. Hence, the measured high platelet reactivity may have been due to acute phase reaction after ST rather than being a causal factor in the onset of ST.

The aim of the present study was to explore differences in intrinsic and on-clopidogrel and on-aspirin platelet reactivity in a relatively large group of patients with a history of early and late ST, as compared to patients who previously underwent coronary stent implantation but did not incur ST.

METHODS

Study design and Patient population

This study was designed as a single-center case-control study. Cases were patients with a history of an angiographically confirmed ST ('definite' according to the Academic Research Consortium (ARC) criteria²⁶). Controls were patients with a previously implanted coronary stent, but no ST during at least 12 months after the index-procedure for clopidogrel-naïve patients (*i.e.* patients not using clopidogrel at the time of inclusion) and at least 3 months for patients on clopidogrel maintenance therapy with 75 mg clopidogrel daily at the time of inclusion (*i.e.* clopidogrel-maintenance group). Control patients were selected from the institutional administrative database that registers all patients undergoing PCI. Because it was deemed unethical to discontinue the clopidogrel therapy in the clopidogrel maintenance group, these cases remained on dual antiplatelet therapy during the study. All patients were on aspirin at the time of inclusion. Compliance to antiplatelet therapy during ≥ 14 days prior to inclusion was assessed by interview and verified by pharmacy refill data. Exclusion criteria for both cases and controls were a known allergy to aspirin or clopidogrel, an acute coronary syndrome (ACS)

in the past 3 months, recent bleeding diathesis, bleeding disorder, known platelet dysfunction, or an abnormal platelet count ($<150 \times 10^9/L$). The local institutional Ethics Committee approved the protocol. Written informed consent was obtained from all patients before enrollment. The study was registered at ClinicalTrials.gov, number NCT01012544.

Study Procedure

All eligible cases and controls visited the outpatient clinic for platelet function evaluation, physical examination and a standardized interview. First, blood was drawn for pre-loading platelet function evaluation, and to check for pseudo aspirin resistance due to non-compliance. Then, all subjects received a witnessed 600mg loading dose of clopidogrel and 100 mg aspirin. At 6 hours post-loading, blood was drawn for measurement of on-clopidogrel and on-aspirin platelet reactivity.

Blood and urine sample collection

After an overnight fast and a rest of ≥ 30 minutes, blood was collected from the antecubital vein into Vacuette® tubes (Greiner Bio-one, Frickenhausen, Germany) containing 3.2% sodium citrate for all platelet function tests, except 3.8% sodium citrate for the PFA-100® system. The first 5 mL of free-flowing blood was discarded to avoid spontaneous platelet activation. Platelet function testing was performed within 2 hours after blood withdrawal. All study participants were asked to provide a first morning urine specimen at the day of inclusion, which were subsequently stored at -80°C until analysis.

Laboratory measurements

Light Transmittance Aggregometry

Light transmittance aggregometry (LTA) was quantified in non-adjusted platelet-rich-plasma (PRP) on an APACT 4004 aggregometer (LABiTec, Arensburg, Germany) and platelet-poor-plasma (PPP) served as the reference for 100% aggregation. The maximal % aggregation was determined after stimulation with either 20 $\mu\text{mol/L}$ adenosine diphosphate (ADP) to measure P_2Y_{12} -receptor dependent platelet aggregation, or 0.5 mg/mL arachidonic acid (AA) for on-aspirin platelet reactivity.

The VerifyNow® Aspirin and P_2Y_{12} assays

The VerifyNow® System (Accumetrics, San Diego, USA) is a whole blood assay designed to measure agonist-induced platelet aggregation. The Aspirin assay determines the response to aspirin, using AA in a final concentration of 1 mmol/L as the agonist. Results are reported as aspirin reaction units (ARU). The response to thienopyridines can be measured using the P_2Y_{12} assay that contains 20 $\mu\text{mol/L}$ ADP to induce P_2Y_{12} -dependent platelet aggregation, and 22nmol/L prostaglandin E_1 (PGE_1). Results of the P_2Y_{12} assay are reported as P_2Y_{12} reaction units (PRU).²⁷

Innovance® PFA P_2Y

The novel PFA-100® analyzer (Dade Behring Marburg GmbH – A Siemens Company, Marburg, Germany) test cartridge Innovance® PFA P_2Y was used, which measures platelet adhesion and aggregation in citrated whole blood under high shear conditions. The membrane of the Innovance® PFA P_2Y cartridge is coated with 20 μg ADP, 5 ng PGE_1 and 125 μg calcium (as calcium chloride). The time needed to form a platelet plug occluding the aperture cut in this cartridge is determined and reported as closure time (CT, seconds).²⁸

The flowcytometric vasodilator stimulated phosphoprotein (VASP) assay

Flowcytometric analysis of VASP phosphorylation was performed using a commercially available kit from Biocytex (Marseille, France). Samples were analyzed on a 500 MPL flowcytometer (Beckman Coulter, Marseille, France). The magnitude of platelet activation was expressed as the platelet reactivity index (PRI).

Urinary Thromboxane B₂ measurements

The formation of the platelet-activating TxA₂ from arachidonic acid by cyclooxygenase-1 (COX-1) is inhibited by aspirin. Urinary excretion of the stable TxA₂ metabolite 11-dehydro thromboxane B₂ (11dhTxB₂, pg/mL) was measured using the commercially available kit AspirinWorks® (Corgenix, Westminster, CO, USA). After correction for urine creatinine concentration (mg/dL) results are presented as pg 11dhTxB₂ per mg creatinine.

Definitions

Platelet reactivity was measured before (*pre-loading*) and after clopidogrel loading (*on-treatment*, either on-clopidogrel or on-aspirin platelet reactivity). The occurrence of high on-treatment platelet reactivity was derived from on-treatment platelet reactivity values using a previously defined clinical cut-off as follows: high on-clopidogrel platelet reactivity (HCPR) was 20 μmol/L ADP-induced LTA > 64.5% or PRU > 236⁷, high on-aspirin platelet reactivity (HAPR) was AA-induced LTA > 20% or ARU > 454²⁹, and dual antiplatelet therapy resistance (DAPR) was the combined presence of HCPR and HAPR.

Statistical analysis

Continuous variables are presented as mean ± SD or median [25-75 percentile] in case data deviated from normal distribution, and categorical data as frequencies (%). Differences in continuous variables were compared by independent t-test or Mann-Whitney U test, as appropriate. Dichotomous variables were compared by χ²-test or Fisher exact test. Analysis of variance (ANOVA) followed by least significant difference (LSD) post hoc testing or Kruskal-Wallis ANOVA, as appropriate, was used to compare platelet function test results between controls and cases with early and late ST. Since urinary 11dhTxB₂ concentrations were skewed, geometric means ± SD were calculated after log transformation of the data to compare results between cases and controls. Multivariate analysis using analysis of covariance (ANCOVA), was used to compare platelet function test results after adjustment for the following factors known to influence platelet function or the occurrence of ST: age, gender, body mass index (BMI, kg/m²), current smoking, diabetes mellitus (DM), left ventricular ejection fraction (LVEF) < 45%, use of proton pump inhibitors (PPI) or calcium channel blockers (CCB)³⁰, type of stent implanted during the index-procedure (bare-metal stent (BMS), drug-eluting stent (DES) or both), stenting of the left anterior descending artery (LAD) and indication of the index-PCI (ACS or stable angina pectoris [SAP])². Clopidogrel-related analyses were stratified according to clopidogrel treatment at the time of inclusion, *i.e.* clopidogrel naïve group and clopidogrel maintenance group. Analyses of on-aspirin platelet reactivity as well as analyses on the frequency of high on-aspirin (HAPR) and high on-clopidogrel (HCPR) platelet reactivity and dual antiplatelet therapy resistance (DAPR) were performed on the total group. All statistical analyses were performed with SPSS (version 15.0; SPSS Inc., Chicago, IL, USA), and a two-sided *p*-value < 0.05 was considered significant.

RESULTS

Patient characteristics

A total of 84 patients with a history of ST were included. Of these, 39 patients (25 with early ST [within 30 days post-PCI] and 14 with late ST [more than 30 days post-PCI]) were clopidogrel-naïve at the time of inclusion, whereas 45 patients (16 with early and 29 with late ST) were on clopidogrel maintenance therapy with 75mg clopidogrel per day. These cases were compared with 74 clopidogrel-naïve controls and 29 controls on clopidogrel maintenance therapy. Further characteristics of the study cohort are illustrated in **Table 1**.

Clopidogrel

I. CLOPIDOGREL NAÏVE GROUP

Pre-loading platelet reactivity

Pre-loading platelet reactivity was similar between cases and controls for all platelet function tests (**Figure 1A**).

On-clopidogrel platelet reactivity

After the administration of a 600mg loading dose of clopidogrel, patients with a history of early ST exhibited a higher on-clopidogrel platelet reactivity than controls when platelet reactivity was measured with the VerifyNow P2Y₁₂ assay (250 ± 88 vs. 181 ± 83 PRU, $p=0.002$), 20 μ mol/L ADP-induced LTA ($58 \pm 16\%$ vs. $46 \pm 17\%$, $p=0.005$), and the PFA-100 Innovance P2Y cartridge (300 [80-300] vs. 300 [300-300] sec., $p=0.011$; **Figure 1B**). These associations remained significant after adjustment for potential confounders for LTA ($p=0.035$) and the VerifyNow P2Y₁₂ assay ($p=0.008$). There was no difference in the results of the flowcytometric VASP-assay between cases and controls (**Figure 1B**). Furthermore, late ST was not associated with heightened on-clopidogrel platelet reactivity.

II. CLOPIDOGREL MAINTENANCE GROUP

Pre-loading platelet reactivity

The Innovance P2Y cartridge revealed a higher pre-loading platelet reactivity in patients with early ST as compared to controls (300 [73-300] vs. 300 [300-300] sec. respectively, $p=0.046$; **eFigure 1**). No significant differences were observed between controls and patients with early or late ST when pre-loading platelet reactivity was measured with other platelet function tests.

On-clopidogrel platelet reactivity

In patients on clopidogrel maintenance therapy, the administration of a 600 mg clopidogrel loading dose further reduced the magnitude of on-clopidogrel platelet reactivity. A higher on-clopidogrel value of PRU was demonstrated in patients with early ST as compared to controls (PRU 156 ± 107 vs. 91 ± 79 for controls, $p=0.026$; **eFigure 1B**), while patients with late ST had a higher on-clopidogrel platelet reactivity when measured with the Innovance P2Y cartridge (300 [300-300] vs. 300 [300-300] sec. respectively, $p=0.043$; **eFigure 1**). When possible confounders were included in multivariate analysis, these associations were no longer significant. No difference between cases and controls was observed when platelet reactivity was quantified with LTA or the VASP-assay (**eFigure 1B**).

Figure 1 | Pre- and on-clopidogrel platelet reactivity. Platelet reactivity was measured using 20 µmol/L ADP-induced LTA, the VerifyNow P2Y12-assay, the VASP-assay and the PFA-100 Innovance P2Y in the clopidogrel-naïve group, and compared between controls ($n=74$), early ST ($n=25$), and late ST subjects ($n=14$). Panel A: pre-loading platelet reactivity, panel B: on-treatment platelet reactivity. Boxes cover the 25-75 percentiles with horizontal lines indicating medians, and the maximum length of each whisker is 1.5 times the interquartile range. Outliers are depicted as single data points. Horizontal dotted lines indicate cut-offs for high on-clopidogrel platelet reactivity, i.e. 64.5% 20 µmol/L ADP-induced LTA, 236 PRU, 50% PRI, and 159 seconds CT for the tests used, respectively.^{7, 34}

Table 1 | Patient characteristics

Characteristic	Clopidogrel naive group n=113				Clopidogrel maintenance group n=74				Total group n=187	
	Controls n=74	Early ST n=25	Late ST n=24	p-value*	Controls n=29	Early ST n=16	Late ST n=29	p-value*	p-value†	
Male gender	59(80%)	23(92%)	12(86%)	0.353	21(72%)	15(94%)	23(79%)	0.233	0.108	
Age (years)	60±9.3	63±11.9	61±10.6	0.389	57±11.5	61±11.1	59±8.8	0.545	0.245	
BMI (kg/m²)	28.0±4.2	27.3±4.0	25.9±4.4	0.264	27.1±4.8	26.3±3.4	27.3±4.2	0.736	0.405	
Renal insufficiency‡	2(3%)	1(4%)	1(7%)	0.705	1(3%)	0(0%)	2(7%)	0.521	0.441	
LVEF ≤45%	8(11%)	10(40%)	5(36%)	0.002	3(10%)	4(25%)	3(10%)	0.316	0.004	
Current smoking	13(18%)	5(20%)	7(50%)	0.026	11(38%)	2(13%)	11(38%)	0.157	0.022	
Family history of CAD	43(58%)	14(56%)	9(64%)	0.877	0(0%)	0(0%)	1(3.4%)	0.566	0.311	
Diabetes Mellitus	12(16%)	1(4%)	2(14%)	0.296	4(14%)	3(19%)	7(24%)	0.603	0.368	
Hypercholesterolemia	48(65%)	17(68%)	8(57%)	0.791	20(69%)	11(69%)	24(83%)	0.411	0.609	
Hypertension	41(55%)	9(36%)	7(50%)	0.245	13(45%)	10(63%)	21(72%)	0.097	0.201	
Medication§										
Statin	60(81%)	23(92%)	13(93%)	0.283	27(93%)	14(88%)	26(90%)	0.810	0.473	
Coumarin	0(0%)	2(8%)	0(0%)	0.028	1(3%)	2(13%)	2(7%)	0.511	0.041	
Beta-blocker	47(64%)	16(64%)	14(100%)	0.024	21(72%)	13(81%)	27(93%)	0.116	0.001	
CCB	26(35%)	8(32%)	1(7%)	0.115	8(28%)	2(13%)	8(28%)	0.461	0.275	
PPI	22(30%)	17(68%)	6(43%)	0.003	6(21%)	8(50%)	11(38%)	0.115	0.001	
Clinical chemistry										
Platelet count (10 ⁹ /L)	239±63	240±53	266±89	0.370	262±80	225±42	256±76	0.231	0.237	
Mean platelet volume (fL)	8.6±0.9	8.4±1.1	8.4±0.9	0.746	8.3±0.7	8.4±1.0	8.5±1.0	0.834	0.845	
White blood cell count (10 ⁹ /L)	6.6±1.7	7.4±2.1	8.1±2.1	0.011	7.3±2.1	6.2±2.6	7.6±2.2	0.106	0.031	
Index-PCI										
LAD stenting	28(38%)	16(64%)	7(50%)	0.079	15(52%)	12(75%)	13(45%)	0.136	0.015	
Stent type	BMS 31(42%)	16(64%)	7(50%)	0.279	12(41%)	8(50%)	10(34%)	0.355	0.053	
	DES 36(49%)	8(32%)	7(50%)	-	15(52%)	8(50%)	19(66%)	-	-	
	Mixed 7(9%)	1(4%)	0(0%)	-	2(7%)	0(0%)	0(0%)	-	-	
GPIIb/IIIa-blocker	7(9%)	4(16%)	5(36%)	0.034	3(10%)	5(31%)	9(29%)	0.119	0.003	
Time frames¶										
Index-PCI - inclusion	706 [592-898]	734 [550-1053]	846 [773-1256]	0.195	236 [165-341]	468 [262-743]	1164 [588-1343]	<0.001	<0.001	
Index-PCI – ST	-	2 [0-7]	335 [124-483]	<0.001	-	2 [0-6]	461 [258-919]	<0.001	<0.001	
ST – inclusion	-	733 [550-1044]	590 [427-1082]	0.132	-	466 [261-736]	371 [217-663]	0.538	0.040	

Continuous data are presented as means±SD and statistical analysis of continuous data was performed using univariate ANOVA. Categorical variables as counts (%), with differences between subgroups tested with χ^2 .

*p-value for comparison between controls, early ST and late ST within the clopidogrel naive and clopidogrel maintenance groups, and †within the total group. ‡Glomerular filtration rate (GFR) <60ml/min. §Medication at the time of inclusion. ¶Median days [interquartile range], statistical analysis with Kruskal-Wallis analysis of variance. ACE indicates angiotensin converting enzyme; ARB, angiotensin II receptor blocker; BMI, body mass index; BMS, bare metal stent; DES, drug eluting stent; GPIIb/IIIa, glycoprotein IIb/IIIa; LAD, left anterior descending artery; LVEF, left ventricular ejection fraction; PCI, percutaneous coronary intervention.

Aspirin

Patients with a history of ST exhibited higher on-aspirin platelet reactivity levels as compared to controls when measured with the VerifyNow aspirin assay (455 ± 63 ARU for early ST vs. 417 ± 57 ARU for controls, $p=0.001$ [multivariate $p=0.002$]) and AA-induced optical aggregometry ($22 \pm 12\%$ for early ST and $20 \pm 12\%$ for late ST vs. $14 \pm 7\%$ for controls, $p<0.001$ for both [multivariate $p<0.001$ and $p=0.001$, respectively]; **Figure 2**). Furthermore, urinary levels of 11dhTxB_2 were higher in both early ST (1180 ± 250 pg/mg creatinine) and late ST (1362 ± 247 pg/mg creatinine) as compared to controls (891 ± 41 pg/mg creatinine; $p=0.047$ [multivariate $p=\text{ns}$] and $p=0.001$ [multivariate $p=0.007$], respectively).

Figure 2 | On-aspirin platelet reactivity. Results were compared between controls ($n=103$) and patients with early ST ($n=41$) and late ST ($n=43$). Boxes cover the 25-75 percentiles with horizontal lines indicating medians, and the maximum length of each whisker is 1.5 times the interquartile range. Outliers are depicted as single data points. Since results of the urinary 11dhTxB_2 measurements were skewed, data are presented on a log-scale and p -values represent statistical significance of differences in geometric means.

Figure 3 | Pattern of normal platelet reactivity, HAPR, HCPR and DAPR. Platelet reactivity was measured using $20 \mu\text{mol/L}$ ADP- and AA-induced LTA, and the VerifyNow P_2Y_{12} - and Aspirin assays. A platelet reactivity value higher than previous determined cut-offs was considered as HCPR ($>64.5\%$ LTA or >236 PRU) or HAPR ($>20.0\%$ AA-induced LTA or >454 ARU) or DAPR (both HCPR and HAPR). [7, 29] Results were compared between controls ($n=103$) and patients with early ST ($n=41$) and late ST ($n=43$).

Dual antiplatelet therapy resistance

DAPR was more prevalent in both patients with early and late ST as compared to controls when assessed by LTA ($p=0.003$ and $p=0.029$, respectively) and in early ST when measured with the VerifyNow ($p=0.020$ compared to controls, **Figure 3**). **Figure 3** shows the distribution of HAPR, HCPR and DAPR stratified by the three patient categories, demonstrating that over 60% of the patients with early ST and 40% of late ST have a high on-treatment platelet reactivity status to either aspirin, clopidogrel or both, compared to 25-30% of the controls. Furthermore, HCPR occurs more frequent in patients with early ST, while late ST has a higher prevalence of HAPR and DAPR, but less isolated HCPR.

DISCUSSION

Throughout recent years, the concept of a high on-treatment platelet reactivity status has been recognized in medical literature as a novel risk-factor for coronary ST and to the best of our knowledge the present study is the largest and most comprehensive evaluation of the relationship between platelet reactivity status and coronary ST.¹³⁻²¹

The principle findings of the present study include: i) patients with an early ST show an impaired responsiveness to clopidogrel as compared to controls resulting in a high on-clopidogrel platelet reactivity in up to 42% of the cases compared to up to 19% in controls; ii) Almost two-third of the patients with early ST exhibit high on-treatment platelet reactivity, to either clopidogrel, aspirin or both; iii) patients with a late ST respond well to a 600 mg loading dose of clopidogrel; iv) both patients with a history of early and late ST exhibit a heightened on-aspirin platelet reactivity; and v) DAPR is more common in both early and late ST as compared to control subjects.

Previous studies have shown that ST was associated with a heightened on-clopidogrel platelet reactivity. However, platelet function was evaluated early after occurrence of ST^{13-19, 21}. Given that myocardial itself is characterized by a transient elevation of platelet reactivity that lasts for at least 30 days, it is unclear whether the established relationship between platelet reactivity and ST was biased by the timing of measurement²²⁻²⁵. Therefore, we evaluated platelet reactivity in patients with a history of ST, who were in a stable phase of disease at the time of inclusion. The results of the present study show that patients with ST exhibit a permanently heightened on-treatment platelet reactivity phenotype, implicating a role for genetic factors and/or ongoing disease states.

Patients with late ST had a higher prevalence of HAPR as compared to controls, whereas HCPR was only present as part of DAPR. This finding indicates that an isolated poor response to clopidogrel is less important in the pathophysiology of late ST, which is supported by results from the *Trial to Assess Improvement in Therapeutic Outcomes by Optimizing Platelet Inhibition with Prasugrel - Thrombolysis in Myocardial Infarction (TRITON-TIMI) 38 study*.³¹ In this trial it became evident that the prevention of ST by increasing the level of P2Y₁₂-inhibition using prasugrel as compared to clopidogrel, was most prominent for early ST.

The Innovance P2Y PFA-100 cartridge became recently available. It was designed to specifically measure the magnitude of P2Y₁₂-receptor inhibition, unlike the Col/ADP-cartridge that appeared to be insufficiently sensitive to the effects of clopidogrel.^{28, 32} In the present study, the Innovance P2Y test was able to discriminate between patients with and without a history of early or late ST, in line with the VerifyNow P2Y₁₂ assay and ADP-induced LTA, though this association did not last when clinical variables were included in multivariate analysis.

The flowcytometric VASP-assay has been commonly referred to as 'the biochemical gold standard' for the determination of clopidogrel responsiveness. In the present study, the VASP-assay was not able to detect a difference in response to clopidogrel between patients with and without a history of ST. These findings are in line with a recent study of Pinto Slottow and colleagues³⁹, and may be explained by the finding that the VASP-assay was relatively insensitive to lower levels of P2Y₁₂-inhibition, possibly resulting in the incapability to differentiate in the lower regions of the widely ranged response to clopidogrel.³³

Some aspects of the present study may have hampered the quality of the results and merit attention. Inherent to the study design, an important subgroup of patients was excluded, *i.e.* patients who did not survive the ST or follow-up period. Considering the lethal nature of stent thrombosis, this leaves the evaluation with a substantial risk of survival bias. The obtained results were however in agreement with prospective studies that measured platelet function at the time of the index-procedure, suggesting that the effect of selection bias is small. Furthermore, urinary levels of 11dhTXB₂ were measured as an indication of aspirin response, instead of serum thromboxane B₂ levels, which is commonly regarded as the 'golden biochemical standard' for detecting aspirin response. Finally, although the total number of patients included in the present study was the largest until present, marked differences between subgroups (*e.g.* early and late ST, clopidogrel naïve and clopidogrel maintenance groups) that were not foreseen *a priori*, required post-hoc subdivision of the total group into smaller subgroups. As a result, multiple comparisons were performed, increasing the likelihood of chance findings.

In conclusion, patients with a history of early ST exhibit a heightened on-clopidogrel platelet reactivity. Furthermore, both early as well as late ST are strongly and independently associated with a heightened on-aspirin platelet reactivity and DAPR is more frequent.

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eFigure 1 | Pre- and on-clopidogrel platelet reactivity in the clopidogrel-maintenance group. Platelet reactivity was measured using 20 $\mu\text{mol/L}$ ADP-induced LTA, the VerifyNow P2Y12-assay, the VASP-assay and the PFA-100 Innovance P2Y in the clopidogrel-maintenance group, and compared between controls ($n=29$), early ST ($n=16$), and late ST subjects ($n=29$). Panel A: pre-loading platelet reactivity, panel B: on-treatment platelet reactivity. Boxes cover the 25-75 percentiles with horizontal lines indicating medians, and the maximum length of each whisker is 1.5 times the interquartile range. Outliers are depicted as single data points. Horizontal dotted lines indicate cut-offs for high on-clopidogrel platelet reactivity, i.e. 64.5% 20 $\mu\text{mol/L}$ ADP-induced LTA, 236 PRU, 50% PRI, and 159 seconds CT for the tests used, respectively ^{7, 34}

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Chapter 2

Cangrelor increases the magnitude of platelet inhibition and reduces interindividual variability in clopidogrel-pretreated subjects

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ABSTRACT

Background

Inadequate platelet inhibition despite aspirin and clopidogrel therapy during and after a percutaneous coronary intervention is associated with an impaired clinical outcome. Cangrelor, a direct and reversible P₂Y₁₂ inhibitor that is currently in development, has the potential to achieve higher levels of inhibition of ADP-induced platelet aggregation than clopidogrel. The aim of the present study was to compare the magnitude of platelet inhibition in clopidogrel-pretreated patients before and after the *in vitro* addition of a subtherapeutic dose of cangrelor.

Methods

Blood samples were drawn from patients pretreated with clopidogrel and aspirin who were undergoing elective percutaneous coronary intervention (n=39). Platelet function analysis with 'classical' light transmittance aggregometry (both peak and late aggregation [at 6 min]) was performed before and after the *in vitro* addition of cangrelor (0.25 µmol/L) to platelet-rich plasma (PRP). After an incubation period of five minutes, platelet aggregation was induced by 5 and 20 µmol/L ADP.

Results

The *in vitro* addition of 0.25 µmol/L cangrelor to the PRP from clopidogrel-treated subjects resulted in an additional reduction in ADP-induced platelet aggregation. For ADP concentrations of 5 and 20 µmol/L, peak aggregation showed a decrease of 75 and 85%, respectively ($p < 0.001$ for both), while late aggregation was almost completely diminished ($p = 0.003$ and $p < 0.001$, respectively). Furthermore, the interindividual variation in inhibition of ADP-induced platelet aggregation by clopidogrel was greatly reduced after the addition of cangrelor.

Conclusion

We demonstrate that the *in vitro* addition of even a subtherapeutic dose of cangrelor to the PRP of clopidogrel-pretreated patients results in an additional reduction of ADP-induced platelet aggregation. Moreover, cangrelor was able to diminish the interindividual variation observed in clopidogrel-inhibited platelet aggregation.

INTRODUCTION

Clopidogrel is an orally administered prodrug that requires metabolic conversion to an active metabolite to inhibit platelet aggregation via the P2Y₁₂ receptor.¹ However, numerous studies have revealed that the efficacy of this metabolism varies widely from subject to subject, resulting in a poor platelet inhibition in 5 to 44% of treated patients.^{2,3}

Recent studies have demonstrated an association between the magnitude of post-clopidogrel treatment platelet aggregation and the occurrence of atherothrombotic events in patients undergoing percutaneous coronary intervention (PCI).⁴⁻⁶ On the background of these findings, it is important to note that the results of the recently published TRial to Assess Improvement in Therapeutic Outcomes by Optimizing Platelet Inhibition with Prasugrel (TRITON study) clearly demonstrated that the proposed proof of principle 'a higher P2Y₁₂ inhibition is associated with a reduction in atherothrombotic events', appears to be true.⁷ Specifically, intensified P2Y₁₂ inhibition during and in the early days after PCI reduces myocardial infarction, as long as care is taken in certain patient groups who are *a priori* at high risk of bleeding.⁷ Cangrelor (formerly known as AR-C69931MX, The Medicines Company, Parsippany NJ USA) is an direct and reversible antagonist of the P2Y₁₂ receptor that does not require conversion to an active metabolite for its antiplatelet action.⁸ Small phase II dose-finding studies using two different concentrations of cangrelor (2 µg/kg/min and 4 µg/kg/min) in patients with an acute coronary syndrome have revealed a high magnitude of inhibition of platelet aggregation (IPA) after initiation of the cangrelor infusion.^{9,10} However, platelet function was measured with impedance aggregometry, a method that has not been very well studied with respect to P2Y₁₂ inhibition.¹¹ Therefore, we aimed to study the effect of cangrelor on platelet aggregation in patients pretreated with aspirin and clopidogrel using the 'gold standard' light transmittance aggregometry (LTA).

METHODS

Patients on aspirin and clopidogrel therapy scheduled for an elective PCI were enrolled. All subjects gave written informed consent. Risk factors and comorbidities were carefully obtained using a standard medical questionnaire. Physical examination of patients was performed by research physicians. Smoking was defined as any cigarette smoking in the last month. Hypertension was defined as a systolic blood pressure >140 mmHg or diastolic blood pressure >90 mmHg. Diabetes mellitus was defined according to the World Health Organisation criteria. Hypercholesterolaemia was defined as a fasting LDL-cholesterol concentration ≥3.4 mmol/L or the need for statin therapy. Blood samples were drawn from the arterial sheath before heparinisation, into citrated (3.2%) tubes (Sarstedt, Nümbrecht, Germany). The samples were then centrifuged at 120 g for ten minutes to recover platelet-rich plasma (PRP) and further centrifuged at 850 g for 15 minutes to recover platelet-poor plasma (PPP). PRP was adjusted to achieve a final platelet count between 250 and 300 × 10⁹/L using the volunteer's PPP. Two-hundred-and-fifty µL adjusted PRP was pipetted into four cuvettes, of which two were spiked with 25 µL 0.9% NaCl and two were spiked with 25 µL cangrelor in a final concentration of 0.25 µmol/L (this concentration represents the 2 µg/kg/min infusion) and incubated for five minutes. Both peak and late aggregation (at 6 minutes) were quantified after stimulation with adenosine diphosphate (ADP; final concentrations 5 and 20 µmol/L).¹² Platelet aggregation was measured on an ATRACT 4004 aggregometer (LABiTec, Arensburg, Germany) and PPP served as the reference for 100% aggregation.

Statistical analysis

Discrete variables are reported as counts (percentages) and continuous variables as mean $\pm$ SD. A paired Student's t-test was used to compare aggregation values before and after the addition of cangrelor. The F-test was used to compare standard deviations. Statistical analyses were performed using SPSS 11.5 and a p value of <0.05 was considered statistically significant.

RESULTS

A total of 39 patients on aspirin and clopidogrel therapy were enrolled. Patient characteristics are depicted in **Table 1**. Stimulation of PRP, obtained from patients pretreated with aspirin and clopidogrel, with 5 and 20 $\mu\text{mol/L}$ ADP resulted in a peak aggregation of $25 \pm 13\%$ and 42 ± 18 , respectively. Late aggregation values were $13 \pm 13\%$ and $26 \pm 24\%$ after stimulation with 5 and 20 $\mu\text{mol/L}$ ADP, respectively. Furthermore, despite adequate dosing of clopidogrel, a wide interindividual variability in the magnitude of platelet aggregation was observed between patients (**Figure 1**).

The *in vitro* addition of 0.25 $\mu\text{mol/L}$ cangrelor resulted in a great reduction of ADP-induced platelet aggregation. For ADP concentrations of 5 and 20 $\mu\text{mol/L}$, peak aggregation showed a decrease of 75 and 85%, respectively ($p < 0.001$ for both concentrations of ADP), while late aggregation was almost completely diminished, despite stimulation with ADP.

Also, the interindividual variation in both 5 and 20 $\mu\text{mol/L}$ ADP-induced platelet aggregation was greatly reduced after the addition of cangrelor (F-test probability value comparing standard deviations $p < 0.001$).

Table 1 | Patient characteristics

Men	33 (85)
Age (years)	62 $\pm$ 9
BMI (kg/m^2)	27 $\pm$ 4
Hypertension	6 (15)
Hypercholesterolaemia	5 (13)
Current smoker	5 (13)
Previous MI	19 (49)
Previous revascularisation	19 (49)
Medication	
Clopidogrel	39 (100)
Aspirin	39 (100)
Statin	33 (85)
β -blocker	33 (85)
ACE inhibitor	12 (31)
Platelet count ($\times 10^9/\text{L}$) in EDTA	307 $\pm$ 85
Mean platelet volume (fL)	6.8 $\pm$ 0.6

Values are presented as numbers (%) or mean $\pm$ SD.
BMI=body mass index, MI=myocardial infarction.

DISCUSSION

The purpose of this study was to evaluate the inhibitory effects of cangrelor with 'classical' LTA in patients pretreated with aspirin and clopidogrel. Although we used a lower concentration of cangrelor than currently studied in the ongoing CHAMPION studies (this is 4 $\mu\text{g/kg/min}$ infusion $\sim$ final concentration 0.5 $\mu\text{mol/L}$), the addition of this subtherapeutic concentration of cangrelor yielded a large decrease of peak aggregation and an almost completely diminished late aggregation. Moreover, cangrelor was able to diminish the wide interindividual variation in platelet inhibition that was observed with clopidogrel therapy.

Figure 1 | Peak and late ADP- induced platelet aggregation (5 and 20 $\mu\text{mol/L}$) before (BC) and after (AC) the *in vitro* addition of 0.25 $\mu\text{mol/L}$ cangrelor.

Recent studies have clearly demonstrated that a subset of patients who receive dual antiplatelet therapy with aspirin and clopidogrel do not reach adequate levels of platelet inhibition at the time of PCI.³ And, more importantly, these patients are at a higher risk for the (re)occurrence of atherothrombotic events.^{4,6} Evidence that higher P2Y₁₂ inhibition results in an improved clinical outcome is provided by the recently published TRITON study, which showed that the more potent platelet inhibition achieved by prasugrel results in an approximately 20% reduction of atherothrombotic events as compared to clopidogrel.⁷

Although prasugrel has a more rapid onset of action compared with clopidogrel, cangrelor has the additional advantage over clopidogrel and prasugrel of being a reversible and direct-acting P2Y₁₂ antagonist, reaching optimal platelet inhibition in only minutes after the start of the infusion. In combination with a short half-life of several minutes, this results in a rapid 'on-off' feature that enables cangrelor to temporarily suppress platelet activation on top of an oral platelet inhibitor during and after PCI. These features might improve clinical outcome concerning atherothrombotic events and avoid an increase in bleeding complications accompanying chronic intensified platelet inhibitor therapy. Two large-scale phase III, randomised clinical trials (CHAMPION-PCI and CHAMPION-PLATFORM) comparing clopidogrel with cangrelor and cangrelor on top of clopidogrel respectively, are currently assessing whether the superiority of cangrelor over clopidogrel in platelet inhibition will be translated into an improved clinical efficacy.

In conclusion, the *in vitro* addition of even a subtherapeutic concentration of cangrelor to the PRP of aspirin and clopidogrel pre-treated patients strongly reduces the magnitude of ADP-induced platelet aggregation. Moreover, cangrelor was able to diminish the interindividual variation observed in clopidogrel-inhibited platelet aggregation.

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Chapter 3

The importance of anticoagulant agents in measuring platelet aggregation in patients treated with clopidogrel and aspirin

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Recent studies have demonstrated an association between the magnitude of post-treatment platelet reactivity and the occurrence of atherothrombotic events in patients undergoing percutaneous coronary intervention (PCI).^{3,2} Sodium citrate is the most commonly used anticoagulant when determining residual platelet reactivity *in vitro* because it is widely available at low costs. However, sodium citrate chelates extracellular calcium, creating an artificial milieu that does not represent the *in vivo* situation. An alternative *in vitro* anticoagulant is D-phenylalanyl-L-propyl-L-arginine chloromethyl ketone (PPACK), which acts by inhibiting thrombin, thereby maintaining physiologic extracellular calcium levels.³

In the last few years, two studies have been published comparing the magnitude of residual platelet reactivity in both citrate and PPACK anticoagulated blood.^{4,5} Remarkably, although the same methods in a comparable patient population were used, the outcomes of these two studies are conflicting. Patel et al. demonstrated that residual platelet reactivity in clopidogrel pre-treated patients is significantly higher in citrated blood as compared to PPACK-anticoagulated blood(5), whereas Labarthe et al. have reported the opposite.(4)

The primary aim of the present study is to solve this conflict by investigating the effect of anticoagulation with citrate vs. PPACK on residual platelet aggregation in a large population on dual antiplatelet therapy with clopidogrel and aspirin.

Consecutive patients undergoing elective PCI were enrolled in the present study. Exclusion criteria included: glycoprotein IIb/IIIa-therapy in the last 7 days and a platelet count of less than $150.10^9/L$. The local Institutional Review Board approved the protocol and written informed consent was obtained from all patients. Blood samples were drawn from the arterial sheath before heparinization into tubes containing either 3.2% sodium citrate (Sarstedt, Nümbrecht, Germany) or $50\mu\text{mol/L}$ PPACK (Calbiochem, La Jolla, California). Post-treatment platelet reactivity was measured in non adjusted platelet-rich-plasma (PRP) by light transmission aggregometry (APACT 4004 - LABiTec, Arensburg, Germany) as the percentage change in light transmission after stimulation with different concentrations of the agonists ADP (5, 10 and $20\mu\text{mol/L}$) and arachidonic acid (AA) ($500\mu\text{g/mL}$). Peak and late aggregation (at 360 s) results were checked for normality by a Kolmogorov-Smirnov test and statistical significance in peak and late platelet reactivity was determined by the student's paired t-test or the Wilcoxon test (in case the data were not normally distributed). Linear regression between post-treatment platelet reactivity parameters in PPACK and citrate anticoagulated blood was determined by Spearman's correlation analysis.

Two-hundred-and-fifty patients were enrolled. Of these patients, 224 (89,6%) were on dual antiplatelet therapy with clopidogrel and aspirin, and 26 patients (10.4%) were on a combination of clopidogrel and a coumadin. The mean ($\pm\text{SD}$) age of the studied population was $63.7 (\pm 10.3)$ years. The prevalence of traditional cardiovascular risk-factors was high and included male gender in 76.4%, hypertension in 76.4%, dyslipidemia in 82.4%, current smoking in 9.6% and diabetes in 19.6%. Concomitant medication consisted of statins in 81.2%, β -blockers in 75.6% and ACE-inhibitors in 33.6%.

Post-treatment platelet reactivity was significantly higher in citrated blood as compared to PPACK anticoagulated blood ($p < 0.0001$ for all concentrations of ADP and AA [figure 1]). This accounts for both peak aggregation and late aggregation, although the difference is much more pronounced in late aggregation. Both peak and late aggregation correlated well between PPACK and citrate

Figure 1 | The magnitude of ADP and AA-induced platelet reactivity as measured in citrate (black dots) and PPACK (open dots). Both peak aggregation (figure 1A) and late aggregation at 360 s (figure 1B) are presented.

anticoagulated blood for 5 and 20 µM ADP-induced aggregation, with $r = 0.81$ and 0.74 for peak aggregation, and $r = 0.57$ and 0.74 for late aggregation respectively ($p < 0.001$ for all).

As expected and in line with recent literature, peak and late aggregation correlated well in citrate anticoagulated blood (the correlation between peak and late aggregation was 0.69 and 0.94 for 5 and 20 µM ADP ($p < 0.0001$ for both), respectively⁸. In whole blood with PPACK as anticoagulant, however, the correlation between peak aggregation and late aggregation was less apparent (the correlation between peak and late aggregation was 0.58 and 0.78 for 5 and 20 µM ADP ($p < 0.0001$ for both), respectively).

The overall findings of the present study are in accordance with the reported results of Labarthe and colleagues, who also demonstrated that late post-treatment platelet reactivity is significantly reduced when measured in PPACK anticoagulated blood as compared to citrated blood.⁴ Although their findings also show a trend towards lower peak residual platelet reactivity in PPACK, no significant differences between PPACK and citrate could be observed. Probably, statistical significance could not be reached because their study was hampered by a small sample size. Remarkably, Patel and colleagues reveal opposite findings, as they report a higher residual platelet reactivity in PPACK anticoagulated blood as compared to citrated blood.⁵ Importantly, there were no differences in the studied population, methodology or protocol. A limitation of the study by Patel and coworkers is the fact that they do not report measures of late aggregation at all, which is considered to be a better reflection of the clopidogrel response *in vivo*.^{4,6} It is important to note that in PPACK anticoagulated blood, and to a much lesser extent in citrated blood, the majority of late aggregation values are below 0% aggregation.

Also, it appears that these negative aggregation values further decrease after stimulation with higher concentrations of ADP. An explanation for this phenomenon remains pure speculative, but it is possible that the aggregates which are formed upon ADP stimulation dissociate into platelets with a more rounded form with pseudopods (similar to the shape change signal directly after the addition of ADP).

In conclusion, the main purpose of this correspondence was to resolve the ongoing discussion concerning recent published results regarding the use of either citrate or PPACK as an anticoagulant in assessing residual platelet reactivity. Although our findings are not directly of clinical relevance, with the increased interest in monitoring of antiplatelet therapy it is of utmost importance to use an anticoagulant which provides the best representation of the *in vivo* situation. In line with the observations of Labarthe and coworkers, we confirm in a large cohort of patients that the magnitude of post-treatment platelet reactivity (both peak and late aggregation) is lower when PPACK is used as anticoagulant as compared to citrate. The important question: "which of the two anticoagulants is a better representation of the *in vivo* situation and correlates superiorly with clinical outcome" warrants further study in a larger cohort of patients.

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Chapter 4

Comparison between hirudin and citrate in monitoring the inhibitory effects of P2Y₁₂ receptor antagonists with different platelet function tests

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Dual treatment with aspirin and clopidogrel is currently the therapy of choice in the prevention of recurrent cardiovascular events following coronary stent implantation. However, not all patients benefit equally from this antiplatelet regimen, since inadequate suppression of platelet aggregation by clopidogrel and/or aspirin - also referred to as "high on-treatment platelet reactivity" or "antiplatelet therapy resistance" - is associated with worsened clinical outcome. The prevalence of this phenomenon varies widely and is highly dependent on the type of platelet function test and the type of anticoagulant that is being used ^{1,2}.

"Classical" light transmission aggregometry (LTA) using adenosine diphosphate (ADP) as agonist is considered to be the gold standard platelet function test for determining the effectiveness of clopidogrel. Its use in daily practice is however not viable due to important limitations³. To overcome these limitations, various whole-blood platelet function assays designed to evaluate the efficacy of P2Y₁₂ receptor antagonism have been introduced to the commercial market.

Trisodium citrate dihydrate is the preferred anticoagulant agent for platelet function testing as it has been in use for more than 40 years. However, measurements in citrate do not resemble physiological conditions, since citrate inhibits coagulation by chelating calcium. This could result in an under- or overestimation of the true inhibitory effects of P2Y₁₂ receptor antagonists *in vivo*. Other anticoagulants, such as hirudin and D-phenylalanyl-L-prolyl-L-arginine chloromethyl ketone [PPACK] prevent coagulation of blood by direct inhibition of thrombin, thereby maintaining physiological calcium levels⁴. This approach might therefore mimic the *in vivo* situation of platelet function more precisely as compared to anticoagulation with citrate. Previous studies on this issue demonstrate a general higher magnitude of ADP induced platelet aggregation in blood anticoagulated with citrate as compared to PPACK or hirudin when measured with classical LTA ⁵⁻⁷.

The manufacturers of MEA strongly recommend the use of hirudin instead of citrate as anticoagulant agent. Two recent publications report a reduced area-under the MEA curve, which indicates a lower magnitude of platelet aggregation, when platelet function was measured in citrated blood as compared to hirudin anticoagulated blood ^{8,9}. This finding is opposite to the results obtained with classical LTA when measured in citrate versus PPACK (or hirudin)^{5,7}. For the whole blood assays determining the efficacy of P2Y₁₂ receptor antagonists, no information regarding the effects of anticoagulants other than citrate on the test performance is currently available.

The aim of the present study was to compare the effects of the anticoagulants citrate and hirudin on the test performance of four different platelet function assays that are currently in use for the monitoring of platelet inhibition with P2Y₁₂ receptor antagonists.

Seventeen healthy volunteers, 9 male and 8 female, without coronary artery disease were enrolled after written informed consent was obtained. The mean age was 28.9±7.2 and 35.9±13.3 for males and females, respectively. Subjects were ineligible if they had used any medication in the past 7 days known to influence platelet function. Other exclusion criteria were a known platelet dysfunction or bleeding disorder, a platelet count <150x10⁹/L and age <18 years. To avoid platelet activation, blood was drawn without a tourniquet from the antecubital vein into tubes containing either 3.2% trisodium citrate or lepirudin (25 µg/ml, Refludan, hirudin blood collection tubes, Dynabyte), after discarding the first 5 ml of blood. Aliquots of both the citrate and the hirudin anticoagulated blood samples were spiked with increasing concentrations of the direct P2Y₁₂ receptor antagonist cangrelor (The Medicines Company, Parsippany, NJ, USA; in final subtherapeutic concentrations of 0.015 µmol/L and 0.15 µmol/L) or 0.9% saline (for baseline measurements) and incubated for exactly 5 minutes.

Platelet function testing was performed with LTA (using 20 $\mu\text{mol/L}$ ADP induced peak and late aggregation)¹⁰, the PFA-100® Collagen/ADP (CADP) cartridge and the novel PFA-100 cartridge INNOVANCE PFA P2Y (Siemens Healthcare Diagnostics Products GmbH, Germany), the VerifyNow P2Y₁₂ assay (VN; Accumetrics, San Diego, USA), the the Multiplate® analyzer -also referred to as Multiple-Electrode Aggregometry- (MEA; Dynabite, Germany) ADP-test (20 $\mu\text{mol/L}$ ADP) and high-sensitivity ADP test (ADP HS test, 20 $\mu\text{mol/L}$ ADP + 9,4 nmol/L prostaglandin E1). Detailed descriptions of these tests have been published elsewhere^{4,3}. All tests were performed at 1 hour after blood sampling and according to the manufacturers' recommendations. The membrane of the INNOVANCE PFA P2Y is coated with ADP, prostaglandin E1 and calcium chloride.

Differences between citrate and hirudin anticoagulated blood samples were determined using the paired student's t-test and Pearson correlation. For PFA-100 results the nonparametric Mann-Whitney U test and Spearman correlation were used. PFA-100 results reported as >300 seconds were displayed as 300 seconds in the analyses.

As shown in **Figure 1**, absolute aggregation values obtained with LTA and VN revealed a significant higher magnitude of platelet aggregation when measured in citrate as compared to hirudin. In hirudin and citrate anticoagulated blood samples respectively, peak platelet aggregation was $64 \pm 19\%$ and $84 \pm 6\%$ for baseline ($p < 0.01$), and $38 \pm 13\%$ vs $60 \pm 21\%$ after *in vitro* addition of 0.015 $\mu\text{mol/L}$ cangrelor ($p = 0.01$) and $6.4 \pm 2.7\%$ vs $18 \pm 8.8\%$ after 0.15 $\mu\text{mol/L}$ cangrelor ($p < 0.001$). Similar results were observed for late platelet aggregation values.

P2Y₁₂ reaction units (PRU), obtained with the VN P2Y₁₂ assay, were 194 ± 52 vs 257 ± 51 for baseline measurements ($p < 0.01$, for hirudin and citrate, respectively), 132 ± 55 vs 184 ± 85 after *in vitro* addition of 0.015 $\mu\text{mol/L}$ cangrelor ($p = 0.01$) and 17 ± 25 vs 19 ± 17 after 0.15 $\mu\text{mol/L}$ cangrelor ($p = ns$). In both tests, the absolute difference in the magnitude of ADP-induced aggregation between hirudin and citrate declined with increasing concentrations of cangrelor.

Thrombin-receptor activating peptide (TRAP)-induced platelet aggregation (represented by the VerifyNow "BASE"-value) was also highly affected by the anticoagulant agent used (**Figure 1C**). Remarkably, the absolute magnitude of TRAP-induced platelet aggregation in either hirudin or citrate was not affected by cangrelor.

For the CADP cartridge, baseline closure times were $101 \pm 19\text{sec.}$ in hirudin vs $97 \pm 13\text{sec.}$ in citrate ($p = ns$). A notable prolongation of the CT to $188 \pm 76\text{sec.}$ and $155 \pm 62\text{sec.}$ was observed after incubation with 0.15 $\mu\text{mol/L}$ cangrelor (hirudin and citrate respectively, $p = ns$). Similar results were obtained with INNOVANCE PFA P2Y, with a CT of $72 \pm 15\text{sec.}$ and $69 \pm 9\text{sec.}$ at baseline ($p = ns$) and $272 \pm 57\text{sec.}$ and $290 \pm 32\text{sec.}$ after 0.15 $\mu\text{mol/L}$ cangrelor ($p = ns$) for hirudin and citrate, respectively. However, the magnitude of CT prolongation after *in vitro* cangrelor addition was much higher with INNOVANCE PFA P2Y as compared to the CADP.

In contrast to the results of the other platelet function assays, results obtained with MEA demonstrated an inverse trend towards a higher magnitude of platelet aggregation when measured in hirudin anticoagulated blood as compared to citrate. The baseline AUC of the MEA ADP test was 49.5 ± 23.6 and 40.6 ± 15.7 for hirudin and citrate respectively.

The AUC decreased after *in vitro* addition of increasing concentrations of cangrelor, but a trend towards a higher AUC in hirudin was still present (**Figure 1G**). The results obtained with the MEA ADP HS test showed similar trends (**Figure 1H**).

Figure 1 | Inhibition of platelet function by cangrelor as measured with the various platelet function tests. The effects of in vitro addition with cangrelor (in final concentrations of 0.015 mol/L and 0.15 mol/L) when measured in either citrate or hirudin are shown.

Importantly, a strong intra-individual correlation was observed between hirudin and citrate anticoagulated blood samples ($r=0.84$ for LTA peak aggregation, $r=0.79$ for LTA late aggregation, $r=0.88$ for VN, $r=0.72$ for the MEA ADP test and $r=0.63$ for MEA ADP HS test, with $P<0.0001$ for all). Results of the PFA-100 collagen/ADP and INNOVANCE PFA P2Y were respectively $r=0.57$ and $r=0.61$ with $p<0.0001$ for both.

The present study was designed to study the impact of different anticoagulants on the test performance of multiple platelet function assays. Clearly, our results extend the current knowledge that the nature of the used anticoagulant influences platelet aggregation when measured with various platelet function methods. Results obtained with both LTA as well as the VN assay demonstrated a higher magnitude of platelet reactivity when measured in citrate as compared to hirudin. These results are in agreement with previous findings of Labarthe and colleagues and a previous finding from our group^{5,7}.

In contrast, results obtained with the PFA-100 CADP cartridge and PFA-100 INNOVANCE PFA P2Y cartridge were not significantly influenced by the anticoagulant used. Interestingly, the results obtained with MEA are opposite to the other platelet aggregation tests since a higher AUC was measured in hirudin as compared to citrate. Whether this effect might be due to the higher conductivity of the hirudinized blood samples as compared to the citrated blood samples or indeed due to an increased platelet functionality remains to be clarified^{8,9}.

Another interesting finding includes the fact that the novel PFA-100 INNOVANCE PFA P2Y cartridge has an increased sensitivity to P2Y₁₂ receptor inhibition when compared to the CADP cartridge since INNOVANCE PFA P2Y revealed a more pronounced prolongation of the CT in particular after in vitro addition of relatively low concentrations of cangrelor. More studies are underway to define the sensitivity of the PFA-100 INNOVANCE PFA P2Y cartridge for the effects of different P2Y₁₂ inhibitors (e.g. clopidogrel, prasugrel and ticagrelor).

Three limitations of the present study need to be acknowledged. First, the study did not explore relationships between platelet function test findings and clinical outcomes as platelet function was evaluated using healthy control samples, spiked with a P2Y₁₂ inhibitor *ex vivo*. Second, platelet function analysis was performed in the absence of ASA. Therefore, it remains to be determined whether the same findings are observed in aspirin treated subjects. Third, although the present study contains a sufficient number of subjects to study the effects of different anticoagulants on the magnitude of platelet reactivity, it does not allow an answer to the question which of these tests –if any- is the most predictive one for the (re)occurrence of atherothrombotic events

In conclusion, the present study confirms that the results of platelet function assays are highly dependent on the type of *ex vivo* anticoagulation. Solely aggregation based methods such as “classical” LTA and the VerifyNow system revealed a higher magnitude of platelet aggregation when measured in citrate as compared to hirudin. In contrast, the Multiplate demonstrated a higher magnitude of aggregation when using hirudin as anticoagulant when compared to citrate as anticoagulant.

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Part II

Identifying the most appropriate platelet function test for
Monitoring P2Y₁₂-receptor inhibition

Chapter 5

The Cone-and-Plate(let) analyzer is not suitable to monitor clopidogrel therapy: a comparison with the flowcytometric VASP assay and optical aggregometry

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ABSTRACT

Introduction

High on-clopidogrel platelet reactivity has been associated with an increased risk for atherothrombotic events. A new player on the horizon is the IMPACT-R ADP-test using ADP pre-stimulation. We here report the results of a thorough evaluation of this new device.

Materials and methods

The IMPACT-R ADP-test was evaluated in different categories of subjects. First, normal range values were determined in healthy subjects ($n=46$). Second, the effect of 600 mg of clopidogrel was evaluated with the IMPACT-R ADP-test and two other well-validated methods (flowcytometric VASP-analysis and optical aggregometry) in 21 patients. Third, a head-to-head comparison between the IMPACT-R ADP-test and optical aggregometry was performed in a large cohort of patients on dual antiplatelet therapy.

Results

The results of the IMPACT-R ADP-test were highly variable throughout healthy subjects. The administration of a high clopidogrel loading dose resulted in a small but significant increase in surface coverage but 61.9% of the patients were still identified as clopidogrel nonresponder. In contrast, optical aggregometry and VASP-analysis identified 24% and 33% of these patients as a clopidogrel nonresponder, respectively. Head-to-head comparison with optical aggregometry in 451 patients showed only a modest correlation between both methods ($r=0.20$, $p<0.0001$).

Conclusions

The IMPACT-R ADP-test is relatively insensitive to the effects of clopidogrel and cannot substitute for methods such as flowcytometric VASP-analysis and optical aggregometry. Further studies are required to establish the clinical usefulness of IMPACT-R ADP-test to accurately predict the occurrence of major adverse cardiovascular events in patients with high on-clopidogrel platelet reactivity before it can be implemented in clinical practice.

INTRODUCTION

Dual antiplatelet therapy with aspirin and clopidogrel has demonstrated its effectiveness in the secondary prevention of atherothrombotic events during and after coronary stent implantation.¹ Nonetheless, numerous studies have reported a wide inter-individual variability in platelet response to clopidogrel with a subset of patients being identified as low-responders.² Moreover, high on-clopidogrel platelet reactivity (HPR) despite the use of clopidogrel and aspirin is associated with recurrence of cardiovascular events in prospective studies.³⁻⁷

Therefore, it would be very helpful to have a simple point-of-care bedside platelet function assay that overcomes the limitations of the labour-intensive “gold standard” and that is capable of providing the results within several minutes.⁸ The Cone-and-Plate(let) analyzer (IMPACT-R) measures platelet adhesion and aggregation in whole blood under arterial shear conditions.⁹ This assay has recently been modified into a method that claims to be capable to monitor the effectiveness of clopidogrel therapy.⁹

In the present paper we sought to study normal values of the IMPACT-R ADP-test in healthy subjects not using antiplatelet therapy. Second, we studied the effect of a loading dose of clopidogrel on the IMPACT-R ADP-test and compared the results with “gold standard” and flowcytometric vasodilator-stimulated phosphoprotein (VASP)-analysis in patients with stable angina on chronic aspirin therapy. Third, in a large cohort of patients on dual antiplatelet therapy, we studied clinical and haematological variables that may predispose to HPR, as measured with the IMPACT-R ADP-test. Lastly, we investigated the correlation between in response to ADP and the IMPACT-R ADP-test in this same patient population.

METHODS

Study design and patient population

The present study consisted of three sub-studies: 1) the proposed cut-off value to segregate patients with normal platelet reactivity (NPR) from HPR ($\geq 2.8\%$ surface coverage (SC) after pre-incubation with ADP) was evaluated in 46 healthy volunteers. 2) the absolute change in platelet reactivity (as measured with the IMPACT-R ADP-test, OA and the flowcytometric VASP-assay) before and after a 600 mg loading of clopidogrel was evaluated in 21 patients with stable angina pectoris who were on aspirin (80-100 mg/day). 3) The magnitude of “on-clopidogrel platelet reactivity” was evaluated by parallel assessment of and the IMPACT-R ADP-test in a large cohort of 451 consecutive patients on aspirin and clopidogrel therapy. Written informed consent was obtained from all patients.

Blood sampling

Blood samples were drawn from the healthy volunteers and stable angina patients via the antecubital vein through a 18-gauge needle. Blood samples from the patients undergoing PCI were drawn in the catheterization laboratory from a six-French arterial sheath before the PCI and at least 24 h after the loading dose of clopidogrel. All patients were also on aspirin therapy (80-100 mg) for at least 7 days. The first 5 ml of blood was discarded and the blood was immediately collected in Sarstedt tubes containing 3.2% sodium citrate for platelet function measurements and K₃-EDTA to determine hematologic parameters such as haemoglobin, haematocrit, platelet count and MPV.

The IMPACT-R ADP-test

The IMPACT-R device (DiaMed, Cressier, Switzerland) is based on the cone and plate(let) analyzer (CPA) technology described previously.¹⁰ First, the obtained whole-blood samples were pre-stimulated with ADP at a sub-optimal concentration (1.38 μM) for 1 minute under gentle mixing (10 RPM). The rationale behind this step is that pre-stimulation with ADP leads to the formation of microaggregates in patients not using clopidogrel or in whom clopidogrel does not effectively inhibit platelet function. These microaggregated platelet temporarily lose their adhesive properties.⁹

In the second step, the ADP-pre-incubated whole blood sample (130 μL) is placed in a polystyrene well and subjected to shear (1800 s^{-1} for 2 min) using a rotating cone. Under these testing conditions, vWF and fibrinogen are instantly immobilized on the polystyrene surface, serving as a substrate for platelet adhesion and subsequent aggregation. The wells are washed and stained with May-Grunwald stain and analyzed with an inverted light microscope which is connected to an image analysis system. Platelet adhesion and aggregation on the surface are evaluated by examining the percentage of total area covered with platelet designated as surface coverage (SC). Patients with a high on treatment platelet reactivity are characterized with low surface coverage percentages. The optimal surface coverage cut-off value ($\text{SC} \leq 2.8\%$) to segregate patients with HPR from patients with normal on-treatment platelet reactivity (NPR) was derived from the medical literature.^{9,11}

The flowcytometric VASP-assay

Flowcytometric analysis of VASP phosphorylation was performed using a CE marked diagnostic kit from Biocytex (Marseille, France) as described previously.¹² In brief, citrated whole-blood was incubated with PGE_1 or $\text{PGE}_1 + \text{ADP}$ and fixed with paraformaldehyde after which the platelets were permeabilized and immunolabeled for 5 minutes using a CD61 phycoerythrin-labeled platelet specific antibody and a FITC-labeled VASP-P specific mouse monoclonal antibody or a negative isotopic control antibody. Diluted samples were analyzed on a 500 MPL flowcytometer (Beckman Coulter).

Platelet populations were identified by its forward and side scatter distribution and 5.000 platelets were gated at high rate. A platelet reactivity index (PRI) was calculated from the mean fluorescence intensity (MFI) of samples incubated with PGE_1 and $\text{PGE}_1 + \text{ADP}$ with the following formula:

$$\text{PRI (\%)} = [\text{MFI}_{(\text{PGE}_1)} - \text{MFI}_{(\text{PGE}_1 + \text{ADP})}] / [\text{MFI}_{(\text{PGE}_1)}] \times 100$$

Optical aggregometry (OA)

Citrated whole-blood samples were centrifuged at 120g for 10 minutes to obtain platelet-rich plasma and further centrifuged at 850g for 15 minutes to obtain platelet-poor plasma (PPP). Platelet aggregation was measured in non-adjusted platelet-rich-plasma after stimulation with ADP (final concentration: 5 $\mu\text{mol/L}$).¹³ "Peak" and "late" aggregation were measured on a APACT 4004 aggregometer (LABiTec, Arensburg, Germany) with PPP as the reference for 100% aggregation.¹⁴

Statistical analysis

The chi-square test was used to detect differences in categorical variables, and a two-sided Fisher exact test was used when any expected cell count was < 5 for a 2 by 2 table. Comparison of continuous variables was performed using the Student t test. A p -value < 0.05 was considered significant. The results of the IMPACT-R ADP-test were log-transformed to account for its skewed distribution. Pearson correlation was used to determine the correlation between the results of the IMPACT-R ADP-test and

ADP-induced OA. Multivariate logistic regression analysis was performed to identify characteristics independently associated with HPR as defined by the IMPACT-R ADP-test.

RESULTS

Normal subjects study

A total of 46 healthy volunteers with a mean age $\pm$ SD of 38.2 ± 8.9 years were enrolled. The interindividual variation in SC was widely distributed with mean $\pm$ SD and range of $10.7 \pm 4.3\%$ [3.2-24.6%] without ADP pre-stimulation and $3.0 \pm 2.1\%$ [0.5-11.5%] with ADP pre-stimulation (**Figure 1**). Surprisingly, 18 healthy volunteers (39%) met the criteria for “clopidogrel-responsiveness” ($SC > 2.8\%$) or “NPR” while they were not on any antiplatelet drug. Of note, $20 \mu\text{mol/L}$ ADP-induced “peak” aggregation was not statistically different in these subjects as compared to those who were considered HPR ($SC \leq 2.8\%$) ($86.3 \pm 3.4\%$ versus $85.1 \pm 3.3\%$, $P=0.26$)

The effects of a 600 mg loading dose of clopidogrel on the IMPACT-R ADP-test

A total of 21 stable angina patients on aspirin monotherapy were enrolled. The interindividual variability in baseline (“off-drug”) platelet reactivity was wide. As expected, the administration of a 600 mg loading dose of clopidogrel significantly decreased the PRI as measured with VASP-analysis from $75.56 \pm 12.9\%$ to $41.6 \pm 22.8\%$ ($P < 0.0001$; **Figure 2A**) and the magnitude of $20 \mu\text{mol/L}$ ADP-induced “peak” aggregation from $77.8 \pm 3.6\%$ to $54.3 \pm 15.1\%$ ($P < 0.0001$; **Figure 2B**). The administration of a 600 mg loading dose of clopidogrel significantly increased the SC after ADP pre-stimulation from $1.16 \pm 1.03\%$ to $3.64 \pm 4.12\%$ ($p=0.006$) (**Figure 2C**). Nonetheless, 61.9% of the patients were still considered with the IMPACT-R ADP-test ($SC \leq 2.8\%$) as being HPR despite the high clopidogrel loading dose. In contrast, and VASP-analysis identified 24% and 33% of these patients as clopidogrel nonresponder, respectively. The SC without ADP pre-stimulation did not change significantly (SC pre-clopidogrel loading: $6.75 \pm 5.14\%$ versus SC post-clopidogrel loading: 5.85 ± 5.97 , $P=0.37$; **Figure 2D**).

Figure 1 | Distribution of percentages surface coverage in healthy volunteers.

Figure 2 | Individual data as measured with the flowcytometric VASP-assay (left) and the IMPACT-R ADP-test (right) before and 6 h after the administration of a 600 mg loading dose of clopidogrel.

Patient cohort study

Four-hundred-fifty-one consecutive patients admitted to our catheterisation laboratory for elective PCI were enrolled. All were on daily aspirin therapy (80-100mg) for at least 7 days, 303 were on clopidogrel maintenance therapy for at least 7 days and 148 had received a 300 mg loading dose of clopidogrel in the 1-4 days prior to the PCI followed by a maintenance dosing of 75 mg per day. IMPACT-R platelet function testing with ADP pre-stimulation identified 250 patients (55.4%) who had a SC $< 2.8\%$ ("HPR") while 201 patients (44.6%) had a SC $\geq 2.8\%$ ("NPR"). **Table 1** shows the baseline characteristics of the two groups.

Table 1 | Demographics of the Study population

	HPR (SC<2.8%) n=250	NPR (≥2.8%) n=201	P-value
Clinical characteristics			
Age (yrs)	65.2 ± 11.6	62.9 ± 10.6	0.028
Male gender	172 (68.8%)	157 (78.1%)	0.033
CV-risk factors			
Diabetes Mellitus	49 (19.6%)	31 (15.4%)	0.27
Smoking	43 (17.2%)	24 (11.9%)	0.14
Dyslipidemia	204 (81.6%)	161 (80.1%)	0.72
Hypertension	187 (74.8%)	148 (73.6%)	0.83
Family Hx of CAD	139 (57.2%)	121 (61.7%)	0.38
BMI (kg/m ²)	27.2 ± 4.2	27.0 ± 3.9	0.60
Renal Failure	13 (5.5%)	6 (3.2%)	0.35
Medication			
Aspirin	250 (100%)	201 (100%)	1.00
Clopidogrel loading	92 (36.8%)	56 (27.9%)	0.06
Statins	203 (81.2%)	163 (81.1%)	1.00
B-blockers	195 (78.0%)	161 (80.1%)	0.56
Laboratory Parameters			
5 μM ADP-induced aggregation (%)	39.3 ± 13.8	32.8 ± 12.1	<0.0001
Platelet Count x10 ⁹ /L	267.3 ± 75.4	269.6 ± 77.4	0.75
Mean platelet volume	7.60 ± 1.05	7.36 ± 0.86	0.01
Fibrinogen (g/L)	4.07 ± 1.06	3.88 ± 0.85	0.05
Haemoglobin (g/dL)	13.48 ± 1.48	14.07 ± 1.40	<0.0001
Haematocrit (fraction)	0.40 ± 0.04	0.42 ± 0.04	<0.0001
vWF:Ag (%)	134.30 ± 51.6	136.50 ± 47.7	0.65
vWF:RCo (%)	114.36 ± 45.1	115.48 ± 45.8	0.80

Data are expressed as means ± SD or n with percentages between brackets. CAD: coronary artery disease; Hx: history

Figure 3 shows the scattergram comparing the individual IMPACT-R results (SC with ADP pre-stimulation) with ADP-induced “peak” and “late” aggregation as measured with OA. The overall agreement between the IMPACT-R results and either “peak” or “late” aggregation was poor ($r = 0.21$, $P < 0.001$ and $r = 0.22$, $P < 0.001$, respectively).

The influence of clinical and laboratory variables on the IMPACT-R ADP-test results

In univariate analysis, patients with HPR (SC <2.8%) were significantly older (65.2 ± 11.6 yrs versus 62.9 ± 10.6 yrs, $P = 0.028$) and were more often female (31.2% versus 21.9%, $P = 0.033$) as compared to

patients with NPR. Mean platelet volume was increased (7.60 ± 1.05 fL versus 7.36 ± 0.86 fL, $P=0.05$) and fibrinogen levels in the plasma were significantly higher in patients with HPR (4.07 ± 1.06 g/L versus 3.88 ± 0.85 g/L, $P=0.05$). Importantly, haemoglobin and haematocrit levels were lower in patients with HPR as compared to those with NPR (13.48 ± 1.48 g/dL versus 14.07 ± 1.40 g/dL, $P<0.0001$ for haemoglobin and 0.40 ± 0.04 versus 0.42 ± 0.04 , $P<0.0001$ for haematocrit). Patients who were on clopidogrel maintenance therapy of 75 mg/day for 5 days or longer were more likely to have NPR as compared to patients who recently had received a 300 mg loading dose. Of note, patients with HPR displayed a higher magnitude of ADP-induced aggregation as compared to patients with NPR (39.3 ± 13.8 versus 32.8 ± 12.1 , $p<0.0001$).

Multivariate analysis revealed that a higher MPV, an increased magnitude of ADP-induced aggregation and dosing of clopidogrel were independent predictors of HPR as measured with the IMPACT-R ADP test (Table 2).

Table 2 | Independent predictors of HPR as measured with the IMPACT-R ADP-test

	Odds-ratio	95%-CI	Significance
MPV	1.350	1.08-1.69	0.09
5 μ M ADP-induced aggregation (%)	1.039	1.021-1.057	<0.0001
Clopidogrel maintenance therapy	0.59	0.37-0.94	0.02

Figure 3 | Frequency histogram of the IMPACT-R test results with and without ADP pre-stimulation in 451 subjects on clopidogrel and aspirin therapy.

DISCUSSION

Although it is well-known that the pathophysiology of recurrent atherothrombotic events after coronary stent implantation is multifactorial, recent studies in the setting of coronary stenting have clearly demonstrated the clinical relevance of high on-treatment platelet reactivity as one of the key contributors.³⁻⁵ Accordingly, risk stratification for atherothrombotic events during-and after PCI with the use of platelet function tests has become a major field of interest.¹⁵ In fact, this strategy is also supported by the ACC/AHA PCI guidelines that have recognized this need and incorporated the following recommendation¹⁶: *“in patients in whom subacute thrombosis may be catastrophic or lethal (unprotected left main, bifurcating left main, or last patent coronary vessel), platelet aggregation studies may be considered and the dose of clopidogrel increased to 150 mg per day if less than 50% inhibition of platelet aggregation is demonstrated.”* (level of evidence: C)

Consequently, numerous efforts have been made to develop clinical laboratory tests that provide detailed measurements of the effects of aspirin and clopidogrel therapy on platelet function.⁸ At present, the gold standard tests to evaluate the effect of clopidogrel therapy are light transmittance aggregometry in response to ADP, and the flowcytometric VASP-assay. However, these tests are only available in specialized centres, labour intensive, and lack standardization and reproducibility. Therefore several automated bedside tests have been developed to measure the effects of clopidogrel.¹⁵ A new player on the horizon is the modified Cone-and-Plate(let) analyzer using ADP pre-stimulation. A potential advantage of this assay is the determination of platelet function under arterial shear conditions. However, limited data exists on the performance of this device. Moreover, the currently available data is provided by its designers and not by an independent resource.^{9,11}

In the present study, we thoroughly evaluated the IMPACT-R ADP test against well-validated platelet evaluation methods such a optical aggregometry and flowcytometric VASP-analysis.

Surprisingly, almost 40% of the healthy volunteers who did not use any antiplatelet drugs were classified as clopidogrel-responders using the cut-off levels provided by its designers. Furthermore, although there was a small but statistical significant increase in percentage of surface coverage after a 600 mg loading dose of clopidogrel, only 8 of the 21 patients (38%) were classified as “clopidogrel responders (or NPR)” using the proposed 2.8% cut-off level. The remaining 62% was classified with the IMPACT-R ADP as a clopidogrel poor-responder and this prevalence-rate is much higher than reported in the medical literature (range: 4.2% to 54%).¹⁷ In contrast, the flowcytometric VASP-assay¹⁸ with a well-validated threshold level (~50%) to segregate responders from non-responders identified only 7 out of 21 (33%) as being a clopidogrel poor-responder in the same population and optical aggregometry identified only 5 out of 21 (24%) as a clopidogrel non-responder using the widely accepted cut-off value of $\geq 70\%$ aggregation^{4, 19} Head-to-head comparison with two important parameters of ADP-induced aggregation (“peak” and “late” aggregation) revealed only a modest correlation ($r=0.20$).

Taking the results of these three substudies into account, it is obvious that the IMPACT-R ADP assay is relatively insensitive to the inhibitory effects of clopidogrel therapy and this is in contrast to the results reported by Shenkman and coworkers.¹¹

The most likely explanation for this relative insensitivity to the effects of clopidogrel therapy is related to the aspect of platelet function that is evaluated by the IMPACT-R ADP device. Thus far, the majority of studies investigating the issue of clopidogrel-responsiveness have been performed with solely aggregation based assays (such a optical aggregometry) or biochemical assays such as the

flowcytometric VASP analysis. These tests ignore other aspects of platelet function (i.e. platelet adhesion). In contrast, the main principle of the Cone-and-Plate(let) technology is primary based upon platelet adhesion under high shear.¹⁰ Given the pharmacological target of clopidogrel and the intracellular cascade that is initiated upon P2Y₁₂-receptor stimulation (glycoprotein IIb/IIIa-receptor activation),²⁰ it is likely that aggregation is a more important aspect of platelet function for the monitoring of clopidogrel therapy.

Another speculative explanation for the relative insensitivity of the IMPACT-R ADP-test could be the fact that it remains highly questionable whether the platelets of patients who respond adequately to clopidogrel are totally unaffected by ADP-pre-stimulation since the contribution of the P2Y₁-pathway mediated platelet activation should also be taken into account.²¹

Some issues merit careful consideration. First, platelet function testing before and after the administration of a loading dose was only performed in a small number of patients. Second, given the relatively small sample size of our studied population, we did not correlate platelet function test results with clinical outcome. Third, patient compliance to clopidogrel was verified by pharmacy refill data and personal interview but not by measuring the metabolites of clopidogrel.

In conclusion, the IMPACT-R ADP-test is relatively insensitive to the effects of clopidogrel therapy and cannot substitute for “gold standard” methods such as flowcytometric VASP-analysis and optical aggregometry in the monitoring of clopidogrel therapy. Further studies are required to establish the clinical usefulness of IMPACT-R ADP-test to accurately predict the occurrence of major adverse cardiovascular events in patients with high on-clopidogrel platelet reactivity before it can be implemented in clinical practice.

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<p>What is known on this topic?</p> <ul style="list-style-type: none"> • Numerous authors have reported a wide interindividual variability in the response to aspirin and clopidogrel • Heightened platelet reactivity despite aspirin and clopidogrel therapy has been associated with an increased risk for atherothrombotic events • Whether the IMPACT-R is capable to measure the effects of clopidogrel on platelet function remains to be established • Numerous authors have reported a wide interindividual variability in the response to aspirin and clopidogrel • Heightened platelet reactivity despite aspirin and clopidogrel therapy has been associated with an increased risk for atherothrombotic events • Whether the IMPACT-R is capable to measure the effects of clopidogrel on platelet function remains to be established 	<p>What does this paper add?</p> <ul style="list-style-type: none"> • The IMPACT-R ADP-test was evaluated in different categories of subjects. • The IMPACT-R ADP-test is relatively insensitive to the effects of clopidogrel and cannot substitute for methods such as flowcytometric VASP-analysis and optical aggregometry. • Further research is required to establish the clinical usefulness of IMPACT-R ADP-in predicting the occurrence of major adverse cardiovascular events.
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Chapter 6

Which platelet function test is suitable to monitor
clopidogrel responsiveness? A pharmacokinetic analysis
on the active metabolite of clopidogrel

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ABSTRACT

Background

Multiple platelet function tests claim to be P2Y₁₂-pathway specific and capable of capturing the biological activity of clopidogrel.

Objectives

The aim of the present study was to determine which platelet function test provides the best reflection of the *in vivo* plasma levels of the active metabolite of clopidogrel (AMC).

Patients/Methods

Clopidogrel-naïve patients scheduled for elective PCI received a 600 mg loading dose of clopidogrel and 100 mg of aspirin. For pharmacokinetic analysis, blood was drawn at 0, 20, 40, 60, 90, 120, 180, 240 and 360 minutes after clopidogrel loading and peak plasma concentrations ($c_{\max}$) of the AMC were quantified with liquid chromatography-tandem mass spectrometry. Platelet function testing was performed at baseline and 360 minutes after clopidogrel loading.

Results

The VASP-assay, the VerifyNow P2Y₁₂-assay and 20 $\mu\text{mol/L}$ ADP-induced light transmittance aggregometry (LTA) showed strong correlations with $c_{\max}$ of the AMC (VASP: $r=0.75$, $p<0.001$; VerifyNow PRU: $r=0.67$, $p=0.001$; VerifyNow %inhibition: $r=0.76$, $p<0.001$; 20 $\mu\text{mol/L}$ ADP-induced LTA: $r=0.68$, $p=0.001$). Agreement with $c_{\max}$ of the AMC was less evident for 5 $\mu\text{mol/L}$ ADP-induced LTA or WBA, while the IMPACT-R ADP test did not show any correlation with plasma levels of the AMC.

Conclusion

The flowcytometric VASP-assay, the VerifyNow P2Y₁₂ assay and, though to a lesser extent, 20 $\mu\text{mol/L}$ ADP induced LTA correlate best with the maximal plasma level of the AMC, suggesting these may be the preferred platelet function tests for monitoring the responsiveness to clopidogrel.

INTRODUCTION

Clopidogrel is administered as an inactive prodrug requiring metabolization by the hepatic cytochrome P450 system for its antiplatelet activity¹. The formed active thiol metabolite of clopidogrel (AMC) irreversibly inhibits adenosine diphosphate (ADP) mediated platelet activation and aggregation by antagonizing the P2Y₁₂-receptor². Although clopidogrel has proven its efficacy in reducing atherothrombotic events after PCI, a considerable interindividual variability in response has been reported³. Clinical, genetic and pharmacokinetic factors contribute to this wide variability in response and it has been demonstrated that the absolute magnitude of ADP-induced platelet reactivity at the time of PCI is associated with an increased risk of atherothrombotic events, including stent thrombosis⁴⁻¹¹. It is therefore of utmost importance to have a confident parameter of the *in vivo* activity of clopidogrel. Multiple platelet function tests claim to be P2Y₁₂-pathway specific and to be capable of capturing the specific biological activity of clopidogrel¹². Since the AMC is prone to rapid inactivation of its reactive thiol group¹³, quantitative methods for the detection of the AMC require a sophisticated stabilization protocol. Data on the performance of different platelet function tests in relation to plasma levels of the AMC are therefore scarce.

In the present study we sought to investigate which of the currently available platelet function tests - if any - provides the best representation of the *in vivo* plasma levels of the active metabolite of clopidogrel.

METHODS

Patient population

Consecutive patients with stable angina pectoris scheduled for PCI were eligible. All patients were on 80-100 mg aspirin therapy daily. Exclusion criteria were a history of bleeding diathesis, presence of an acute coronary syndrome (ACS), platelet count $<150 \times 10^9/L$, the use of a glycoprotein IIb/IIIa inhibitor or a coumarin within the last 14 days or any contraindication to clopidogrel or aspirin. The study protocol complied with the declaration of Helsinki and was approved by the ethical committee of our institution, and all patients gave written informed consent for participation.

Study protocol and blood sampling

All eligible patients visited the outpatient clinic for platelet function evaluation, physical examination and a standardized interview. All patients received a witnessed 600 mg loading dose of clopidogrel and 100 mg of aspirin. Blood samples for platelet function evaluation were drawn from the antecubital vein with a loose tourniquet and collected in citrated (3.2%) non-vacuum tubes (Sarstedt, Nümbrecht, Germany) before and 6 hours after the clopidogrel loading dose. All blood samples were processed within 2 h after collection. Blood samples for determining the AMC plasma concentration were collected from the antecubital vein in tubes containing K₃-EDTA at 0, 20, 40, 60, 90, 120, 180, 240 and 360 minutes after the clopidogrel loading dose. Samples were immediately centrifugated at 1500 g for 10 minutes and plasma was pipetted into tubes containing a stabilizing agent (Pat. No. DE 10 2004 046 159.7)¹⁴ in order to prevent degradation of the AMC. After vortexing for 60 seconds, samples were stored at -80°C until analysis of the AMC plasma concentration with liquid chromatography tandem mass spectrometry (LC-MS/MS).

Platelet Function testing

Platelet function was evaluated using five different ADP-induced platelet function tests. All tests were performed according to the manufacturer's recommendations or according to generally accepted standard procedures.

1. *Light transmittance aggregometry (LTA)*

LTA was quantified in non-adjusted platelet-rich plasma on a four-channel APACT 4004 aggregometer (LABiTec, Arensburg, Germany). Platelet-poor-plasma was set as 100% aggregation and after stimulation of platelet aggregation with ADP in final concentrations of 5 and 20 $\mu\text{mol/L}$, both peak (maximal) and late (at 360 seconds) aggregation (%) were measured³⁵.

2. *Whole-blood Aggregometry (WBA)*

Whole-Blood aggregometry (WBA) was performed in citrated whole blood as described previously³⁶. In brief, citrated whole blood was diluted 1:1 with pre-warmed (37°C) saline. Platelet aggregation was induced by the addition of 10 $\mu\text{mol/L}$ ADP and the increase in impedance caused by aggregated platelets between the two electrodes was recorded on a ChronoLog 700 model Aggregometer (ChronoLog, Havertown, PA, USA). The results are reported as the maximal amplitude of impedance (Ω) after 10 minutes.

3. *IMPACT-R test*

The IMPACT-R device (DiaMed, Cresier, Switzerland) is based on the cone and plate(let) analyzer technology³⁷. Citrated whole-blood samples were incubated for 1 minute with 1.38 $\mu\text{mol/L}$ ADP, causing the formation of micro platelet aggregates in nonresponsive samples. A volume of 130 μL of this ADP-pre-incubated whole blood sample was pipetted on a polystyrene well and subjected to shear (1800 s^{-1}) for 2 minutes, using a rotating cone. The wells were washed and stained followed by measurement of the percentage of surface coverage (SC) from shear-induced platelet adhesion and aggregation with an image analysis system. The percentage SC is inversely correlated with the magnitude of ADP-induced platelet activation.

4. *The VerifyNow® P2Y₁₂ assay*

The VerifyNow® P2Y₁₂ assay (Accumetrics, San Diego, CA, USA) is based on optical detection of platelet aggregation in whole blood³⁸. The assay contains 20 $\mu\text{mol/L}$ ADP to induce platelet aggregation and 22 nmol/L prostaglandin E₁ to suppress the undesirable contribution from ADP-agonism of P2Y₁-receptors to platelet aggregation. The magnitude of ADP-induced platelet activation is expressed as P2Y₁₂ reaction units (PRU). In addition, the device calculates the percentage of P2Y₁₂-inhibition, based on TRAP-induced platelet aggregation ('Base value') and PRU.

5. *Flowcytometric Vasodilator-Stimulated Phosphoprotein (VASP)-analysis*

Flowcytometric analysis of VASP phosphorylation was performed using a commercially available kit from Biocytex (Marseille, France)[10]. In brief, citrated blood was incubated with either PGE₁ or PGE₁+ADP and fixed with paraformaldehyde, after which platelets were permeabilized followed by immunolabeling with a CD61 phycoerythrin-labeled platelet specific antibody and a FITC-labeled VASP-P specific mouse monoclonal antibody or a negative isotopic control antibody. Samples were analyzed on a 500 MPL flowcytometer (Beckman Coulter). The magnitude of platelet activation was expressed as the platelet reactivity index (PRI), which can be calculated from the mean fluorescence intensity (MFI) of samples incubated with PGE₁ or PGE₁+ADP using the following formula:

$$\text{PRI (\%)} = \left[\frac{\text{MFI}_{(\text{PGE}_1)} - \text{MFI}_{(\text{PGE}_1 + \text{ADP})}}{\text{MFI}_{(\text{PGE}_1)}} \right] \times 100.$$

Liquid chromatography-tandem mass spectrometry (LC-MS/MS)

Analysis and detection of the active thiol metabolite were performed as previously described on a triple-quadrupole tandem mass spectrometer (TSQ Quantum, Thermo Electron, Dreieich, Germany)¹⁹. Measurements were performed in triplicate using a Surveyor HPLC system. Plasma concentration versus time data of each patient were fitted by a one-compartment first order model (Bateman function $r > 0.94$) using WinNolin™ Software (Pharsight, Palo Alto, CA, USA). The maximal plasma concentration (C_{max} , ng/mL) was calculated from the individual regression fits.

Sample size calculation and statistics

The t-test for point biserial correlation based on the noncentrality parameter δ was used for a priori sample size calculation assuming a two-sided significance level of $\alpha=0.05$ and a power $1-\beta=0.95$. From previous assessments (and from the clinical perspective that a diagnostic test should explain at least 50% of the variance of a variable) a determination coefficient of $r^2=0.5$ was deemed relevant¹⁹. The total required sample size was calculated to be 16. Platelet function testing results were related to the C_{max} of the AMC, since this appeared to be the pharmacokinetic parameter correlating best with inhibition of platelet aggregation (IPA) in a previous study¹⁹. Continuous variables are expressed as mean $\pm$ SD and categorical variables as frequencies (%). The individual clopidogrel responsiveness, defined as the absolute decrease in platelet reactivity from baseline to 6 hours after clopidogrel loading, was tested using a paired t-test. The Pearson correlation coefficient was calculated to test the correlation between the C_{max} of the AMC and the absolute inhibition of platelet aggregation (IPA) at 6 h after clopidogrel loading. Additionally, a normalized ANOVA was performed on the ratio “% platelet inhibition ([baseline-T6]/baseline) / C_{max} of the AMC”, to determine the statistical significance of the observed differences between platelet function tests with regard to their correlation with plasma levels of the AMC. All Statistical analyses were performed with SPSS (version 15.0, SPSS Inc., Chicago, IL, USA) and p -values < 0.05 were considered statistically significant.

RESULTS

A total of 20 consecutive patients were enrolled. Clinical characteristics of the studied population are summarized in **Table 1**. All patients were on aspirin therapy (80-100 mg/day) at the time of inclusion.

Responsiveness to a 600 mg loading dose of clopidogrel

All platelet function tests were able to detect a significant reduction in platelet function 6 h after clopidogrel loading dose administration ($p<0.001$ for all), except the IMPACT-R ADP-test ($p=0.13$). Despite the observed inhibitory shift in test results after clopidogrel administration, some overlap between baseline and post-clopidogrel values was notable for all platelet function tests.

Correlation between platelet function tests

Results of the VASP, VerifyNow P2Y₁₂ assay and 20 μ mol/L ADP induced LTA showed moderate to good correlation with one and another, but lacked significant correlation with WBA and the IMPACT-R ADP-test (**Table 2**). Agreement between LTA induced by 5 μ mol/L ADP and the other tests was less explicit, showing a moderate correlation with 20 μ mol/L ADP induced LTA and the VerifyNow P2Y₁₂ assay, but no correlation at all with the VASP-assay, nor with the WBA and the IMPACT-R ADP-test.

Table 1 | Baseline characteristics

Baseline characteristics (n=20)	
Age (yrs)	60.2 ± 10.3
Men	19 (95%)
Risk Factors	
Hypertension	13 (65%)
BMI (kg/m ²)	27.90 ± 3.31
Diabetes Mellitus	2 (10%)
Dyslipidemia	13 (65%)
Current smoker	12 (60%)
Familial history of CAD	12 (60%)
Previous MI	9 (45%)
Previous PCI	9 (45%)
Concomitant medication	
Aspirin	20 (100%)
ACE inhibitor	8 (40%)
Beta-blocker	14 (70%)
Statin	18 (90%)
Laboratory parameters	
Platelet count in whole blood (x 10 ⁹ /L)	242 ± 53
Platelet count in PRP (x 10 ⁹ /L)	356 ± 96
Hemoglobin (mmol/L)	8.9 ± 0.5

Continuous variables are presented as mean ± SD, and categorical variables as counts (%). BMI=body mass index, CAD=coronary artery disease, MI= myocardial infarction, PCI= percutaneous coronary intervention, PRP= platelet-rich plasma

Figure 1 | Correlation between the c_{max} of the AMC (ng/mL) and IPA assessed using the flowcytometric VASP-assay (upper graph) and the VerifyNow P2Y₁₂ assay, both PRU (middle graph) and % inhibition (lower graph). IPA was calculated as Δ [baseline-T6] of the results for each platelet function test. The correlation coefficient (r) and the corresponding p -values are represented in the separate graphs.

Correlation between IPA and plasma levels of the AMC

Linear regression analysis revealed major differences between the various platelet function tests regarding correlations between IPA and the $c_{\max}$ of the AMC. The highest correlation coefficients were observed for the flowcytometric VASP-assay ($r=0.75$, $p<0.001$; **Figure 1**) and the VerifyNow P2Y₁₂ assay ($r=0.67$, $p=0.001$ for PRU and $r=0.76$, $p<0.001$ for % inhibition). The absolute change in platelet reactivity when measured with 20 $\mu\text{mol/L}$ ADP induced LTA also showed strong correlations with the $c_{\max}$ value of the AMC ($r=0.68$, $p=0.001$ for both peak and late IPA; **Figure 2**). In contrast, no significant association was observed between the $c_{\max}$ value of the AMC and IPA when measured with LTA using a lower concentration of 5 $\mu\text{mol/L}$ ADP (peak: $r=0.43$, $p=0.06$; late: $r=0.14$, $p=0.56$) or WBA ($r=0.27$, $p=0.28$; **Figure 2,3**). The IMPACT-R ADP-test did not show any correlation with $c_{\max}$ of the AMC (**Figure 3**). The normalized ANOVA revealed that the IMPACT-R ADP-test was significantly inferior as compared to all other platelet function tests (p values for comparisons with all other tests were <0.05), while no statistical differences were observed between all other platelet function tests ($p > 0.05$).

Table 2 | Correlation between different platelet function tests

Correlation coefficient (r)	LTA 5 ADP peak	LTA 5 ADP late	LTA 20 ADP peak	LTA 20 ADP late	WBA	VerifyNow PRU	VerifyNow % inhibition	Impact-R ADP	VASP PRI
LTA 5 ADP peak	1	0.84 [‡]	0.81 [‡]	0.78 [‡]	0.13	0.56 [*]	0.56 [†]	-0.19	0.16
LTA 5 ADP late		1	0.59 [†]	0.56 [*]	-0.01	0.36	0.34	-0.03	0.02
LTA 20 ADP peak			1	0.96 [‡]	0.21	0.82 [‡]	0.80 [‡]	-0.36	0.50 [*]
LTA 20 ADP late				1	0.32	0.83 [‡]	0.79 [‡]	-0.25	0.49 [*]
WBA					1	0.20	0.23	0.16	0.08
VerifyNow PRU						1	0.94 [‡]	-0.3	0.55 [*]
VerifyNow % inhibition							1	-0.38	0.64 [†]
Impact-R ADP								1	-0.48 [*]
VASP PRI									1

Correlation coefficients (r) are presented for each mutual comparison, ^{*} $p<0.05$, [†] $p<0.01$, [‡] $p<0.001$. ADP=adenosine diphosphate, LTA=light transmittance aggregometry, PRI=platelet reactivity index, PRU=P2Y₁₂ reaction units, VASP=vasodilator-stimulated phosphoprotein, WBA=whole blood impedance aggregometry.

Figure 2 | Correlation between the c_{max} of the AMC (ng/mL) and IPA assessed using the ADP-induced LTA using 5 $\mu\text{mol/L}$ and 20 $\mu\text{mol/L}$ (upper and lower panels, respectively) ADP-induced peak and late (at 360 sec.) aggregation (left and right panels, respectively). IPA was calculated as Δ [baseline-T6] of the results for each platelet function test. For each test, the correlation coefficient (r) and the corresponding p -values are represented in the separate graphs.

DISCUSSION

The magnitude of platelet inhibition varies widely according to the platelet function assay used in monitoring responsiveness to clopidogrel. The current recommendations issued by the American College of Cardiology (ACC), the American Heart Association (AHA), and the Society for Cardiovascular Angiography and Interventions (SCAI) state the following: "In patients in whom stent thrombosis may be catastrophic or lethal (unprotected left main, bifurcating left main, or last patent coronary vessel), platelet aggregation studies may be considered and the dose of clopidogrel increased to 150 mg per day if <50% inhibition of platelet aggregation is demonstrated"²⁰. However, these guidelines do not further specify any details on which test or test conditions should be used. This puts the clinician in a difficult situation since multiple platelet function tests are now widely available but little is known about their ability to provide a reliable reflection of the *in vivo* biological activity of clopidogrel.

The present study demonstrates that the flowcytometric VASP-assay and the VerifyNow P2Y₁₂-assay are the most appropriate platelet function tests to monitor peak plasma levels of the AMC, achieved by a 600mg loading dose of clopidogrel. Therefore, these platelet function tests are likely to be the most accurate in measuring the actual *in vivo* biological activity of clopidogrel.

Figure 3 | Correlation between the c_{max} of the AMC (ng/mL) and IPA assessed using the ADP-induced whole blood impedance aggregometry (WBA) and the IMPACT-R ADP test. IPA was calculated as Δ [baseline-T6] of the results for each platelet function test. For each test, the correlation coefficient (r) and the corresponding p -values are represented in the separate graphs.

LTA is commonly recognized as the “gold standard” platelet function test and showed fine correlations with peak plasma levels of the AMC as well, though only when platelet aggregation was stimulated with a high concentration of ADP (20 $\mu\text{mol/L}$). LTA induced by 5 $\mu\text{mol/L}$ lacks significant correlation with peak plasma levels of the AMC, and should therefore not be used to determine clopidogrel responsiveness. Both the flowcytometric VASP-assay and the VerifyNow P2Y₁₂-assay incorporate PGE₁ to decrease the contribution of ADP-agonism at the P2Y₁-receptor to platelet aggregation, thereby increasing the selectivity of these tests for the P2Y₁₂-pathway^{23, 22}. This might indeed be reflected by the high agreement between IPA and the c_{max} of the AMC when measured with these tests. Using a higher concentration of the agonist ADP (20 $\mu\text{mol/L}$) appears to improve the assessment of the responsiveness to clopidogrel as well, since 20 $\mu\text{mol/L}$ ADP-induced LTA showed a higher degree of correlation with peak plasma levels of the AMC than 5 $\mu\text{mol/L}$ ADP-induced LTA.

Although the VASP-assay has the advantage of providing stable test results until 24 hour after blood drawing, both the VASP-assay and LTA are relatively labour intensive²³. In contrast, the point-of-care VerifyNow P2Y₁₂ assay is suitable for use in daily clinical practice, since the semi-automated technique allows rapid assessment of platelet inhibition in whole blood without the need for expert laboratory personnel. The accuracy of the IMPACT-R ADP-test in monitoring clopidogrel-responsiveness might be seriously hampered by the requirement of multiple sample preparation proceedings that are sensitive to introduction of variation in test results by the technician performing the test.

Furthermore, the IMPACT-R ADP-test relies on a mechanism of platelet activation induced by shear stress, which is a different aspect of platelet reactivity, and apparently not a very suitable method for monitoring inhibition of the P2Y₁₂-pathway.

The present study shows that the flowcytometric VASP, the VerifyNow P2Y₁₂-assay and 20 µmol/L ADP induced LTA are the most appropriate tests for determining the *in vivo* plasma levels of the AMC. In addition, these tests have previously been shown to predict clinical outcome in patients treated with clopidogrel⁵⁻¹⁰. Poor responsiveness to clopidogrel is however one out of multiple factors contributing to the development of atherothrombotic events. Furthermore, the magnitude of post-treatment platelet reactivity is a composite of both clopidogrel responsiveness as well as pre-treatment (baseline) platelet reactivity, resulting in a high on-treatment platelet reactivity in some patients showing a sufficient response to clopidogrel^{24, 25}. Hence, other platelet function tests evaluating different aspects of platelet function, may as well be capable of predicting clinical outcome in patients on clopidogrel, despite the lack of correlation with plasma levels of the AMC.

The present study describes the level of correlation of different ADP-induced platelet function tests with peak plasma levels of the AMC. This important message might resolve the ongoing debate on which platelet function test is suitable for monitoring clopidogrel responsiveness. Two aspects have to be addressed that need to be explored to further improve the clinical applicability of this message. First, the present study does not cover the complete subset of platelet function tests available for monitoring clopidogrel-responsiveness. Additional tests include the Multiplate and the thromboelastography, which might show correlation with the plasma levels of the AMC as well. Second, although the present study contains a sufficient number of patients for a pharmacokinetic analysis, it does not allow an answer to the question whether patients with low plasma levels of the AMC are the ones to develop atherothrombotic complications after PCI. Nonetheless, combining the results of the present study with the already available evidence on the clinical efficacy of clopidogrel in reducing atherothrombotic events after PCI suggests a positive answer to that question.

In conclusion, the flowcytometric VASP-assay, the VerifyNow P2Y₁₂ assay and, though to a lesser extent, 20 µmol/L ADP induced LTA correlate best with the maximal plasma level of the AMC, suggesting these may be the preferred platelet function tests for monitoring the responsiveness to clopidogrel. Further distinction between these tests should be based on their labour intensiveness, costs, and most importantly their sensitivity and specificity in predicting the occurrence of atherothrombotic events after PCI.

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Chapter 7

Comparison of platelet function tests in predicting clinical outcome in patients undergoing coronary stent implantation

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ABSTRACT

Context

High on-treatment platelet reactivity (HPR) is associated with atherothrombotic events following coronary stent implantation.

Objective

To evaluate the capability of multiple platelet function tests to predict clinical outcome.

Design, Setting and patients

Prospective, observational, single-center cohort study of 1069 consecutive patients on clopidogrel undergoing elective coronary stent implantation between December 2005 and December 2007. On-treatment platelet reactivity was measured in parallel by light transmittance aggregometry (LTA), the VerifyNow® P2Y₁₂ assay, the Plateletworks® assay, the IMPACT-R and the PFA-100® System (with the Dade® PFA Collagen/ADP Cartridge and INNOVANCE® PFA P2Y). Cut-off values for HPR were established by receiver-operator characteristic (ROC) curve analysis.

Main Outcome Measurement

The primary endpoint was defined as a composite of all-cause death, non-fatal acute myocardial infarction, stent thrombosis and ischemic stroke. The primary safety endpoint included TIMI major and minor bleeding.

Results

Kaplan-Meijer analysis demonstrated that at one-year follow-up, the primary endpoint occurred more frequently in patients with HPR when assessed by LTA (52[11.7%{95%-CI=8.9-15.0%}] vs 36[6.0%{95%-CI=4.2-8.2%}], $p=0.0009$ [n=1049]), VerifyNow® (54[13.3%{95%-CI=10.2-17.0%}] vs 37[5.7%{95%-CI=4.1-7.8%}], $p<0.0001$ [n=1052]), Plateletworks® (33[12.6%{95%-CI=8.8-17.2%}] vs 21[6.1%{95%-CI=3.8-9.2%}], $p=0.005$ [n=606]) and INNOVANCE® PFA P2Y (18[12.2%{95%-CI=7.4-18.6%}] vs 28[6.3%{95%-CI=4.3-8.9%}], $p=0.02$ [n=588]). ROC-curve analysis demonstrated that LTA (AUC=0.63; 95%-CI=0.58-0.68), VerifyNow® (AUC=0.62; 95%-CI=0.57-0.67) and Plateletworks® (AUC=0.61; 95%-CI=0.53-0.69) were able to discriminate between patients with and without primary endpoint. The IMPACT-R (n=905) and the Siemens® PFA Collagen/ADP (n=812) were unable to discriminate between patients with and without the primary endpoint at one-year follow-up. None of the platelet function tests were able to identify patients at risk for bleeding.

Conclusion

Of the platelet function tests assessed only LTA, VerifyNow®, Plateletworks® and INNOVANCE® PFA P2Y were significantly associated with the primary endpoint. However, the predictability of these four tests was only modest. None of the tests provided accurate prognostic information to identify patients at higher risk of bleeding. Thus, the POPular-study does not support the use of platelet function testing to guide clinical practice in a low-risk population of patients undergoing elective PCI.

INTRODUCTION

Dual antiplatelet therapy with aspirin and clopidogrel reduces atherothrombotic complications in patients undergoing percutaneous coronary intervention (PCI) with stenting.^{1,2} However, the individual response to dual antiplatelet therapy is not uniform and consistent findings across multiple investigations support the association between a lower degree of platelet inhibition, a high on-treatment platelet reactivity (HPR), and the occurrence of atherothrombotic events.³⁻¹⁰

The major drawbacks of these previous investigations are the relatively small sample size of the studied populations and the fact that on-treatment platelet reactivity was evaluated by only one platelet function test per study. There is currently no consensus regarding the most appropriate method to quantify the magnitude of on-treatment platelet reactivity. Therefore, the aim of *The Do Platelet Function Assays Predict Clinical Outcomes in clopidogrel Pretreated patients undergoing elective PCI* (the POPular-study) was to evaluate the ability of multiple platelet function tests in predicting atherothrombotic events, including stent thrombosis, in clopidogrel pre-treated patients undergoing PCI with stent implantation.

METHODS

Study Population

Consecutive patients with established coronary artery disease scheduled for elective PCI with stent implantation were included in this study. All patients received optimal clopidogrel treatment (defined as a maintenance of 75 mg daily therapy for >5 days or a loading dose of 300 mg at least 24h before PCI or 600 mg at least 4 hours before PCI. All patients received aspirin at a dose of 80 to 100 mg daily ≥ 10 days, unless they were on long-term anticoagulation with coumadins. According to our institutional practice, all patients (both after drug eluting and bare-metal stenting) are treated with clopidogrel for at least one year since the year 2003. Clopidogrel and aspirin maintenance doses are 75 mg and 80-100 mg daily respectively. Higher maintenance doses are not used. Compliance to antiplatelet medication is routinely assessed by outpatient visits at 6 weeks, 3 months and 1 year. For patients included in the POPular-study additional telephone contact at 30 days and 12 months were performed. Compliance was also verified by pharmacy refill data.

All interventions were performed according to current guidelines¹¹ and the choice of stent type and periprocedural use of glycoprotein (GP) IIb/IIIa inhibitors was left to the operator's discretion, but the latter were always administered after blood collection. Patients using concomitant medication known to affect platelet function other than aspirin (i.e. NSAIDs, dipyridole, upstream GP IIb/IIIa inhibitors), patients with a known platelet function disorder or a whole blood platelet count <150.000/ μ L were excluded. Written informed consent was obtained before PCI. All data were prospectively collected and entered into a central database. Clinical follow-up was obtained by contacting all patients at 30 days and 12 months and a double-check was performed on the basis of source documents obtained from medical records from the referring hospitals.

The study was conducted according to the principles of the Declaration of Helsinki and the laws and regulations applicable in the Netherlands. The local institutional review board (Verenigde Commissies Mensgebonden Onderzoek [VCMO]) approved the study.

Follow-up and endpoints

The primary endpoint of the POPular-study was defined as a composite of all-cause death, non-fatal myocardial infarction (defined as the occurrence of ischemic symptoms and a spontaneous [i.e. not peri- or post-procedural] troponin T value or creatine kinase MB greater than the upper limit of normal), stent thrombosis (definite stent thrombosis according to the Academic Research Consortium criteria³²) and ischemic stroke (focal loss of neurologic function caused by an ischemic event). The primary safety endpoint was defined as major or minor bleeding according to the modified Thrombolysis In Myocardial Infarction (TIMI) Study Group criteria.³³

Exploratory endpoints included elective target vessel revascularization (TVR; revascularization of the vessel treated at the time of inclusion in the study), elective non-target vessel revascularization (non-TV R; revascularization of a vessel different from that treated at the time of enrolment) and hospitalization for ischemia (hospitalization with ischemic symptoms, evidence for ischemia on electrocardiogram, but without elevated cardiac markers).

An independent committee, blinded for platelet function data, adjudicated all endpoints through review of source documents of medical records.

Blood sampling

Before heparinization, whole blood was drawn from the femoral or radial artery sheath. Blood samples were collected into 3.2% citrate tubes for light transmittance aggregometry, (LTA) and the IMPACT-R. The VerifyNow® P2Y₁₂ was performed using Greiner tubes, according to the manufacturer's test protocol. For the PFA-100® System (Siemens Healthcare Diagnostics Products GmbH, Germany) 3.8% buffered citrated blood was used, according to the manufacturer's test protocol. Blood samples for whole blood count were drawn into tubes containing K₃-EDTA and tubes containing PPACK (50 µmol/L) to perform the Plateletworks®.

Platelet Function Measurements

The magnitude of on-treatment platelet reactivity was quantified using the platelet function tests in parallel: LTA with adenosine diphosphate (ADP) 5 and 20 µmol/L as the agonist, the VerifyNow® P2Y₁₂ assay, the Plateletworks® assay using ADP tubes, the IMPACT-R assay (both with and without ADP pre-stimulation) and the Dade® PFA Collagen/ADP Test Cartridge (PFA-100® System). Halfway through the POPular-study, the final prototype of the novel INNOVANCE® PFA P2Y (PFA-100® System) became available for performance evaluation. Except for the INNOVANCE® PFA P2Y, which is still under development at time of submission, all platelet function tests were commercially available at the start of the study. All platelet function measurements were performed within 2 hours after blood collection. A detailed description of the platelet function tests is summarized in Supplementary Online Content.³⁴

^{35, 16, 17, 18}

Statistical Analysis

Sample size calculation was based on the ISAR-REACT I trial³⁹ that included a cohort with similar selection criteria and the same treatment strategy. Therefore, we assumed an incidence of the primary endpoint of 6%. The study was designed on the basis of the superiority principle to have 80 percent power to observe an incidence of the primary end point in patients exhibiting high on-treatment platelet reactivity (HPR) of 10% and 4% in patients without HPR. On this basis, 380 patients were needed in each group. To compensate for loss to follow-up, we aimed for a population of 800 as

measured with each test. Owing to irregularities in platelet assay supply as well as technical failure in a minority of platelet function tests, not all platelet function assays were performed in every patient. Inclusion continued until at least 4 tests had sufficient power.

Continuous variables are presented as mean $\pm$ SD. Categorical data are reported as frequencies (percentages). Categorical variables were compared using the chi-square test. Normally distributed continuous variables were compared with a two-sided unpaired t test. Since the PFA-100® System confines detection of a closure time to a 300-s window, and, because the majority of patients on adequate antiplatelet therapy exhibit non-closure according to INNOVANCE® PFA P2Y, the results of the PFA-100® System are depicted as a Kaplan Meier time-to-aperture-closure plot and a log-rank test was used.

To evaluate a platelet function assay's ability to distinguish between patients with and without primary endpoint at one-year follow-up, a receiver-operator characteristic (ROC) curve analysis was calculated for each test. The optimal cut-off level was calculated by determining the smallest distance between the ROC-curve and the upper left corner of the graph. Patients above the optimal cut-off level were considered to exhibit HPR. Survival analysis for patients with and without HPR according to the ROC of the specific test, were performed using the Kaplan-Meier method, and the differences between groups were assessed by the log-rank test. The measure of effect was the Odds Ratio (OR) and estimated from a logistic regression analysis. A second ROC curve analysis was performed based on the one-year primary safety endpoint; combined TIMI major and minor bleedings.

Logistic regression modelling was used to identify independent correlates of the primary endpoint and to adjust for potential confounders (classic cardiovascular risk factors, renal failure, left ventricular ejection fraction $<45\%$, total stent length, number of lesions treated, amount of stents implanted, bifurcation lesions, co-medication [including use of clopidogrel loading dose, coumadins, proton pump inhibitors, calcium channel blockers, statins or GP IIb/IIIa inhibitors], laboratory parameters [hemoglobin, platelet count and mean platelet volume], left anterior descendens coronary artery (LAD) or graft-stenting). All univariate variables with a p -value <0.10 were included in multivariable analysis. Whether a variable had additional contribution to a logistic regression model without that variable was tested with the likelihood-ratio test. The Hosmer-Le Cessie goodness-of-fit test was performed to assess the adequacy of the model. All statistical analyses were performed with R (version 2.9, <http://www.r-project.org>) and a two-tailed p -value of <0.05 was considered significant. The authors had full access to and take full responsibility for the integrity of the data. All authors have read and agreed to the manuscript as written.

RESULTS

In total 1328 consecutive patients were invited to participate in the study, 21 (1.6%) refused to participate. Another 238 patients were initially included in the study, but since no stent was implanted they were also excluded (e.g. patients underwent only balloon angioplasty or a fractional flow reserve-measurement demonstrating non-ischemic coronary disease), resulting in a population of 1069 consecutive patients. Owing to irregularities in platelet assay supply, particularly in the supply of the Plateletworks®, as well as technical failure in a minority of platelet function tests, not all platelet function assays were performed in every patient. As a consequence, LTA was performed in a total of 1049 patients with 5 $\mu\text{mol/L}$ ADP and in 1051 with 20 $\mu\text{mol/L}$ ADP; the VerifyNow® P2Y₁₂ cartridge in

1052 patients; the Plateletworks® assay in 606 patients and the IMPACT-R in 910 patients without pre-stimulation and in 905 with ADP-pre-stimulation. The PFA COL/ADP was performed in 812 patients and INNOVANCE® PFA P2Y in 588 patients.

Baseline characteristics of the cohort are depicted in **Table 1**. Baseline characteristics of the subpopulations according to the tests performed are summarized in **eTable 1**, demonstrating that the subpopulations tested were well balanced (except for white blood cell counts, $p=0.04$, all p -values were >0.85). All patients received optimal clopidogrel pre-treatment; 50.6% received a maintenance dose of 75 mg daily therapy for >5 days, 41.6% received a loading dose of 300 mg at least 24h before PCI and 8.3% received a loading dose of 600 mg at least 4 hours before PCI. One thousand fifty two patients (98.4%) were on 80-100 mg aspirin daily for more than 10 days.

Clinical outcome at 12 months was available for 1067 (99.8%) of the patients. Compliance for clopidogrel was 95.2% after 6 months and 82.1% after one year. During one-year follow-up a total of 18 died (1.7%), 64 (6.0%) patients had non-fatal acute myocardial infarction, 13 (1.2%) presented with definite stent thrombosis and 14 patients suffered from non-fatal ischemic stroke (1.3%). Three possible stent thromboses occurred (0.3%) and no probable stent thromboses were found. A total of 55 (5.1%) patients presented with bleeding; 33 (3.1%) TIMI-major and 24 (2.2%) TIMI-minor bleedings.

Receiver-Operating Characteristic Curve Analysis

Receiver operator characteristic curve (ROC) analysis demonstrated that LTA (both 5 $\mu\text{mol/L}$ ADP and 20 $\mu\text{mol/L}$), the VerifyNow® P2Y₁₂-cartridge and the Plateletworks® assay were able to distinguish between patients

Table 1 | Baseline characteristics total population

	Total population
Clinical parameters	
Age	64 $\pm$ 10.6
Gender	802/1069
Hypertension	823/1069 (77.0%)
Hypercholesterolemia	858/1069 (80.3%)
Diabetes Mellitus	199/1069 (18.6%)
Family history	646/1069 (60.4%)
Current smoking	119/1069 (11.1%)
LVEF $<45\%$	165/1069 (15.4%)
Renal insufficiency	86/1069 (8.0%)
Prior myocardial infarction	583/1069 (54.5%)
Medication	
Aspirin	955/1068 (89.4%)
Loading dose clopidogrel	548/1068 (51.3%)
PPI	297/1068 (27.8%)
Coumadins	108/1068 (10.1%)
Laboratory Parameters	
Platelet count ($\times 10^9$)	271.7 $\pm$ 81.6
WBC ($\times 10^9$)	7.6 $\pm$ 2.3
Hemoglobin (mmol/L)	8.6 $\pm$ 2.1
Procedural Parameters	
No. of stents implanted	1669
Minimal stent diameter (mm)	3.1 $\pm$ 0.8
Total stent length (mm)	28.1 $\pm$ 16.8
Bifurcation lesion	33/1069 (3.1%)
Drug eluting stent	675/1063 (63.5%)
LAD	515 (48.2%)

LVEF = left ventricular ejection fraction; PPI = proton pump inhibitors. CCB = calcium channel blockers; WBC = white bloodcell count, LAD = Left Anterior Descending Artery
 Definitions: Hypertension: Systolic blood pressure >140 mm Hg or diastolic blood pressure >90 mm Hg; Hypercholesterolemia: A fasting LDL-cholesterol ≥ 3.4 mmol/L or being on statin therapy at the time of inclusion; Diabetes mellitus: According to the World Health Organization criteria Family history: One or more first-degree relatives have developed CAD before the age of 55 years (men) or 65 years (women); Renal insufficiency: Creatin >120 $\mu\text{mol/L}$

with and without ischemic events at 1-year follow-up. On the contrary, neither the IMPACT-R with and without ADP-pre-stimulation, nor the PFA COL/ADP or INNOVANCE® PFA P2Y were able to discriminate between patients with and without post-procedural events. **Table 2** displays the area under the curve (AUC) and optimal cut-off value for every test. **eFigure 1** depicts the optimal cut-off values per test and the percentages of patients exhibiting HPR according to the test. Baseline characteristics for every test, for patients with and without HPR, are depicted in **eTable 2**, showing significant differences between the two groups.

Logistic regression modelling was used to identify independent predictors for the primary endpoint. The model included on-treatment platelet reactivity according to the various tests as a categorical variable (HPR vs patients without HPR using the cut-off defined with the ROC-analysis) and multiple potential confounders. Independent predictors of 1-year primary endpoint were age (calculated for an increase of 10 years (OR = 1.22; 95%-CI: 0.97-1.51, $p=0.08$), hypertension (OR = 2.50; 95%-CI: 1.30-4.82, $p=0.006$), hypercholesterolemia (OR = 0.57; 95%-CI: 0.33-0.98, $p=0.04$), a left ventricular ejection fraction < 45% (OR = 1.83; 95%-CI: 1.07-3.11, $p=0.06$) and a prior CABG (OR = 1.91; 95%-CI: 0.96-3.81, $p=0.06$). Procedural factors independently predicting the primary endpoint were total stent length (OR=0.97, 95%-CI: 0.94-1.00, $p=0.05$), number of lesions treated (OR = 1.92; 95%-CI: 1.10-3.39, $p=0.02$), number of stents implanted (OR=2.4, 95%-CI: 1.38-4.30, $p=0.002$), LAD-stenting (OR = 1.79; 95%-CI: 1.11-2.88, $p=0.017$) or graft-stenting (OR = 2.88; 95%-CI: 1.00-8.32, $p=0.049$), stenting a bifurcation lesion (OR = 5.43; 95%-CI: 1.91-15.45, $p=0.002$) and a plavix loading dose (OR=1.73, 95%-CI: 2.73-1.09, $p=0.02$). The remaining variables included for multivariate analysis were not found to be independent correlates of the primary endpoint ($p>0.10$) and were not included in the model.

The addition of HPR to this statistical model revealed that HPR as measured with LTA (both 5 $\mu\text{mol/L}$ ADP and 20 $\mu\text{mol/L}$), the VerifyNow®-P2Y₁₂-cartridge and the Plateletworks® assay significantly improved the AUC. Likewise, the likelihood-ratio test demonstrated that HPR according to these tests had additional contribution to the model (**Table 3**). The goodness-of-fit test demonstrated that the predicting model was adequate (all p -values >0.10). On the contrary, the AUC did not improve when HPR as measured with IMPACT-R (both with and without ADP pre-stimulation) or the PFA Test Cartridges (both PFA COL/ADP and INNOVANCE® PFA P2Y) was added to the model.

Relationship between high on-treatment platelet reactivity and clinical outcome

At one-year follow-up, the primary endpoint occurred more frequently in patients with HPR compared to patients without HPR when platelet function was evaluated with LTA (11.7% vs 6.0%, $p=0.0009$ using 5 $\mu\text{mol/L}$ ADP and 12.0% vs 6.2%, $p=0.001$ using 20 $\mu\text{mol/L}$ ADP respectively), the VerifyNow® P2Y₁₂ assay (13.3% vs 5.7%, $p<0.0001$), the Plateletworks® assay (12.6% vs 6.1%, $p=0.005$) and the INNOVANCE® PFA P2Y (12.2% vs. 6.3%, $p=0.02$). One-year follow-up for patients with and without HPR according to each platelet function test is depicted in **Table 3**.

The survival rate free from the primary endpoint was significantly lower in patients with HPR when measured with LTA 5 $\mu\text{mol/L}$ ADP, LTA 20 $\mu\text{mol/L}$ ADP, VerifyNow®, Plateletworks® and INNOVANCE® PFA P2Y as compared to patients without HPR, whereas no significant relation was detected when platelet function was assessed by the IMPACT-R (both with and without pre-stimulation) or by the PFA COL/ADP (**Figure 1**).

Figure 1 | Kaplan-Meier Analysis

Kaplan Meier analysis for the survival free from the combined primary endpoint in patients with and without HPR as measured by the multiple platelet function assays. HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity

Table 2 | Area under the curve

AUC and optimal cut-off values for each test									
	AUC	95% CI	Cut-Off	Sensitivity	95% CI	Specificity	95% CI	NPV	PPV
LTA 5 µmol/L	0.63	0.58-0.68	42.9%	60.2	49.8-69.8	59.1	56.0-62.2	94.0%	11.7%
LTA 20 µmol/L	0.62	0.56-0.67	64.5%	54.6	44.2-64.5	63.9	60.8-66.8	93.8%	12.0%
VerifyNow P2Y ₁₂	0.62	0.57-0.67	236 PRU	60.4	50.2-69.9	63.1	60.0-66.1	94.3%	13.3%
Plateletworks	0.61	0.53-0.69	80.5%	63.0	49.6-74.6	58.5	54.4-62.6	93.9%	12.6%
IMPACT-R spontaneous	0.56	0.50-0.62	SC 8.4	56.4	45.4-66.9	52.5	49.1-55.9	90.0%	7.2%
IMPACT-R ADP stimulated	0.53	0.47-0.59	2.0 SC	44.0	33.3-55.3	66.9	63.6-70.0	93.0%	10.7%
PFA-100 COL/ADP	0.50	0.46-0.55	147 seconds	70.0	58.5-79.5	38.4	35.0-42.0	93.1%	9.7%
INNOVANCE® PFA P2Y	0.56	0.50-0.62	159 seconds	39.1	26.4-53.5	76.2	72.4-79.6	93.7%	12.2%
AUC of different backward regression models for the prediction of the primary end point at one-year follow-up									
	AUC		p-value for addition ^c						
Model 1 : Classic cardiovascular risk factors ^a	0.66								
Model 2: Model 1 + procedural risk factors ^b	0.72		0.0001						
Model 3: Model 2 + HPR									
- LTA peak 5 µmol/L	0.73		0.004						
- LTA peak 20 µmol/L	0.73		0.001						
- VerifyNow P2Y ₁₂ ® cartridge	0.74		0.0002						
- Plateletworks®	0.77		0.001						
- IMPACT-R spontaneous	0.72		0.20						
- IMPACT-R ADP stimulated	0.72		0.13						
- PFA-100 COL/ADP	0.72		0.20						
- INNOVANCE® PFA P2Y	0.73		0.07						

AUC and optimal cut-off values for each test; AUC = Area under the curve; CI = confidence interval; NPV = negative predictive value; PPV = positive predictive value; LTA = light transmittance aggregometry; PRU = P2Y₁₂ reaction units; SC = surface coverage; HPR = high on-treatment platelet reactivity; AUC of different backward regression models for the prediction of the primary end point at one-year follow-up.

^a Age, hypertension, hypercholesterolemia, LVEF (left ventricular ejection fraction) < 45%, prior CABG. ^b Total stent length, no. of lesions treated, no. of stents implanted, LAD-stenting, graft-stenting, bifurcation lesion, plavix loading dose vs maintenance dose. ^c Likelihood Ratio Test for additional value of HPR (increase in AUC) as measured with multiple platelet function tests.

Table 3 | Clinical Outcome

	5 µmol/L ADP NPR (n=604) < 42.9 % aggregation	HPR (n=445) > 42.9 % aggregation	OR (95 CI)	p-value
Death, MI, ST, stroke	36 (6.0%)	52 (11.7%)	2.09 (1.34 – 3.25)	0.0009
Death	6 (1.0%)	11 (2.5%)	2.53 (0.93 – 6.88)	0.06
MI	24 (4.0%)	37 (8.3%)	2.19 (1.29 – 3.72)	0.003
ST	6 (1.0%)	7 (1.6%)	1.59 (0.53 – 4.77)	0.40
Stroke	7 (1.2%)	6 (1.3%)	1.17 (0.39 – 3.49)	0.78
TVR	18 (3.0%)	7 (1.6%)	0.52 (0.22 – 1.26)	0.14
Non-TVR	21 (3.5%)	8 (1.8%)	0.51 (0.22 – 1.16)	0.10
Rehospitalization	16 (2.6%)	11 (2.5%)	0.93 (0.43 – 2.03)	0.87

	20 µmol/L ADP NPR (n=659) < 64.5 % aggregation	HPR (n=392) > 64.5 % aggregation	OR (95 CI)	p-value
Death, MI, ST, stroke	41 (6.2%)	47 (12.0%)	2.05 (1.32 – 3.19)	0.001
Death	11 (1.7%)	6 (1.5%)	0.92 (0.34 – 2.50)	0.86
MI	24 (3.6%)	37 (9.4%)	2.76 (1.62 – 4.68)	0.0001
ST	4 (0.6%)	9 (2.3%)	3.85 (1.18 – 12.58)	0.017
Stroke	8 (1.2%)	5 (1.3%)	1.05 (0.34 – 3.24)	0.93
TVR	21 (3.2%)	4 (1.0%)	0.31 (0.11 – 0.92)	0.03
Non-TVR	23 (3.5%)	6 (1.5%)	0.43 (0.17 – 1.07)	0.06
Rehospitalization	21 (3.2%)	6 (1.5%)	0.47 (0.19 – 1.18)	0.10

	VerifyNow P2Y12 NPR (n=646) < 236 PRU	HPR (n=406) > 236 PRU	OR (95% CI)	p-value
Death, MI, ST, stroke	37 (5.7%)	54 (13.3%)	2.53 (1.63 – 3.91)	<0.0001
Death	9 (1.4%)	9 (2.2%)	1.60 (0.63 – 4.08)	0.32
MI	23 (3.6%)	40 (9.9%)	2.96 (1.74 – 5.02)	<0.0001
ST	5 (0.8%)	8 (2.0%)	2.58 (0.84 – 7.93)	0.09
Stroke	6 (0.9%)	7 (1.7%)	1.87 (0.62 – 5.61)	0.26
TVR	16 (2.5%)	9 (2.2%)	0.89 (0.39 – 2.04)	0.79
Non-TVR	20 (3.1%)	9 (2.2%)	0.71 (0.32 – 1.57)	0.40
Rehospitalization	18 (2.8%)	8 (2.0%)	0.70 (0.30 – 1.63)	0.41

	Plateletworks® NPR (n=344) < 80.5 %aggregation	HPR (n=262) > 80.5 %aggregation	OR (95% CI)	p-value
Death, MI, ST, stroke	21 (6.1%)	33 (12.6%)	2.22 (1.25 – 3.93)	0.005
Death	9 (2.6%)	4 (1.5%)	0.58 (0.18 – 1.89)	0.36
MI	10 (2.9%)	25 (9.5%)	3.52 (1.66 – 7.47)	0.0005
ST	3 (0.9%)	6 (2.3%)	2.66 (0.66 – 10.75)	0.15
Stroke	3 (0.9%)	4 (1.5%)	1.76 (0.39 – 7.94)	0.45
TVR	12 (3.5%)	5 (1.9%)	0.54 (0.19 – 1.55)	0.24
Non-TVR	11 (3.2%)	7 (2.7%)	0.83 (0.32 – 2.17)	0.71
Rehospitalization	10 (2.9%)	7 (2.7%)	0.92 (0.34 – 2.44)	0.86

	IMPACT-R NPR (n=481) SC < 8.4	HPR (n=429) SC > 8.4	OR (95% CI)	p-value
Death, MI, ST, stroke	36 (7.5%)	42 (9.8%)	1.34 (0.84 – 2.14)	0.21
Death	5 (1.0%)	11 (2.6%)	2.51 (0.86 – 7.27)	0.08
MI	28 (5.8%)	25 (5.8%)	1.00 (0.57 – 1.75)	0.99
ST	5 (1.0%)	6 (1.4%)	1.35 (0.41 – 4.46)	0.62
Stroke	4 (0.8%)	7 (1.6%)	1.98 (0.58 – 6.8)	0.27
TVR	15 (3.1%)	6 (1.4%)	0.44 (0.17 – 1.15)	0.08
Non-TVR	15 (3.1%)	9 (2.1%)	0.67 (0.29 – 1.54)	0.33
Rehospitalization	12 (2.5%)	12 (2.8%)	1.12 (0.5 – 2.53)	0.78
	IMPACT-R ADP NPR (n=609) SC > 2.0	HPR (n=296) SC ≤ 2.0	OR (95% CI)	p-value
Death, MI, ST, stroke	43 (7.1%)	32 (10.8%)	1.60 (0.99-2.58)	0.05
Death	9 (1.5%)	6 (2.0%)	1.38 (0.49-3.91)	0.54
MI	29 (4.8%)	22 (7.4%)	1.61 (0.91-2.85)	0.10
ST	7 (1.1%)	3 (1.0%)	0.88 (0.23-3.43)	0.85
Stroke	7 (1.1%)	4 (1.4%)	1.18 (0.34-4.06)	0.79
TVR	12 (2.0%)	9 (3.0%)	1.56 (0.65-3.75)	0.32
Non-TVR	15 (2.5%)	9 (3.0%)	1.24 (0.54-2.87)	0.61
Rehospitalization	17 (2.8%)	7 (2.4%)	0.84 (0.35-2.06)	0.71
	PFA 100 COL/ADP NPR (n=306) CT>147	HPR (n=506) CT≤ 147	OR (95% CI)	p-value
Death, MI, ST, stroke	21/306 (6.9%)	49/506 (9.7%)	1.46 (0.85-2.48)	0.17
Death	5/306 (1.6%)	10/506 (2.0%)	1.21 (0.41-3.58)	0.73
MI	16/306 (5.2%)	4/506 (6.7%)	1.31 (0.71-2.41)	0.39
ST	4/306 (1.3%)	5/506 (1.0%)	0.75 (0.20-2.83)	0.67
Stroke	1/306 (0.3%)	7/506 (1.4%)	4.28 (0.52-34.90)	0.14
TVR	11/306 (3.6%)	9/506 (1.8%)	0.49 (0.20-1.19)	0.11
Non-TVR	11/306 (3.6%)	12/506 (2.4%)	0.65 (0.28-1.50)	0.31
Rehospitalization	9/306 (2.9%)	7/506 (1.4%)	0.46 (0.17-1.26)	0.12
	INNOVANCE® PFA P2Y NPR (n=441) CT>159	HPR (n=147) CT≤ 159	OR (95% CI)	p-value
Death, MI, ST, stroke	28/441 (6.3%)	18/147 (12.2%)	2.06 (1.10-3.84)	0.02
Death	4/441 (0.9%)	6/147 (4.1%)	4.65 (1.29-16.70)	0.01
MI	20/441 (4.5%)	11/147 (7.5%)	1.70 (0.80-3.64)	0.17
ST	4/441 (0.9%)	1/147 (0.7%)	0.75 (0.08-6.75)	0.80
Stroke	5/441 (1.1%)	1/147 (0.7%)	0.60 (0.07-5.15)	0.65
TVR	16/441 (3.6%)	1/147 (0.7%)	0.18 (0.02-1.38)	0.06
Non-TVR	14/441 (3.2%)	1/147 (0.7%)	0.21 (0.03-1.60)	0.10
Rehospitalization	12/441 (2.7%)	1/147 (0.7%)	0.24 (0.03-1.90)	0.15

HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity MI = myocardial infarction, ST = Stent thrombosis, TVR = target-vessel revascularization, non-TVR=non-target vessel revascularization.

Figure 2 | Odds Ratios for the primary endpoint

Odds Ratios for the combined primary endpoint by quintiles of on-treatment platelet reactivity according to the multiple platelet function assays. Incidences (%) of the combined primary endpoint are depicted in the bars. Cumulative Kaplan Meier time-to-aperture-closure plot in patients with and without the combined primary endpoint according to the PFA-100® System. Q = quintile HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity

The occurrence of the primary end point was also compared when groups were divided in quintiles according to on-treatment platelet reactivity (**Figure 2**). Patients in the higher quintiles according to the LTA 5 $\mu\text{mol/L}$ ADP and 20 $\mu\text{mol/L}$ ADP and the VerifyNow® P2Y₁₂ assay were at significantly higher risk for the primary end point. In contrast, no significant difference in the occurrence of the primary endpoint was observed between quintiles as measured with the IMPACT-R tests and Plateletworks®. Since the PFA-100® System confines detection of a closure time to a 300-s window, the results of both PFA-cartridges are depicted as time to aperture closure Kaplan-Meier curves. Closure times as measured by the PFA COL/ADP were not significantly different between patients with and without a primary endpoint.

Relationship between platelet reactivity and bleeding

A second ROC-analysis demonstrated that none of the performed tests was able to discriminate between patients with and without bleeding (all AUC's included 0.50 in the confidence interval [CI]). Stratification by quintiles based on on-treatment platelet reactivity demonstrated no significant difference in the occurrence of bleeding between the quintiles (**eFigure 2**). In addition, no significant increase in bleeding was observed in the lowest quintile of patients compared to quintiles 2 to 5. A third ROC-analysis further demonstrated that the platelet function tests were not able to predict post-discharge (>48 hrs) minor or major bleedings (all AUC's included 0.50 in the confidence interval [CI]).

DISCUSSION

The POPular-study is a prospective study evaluating the capability of multiple platelet function to predict clinical outcome in clopidogrel-treated patients undergoing elective PCI with stent implantation.

High on-treatment platelet reactivity when assessed by LTA (both 5 $\mu\text{mol/L}$ and 20 $\mu\text{mol/L}$ ADP) and the VerifyNow® P2Y₁₂ assay, the Plateletworks® and INNOVANCE® PFA P2Y is significantly associated with atherothrombotic events. In contrast, the shear stress based tests IMPACT-R (both with and without ADP pre-stimulation) and the Dade® PFA-100 COL/ADP-cartridge did not show an association with outcome.

The 'gold standard' LTA has been the most widely used technique and has clearly demonstrated the relationship between high-on treatment platelet reactivity and subsequent atherothrombotic events.⁴⁻⁶ The POPular-study found an optimal diagnostic cut-off level discriminating patients with atherothrombotic events from those who were uneventful similar to that found by Gurbel et al. However, LTA is not suitable for routine use in clinical practice due to the poor reproducibility, the long sample processing time and the need for specialized technicians. Therefore, several new more easy to use platelet function tests have been introduced. The POPular-study reveals that the VerifyNow® P2Y₁₂ cartridge is capable of identifying patients who are at risk for atherothrombotic events post-PCI. Our optimal diagnostic cut-off value of 236 PRU is perfectly consistent with that reported in previous reports.^{7,8,20} The POPular-study is the largest study to demonstrate a relation between the Plateletworks® ADP assay and clinical outcome and the first to establish an optimal cut-off value. The results seem promising with the largest increase in predictive value of all tests performed in the POPular-study. However, rapid performance (within 10 minutes after blood withdrawal) of this assay

is required, since the ADP-induced platelet aggregates disaggregate after this time-point, resulting in a unreliable test result as described in the Online Supplement.¹⁶ Therefore, the use of the Plateletworks® in routine clinical practice might be limited.

The POPular-study also reports performance data of the prototype INNOVANCE® PFA P2Y, which in its final design became available halfway through the inclusion-period. A lower incidence of the primary endpoint in patients without high on-treatment platelet reactivity was demonstrated. However, high on-treatment platelet reactivity as measured with INNOVANCE® PFA P2Y did not improve the predictability of the risk-model.

In the light of the POPular data, should high on-treatment platelet reactivity be used as a prognostic marker in clinical practice? Despite growing evidence that high on-treatment platelet reactivity is associated with adverse clinical outcome, platelet function testing is not widely implemented in clinical practice due to a lack of consensus on the optimal method and on the optimal cut-off values of the different tests to identify patients at higher risk. The POPular-study provides additional evidence - including optimal cut-off values - that three tests might be used (LTA, VerifyNow® and Plateletworks®). Although the sample size has insufficient statistical power, the novel INNOVANCE® PFA P2Y seems promising for this purpose as well. However, also other risk factors such as diabetes mellitus and poor left ventricular function have been demonstrated to predict atherothrombotic events post-stent implantation.^{23,22,23,24} Furthermore, these same risk factors have been shown to be associated with high on-treatment platelet reactivity^{25,26} and thus, high on-treatment platelet reactivity is probably a composite of several of these risk factors as well as the response to antiplatelet therapy.

In the POPular-study high on-treatment platelet reactivity indeed added to the overall risk-model. The modest contribution of high on-treatment platelet reactivity in the POPular-study might be attributed to its relatively low risk population, excluding higher-risk patients (in particular ST-elevation myocardial infarction). The greater importance of high on-treatment platelet reactivity in patients at higher risk, has been demonstrated by Sibbing and colleagues and Marcucci and colleagues.^{7,9}

Despite numerous data on the association between high on-treatment platelet reactivity and adverse outcome there is only preliminary data concerning the benefit of tailoring therapy based on the results of platelet function testing.²⁷ Therefore, the correct treatment-if any-of high on-treatment platelet reactivity remains unknown and we have to await currently ongoing clinical trials; the GRAVITAS (NCT00645918), the DANTE (NCT00774475), the ARCTIC (NCT00827411) - randomizing patients to higher clopidogrel doses versus routine doses based on platelet function testing as well as the TRIGGER-PCI (NCT00910299) randomizing to prasugrel versus clopidogrel - which will reveal whether individualized antiplatelet treatment based on platelet function testing improves outcome. Until then clinical practice should not be guided by (point-of-care) platelet function testing.

Some issues merit careful consideration. First, the sample size of INNOVANCE® PFA P2Y was too small to have sufficient statistical power to detect the relationship between high on-treatment platelet reactivity and clinical outcome. Second, not all currently available platelet function tests were included. Additional tests include the Multiplate, the thromboelastograph and the Flowcytometric Vasodilator-Stimulated Phosphoprotein (VASP)-analysis. However, at the start of our inclusion the Multiplate and the platelet assay for the thromboelastograph were not available. Furthermore, the published results with the VASP-assay were mainly preliminary and did not provide a solid base for choosing VASP as one of the platelet function tests. Third, patients received three different, but adequate, clopidogrel dosing strategies. Previous studies have demonstrated differences in the effect on platelet reactivity of these three dosing regimes. However, these three regimens are current clinical practice, and the

POPular-study therefore reflects the clinical relevance of monitoring platelet function in daily practice.

In conclusion, of the platelet function tests assessed, only LTA, VerifyNow®, Plateletworks® and INNOVANCE® PFA P2Y were significantly associated with the primary endpoint. However, the predictability of these four tests was only modest. None of the tests provided accurate prognostic information to identify patient at higher risk of bleeding. Thus, the POPular-study does not support the use of platelet function testing to guide clinical practice in a low-risk population of patients undergoing elective PCI.

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Role of the sponsor

Siemens Healthcare Diagnostics was not involved in the design and conduct of the study; collection, management, analysis, and interpretation of the data; or in the preparation, review, or approval of the manuscript.

SUPPLEMENTARY ONLINE CONTENT

Platelet Function Tests

Light Transmittance Aggregometry

LTA was quantified in non-adjusted platelet-rich plasma on a four-channel APACT 4004 aggregometer (LABiTec, Arensburg, Germany). Platelet-poor-plasma was set as 100% aggregation and maximal (peak) platelet aggregation (%) induced by ADP in final concentrations of 5 and 20 µmol/L was measured.

The VerifyNow® P2Y₁₂ assay

The VerifyNow® system (Accumetrics, San Diego, USA) is a whole blood cartridge-based method to determine the magnitude of ADP-induced platelet agglutination (using 20 µmol/L ADP to induce platelet activation and 22 nmol/L prostaglandin E₁ to decrease the contribution of P2Y₁ receptor stimulation by ADP to platelet aggregation.^{14,15}

Given the fact that the majority of the studies linking the magnitude of platelet reactivity to the occurrence of atherothrombotic events have used absolute post-clopidogrel platelet reactivity, we preferred using the P2Y₁₂ Reaction Units (PRUs) over the BASE values or % inhibition values, which are also reported by the instrument.

The Plateletworks® assay

The Plateletworks® assay (Helena Laboratories, Beaumont, Texas) is based on single platelet disappearance. Whole blood samples were collected in tubes containing K₃-EDTA and tubes containing PPACK with 20 µmol/L ADP. A routine platelet count was performed on each sample. The platelet count in the K₃-EDTA tube was used as reference. As the aggregated platelets exceed the threshold limitations for platelet size (<30fL) after stimulation with ADP, they are no longer counted as individual

platelets. The ratio between the aggregated platelets in the agonist sample and the platelet count in the reference tube x 100% is used as the degree of platelet aggregation. We recently demonstrated that the Plateletworks® assay is highly time-dependent.¹⁶ Therefore, a cell counter was placed in the catheterization laboratory for rapid measurements between 5 and 10 minutes after blood collection.

The IMPACT-R device

The IMPACT-R device (DiaMed, Cresier, Switzerland) is based on the cone and plate(let) analyzer technology.¹⁷ Citrated whole blood samples (130 µL) were placed in a polystyrene well and subjected to a shear rate of 1800s^{-1} for 2 minutes using a Teflon Cone. When shear stress is applied, von Willebrand Factor and fibrinogen are instantly immobilized on the polystyrene surface, serving as a substrate for platelet adhesion and subsequent aggregation. The wells were washed and stained with May-Grunwald stain and analyzed with an inverted light microscope connected to an image analysis system. Platelet adhesion and aggregation on the surface were evaluated by examining the percentage of total area covered with platelets designated as surface coverage (SC).

In addition, the IMPACT-R ADP was used.¹⁷ With this modified protocol, whole blood samples were pre-stimulated with a sub maximal concentration ADP ($1.38\text{ }\mu\text{M}$), gently mixed (10 RPM) for 1 minute and then subjected to the IMPACT-R well under defined shear conditions. Exposure to ADP leads to the formation of microaggregates in patients in whom clopidogrel does not effectively inhibit platelet function. These microaggregated platelets temporarily lose their adhesive properties. The percentage SC in the ADP pre-stimulated aliquots is therefore inversely correlated with the magnitude of ADP-induced platelet activation.

PFA-100® System

The PFA-100® System (Siemens Healthcare Diagnostics Products GmbH, Germany), measures platelet function, in particular adhesion and aggregation, in whole blood under high shear conditions (5000s^{-1}). The time needed to form a platelet plug occluding the aperture cut into a collagen/ADP (COL/ADP)-coated membrane was determined and reported as closure time (CT) in seconds. Furthermore, halfway through the POPular-study a novel PFA-100® test cartridge became available, the final prototype of INNOVANCE® PFA P2Y (For investigational use only. The performance characteristics of this product have not been established.). The novel test cartridge intends to measure the effect of clopidogrel on platelet function irrespective of the concentration of buffered sodium citrate used for anticoagulation or concurrent therapy with aspirin. Its membrane is coated with 20 µg ADP, 5 ng prostaglandin E₁ and 125 µg calcium (as calcium chloride) and the closure time inversely reflects the magnitude of platelet reactivity.¹⁸

eTable 1 | Baseline characteristics of the subpopulations according to the available platelet function measurements

	LTA 5 µmol/L ADP n=1049	LTA 20 µmol/L ADP n=1051	VerifyNow P2Y ₁₂ n=1052	Plateletworks n=606
Clinical parameters				
Age (yrs)	64 ± 10.6	64 ± 10.6	64 ± 10.6	64 ± 10.6
BMI (kg/m ²)	27.2 ± 4.0	27.2 ± 4.0	27.2 ± 4.0	27.2 ± 3.8
Gender (m/f)	784/265	786/265	790/262	458/148
Hypertension	810/1049 (77.2%)	812/1051 (77.3%)	812/1052 (77.2%)	461/606 (76.1%)
Hypercholesterolemia	841/1049 (80.2%)	843/1051 (80.2%)	843/1052 (80.1%)	479/606 (79.0%)
Diabetes Mellitus	194/1049 (18.5%)	195/1051 (18.6%)	194/1052 (18.4%)	109/606 (18.0%)
Family History	637/1049 (60.7%)	638/1051 (60.7%)	634/1052 (60.3%)	365/606 (60.2%)
Current smoking	115/1049 (11.0%)	116/1051 (11.0%)	116/1052 (11.0%)	60/606 (9.9%)
LVEF < 45%	161/1049 (15.3%)	160/1051 (15.2%)	163/1052 (15.5%)	96/606 (15.8%)
Renal insufficiency	85/1049 (8.1%)	85/1051 (8.1%)	82/1052 (7.8%)	46/606 (7.6%)
Prior myocardial infarction	576/1049 (54.9%)	577/1051 (54.9%)	573/1052 (54.5%)	320/606 (52.8%)
Prior PCI	341/1049 (32.5%)	340/1051 (32.4%)	340/1052 (32.3%)	204/606 (33.7%)
Prior CABG	110/1049 (10.5%)	111/1051 (10.6%)	107/1052 (10.2%)	67/606 (11.1%)
Medication				
Aspirin	936/1048 (89.2%)	938/1050 (89.2%)	940/1051 (89.4%)	544/605 (89.8%)
Loading dose clopidogrel	533/1048 (50.9%)	534/1050 (50.9%)	536/1051 (51.0%)	305/605 (50.4%)
Statin	833/1048 (79.5%)	834/1050 (79.4%)	834/1051 (79.4%)	467/605 (77.2%)
Beta-blocker	799/1048 (76.2%)	800/1050 (76.2%)	802/1051 (76.3%)	463/605 (76.5%)
ACE-inhibitor	392/1048 (37.4%)	392/1050 (37.3%)	391/1051 (37.2%)	220/605 (36.4%)
PPI	296/1048 (28.2%)	296/1050 (28.2%)	295/1051 (28.1%)	159/605 (26.3%)
CCB	394/1048 (37.6%)	394/1050 (37.5%)	397/1051 (37.8%)	245/605 (40.5%)
Oral antidiabetics	70/1048 (6.6%)	71/1050 (6.8%)	69/1051 (6.6%)	40/605 (6.6%)
Coumadins	108/1048 (10.3%)	108/1050 (10.3%)	106/1051 (10.1%)	48/605 (7.9%)
Laboratory Parameters				
Platelet count (x10 ⁹)	271.1 ± 79.3	271.1 ± 79.3	271.8 ± 81.7	274.5 ± 82.7
WBC (x10 ⁹)	7.9 ± 8.5	7.9 ± 8.5	7.9 ± 8.5	8.1 ± 10.6
Hemoglobin (mmol/L)	8.6 ± 2.1	8.6 ± 2.1	8.6 ± 2.1	8.7 ± 2.6
Procedural Parameters				
No. of stents implanted	1635	1656	1646	936
No. of lesions treated	1448	1452	1454	833
Minimal Stent diameter (mm)	3.1 ± 0.8	3.1 ± 0.8	3.1 ± 0.8	3.1 ± 0.5
Total Stent length (mm)	28.1 ± 16.9	28.2 ± 16.9	28.1 ± 16.8	28.2 ± 16.5
Bifurcation lesion	31/1049 (3.0%)	32/1050 (3.0%)	33/1052 (3.1%)	15/606 (2.5%)
Drug eluting stent	594/1043 (57.0%)	596/1045 (57.0%)	598/1047 (57.1%)	358/602 (59.5%)
LAD	506/1049 (48.2%)	505/1050 (48.0%)	514/1052 (48.9%)	300/606 (49.5%)
Graft	33/1049 (3.1%)	33/1050 (3.1%)	31/1052 (2.9%)	16/606 (2.6%)
Events				
Death, MI, ST, stroke	88/1049 (8.4%)	88/1051 (8.4%)	91/1052 (8.7%)	54/606 (8.9%)
Death	17/1049 (1.6%)	17/1051 (1.6%)	18/1052 (1.7%)	13/606 (2.1%)
MI	61/1049 (5.8%)	61/1051 (5.8%)	63/1052 (6.0%)	35/606 (5.7%)
ST	13/1049 (1.2%)	13/1051 (1.2%)	13/1052 (1.2%)	9/606 (1.2%)
Stroke	13/1049 (1.2%)	13/1051 (1.2%)	13/1052 (1.2%)	7/606 (1.2%)
TVR	25/1049 (2.4%)	25/1051 (2.4%)	25/1052 (2.4%)	17/606 (2.8%)
Non-TVR	29/1049 (2.8%)	29/1051 (2.8%)	29/1052 (2.8%)	18/606 (3.0%)
Rehospitalization	27/1049 (2.6%)	27/1051 (2.6%)	26/1052 (2.5%)	17/606 (2.8%)

	IMPACT-R n=910	IMPACT-R ADP n=905	PFA-100 COL/ADP n=812	INNOVANCE® PFA P2Y n=588
Clinical parameters				
Age (yrs)	64 ± 10.7	64 ± 10.7	64 ± 10.5	65 ± 10.7
BMI (kg/m ²)	27.3 ± 4.1	27.3 ± 4.1	27.2 ± 4.1	27.2 ± 4.3
Gender (m/f)	683/227	680/225	597/215	424/164
Hypertension	708/910 (77.8%)	704/905 (77.8%)	620/812 (76.4%)	436/588 (74.1%)
Hypercholesterolemia	732/910 (80.4%)	724/905 (80.4%)	652/812 (80.3%)	464/588 (78.9%)
Diabetes Mellitus	166/910 (18.2%)	165/905 (18.2%)	150/812 (18.5%)	113/588 (19.2%)
Family History	544/910 (59.8%)	539/905 (59.6%)	488/812 (60.1%)	344/588 (58.5%)
Current smoking	98/910 (10.8%)	95/905 (10.5%)	84/812 (10.3%)	61/588 (10.4%)
LVEF < 45%	132/910 (14.5%)	133/905 (14.7%)	117/812 (14.4%)	91/588 (15.5%)
Renal insufficiency	72/910 (7.9%)	72/905 (8.0%)	58/812 (7.1%)	48/588 (8.2%)
Prior MI	500/910 (54.9%)	497/905 (54.9%)	462/812 (56.9%)	339/588 (57.7%)
Prior PCI	292/910 (32.1%)	290/905 (32.0%)	246/812 (30.3%)	171/588 (29.1%)
Prior CABG	100/910 (11.0%)	100/905 (11.0%)	91/812 (11.2%)	67/588 (11.4%)
Medication				
Aspirin	807/909 (88.7%)	801/904 (88.5%)	716/811 (88.3%)	513/588 (87.2%)
Loading dose clopidogrel	464/909 (51.0%)	463/904 (51.2%)	395/811 (48.7%)	288/588 (49.0%)
Statin	732/909 (80.5%)	729/904 (80.6%)	643/811 (79.3%)	457/588 (77.7%)
Beta-blocker	701/909 (77.1%)	695/904 (76.9%)	622/811 (76.7%)	453/588 (77.0%)
ACE-inhibitor	334/909 (36.7%)	332/904 (36.7%)	310/811 (38.2%)	224/588 (38.1%)
PPI	241/909 (26.5%)	240/904 (26.5%)	229/811 (28.2%)	181/588 (30.8%)
CCB	344/909 (37.8%)	344/904 (38.1%)	303/811 (37.4%)	212/588 (36.1%)
Oral antidiabetics	56/909 (6.2%)	55/904 (6.1%)	53/811 (6.5%)	36/588 (6.1%)
Coumadins	90/909 (9.9%)	91/904 (10.1%)	87/811 (10.7%)	73/588 (12.4%)
Laboratory Parameters				
Platelet count (x10 ⁹)	271.9 ± 81.8	271.9 ± 81.6	271.3 ± 82.3	264.5 ± 76.5
WBC (x10 ⁹)	8.0 ± 8.9	8.0 ± 8.9	8.0 ± 9.4	8.1 ± 11.0
Hemoglobin (mmol/L)	8.6 ± 2.2	8.5 ± 2.2	8.6 ± 2.3	8.6 ± 2.7
Procedural Parameters				
No. of stents implanted	1421	1406	1255	893
No. of lesions treated	1253	1243	1098	775
Minimal Stent diameter (mm)	3.1 ± 0.86	3.1 ± 0.86	3.1 ± 0.8	3.1 ± 1.0
Total Stent length (mm)	28.1 ± 16.6	27.9 ± 16.4	27.6 ± 16.3	26.7 ± 15.4
Bifurcation lesion	25/910 (2.7%)	25/905 (2.8%)	20/812 (2.5%)	12/588 (2.0%)
Drug eluting stent	515/905 (56.9%)	513/900 (57%)	445/810 (54.9%)	328/588 (55.8%)
LAD	437/910 (48.0%)	435/905 (48.1%)	399/812 (49.1%)	291/588 (49.5%)
Events				
Death, MI, ST, stroke	78/910 (8.6%)	65/905 (7.2%)	70/812 (8.6%)	46/588 (7.8%)
Death	16/910 (1.8%)	15/905 (1.7%)	15/812 (1.8%)	10/588 (1.7%)
MI	53/910 (5.8%)	51/905 (5.6%)	50/812 (6.2%)	31/588 (5.3%)
ST	11/910 (1.2%)	10/905 (1.1%)	9/812 (1.1%)	5/588 (0.9%)
Stroke	11/910 (1.2%)	11/905 (1.2%)	8/812 (1.0%)	6/588 (1.0%)
TVR	21/910 (2.3%)	21/905 (2.3%)	21/812 (2.6%)	17/588 (2.9%)
Non-TVR	24/910 (2.6%)	24/905 (2.7%)	23/812 (2.8%)	15/588 (2.6%)
Rehospitalization	24/910 (2.6%)	24/905 (2.7%)	16/812 (2.0%)	13/588 (2.2%)
Bleeding	47/910 (5.2%)	48/905 (5.3%)	47/812 (5.8%)	36/588 (6.1%)
CAB-related bleeding	6/910 (0.7%)	6/905 (0.7%)	8/812 (1.0%)	6/588 (1.0%)

BMI = Body Mass Index; LVEF = left ventricular ejection fraction; PCI = percutaneous coronary intervention; CABG = coronary artery bypass grafting; PPI = proton pump inhibitors; CCB = calcium channel blockers; WBC = white bloodcell count; LAD = Left Anterior Descending Artery; MI = myocardial infarction; ST = stent thrombosis; TVR = target vessel Revascularization; non-TVR = non-target vessel revascularization

Definitions as in Table 1 Hypertension: Systolic blood pressure >140 mm Hg or diastolic blood pressure >90 mm Hg; Hypercholesterolemia: A fasting LDL-cholesterol ≥ 3.4 mmol/L or being on statin therapy at the time of inclusion; Diabetes mellitus: According to the World Health Organization criteria; Family history: One or more first-degree relatives have developed CAD before the age of 55 years (men) or 65 years (women); Renal insufficiency: Creatin > 120 µmol/L

eTable 2 | Baseline characteristics of the study population according to the magnitude of platelet reactivity
Baseline characteristics of the subpopulations according to the available platelet function measurements, divided in two group, according to HPR and NPR. HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity. Further abbreviations as in eTable 1.

	LTA 5 µmol/L ADP			LTA 20 µmol/L ADP		
	NPR (n= 604)	HPR (n=445)	p-value	NPR (n=659)	HPR (n=392)	p-value
Clinical parameters						
Age (yrs)	63 ± 10.7	66 ± 10.2	<0.0001	63 ± 10.5	65 ± 10.7	0.002
BMI (kg/m ²)	26.9 ± 4.0	27.6 ± 4.0	0.006	26.8 ± 3.9	27.9 ± 4.1	<0.0001
Gender (m/f)	463/141	321/124	0.10	506/153	280/112	0.53
Hypertension	458/604 (75.8%)	352/445 (79.1%)	0.21	503/659 (76.3%)	309/392 (78.8%)	0.05
Hypercholesterolemia	490/604 (81.1%)	351/445 (78.9%)	0.37	534/659 (81.0%)	309/392 (78.8%)	0.39
Diabetes Mellitus	88/604 (14.6%)	106/445 (23.8%)	0.0001	102/659 (15.5%)	93/392 (23.7%)	0.0009
Family History	357/604 (59.1%)	280/445 (62.9%)	0.21	388/659 (58.9%)	250/392 (63.8%)	0.12
Current smoking	74/604 (12.3%)	41/445 (9.2%)	0.12	78/659 (11.8%)	38/392 (9.7%)	0.28
LVEF < 45%	84/604 (13.9%)	77/445 (17.3%)	0.13	89/659 (13.5%)	71/392 (18.1%)	0.04
Renal insufficiency	38/604 (6.3%)	47/445 (10.6%)	0.01	54/659 (8.2%)	31/392 (7.9%)	0.87
Prior MI	338/604 (56.0%)	238/445 (53.5%)	0.43	354/659 (53.7%)	223/392 (56.9%)	0.32
Prior PCI	196/604 (32.5%)	145/445 (32.6%)	0.96	209/659 (31.7%)	131/392 (33.4%)	0.57
Prior CABG	60/604 (9.9%)	50/445 (11.2%)	0.50	70/659 (10.6%)	41/392 (10.5%)	0.93
Medication						
Aspirin	545/604 (90.2%)	391/444 (88.1%)	0.26	597/659 (90.6%)	341/391 (87.2%)	0.09
Loading dose clopidogrel	291/604 (48.2%)	242/444 (54.5%)	0.04	312/659 (47.3%)	222/391 (56.8%)	0.003
Statin	484/604 (80.1%)	349/444 (78.6%)	0.54	529/659 (80.3%)	305/391 (78.0%)	0.38
Beta-blocker	463/604 (76.7%)	336/444 (75.7%)	0.71	499/659 (75.7%)	301/391 (77.0%)	0.64
ACE-inhibitor	218/604 (36.1%)	174/444 (39.2%)	0.31	245/659 (37.2%)	147/391 (37.6%)	0.89
PPI	179/604 (29.6%)	117/444 (26.4%)	0.24	189/659 (28.7%)	107/391 (27.4%)	0.65
CCB	219/604 (36.3%)	175/444 (39.4%)	0.30	236/659 (35.8%)	158/391 (40.4%)	0.14
Oral antidiabetics	35/604 (5.8%)	35/444 (7.9%)	0.18	40/659 (6.1%)	31/391 (7.9%)	0.25
Coumadins	61/604 (10.1%)	47/444 (10.6%)	0.80	65/659 (9.9%)	43/391 (11.0%)	0.56
Laboratory Parameters						
Platelet count (x10 ⁹)	267.8 ± 76.1	275.7 ± 83.4	0.13	271 ± 82.2	270.4 ± 74.4	0.85
WBC (x10 ⁹)	8.1 ± 11.0	7.7 ± 2.3	0.48	8.0 ± 10.6	7.8 ± 2.4	0.57
Haemoglobin (mmol/L)	8.7 ± 2.6	8.3 ± 1.0	0.002	8.6 ± 2.6	8.4 ± 1.0	0.06
Procedural Parameters						
No. of stents implanted	938	703	0.93	1135	610	0.94
No. of lesions treated	809	639	0.08	896	556	0.17
Minimal Stent diameter (mm)	3.1 ± 0.9	3.1 ± 0.6	0.99	3.1 ± 0.9	3.1 ± 0.7	0.78
Total Stent length (mm)	28.3 ± 17.0	28.0 ± 16.7	0.70	28.0 ± 16.7	28.6 ± 17.3	0.56
Bifurcation lesion	17/604 (2.8%)	14/445 (3.1%)	0.75	16/659 (2.4%)	16/392 (4.1%)	0.13
Drug eluting stent	355/603 (58.9%)	239/444 (54.3%)	0.37	377/658 (57.3%)	219/387 (56.6%)	0.83
LAD	277/604 (45.9%)	229/445 (51.5%)	0.07	308/659 (46.7%)	197/392 (50.3%)	0.27
Graft	17/604 (2.8%)	16/445 (3.6%)	0.47	22/659 (3.3%)	11/392 (2.8%)	0.63
VerifyNow P2Y₁₂						
	NPR (n=646)	HPR (n=406)	p-value			
Plateletworks						
	NPR (n=344)	HPR (n=262)	p-value			
Clinical parameters						
Age (yrs)	63 ± 10.4	66 ± 10.6	<0.0001	63 ± 11.0	64 ± 10.1	0.35
BMI (kg/m ²)	26.9 ± 3.7	27.7 ± 4.5	0.001	26.8 ± 3.7	27.6 ± 4.0	0.03
Gender (m/f)	527/119	263/143	<0.0001	265/79	193/69	0.34
Hypertension	494/646 (76.5%)	318/406 (78.3%)	0.49	260/344 (75.6%)	201/262 (76.7%)	0.75
Hypercholesterolemia	521/646 (80.7%)	322/406 (79.3%)	0.60	273/344 (79.4%)	206/262 (78.6%)	0.83
Diabetes Mellitus	91/646 (14.1%)	103/406 (25.4%)	<0.0001	52/344 (15.1%)	57/262 (21.8%)	0.04
Family History	379/646 (58.5%)	256/406 (63.1%)	0.14	216/344 (62.8%)	149/262 (56.9%)	0.14
Current smoking	77/646 (11.9%)	39/406 (9.6%)	0.24	38/344 (11.0%)	22/262 (8.4%)	0.28
LVEF < 45%	88/646 (13.6%)	75/406 (18.5%)	0.03	55/344 (16.0%)	41/262 (15.6%)	0.91
Renal insufficiency	46/646 (7.1%)	36/406 (8.9%)	0.30	29/344 (8.4%)	17/262 (6.5%)	0.37
Prior MI	347/646 (53.7%)	226/406 (55.7%)	0.54	181/344 (52.6%)	139/262 (53.1%)	0.91
Prior PCI	212/646 (32.8%)	128/406 (31.5%)	0.66	120/344 (34.9%)	84/262 (32.1%)	0.47
Prior CABG	59/646 (9.1%)	48/406 (11.8%)	0.16	35/344 (10.2%)	32/262 (12.2%)	0.43

Medication						
Aspirin	589/646 (91.2%)	351/405 (86.7%)	0.02	317/344 (92.2%)	227/261 (87.0%)	0.04
Loading dose clopidogrel	320/646 (49.5%)	216/405 (53.3%)	0.23	155/344 (45.1%)	150/261 (57.5%)	0.002
Statin	518/646 (80.2%)	316/405 (78.0%)	0.40	266/344 (77.3%)	201/261 (77.0%)	0.93
Beta-blocker	493/646 (76.3%)	309/405 (76.3%)	0.99	261/344 (75.9%)	202/261 (77.4%)	0.66
ACE-inhibitor	238/646 (36.8%)	153/405 (37.8%)	0.76	121/344 (35.2%)	99/261 (37.9%)	0.49
PPI	177/646 (27.4%)	118/405 (29.1%)	0.54	84/344 (24.4%)	75/261 (28.7%)	0.23
CCB	242/646 (37.5%)	155/405 (39.3%)	0.79	146/344 (42.4%)	99/261 (37.9%)	0.26
Oral antidiabetics	35/646 (5.4%)	34/405 (8.4%)	0.06	19/344 (5.5%)	21/261 (8.0%)	0.22
Coumadins	62/646 (9.6%)	44/405 (10.9%)	0.51	23/344 (6.7%)	25/261 (9.6%)	0.19
Laboratory Parameters						
Platelet count (x10 ⁹)	276.9 ± 85.6	263.7 ± 74.4	0.01	277.9 ± 87.2	270.1 ± 76.5	0.24
WBC (x10 ⁹)	7.8 ± 2.6	8.1 ± 13.3	0.60	7.8 ± 2.8	8.6 ± 15.8	0.40
Haemoglobin (mmol/L)	8.7 ± 1.0	8.3 ± 3.2	0.02	8.7 ± 3.3	8.6 ± 0.9	0.35
Procedural Parameters						
No. of stents implanted	1018	628	0.14	533	403	0.61
No. of lesions treated	882	572	0.39	464	369	0.60
Minimal Stent diameter (mm)	3.1 ± 0.6	3.1 ± 1.1	0.58	3.0 ± 0.5	3.1 ± 0.5	0.10
Total Stent length (mm)	28.4 ± 17.1	27.8 ± 16.2	0.55	28.0 ± 16.0	28.4 ± 17.1	0.76
Bifurcation lesion	18/646 (2.8%)	15/406 (3.7%)	0.41	9/344 (2.6%)	6/262 (2.3%)	0.80
Drug eluting stent	371/642 (57.8%)	227/405 (56.0%)	0.57	191/344 (55.5%)	167/258 (64.7%)	0.10
LAD	311/646 (48.1%)	203/406 (50.0%)	0.56	169/344 (49.1%)	131/262 (50.0%)	0.83
Graft	16/646 (2.5%)	15/406 (3.7%)	0.26	8/344 (2.3%)	8/262 (3.1%)	0.58
IMPACT-R						
IMPACT-R ADP						
	NPR (n=481)	HPR (n=429)	p-value	NPR (n=609)	HPR (n=296)	p-value
Clinical parameters						
Age (yrs)	64 ± 11.1	65 ± 10.2	0.13	63 ± 10.6	66 ± 10.8	0.002
BMI (kg/m ²)	27.4 ± 4.3	27.2 ± 3.9	0.45	27.2 ± 3.8	27.5 ± 4.6	0.28
Gender (m/f)	358/123	325/104	0.64	427/137	208/88	0.02
Hypertension	376/481 (78.2%)	332/429 (77.4%)	0.77	461/609 (75.7%)	243/296 (82.1%)	0.03
Hypercholesterolemia	387/481 (80.5%)	345/429 (80.4%)	0.99	482/609 (79.1%)	246/296 (83.1%)	0.16
Diabetes Mellitus	87/481 (18.1%)	79/429 (18.4%)	0.90	95/609 (15.6%)	70/296 (23.6%)	0.003
Family History	266/481 (55.3%)	278/429 (64.8%)	0.003	379/609 (62.2%)	160/296 (54.1%)	0.02
Current smoking	55/481 (11.4%)	43/429 (10.0%)	0.49	71/609 (11.7%)	24/296 (8.1%)	0.10
LVEF < 45%	64/481 (13.3%)	68/429 (15.9%)	0.27	93/609 (15.3%)	40/296 (13.5%)	0.48
Renal insufficiency	39/481 (8.1%)	33/429 (7.7%)	0.82	49/609 (8.0%)	23/296 (7.8%)	0.89
Prior MI	271/481 (56.3%)	229/429 (53.4%)	0.37	335/609 (67.4%)	162/296 (32.6%)	0.94
Prior PCI	144/481 (29.9%)	148/429 (34.5%)	0.14	198/609 (32.5%)	92/296 (31.1%)	0.67
Prior CABG	53/481 (11.0%)	47/429 (11.0%)	0.98	59/609 (9.7%)	41/296 (13.9%)	0.06
Medication						
Aspirin	426/481 (88.6%)	381/428 (89.0%)	0.83	543/609 (89.3%)	258/296 (87.2%)	0.34
Loading dose clopidogrel	246/481 (51.1%)	218/428 (50.9%)	0.95	299/609 (49.2%)	164/296 (55.4%)	0.08
Statin	381/481 (79.2%)	351/428 (82.0%)	0.29	495/609 (81.4%)	234/296 (79.1%)	0.40
Beta-blocker	360/481 (74.8%)	341/428 (79.7%)	0.08	473/609 (77.8%)	222/296 (75.0%)	0.35
ACE-inhibitor	170/481 (35.3%)	164/428 (38.3%)	0.35	230/609 (37.8%)	102/296 (34.5%)	0.32
PPI	136/481 (28.3%)	105/428 (24.5%)	0.20	158/609 (26.0%)	82/296 (27.7%)	0.58
CCB	178/481 (37.0%)	166/428 (38.8%)	0.58	246/609 (40.5%)	98/296 (33.1%)	0.03
Oral antidiabetics	32/481 (6.7%)	24/428 (5.6%)	0.51	34/609 (5.6%)	21/296 (7.1%)	0.38
Coumadins	51/481 (10.6%)	39/428 (9.1%)	0.45	56/609 (9.2%)	35/296 (11.8%)	0.22
Laboratory Parameters						
Platelet count (x10 ⁹)	268.4 ± 83.6	275.8 ± 79.5	0.18	275.0 ± 82.9	265.7 ± 78.7	0.11
WBC (x10 ⁹)	7.7 ± 2.2	7.7 ± 2.1	0.73	7.7 ± 2.2	7.7 ± 2.1	0.77
Haemoglobin (mmol/L)	8.4 ± 1.0	8.7 ± 3.1	0.09	13.8 ± 1.4	13.4 ± 5.9	0.41

Platelet function tests and outcomes in patients with coronary stents

Procedural Parameters						
No. of stents implanted	769	652		956	450	0.47
No. of lesions treated	658	595		836	505	0.73
Minimal Stent diameter (mm)	3.1 ± 1.1	3.1 ± 0.5	0.20	3.0 ± 0.5	3.2 ± 1.4	0.09
Total Stent length (mm)	28.7 ± 17.1	27.3 ± 15.9	0.19	28.3 ± 16.5	27.4 ± 16.2	0.44
Bifurcation lesion	8/481 (1.7%)	17/428 (4.0%)	0.03	17/609 (2.8%)	8/296 (2.7%)	0.94
Drug eluting stent	281/479 (58.7%)	234/426 (54.9%)	0.45	384/608 (3.2%)	188/292 (64.4%)	0.90
LAD	228/481 (47.4%)	209/428 (48.7%)	0.69	293/609 (48.1%)	142/296 (48.0%)	0.97
Graft	16/481 (3.3%)	11/428 (2.6%)	0.50	13/609 (2.1%)	14/296 (4.7%)	0.03
PFA-100 COL/ADP						
INNOVANCE						
	NPR (n= 306)	HPR (n=506)	p-value	NPR (n=441)	HPR (n=147)	p-value
Clinical parameters						
Age (yrs)	63 ± 10.2	64.5 ± 10.7	0.01	64 ± 10.5	66 ± 11.5	0.12
BMI (kg/m ²)	27.0 ± 3.9	27.4 ± 4.2	0.24	27.0 ± 4.4	27.6 ± 4.3	0.18
Gender (m/f)	234/72	363/143	0.14	331/110	93/54	0.006
Hypertension	242/306 (79.1%)	378/506 (74.7%)	0.15	331/441 (75.1%)	93/147 (63.3%)	0.66
Hypercholesterolemia	253/306 (82.7%)	399/506 (78.9%)	0.18	325/441 (73.7%)	111/147 (75.5%)	0.82
Diabetes Mellitus	52/306 (17.0%)	98/506 (19.4%)	0.40	349/441 (79.1%)	115/147 (78.2%)	0.03
Family History	187/306 (61.1%)	301/506 (59.5%)	0.65	76/441 (17.2%)	37/147 (25.2%)	0.85
Current smoking	25/306 (8.2%)	59/506 (11.7%)	0.11	259/441 (58.7%)	85/147 (57.8%)	0.70
LVEF < 45%	36/306 (11.8%)	81/506 (16.0%)	0.10	47/441 (10.7%)	14/147 (9.5%)	0.03
Renal insufficiency	14/306 (4.6%)	44/506 (8.7%)	0.03	60/441 (13.6%)	31/147 (21.1%)	0.0005
Prior MI	174/306 (56.9%)	288/506 (46.9%)	0.99	26/441 (5.9%)	22/147 (15.0%)	0.89
Prior PCI	102/306 (33.3%)	144/506 (28.5%)	0.14	255/441 (57.8%)	84/147 (57.1%)	0.37
Prior CABG	41/306 (13.4%)	50/506 (9.9%)	0.12	124/441 (28.1%)	47/147 (32.0%)	0.50
				48/441 (10.9%)	19/147 (12.9%)	
Medication						
Aspirin	286/306 (93.5%)	430/506 (85.1%)	0.0004	399/441 (90.5%)	114/147 (77.6%)	<0.0001
Loading dose clopidogrel	141/306 (46.1%)	254/506 (50.3%)	0.24	206/441 (46.7%)	82/147 (55.8%)	0.06
Statin	249/306 (81.4%)	349/506 (78.0%)	0.25	350/441 (79.4%)	107/147 (72.8%)	0.10
Beta-blocker	223/306 (72.9%)	399/506 (79.0%)	0.05	332/441 (75.3%)	121/147 (82.3%)	0.08
ACE-inhibitor	118/306 (38.6%)	192/506 (38.0%)	0.88	165/441 (37.4%)	59/147 (40.1%)	0.56
PPI	88/306 (28.8%)	141/506 (27.9%)	0.80	137/441 (31.1%)	44/147 (29.9%)	0.80
CCB	114/306 (37.3%)	189/506 (37.4%)	0.96	164/441 (37.2%)	48/147 (32.7%)	0.32
Oral antidiabetics	22/306 (7.2%)	31/506 (6.1%)	0.56	26/441 (5.9%)	10/147 (6.8%)	0.69
Coumadins	19/306 (6.2%)	68/506 (13.5%)	0.001	43/441 (9.8%)	30/147 (20.4%)	0.0007
Laboratory Parameters						
Platelet count (x10 ⁹)	276.0 ± 81.8	268.4 ± 82.5	0.21	264.5 ± 76.8	264.7 ± 75.8	0.98
WBC (x10 ⁹)	7.3 ± 2.1	7.8 ± 2.3	0.009	7.5 ± 2.2	7.7 ± 2.8	0.47
Haemoglobin (mmol/L)	13.9 ± 5.8	13.8 ± 1.4	0.56	13.9 ± 4.8	13.1 ± 1.8	0.003
Procedural Parameters						
No. of stents implanted	456	799	0.11	668	225	0.54
No. of lesions treated	406	683	0.31	577	198	0.60
Minimal Stent diameter (mm)	3.0 ± 0.4	3.1 ± 1.0	0.19	3.1 ± 1.06	3.1 ± 0.5	0.77
Total Stent length (mm)	27.0 ± 15.2	27.9 ± 16.9	0.42	26.7 ± 15.8	26.8 ± 14.3	0.95
Bifurcation lesion	8/306 (2.6%)	12/506 (2.4%)	0.83	8/441 (1.8%)	4/147 (2.7%)	0.50
Drug eluting stent	196/306 (64.0%)	304/506 (60.3%)	0.53	271/441 (61.5%)	94/147 (63.9%)	0.33
LAD	145/306 (47.0%)	254/506 (50.2%)	0.44	213/441 (48.3%)	78/147 (53.1%)	0.32
Graft	11/306 (3.6%)	13/506 (2.6%)	0.40	15/441 (3.4%)	5/147 (3.4%)	1.00

Hypertension: Systolic blood pressure >140 mm Hg or diastolic blood pressure >90 mm Hg; Hypercholesterolemia: A fasting LDL-cholesterol ≥ 3.4 mmol/L or being on statin therapy at the time of inclusion; Diabetes mellitus: According to the World Health Organization criteria; Family history: One or more first-degree relatives have developed CAD before the age of 55 years (men) or 65 years (women); Renal insufficiency: Creatin > 120 µmol/L

eFigure 1 | Distribution of individual platelet reactivity
 Individual platelet reactivity data obtained from the multiple platelet function assays. Horizontal dotted lines indicate the test specific cut-off values for high-on treatment platelet reactivity HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity

Platelet function tests and outcomes in patients with coronary stents

eFigure 2 | Odds Ratios for the primary safety endpoint
Odds Ratios for the occurrence of bleeding by quintiles of on-treatment platelet reactivity according to the multiple platelet function assays. Incidences (%) of the combined primary endpoint are depicted in the bars. Cumulative Kaplan Meier time-to-aperture-closure plot in patients with and without bleeding according to the PFA-100® System. Q = quintile HPR = high on-treatment platelet reactivity, NPR = normal on-treatment platelet reactivity

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Part III

Minor contribution of genetic variation
in the P2Y₁₂-receptor to the response to clopidogrel

Chapter 8

The influence of variation in the P2Y₁₂ receptor gene on *in vitro* platelet inhibition with the direct P2Y₁₂ antagonist cangrelor

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ABSTRACT

Background

Novel P₂Y₁₂ inhibitors are in development to overcome the occurrence of atherothrombotic events associated with poor responsiveness to the widely used P₂Y₁₂ inhibitor clopidogrel. Cangrelor is an intravenously administered P₂Y₁₂ inhibitor that does not need metabolic conversion to an active metabolite for its antiplatelet action, and as a consequence exhibits a more potent and consistent antiplatelet profile as compared to clopidogrel.

Objectives

To determine the contribution of variation in the P₂Y₁₂ receptor gene to platelet aggregation after *in vitro* partial P₂Y₁₂ receptor blockade with the direct antagonist cangrelor.

Methods

Optical aggregometry was performed at baseline and after *in vitro* addition of 0.05 and 0.25 µmol/L cangrelor to the platelet-rich plasma of 254 healthy subjects. Five haplotype-tagging (ht)-SNPs covering the entire P₂Y₁₂ receptor gene were genotyped (rs6798347C>T, rs6787801T>C, rs9859552C>A, rs6801273A>G and rs2046934T>C [T744C]) and haplotypes were inferred.

Results

The minor c allele of SNP rs6787801 was associated with a 5% lower 20 µmol/L ADP-induced peak platelet aggregation (0.05 µmol/L cangrelor, $p < 0.05$). Aa homozygotes for SNP rs9859552 showed 20% and 17% less inhibition of platelet aggregation with cangrelor when compared to CC homozygotes (0.05 and 0.25 µmol/L cangrelor respectively; $p < 0.05$). Results of the haplotype analyses were consistent with those of the single SNPs.

Conclusions

Polymorphisms of the P₂Y₁₂ receptor gene contribute significantly to the interindividual variability in platelet inhibition after partial *in vitro* blockade with the P₂Y₁₂ antagonist cangrelor.

INTRODUCTION

The efficacy of clopidogrel is seriously affected by a wide interindividual variability in response^{3,2}. Several mechanisms have been proposed to contribute to this phenomenon including inter-subject differences in gastro intestinal drug absorption, variation in metabolic drug-conversion, and pre-treatment platelet reactivity³⁻⁹. Genetic polymorphisms of the P2Y₁₂ receptor have also been reported to increase ADP-induced platelet reactivity and to limit the magnitude of platelet inhibition by clopidogrel¹⁰⁻¹².

Several novel P2Y₁₂ receptor antagonists have been developed to overcome the problem of non-responsiveness to clopidogrel¹³. Cangrelor (formerly known as AR-C69931MX, The Medicines Company, Parsippany NJ USA) is a potent P2Y₁₂ inhibitor that reaches a higher level of platelet inhibition than clopidogrel¹⁴. It reversibly binds to the P2Y₁₂ receptor after intravenous administration and does not require conversion to an active metabolite for its antiplatelet action^{14, 15}. These features allow a direct study of the effects of genetic polymorphisms of the P2Y₁₂ receptor on platelet aggregation after *in vitro* P2Y₁₂ receptor blockade with cangrelor.

The aim of the present study was to determine the contribution of genetic P2Y₁₂ receptor polymorphisms to variation in platelet aggregation after partial *in vitro* blockade of the P2Y₁₂ receptor with the direct antagonist cangrelor.

METHODS

Study population

A total of 254 healthy volunteers without coronary artery disease were included. Due to technical failure of the aggregometer (n=5) and missing samples (n=7), 12 subjects were excluded from the analysis. The characteristics of the remaining 242 subjects are shown in **Table 1**.

Subjects were ineligible if they had used any medication in the past 7 days known to affect platelet function. Other exclusion criteria were known platelet dysfunction or bleeding disorder, use of coumadins, a platelet count <150x10⁹/L and age <18 years. Data on risk factors and co-morbidities were carefully obtained using a standardized questionnaire. Physical examination of patients was performed by research physicians. Smoking was defined as any cigarette smoking in the last month. Diabetes mellitus was defined according to the World Health Organization criteria. All subjects gave informed consent and the study protocol complied with the declaration of Helsinki and was approved by the local Institutional Review Board.

Table 1 | Characteristics of the study population

Population Characteristics (n=242)	
Male	162 (64.1%)
Age (yrs)	49.7 ± 12.2
Caucasian	229 (94.6%)
Diabetes mellitus	8 (5.5%)
Current smoking	45 (18.5%)
Platelet Count (10 ⁹ /L)	252 ± 51
Platelet Count in PRP (10 ⁹ /L)	271 ± 21
Mean Platelet Volume (fL)	8.3 ± 0.9
Hemoglobine (g/dL)	8.9 ± 0.7

Values are presented as n(%) or mean ± sd

Sample Collection

To avoid platelet activation during venapuncture, blood was drawn without a tourniquet from the antecubital vein into non-vacuum K₃-EDTA and citrate (3.2%) tubes (Sarstedt, Nümbrecht, Germany) after discarding the first 10 ml of blood. DNA isolation and measurements of platelet count, mean platelet volume and hemoglobin were performed in K₃-EDTA anticoagulated blood. Citrated blood was used for platelet function testing.

Platelet function testing

Citrated blood samples were centrifuged for 10 minutes at 120g to obtain platelet rich plasma (PRP), followed by an additional centrifugation for 15 minutes at 850g to recover platelet poor plasma (PPP). "Classical" light transmittance aggregometry (LTA) was performed on an APACT 4004 (LaBiTec, Ahrensburg, Germany), using PPP to set the aggregation to 100%. The PRP was adjusted with autologous PPP to achieve a final platelet count between 250 and 300 × 10⁹/L. Two-hundred-and-fifty µl PRP was then pipetted into each cuvette, followed by incubation of the samples for 5 minutes with either 25 µl 0.9% NaCl (for baseline platelet aggregation) or cangrelor in final concentrations of 0.05 µmol/L and 0.25 µmol/L. These concentrations are located in the linear and the end phase of the S-shaped curve plotting the concentration of cangrelor against ADP induced platelet aggregation¹⁴. The final concentration of 0.25 µmol/L cangrelor corresponds with the infusion rate of 2 µg/kg/min that has previously been used in a phase II dose-finding study¹⁴. This concentration corresponds to approximately half of the plasma concentration reached with the dosing regimen currently being evaluated in clinical trials¹⁶. Since a lower level of P₂Y₁₂ inhibition is generally associated with an increased variability of platelet aggregation, a more pronounced effect of genetic P₂Y₁₂ receptor polymorphisms was to be expected with the concentrations 0.05 and 0.25 µmol/L cangrelor. After incubation with cangrelor, platelets were stimulated with adenosine diphosphate (ADP) in two final concentrations of 5 and 20 µmol/L. Both "peak" (maximal) and "late" (at 360 seconds) absolute % platelet aggregation were measured¹⁷. The absolute change in platelet aggregation from baseline (*i.e.* the cangrelor naïve sample) was measured in order to determine the magnitude of inhibition of platelet aggregation (IPA).

Selection of Single Nucleotide Polymorphisms (SNPs) in the P₂Y₁₂ receptor gene

Haplotype-tagging SNPs (ht-SNPs) were selected according to the approach described previously¹⁸. Based on the linkage disequilibrium (LD) map of the P₂Y₁₂ locus provided by the International HapMap Project (phase II, October 2007; <http://www.hapmap.org/>), blocks of haplotypes with a frequency >5% and their ht-SNPs (n=6) were defined using Haploview software (version 3.3, <http://www.broad.mit.edu/mpg/haploview/index.php>). In the present study population, these haplotypes together cover 92% of the common DNA sequence variation in the entire P₂Y₁₂ receptor gene and its 5 kb flanking regions. None of the ht-SNPs results in an amino acid change, nor are located within consensus transcription factor binding sites in the 3-kb promoter region, exon-intron junctions, predicted splicing enhancer motifs, the 3-kb 3'UTR-region, or domains that are highly conserved among species. SNPs I-III tag the promoter, exon 1 and a part of the intron 1 region, while SNPs IV and V tag the remaining part of intron 1, as well as the entire exon 2, intron 2, exon 3 and the 3' UTR region.

Since 97% linkage appeared to exist between rs9859552 and rs9848789, rs9848789 was excluded from the analysis in the present study. Further details on the 5 remaining ht-SNPs are shown in **Table 2**.

Table 2 | Characteristics of the selected ht-SNPs of the P2Y₁₂ receptor gene

SNP	NCBI dbSNP accession number	Location*	Allele†	Frequencies (genotype/n)		
I	rs6798347	Promoter c.-281-3614	C > t	CC / 150	Ct / 79	tt / 8
II	rs6787801	Intron c.-217+2739	T > c	TT / 59	Tc / 115	cc / 64
III	rs9859552	Intron c.-217+11494	C > a	CC / 174	Ca / 64	aa / 4
IV	rs6801273	Intron c.-216-4445	A > g	AA / 92	Ag / 125	gg / 25
-	rs9848789	Intron c.-216-377	G > a	GG / 172	Ga / 63	aa / 6
V‡T744C	rs2046934	Intron c.-15+742	T > c	TT / 152	Tc / 85	cc / 5

*Annotation of the selected ht-SNPs is according to the nomenclature recommendations of the Human Genome Variation Society, with nucleotide +1 being the A of the ATG-translation initiation codon³⁴. †The common and minor alleles for each SNP are presented as common > minor, in upper and lower case respectively. The c allele for SNP II appeared to be the minor allele in a large cohort of patients from a previous performed study¹⁸. ‡SNP V [T744C] was firstly described by Fontana et al¹⁰.

Genotyping of P2Y₁₂ receptor polymorphisms

The 5 selected ht-SNPs were genotyped using Custom TaqMan Genotyping Assays (Applied Biosystems, Foster City, USA) under standard conditions³⁹. The nucleotide sequences of the primers and probes used for each assay are available upon request. End-point fluorescence was measured on the ABI 7900HT instrument (Applied Biosystems, Foster City, USA) and clustered according to genotype using SDS 2.2.2 software (Applied Biosystems, Foster City, USA). A random selection of 10% of the samples was re-analysed, and the results were confirmed in 99.7%. All SNPs were in Hardy-Weinberg equilibrium. Haplo.Stats software (<http://mayoresearch.mayo.edu/mayo/research/biostat/schaid.cfm>) was used to infer haplotypes from the unphased SNP genotype data.

Sample size and Statistical Analysis

Sample size calculation was based on the pre-defined criterium to include only haplotypes with a frequency of >5% in the statistical analysis. In addition, according to the NCBI dbSNP database (<http://www.ncbi.nlm.nih.gov/sites/entrez?db=snp>), all genotyped haplotype-tagging SNPs occur with an allelic frequency of at least 5%. Assuming a minimum group frequency of 5%, the study requires 250 subjects to detect a statistical significant difference between genotype groups of 1 times the standard deviation in size, with a statistical power of 80% (alpha=0.05, two tailed).

Associations between single SNP genotypes and platelet aggregation as well as IPA, were tested using an ANCOVA, followed by the Fisher's LSD post-hoc test (SPSS for Windows version 14.0; SPSS Inc, Chicago, USA). Based on the obtained platelet aggregation and IPA values, a dominant model was considered appropriate for testing the effects of SNP II genotypes (*i.e.* TT vs Tc + cc) and a recessive model for SNP III (*i.e.* CC + Ca vs aa). For the other SNPs, no obvious recessive or dominant effect could be observed and these SNPs were therefore tested in an additive model, comparing hetero- and homozygotes for the minor allele to homozygotes for the common allele. To confirm observed trends, an additional analysis was performed comparing the average effects of SNP genotypes across the range of cangrelor concentrations (represented by the combined *p*-value). Analyses of associations between haplotypes and platelet aggregation parameters were performed using the haplo.glm model in Haplo.Stats software. Effects of the haplotypes were calculated as mean difference ± SEM from the

reference haplotype per single allele, using the most frequent haplotype as the reference (Href). In both SNP and haplotype analysis, results were adjusted for the known confounders age, gender, diabetes and smoking status. All platelet aggregation parameters are presented as absolute percentages and p values <0.05 were considered statistically significant.

RESULTS

Platelet Function

Individual values of 5 and 20 $\mu\text{mol/L}$ ADP-induced peak and late aggregation at baseline and after the addition of 0.05 and 0.25 $\mu\text{mol/L}$ cangrelor are depicted in **Figure 1**. Baseline mean peak and late platelet aggregation values were between 80 and 85% for 5 and 20 $\mu\text{mol/L}$ ADP. Cangrelor greatly reduced the absolute magnitude of platelet aggregation, with an absolute IPA of up to 75% for late 5 $\mu\text{mol/L}$ ADP induced platelet aggregation. Nonetheless, significant interindividual variability in cangrelor-inhibited platelet aggregation was evident, in particular with 20 $\mu\text{mol/L}$ ADP induced platelet aggregation.

Figure 1 | Individual values of 5 and 20 $\mu\text{mol/L}$ ADP induced peak and late platelet aggregation at baseline and after incubation with 0.05 $\mu\text{mol/L}$ and 0.25 $\mu\text{mol/L}$ cangrelor. Data are presented as the absolute percentage of platelet aggregation, with lines indicating mean platelet aggregation values.

Table 3 | Associations between SNP genotypes and platelet aggregation and IPA

SNP	Genotype	Platelet Aggregation (absolute %)			IPA (absolute %)			
Peak Aggregation		Baseline	0.05μmol/L	0.25μmol/L	Combined <i>p</i> -value*	0.05μmol/L	0.25μmol/L	Combined <i>p</i> -value*
		(mean ± SEM)	cangrelor	cangrelor		cangrelor	cangrelor	
		(mean ± SEM)	(mean ± SEM)	(mean ± SEM)		(mean ± SEM)		
I	CC	83 ± 0.5	59 ± 1.6	40 ± 1.4	n.s.	24 ± 1.4	44 ± 1.3	0.082
	Ct	84 ± 0.7	57 ± 2.1	38 ± 1.7		27 ± 1.8	46 ± 1.6	
	tt	83 ± 1.9	53 ± 5.6	34 ± 4.8		0.095	30 ± 5.1	
P value		n.s.	n.s.	n.s.		n.s.	n.s.	
II	TT	85 ± 0.8	63 ± 2.3	41 ± 1.9	0.004	22 ± 2.0	43 ± 1.8	0.033
	Tc + cc	83 ± 0.5	57 ± 1.5	38 ± 1.2		26 ± 1.3	45 ± 1.2	
		0.076	0.021	n.s.		0.031	n.s.	
P value								
III	CC + Ca	84 ± 0.5	58 ± 1.4	39 ± 1.2	0.035	26 ± 1.2	45 ± 1.1	0.002
	aa	81 ± 2.6	72 ± 7.8	50 ± 6.6		10 ± 6.7	32 ± 6.1	
		n.s.	0.081	0.097		0.025	0.037	
P value								
IV	AA	84 ± 0.6	61 ± 1.9	40 ± 1.6	0.045	24 ± 1.7	44 ± 1.5	n.s.
	Ag	83 ± 0.6	57 ± 1.7	38 ± 1.4		27 ± 1.5	45 ± 1.3	
	gg	83 ± 1.1	61 ± 3.5	40 ± 2.8		n.s.	23 ± 3.1	
P value		n.s.	0.097†	n.s.		n.s.	n.s.	
V	TT	83 ± 0.5	57 ± 1.6	38 ± 1.4	n.s.	26 ± 1.5	45 ± 1.3	n.s.
	Tc	84 ± 0.6	60 ± 2.0	40 ± 1.6		24 ± 1.7	44 ± 1.5	
	cc	85 ± 2.4	71 ± 7.1	38 ± 6.0		n.s.	14 ± 6.3	
P value		n.s.	0.062‡	n.s.		0.068‡	n.s.	
Late Aggregation		Baseline	0.05μmol/L	0.25μmol/L	Combined <i>p</i> -value*	0.05μmol/L	0.25μmol/L	Combined <i>p</i> -value*
		(mean ± SEM)	cangrelor	cangrelor		0.05μmol/L	0.25μmol/L	
		(mean ± SEM)	(mean ± SEM)	(mean ± SEM)		(mean ± SEM)	(mean ± SEM)	
I	CC	82 ± 0.5	55 ± 2.0	24 ± 1.8	n.s.	27 ± 1.9	58 ± 1.7	n.s.
	Ct	83 ± 0.6	53 ± 2.6	24 ± 2.2		30 ± 2.4	59 ± 2.1	
	tt	82 ± 1.8	48 ± 7.1	16 ± 6.3		0.095	33 ± 6.6	
P value		n.s.	n.s.	n.s.		n.s.	n.s.	
II	TT	83 ± 0.7	60 ± 2.9	27 ± 2.5	0.002	23 ± 2.7	56 ± 2.4	0.009
	Tc + cc	82 ± 0.5	53 ± 1.9	23 ± 1.6		29 ± 1.7	59 ± 1.5	
		0.064	0.019	0.091		0.025	n.s.	
P value								
III	CC + Ca	82 ± 0.5	54 ± 1.7	24 ± 1.5	0.014	28 ± 1.6	59 ± 1.4	0.002
	aa	81 ± 2.6	73 ± 9.7	40 ± 8.6		8.3 ± 8.9	41 ± 8.2	
		n.s.	0.056	0.062		0.026	0.037	
P value								
IV	AA	83 ± 0.6	56 ± 2.4	25 ± 2.1	0.068	26 ± 2.2	57 ± 2.0	n.s.
	Ag	82 ± 0.5	53 ± 2.1	23 ± 1.8		30 ± 1.9	60 ± 1.8	
	gg	82 ± 1.1	57 ± 4.3	27 ± 3.7		n.s.	26 ± 4.0	
P value		n.s.	n.s.	n.s.		n.s.	n.s.	
V	TT	82 ± 0.5	53 ± 2.1	22 ± 1.8	0.034	29 ± 1.9	60 ± 1.7	0.092
	Tc	83 ± 0.6	56 ± 2.4	26 ± 2.1		27 ± 2.3	56 ± 2.1	
	cc	84 ± 2.3	67 ± 8.8	28 ± 7.8		0.070	16 ± 8.1	
P value		n.s.	n.s.	0.092†		n.s.	n.s.	

The mean (±SEM) absolute % platelet aggregation as well as the mean (±SEM) IPA are presented per SNP genotype.

*The combined *p*-value represents the result of the additional statistical analysis comparing the average effect of SNP genotypes across the range of cangrelor concentrations. For SNPs tested in the additive model, *p*-values are given for the effect of †heterozygotes and ‡homozygotes for the minor allele as compared to homozygotes for the common allele. *P* values >0.1 are denoted as n.s.(non significant).

Single Nucleotide Polymorphisms and Platelet Function

Baseline 20 $\mu\text{mol/L}$ ADP induced platelet aggregation was not associated with one of the SNP genotypes (**Table 3**). Carriers of the minor c allele for SNP II exhibited significantly lower platelet aggregation values and a corresponding larger IPA after incubation with 0.05 $\mu\text{mol/L}$ cangrelor (20 $\mu\text{mol/L}$ ADP, both peak and late) as compared to TT homozygotes. The magnitude of the effect ranged from 4.5 to 7.0 absolute % aggregation ($p < 0.05$ for all).

This effect was substantially attenuated after stronger inhibition of platelet aggregation with 0.25 $\mu\text{mol/L}$ cangrelor. Subjects carrying the aa genotype for SNP III showed a trend towards higher 20 $\mu\text{mol/L}$ ADP induced platelet aggregation after incubation with 0.05 and 0.25 $\mu\text{mol/L}$ cangrelor as compared to the combined CC + Ca genotype (both peak and late, $p < 0.1$ for all). This trend was confirmed in the analysis combining the effects of SNP III on platelet aggregation across the range of cangrelor concentrations (combined $p < 0.05$ for aa vs CC + Ca). Correspondingly, the IPA was 16% and 20% smaller in aa homozygotes after incubation with 0.05 $\mu\text{mol/L}$ cangrelor (peak and late respectively, $p < 0.05$). This observation was consistent after stronger inhibition with 0.25 $\mu\text{mol/L}$ cangrelor, with differences of 13% and 17% IPA between aa homozygotes and the combined CC + Ca genotype (peak and late respectively, $p < 0.05$ and combined $p = 0.002$ for both). An overall trend towards higher platelet aggregation and a corresponding smaller IPA was observed in homozygote cc carriers for SNP V as compared to TT homozygotes after inhibition of 20 $\mu\text{mol/L}$ ADP induced platelet aggregation with 0.05 $\mu\text{mol/L}$ cangrelor. Absolute differences of 13% in peak aggregation and 12% in IPA (peak) were observed (cc vs TT; $p = 0.06$ and $p = 0.07$ respectively). However, this finding was not statistically significant and not evident after inhibition of platelet aggregation with 0.25 $\mu\text{mol/L}$ cangrelor. No clear associations were observed between SNP I and IV genotypes and absolute platelet aggregation values or IPA as measured with 20 $\mu\text{mol/L}$ induced platelet activation after incubation with 0.05 and 0.25 $\mu\text{mol/L}$ cangrelor. Similar trends and results were observed for 5 $\mu\text{mol/L}$ ADP-induced platelet function results (data not shown).

Haplotypes and Platelet Function

Of the inferred haplotypes, six had a frequency higher than 5% (**Table 4**). For 20 $\mu\text{mol/L}$ ADP induced baseline aggregation, no differences were observed between the five haplotypes and the reference haplotype (**Figure 2**).

Haplotype C was associated with significantly higher peak and late aggregation after incubation with 0.05 $\mu\text{mol/L}$ cangrelor (mean difference 6.6% and 7.7% per allele respectively, $p < 0.05$). Correspondingly, IPA was smaller for haplotype C as compared to the reference haplotype (5.9% for peak and 7.0% for late, $p < 0.05$ for both). Similar results for haplotype C were observed after 0.25 $\mu\text{mol/L}$ cangrelor, but results were only statistically significant for the parameters late aggregation and IPA (late) (mean absolute difference 6.9% and 5.9% per allele respectively, $p < 0.05$ for both).

Table 4 | Characteristics of haplotypes A-F

Haplotype	SNP					Frequency (%)
	I	II	III	IV	V	
A	t	T	C	g	T	10 %
B	t	T	C	A	T	9 %
C	C	T	C	A	c	15 %
D	C	T	a	A	T	14 %
E [Href]	C	c	C	g	T	24 %
F	C	c	C	A	T	20 %

Minor alleles are presented in lower case. Href: reference haplotype.

Figure 2 | Absolute % difference in platelet aggregation and IPA between haplotypes A-F and the reference haplotype (20 $\mu\text{mol/L}$ ADP induced, both peak and late) at baseline and after the addition of 0.05 and 0.25 $\mu\text{mol/L}$ cangrelor. Results are presented as the mean difference $\pm$ SEM per single haplotype allele.

Haplotype D also showed an overall trend towards higher platelet aggregation values and smaller IPA as compared to the reference haplotype. After incubation with 0.05 $\mu\text{mol/L}$ cangrelor, peak and late aggregation were 4.7% and 5.3% higher as compared to Href ($p = 0.06$ and 0.1 , respectively). A corresponding 4.7% and 5.2% smaller IPA as compared to the reference haplotype was observed ($p < 0.05$ and $p = 0.07$ for peak and late, respectively). Haplotype D was associated with neither platelet aggregation nor IPA after inhibition of platelet aggregation with 0.25 $\mu\text{mol/L}$ cangrelor. Haplotypes A, B and F showed no significant differences in platelet function results when compared to the reference haplotype. Similar trends and results were observed for 5 $\mu\text{mol/L}$ ADP induced platelet function results (data not shown).

DISCUSSION

The present study demonstrates that variation in the P2Y₁₂ receptor gene is significantly associated with interindividual variability in platelet inhibition after partial *in vitro* blockade with the P2Y₁₂ receptor antagonist cangrelor. The minor c allele of SNP II increased the response to cangrelor, thereby exhibiting a small but protective effect on platelet function. In contrast, the aa genotype of SNP III was associated with a substantially impaired platelet response to cangrelor. Of note, the effect of SNP III on P2Y₁₂ inhibition appeared to be recessive, and therefore only displayed by the small subset of the population carrying the aa genotype for SNP III. As part of the H2 haplotype described by Fontana et al.¹⁰, the minor c allele of SNP V has previously been associated with a decreased response to clopidogrel^{13, 24, 25}. In the present study, SNP V was likewise associated with a marked reduction of IPA with 0.05 µmol/L cangrelor. Statistical significance could however not be reached. Furthermore, SNP II and SNP V genotypes only affected platelet aggregation after inhibition with 0.05 µmol/L cangrelor, while the aa genotype for SNP III was consistently associated with impaired IPA, also after incubation with the higher concentration of 0.25 µmol/L cangrelor.

Haplotype analysis is useful in testing whether a combination of single SNPs exhibits either an additive, antagonistic or synergistic influence on the effect measure. In the present study, the results of the haplotype analyses were consistent with the results from the single SNP association analyses. Additive effects of the alleles associated with lower levels of IPA are observed for haplotype C (combining the T allele of SNP II with the c allele of SNP V) and haplotype D (combining the T allele of SNP II with the a allele of SNP III), resulting in a heightened magnitude of ADP-induced platelet aggregation as compared to the reference haplotype. However, none of the selected haplotypes with a frequency >5% combines the three alleles of SNP II, III and V that are associated with lower levels of IPA. Furthermore, haplotype analysis masks the recessive effect of the minor A allele of SNP III on platelet inhibition. SNP III affects platelet aggregation in a recessive way, while haplotype analysis determines the effect of single haplotype alleles, abolishing the effect of the aa genotype (n=4) by the relatively large number of heterozygote carriers (n=64) of the a allele for SNP III. Therefore, haplotype analysis does not necessarily provide additional information regarding the effects of genetic P2Y₁₂ receptor polymorphisms on platelet function in the present study.

Previous studies investigating the possible relationship between genetic variation in the P2Y₁₂ receptor and inhibition of platelet function with clopidogrel report ambiguous results^{11, 20-27}. This might in part be attributed to the multifactorial background of the interindividual variability seen in clopidogrel response^{28, 29}. The two-step metabolism process preceding the appearance of the active metabolite of clopidogrel in plasma, is thought to be a major contributor to the interindividual variability in plasma levels of the active metabolite of clopidogrel and the consequent variable platelet response to clopidogrel^{3, 4, 7, 9}. Cangrelor is a direct-acting, intravenously administered P2Y₁₂ inhibitor that does not exhibit the above mentioned pharmacokinetic limitations. Hence, the impact of genetic P2Y₁₂ receptor polymorphisms on inhibition of platelet aggregation can directly be studied.

The present study was designed to test the hypothetical association between P2Y₁₂ receptor gene polymorphism and the platelet response to cangrelor. Answering this question requires relatively high concentrations of 5 and 20 µmol/L ADP, to achieve differentiation in the platelet response to the potent inhibitor cangrelor, as measured with LTA. Though outside the scope of this study, it would be interesting to test the possible effects of P2Y₁₂ receptor gene polymorphisms on baseline platelet function, a question that requires the use of lower concentrations of ADP.

Several studies have shown that a high on-treatment platelet reactivity in clopidogrel pretreated patients is associated with the occurrence of atherothrombotic events and even death³⁰⁻³³. Furthermore, the results of the TRial to Assess Improvement in Therapeutic Outcomes by Optimizing Platelet Inhibition with Prasugrel (TRITON)-TIMI 38 show that stronger P2Y₁₂ inhibition with prasugrel compared to clopidogrel reduces early recurrent atherothrombotic events after PCI^{34,35}. This emphasizes the importance of sufficient P2Y₁₂ inhibition during and in the first month following PCI. Patients carrying the aa genotype for SNP III that is associated with a more reactive platelet phenotype, might therefore be at an increased risk for atherothrombotic complications after PCI. Future studies assessing the impact of P2Y₁₂ receptor gene polymorphisms on clinical outcome in cangrelor-treated patients may elucidate the answer to this hypothesis.

In conclusion, polymorphisms of the P2Y₁₂ receptor gene significantly contribute to the interindividual variability in platelet inhibition after *in vitro* partial blockade with the P2Y₁₂ antagonist cangrelor. The clinical relevance of this finding has yet to be determined.

What is known about this topic?	What does this paper add?
<ul style="list-style-type: none"> The large variability in response to the P2Y₁₂-receptor inhibitor clopidogrel can in part be attributed to polymorphisms of the P2Y₁₂-receptor gene. Cangrelor is a novel, intravenously administered P2Y₁₂-inhibitor, that does not need conversion to an active metabolite for achieving an inhibitory effect on platelet function. 	<ul style="list-style-type: none"> An investigation on the association between variation in the P2Y₁₂-receptor gene and the magnitude of platelet inhibition reached with invariable levels of the direct P2Y₁₂-inhibitor cangrelor. Polymorphism in the P2Y₁₂-receptor gene is associated with the magnitude of the <i>in vitro</i> platelet response to cangrelor as measured with the classical light transmittance aggregometry.

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Supplementary Figure | Linkage disequilibrium structure of the P2Y₁₂ receptor gene, with position of the five selected haplotype-tagging SNPs.

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Chapter 9

Common variation in the platelet receptor *P2RY12* gene is associated with residual on-clopidogrel platelet reactivity in patients undergoing elective percutaneous coronary interventions

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ABSTRACT

Background

The clinical efficacy of clopidogrel is hampered by a large interindividual variability in platelet inhibition. Polymorphisms in the *P2RY12* receptor gene have been suggested to contribute to this variability, but previous studies included a relatively small number of patients and incompletely covered the common variation in the *P2RY12* gene. The aim of this study was to comprehensively investigate the possible association between common variation in the entire *P2RY12* locus and the magnitude of residual on-clopidogrel platelet reactivity measured by two commonly used platelet function assays in a large cohort of patients.

Methods and Results

A total of 1031 consecutive patients with coronary artery disease (CAD) who were scheduled for elective PCI were enrolled. Platelet function was assessed by means of ADP-induced light-transmittance aggregometry (LTA) and the VerifyNow® P2Y₁₂ assay. Six haplotype-tagging single nucleotide polymorphisms (ht-SNPs) were carefully selected to comprehensively cover the total common variation in the *P2RY12* gene and its flanking regulatory regions. Six common haplotypes were inferred from these ht-SNPs (denoted A-F). Haplotype F was associated with significantly lower residual on-clopidogrel platelet reactivity as compared to the reference haplotype A. The size of this effect per haplotype allele was approximately 5% aggregation in the ADP-induced LTA ($p < 0.05$) and 11 P2Y₁₂ reaction units in the VerifyNow® P2Y₁₂ assay ($p < 0.05$).

Conclusions

Common variation in the *P2RY12* gene is a significant determinant of the interindividual variability in residual on-clopidogrel platelet reactivity in patients with CAD.

INTRODUCTION

Dual antiplatelet therapy with clopidogrel and aspirin is currently the treatment of choice for the secondary prevention of atherothrombotic events in patients with coronary artery disease (CAD) and in those undergoing coronary stent implantation.¹ In approximately 5-30% of clopidogrel-treated patients the inhibition of platelet aggregation is insufficient.^{2,3} As a consequence, patients with a high residual on-clopidogrel platelet reactivity may be at a higher risk of atherothrombotic events.^{4,5}

Common variation in the *P2RY12* gene has been suggested as one of the mechanisms underlying this large variability in clopidogrel response.⁵ The *P2RY12* gene encodes the adenosine diphosphate (ADP) receptor P2Y₁₂, the pharmacological target of clopidogrel. Previous investigations on the relationship between *P2RY12* single nucleotide polymorphisms (SNPs) and high residual on-clopidogrel platelet reactivity were limited by the fact that only the haplotype-tagging SNP (ht-SNP) rs2046934 ("i-T744C") was studied. Rs2046934 is in complete linkage disequilibrium (LD) with 3 other *P2RY12* SNPs and the haplotypes "H1" and "H2", which these SNPs determine, cover only the 3' part of the *P2RY12* gene.⁶⁻

¹⁴ In addition, most of the cited studies on *P2RY12* SNPs included a relatively small number of patients.

A comprehensive study of common variation in the *P2RY12* gene should include ht-SNPs that cover all common haplotypes within the entire *P2RY12* locus, *i.e.* the *P2RY12* gene and its regulatory regions, such as the promoter and the 3'untranslated region (UTR). Using this comprehensive approach, we previously demonstrated that common variation in the *P2RY12* gene is associated with the risk of restenosis following percutaneous coronary interventions (PCI).¹⁵ Although ADP-induced light-transmittance aggregometry (LTA) is still considered the gold standard for the assessment of clopidogrel-induced platelet inhibition, methods have been recently introduced that are designed for a more standardized monitoring of the efficacy of clopidogrel, such as the VerifyNow® P2Y₁₂ assay.¹⁶ The aim of this study was to investigate a possible association between the common genetic variation of the entire *P2RY12* locus and residual on-clopidogrel platelet reactivity using several platelet function assays in a large population of patients who were scheduled to undergo elective PCI.

METHODS

Study population

One-thousand-thirty-one consecutive patients with established coronary artery disease (CAD) scheduled for elective PCI were included in this study. Owing to different protocols of referring hospitals, patients had received a different, but adequate clopidogrel pretreatment: (A) maintenance therapy of 75 mg daily for >5 days (n=659), (B) a clopidogrel loading dose of 300 mg at least 24h before PCI (n=314) or (C) a clopidogrel loading dose of 600 mg at least 6h before PCI (n=58). Exclusion criteria were the use of GPIIb/IIIa antagonists in the last 7 days before the intervention and a platelet count <150x10⁹ platelets/L. Ninety percent of the patients were on aspirin (80-100 mg daily) and 10% were on coumadins. Eighty-one percent of the patients were using statins (**Table 1**). Over 95% of the study population was of Caucasian origin. The study protocol was approved by the institutional Medical Ethics Committee. Written informed consent was obtained from each participant.

Definitions

Smoking was defined as any cigarette smoking in the last month. Hypertension was defined as a systolic blood pressure >140 mm Hg or diastolic blood pressure >90 mm Hg. Diabetes mellitus was defined according to the World Health Organization criteria. Family history of cardiovascular disease was defined as having a first-degree relative with a history of coronary artery disease <65 years for females and <55 years for males.

Blood collection

Blood samples were drawn from the arterial sheath before heparinization into non-vacuum Sarstedt® tubes containing 3.2% sodium citrate (Sarstedt, Nümbrecht, Germany). Haematocrit (Hct), and platelet count were analysed in K₃-EDTA anticoagulated blood. Genomic DNA was extracted from K₃-EDTA anticoagulated whole-blood following standard salting-out procedures and stored at 4°C for genetic analysis.

Light-transmittance aggregometry

Citrated whole-blood samples were centrifuged at 120g for 10 minutes to obtain platelet-rich plasma (PRP) and further centrifuged at 850g for 15 minutes to obtain platelet-poor plasma (PPP). Maximal and late aggregation (at 6 minutes) were measured in non-adjusted PRP after stimulation with different concentrations of the agonist ADP (final concentrations: 2, 5, 10 and 20 µmol/L) in an APACT 4004 four-channel aggregometer (LABiTec, Arensburg, Germany).¹⁷

VerifyNow P2Y₁₂ Assay

The VerifyNow® P2Y₁₂ test cartridge system (Accumetrics, San Diego, CA) was used as described previously.^{16,18} In brief, VerifyNow® P2Y₁₂ is a rapid cartridge-based platelet-agglutination assay designed to directly measure the inhibitory effects of clopidogrel therapy. The results are reported in P2Y₁₂ reaction units (PRU), where a higher PRU reflects greater on-clopidogrel ADP-induced platelet reactivity, and in percentage of inhibition, where a higher % reflects greater change of ADP-induced platelet agglutination from clopidogrel-independent (baseline) platelet agglutination that is induced with thrombin receptor activating peptide (TRAP) and protease-activated receptor 4 activating peptide (PAR₄-AP).

Selection of SNPs in the P2RY12 gene

Ht-SNPs were selected according to the approach described previously.¹⁵ Based on the latest LD-map of the P2RY12 locus (i.e. P2RY12 gene with 2.5kb flanking sequence) provided by the International HapMap Project for a population of Utah residents with Northern and Western European ancestry

Table 1 | Characteristics of the study population

Demographics	n=1031
Age (yrs)	64 ± 11
Male	769 (75%)
Risk factors	
Body mass index (kg/m ²)	27 ± 4
Smoking	210 (20%)
Diabetes	197 (19%)
Hypertension	801 (78%)
Family history of cardiovascular disease	633 (61%)
Medication	
Clopidogrel - Total group	1031 (100%)
Dosing regimen A	659 (64%)
Dosing regimen B	314 (30%)
Dosing regimen C	58 (6%)
Aspirin	923 (90%)
Coumarins	105 (10%)
Statins	830 (81%)
Platelet count (x10 ⁹ /L)	272 ± 80
Haematocrit (%)	41.4 ± 4.3

Values are given as n(%) for categorical variables and as mean ± SD for continuous variables.

from the CEPH collection (phase II, October 2007; <http://www.hapmap.org/>) (**Figure 1**), blocks of haplotypes with frequency >5% were defined from these ht-SNPs using Haploview software (version 3.3, <http://www.broad.mit.edu/mpg/haploview/index.php>). Together, the defined haplotypes cover 88% of the total common DNA sequence variation in the *P2RY12* locus. The 6 selected ht-SNPs that were genotyped are rs6798347 (c.-281-3614C>t), rs6787801 (c.-217+2739T>c) and rs9859552 (c.-217+11494C>a), which tag the promoter, exon 1 and a part of intron 1 region, and rs6801273 (c.-216-4445A>g), rs9848789 (c.-216-377G>a) and rs2046934 (c.-15+742T>c), which tag the remaining part of intron 1, as well as the entire exon 2, intron 2, exon 3, 3' UTR and flanking region (**Figure 1**).

Genotyping and haplotype analysis

The 6 selected haplotype-tagging SNPs were genotyped using Custom *TaqMan* Genotyping Assays (Applied Biosystems, Foster City, USA) under standard conditions.¹⁹ The nucleotide sequences of the primers and probes used for each assay are available upon request. End-point fluorescence was measured on the ABI 7900HT instrument (Applied Biosystems, Foster City, USA) and clustered according to genotype using SDS 2.2.2 software (Applied Biosystems, Foster City, USA). A random selection of 10% of the samples was re-analysed, and the results were confirmed in 99.7%.

Laboratory analyses were performed by research technicians who were blinded for the demographic data of the patients. All SNPs were in Hardy-Weinberg equilibrium when genotyped in a healthy population. Haplotypes were inferred using Haplo.Stats software (<http://mayoresearch.mayo.edu/mayo/research/biostat/schaid.cfm>) and coded from A to F, in the descending order of their effects on 20 µmol/L ADP-induced LTA, where A is defined as the reference haplotype.²⁰

Statistical methods

Demographic data are presented as means and standard deviations for continuous variables and as counts and percentages for categorical variables. Results of the haplotype analysis are presented as mean effects per haplotype-allele with the corresponding standard errors. Haplotype analysis was performed with Haplo.Stats.²⁰ Briefly, this analysis calculates posterior probabilities for each possible haplotype of an individual and assigns an appropriate weight to the corresponding estimated effect on platelet function. Individuals with missing genotype data for more than two SNPs (n=26) were excluded from the analysis. Haplo.Stats assumes an additive effect of haplotype alleles, indicating that the net effect of a person's haplotype is the sum of the effects of its two haplotype alleles.

The associations between the *P2RY12* haplotype alleles and on-clopidogrel platelet reactivity were determined by weighted linear or logistic regression analysis, using *haplo.glm* function and results expressed as mean change from the reference haplotype allele ± SEM. The genetic analysis of the subgroup of patients who had received clopidogrel according to the dosing regimen C was not performed because of the small sample size (n=58). Other statistical analyses were performed using SPSS for Windows, version 11.5 (SPSS Inc, Chicago, USA). ANCOVA was performed to study the differences between the mean on-clopidogrel platelet reactivity of the total study population and the three clopidogrel loading dose regimens, and to study the association between individual *P2RY12* SNPs and platelet function in the total study population. In each analysis, homozygotes for the common allele of these SNPs were used as the reference. Analyses were adjusted for age, sex, BMI, diabetes and smoking. For the total group, additional adjustment for clopidogrel loading-dose was performed. In some analyses, adjustment was also made for the use of aspirin, coumadins and statins. A two-sided value of *P*<0.05 was considered statistically significant.

RESULTS

A large variability in on-clopidogrel platelet reactivity was found with 20 $\mu\text{mol/L}$ ADP-induced LTA and VerifyNow® P2Y₁₂ assay (**Figure 2A and B**). For 20 $\mu\text{mol/L}$ ADP-induced LTA, on-clopidogrel platelet aggregation was $58\% \pm 14\%$ (total group), $57\% \pm 14\%$ (dosing regimen A), $62\% \pm 14\%$ (dosing regimen B) and $56\% \pm 15\%$ (dosing regimen C). For the VerifyNow® P2Y₁₂ assay, PRU was 211 ± 76 (total group), 206 ± 74 (dosing regimen A), 228 ± 78 (dosing regimen B) and 187 ± 83 (dosing regimen C). Both for LTA and VerifyNow® P2Y₁₂ assay, mean on-clopidogrel platelet reactivity was significantly higher in the dosing regimen B than the dosing regimens A and C ($p < 0.001$). Similar variability in on-clopidogrel platelet reactivity was seen for the different concentrations of ADP in the LTA (data not shown).

P2RY12 haplotypes

By combining the 6 P2RY₁₂ ht-SNPs, 64 haplotype alleles were inferred of which 6 were common and had an allele frequency higher than 5%: (A) tTCgGT (10%), (B) tTCAGT (6%), (C) CTCAGc (15%), (D) CTaAaT (15%), (E) CcCgGT (21%) and (F) CcCAGT (22%) (**Table 2**).

Figure 1 | Schematic representation of the P2RY₁₂ gene and the linkage disequilibrium map of the 6 haplotype-tagging SNPs genotyped.

The P2RY₁₂ gene consists of three exons (E1, E2 and E3, of which only E3 is coding) and two intervening sequences (IVS1 and IVS2), which span 47kb of genomic DNA on chromosome 3. The six ht-SNPs that are depicted in the Figure with their corresponding rs-numbers tag all common combinations of SNPs (haplotypes with allele frequencies >5%) within the P2RY₁₂ gene and its 2.5kb flanking regions (52kb in total). The triangle-shaped Figure depicts the linkage disequilibrium (LD)-map for these 6 ht-SNPs. In shades from white to red are indicated increasing pairwise-LDs between the ht-SNPs with the corresponding r^2 values given within the blocks.

Light-transmittance aggregometry

In the analysis of the total study population of patients, haplotype F showed a significantly lower 5 $\mu\text{mol/L}$ ADP-induced maximal and late aggregation (both -4% per haplotype allele, $p < 0.05$, **Figure 3A** and **B**) compared to the reference haplotype A. Similar results were observed for 20 $\mu\text{mol/L}$ ADP-induced maximal and late aggregation (-4% and -6% per haplotype allele; $p < 0.001$ and $p < 0.05$; **Figure 3C** and **D**, respectively) and for ADP concentrations 2 and 10 $\mu\text{mol/L}$ (**supplemental Figure 1**). Similar differences between haplotype F and the reference haplotype A were seen in the subgroup-analysis of patients from the clopidogrel dosing regimens A and B (**Figure 3**). In addition, haplotype E was associated with a significantly lower 20 $\mu\text{mol/L}$ ADP-induced maximal and late aggregation in the analysis of the total study population (both -3% per haplotype allele, $p < 0.05$, **Figure 3C** and **D**). Haplotype E also showed a trend towards lower maximal and late aggregation in the subgroup-analysis of patients from the clopidogrel dosing regimens A and B (**Figure 3C** and **D**). Similar trends were seen for 2, 5 and 10 $\mu\text{mol/L}$ ADP (**Figure 3A** and **B**, and **supplemental Figure 1**) and after additional adjustment for the use of aspirin, coumadins and statins (data not shown). Similar results were observed when non-Caucasians were excluded from the analyses (data not shown).

Table 2 | P2RY12 haplotypes

Haplotype	SNP allele composition	Allele frequency (%)
A	tTCgGT	10
B	tTCAGT	6
C	CTCAGc	15
D	CTaAaT	15
E	CcCgGT	21
F	CcCAGT	22

Haplotype alleles were coded A - F, in the descending order of their effects on 20 $\mu\text{mol/L}$ ADP-induced LTA, where A is the reference haplotype allele. SNP allele composition (e.g. CTaAaT) represents rs6798347, rs6787801, rs9859552, rs6801273, rs9848789 and rs2046934 respectively, with the minor alleles in lower case.

Figure 2 | Maximal 20 $\mu\text{mol/L}$ ADP-induced LTA and VerifyNow® P2Y₁₂ assay in the total study population and according to the 3 clopidogrel dosing regimens.

The total study population ($n=1031$) and the 3 different clopidogrel dosing regimens is presented. Depicted are the maximal 20 $\mu\text{mol/L}$ ADP-induced LTA (panel A) and the VerifyNow® P2Y₁₂ assay (panel B). Differences between mean platelet reactivity of the three dosing regimens were tested using ANCOVA with adjustment for age, sex, BMI, diabetes and smoking. n.s., not significant.

VerifyNow® P2Y₁₂ Assay

Consistent with the results for LTA for the total study population, haplotype F was associated with a lower PRU as compared to the reference haplotype A (-11 PRU per haplotype allele, $p < 0.05$, **Figure 4**). These differences were less pronounced and statistically not significant when clopidogrel dosing regimens A and B were analyzed separately (**Figure 4**). A stronger and significant association was observed for haplotype E, which was consistently associated with 27 less PRU in the VerifyNow® P2Y₁₂ Assay for the total study population and for the clopidogrel dosing regimens A and B ($p < 0.05$, **Figure 4**). Similar results were obtained after additional adjustment for the use of aspirin, coumadins and statins, and when non-Caucasians were excluded from the analyses (data not shown). Also, similar results were obtained for the associations between haplotypes and percentage of inhibition from baseline platelet agglutination (data not shown).

P2RY12 SNPs

Comparison of the SNP-alleles within the haplotypes and their effects on platelet function suggested that SNP rs6787801 was responsible for most of the observed haplotype effects. This observation was confirmed in the single SNP analysis where homozygotes of the rare allele of SNP rs6787801 (CC genotype, $n=261$) had a significantly lower maximal and late aggregation (ranging from -2% to -7%

Figure 3 | The effect of P2RY12 haplotypes on platelet reactivity assessed by LTA.

Results are shown for 5 µmol/L ADP-induced maximal aggregation (panel A) and late aggregation at 6 min (panel B), and 20 µmol/L ADP-induced maximal aggregation (panel C) and late aggregation at 6 min (panel D). Values are expressed as mean differences from the reference haplotype in percent of absolute aggregation per haplotype allele. Analyses were performed for the total study population (dosing regimens A+B+C), and for the subgroup of patients on dosing regimens A and B. Dosing regimen C was excluded from the analysis due to small sample size. ** $p < 0.001$, * $p < 0.05$

across various concentrations of ADP, $p < 0.05$ for all), as well as a significantly lower number of PRU in the VerifyNow® P2Y₁₂ assay (-27 PRU, $p < 0.001$, **Table 3**), as compared to homozygotes of the common allele of SNP rs6787801 (TT genotype, $n=266$). None of the other 5 ht-SNPs were associated with any of the platelet function assays, except for homozygotes of the rare allele of SNP rs6798347 (TT genotype, $n=46$), who showed 24 PRU less in the VerifyNow® P2Y₁₂ assay as compared to homozygotes of the corresponding common allele (CC genotype, $n=638$, $p < 0.05$) (data not shown).

Figure 4 | The effect of P2RY12 haplotypes on platelet reactivity assessed by VerifyNow® P2Y₁₂ assay.

Results are expressed as mean differences from the reference haplotype in P2Y₁₂ reaction units (PRU) per single haplotype allele. Analyses were performed for the total study population (dosing regimens A+B+C), and for the subgroup of patients on dosing regimens A and B. Dosing regimen C was excluded from the analysis due to small sample size.

** $p < 0.001$, * $p < 0.05$

Table 3 | The effect of SNP rs6787801 on platelet function in total study population

Platelet function assay	Genotype			<i>p</i> -value
	TT (<i>n</i> =266)	TC (<i>n</i> =460)	CC (<i>n</i> =261)	TT vs CC
Maximal aggregation (%)				
2 µmol/L ADP	23 ± 12	23 ± 12	21 ± 12	0.046
5 µmol/L ADP	42 ± 14	40 ± 15	38 ± 13	0.012
10 µmol/L ADP	52 ± 15	50 ± 15	49 ± 14	0.020
20 µmol/L ADP	60 ± 14	59 ± 15	56 ± 14	0.005
Late aggregation at 6 min (%)				
2 µmol/L ADP	11 ± 10	11 ± 9	9 ± 9	0.023
5 µmol/L ADP	21 ± 19	20 ± 16	17 ± 15	0.006
10 µmol/L ADP	31 ± 22	30 ± 22	27 ± 21	0.016
20 µmol/L ADP	44 ± 23	41 ± 25	38 ± 24	0.003
VerifyNow® P2Y ₁₂ (PRU)	226 ± 74	209 ± 78	199 ± 71	<0.001

Platelet aggregation is expressed as percent absolute aggregation ± SD. VerifyNow® P2Y₁₂ assay is expressed as P2Y₁₂ reaction units (PRU) ± SD. *P*-values are for LSD post-hoc test in ANCOVA with adjustment for age, sex, BMI, diabetes, smoking and clopidogrel loading-dose.

DISCUSSION

In the present study we demonstrate that common variation in the ADP-receptor *P2RY12* gene is a significant determinant of the wide interindividual variability in on-clopidogrel platelet reactivity. Haplotype F (allele frequency 22%) was consistently associated with a higher on-clopidogrel platelet reactivity in the ADP-induced LTA and the VerifyNow® P2Y₁₂ assay, which may give an adequate protection against atherothrombotic events. Haplotype E (21%) was also associated with higher on-clopidogrel platelet reactivity, especially in the VerifyNow® P2Y₁₂ assay, but this association was less clear for the LTA. Interestingly, a single 300 mg loading dose of clopidogrel (regimen B) was less effective in inhibiting the platelet ADP response than the daily 75 mg dose (regimen A). However, although the overall mean on-clopidogrel platelet reactivity was significantly higher in clopidogrel dosing regimen B than dosing regimens A and C, these differences did not influence the associations between haplotypes across the regimens, nor did the adjustment for various covariates. In line with previous reports, the LTA and the VerifyNow® P2Y₁₂ assay showed similar results.¹⁶

The haplotype-based approach enabled a comprehensive investigation of the common variation in the *P2RY12* gene. The results suggested that SNP rs6787801, or another SNP that is in high LD with this SNP, is responsible for the observed haplotype effects. SNP rs6787801 is located within the 59kb LD-block that contains the promoter region, but not the coding region of the *P2RY12* gene. This suggests that altered transcriptional activity of the *P2RY12* gene might be the underlying mechanism of the observed haplotype effects, rather than a structural change of the P2Y₁₂ receptor. SNP rs6787801 and rs2046934 are located in different LD-blocks, which may explain why most of the previous studies on rs2046934 (the tagging-SNP of haplotype-alleles H1 and H2) have not found a similar association between rs2046934 and the response to clopidogrel.⁶⁻¹⁴ Also in this study, we did not find any statistical differences between haplotypes H1 and H2, represented by our individual SNP analysis with rs2046934. Judging from the relative effects of the haplotypes, there is a complex interaction between the SNPs within a haplotype. Therefore, we cannot exclude the possibility that besides rs6787801 other SNPs, including rs2046934, might contribute to the observed haplotype effects. In addition, the effect of haplotype alleles may not be additive, as we assumed in our model.

Results were presented per single haplotype allele, which implies, under the assumption of an additive effect of the haplotype alleles, that the effect of a haplotype in a patient is the sum of the effects of its two single haplotype alleles. A single haplotype allele F was associated with 7% lower platelet aggregation as compared to the reference haplotype allele A, indicating that the estimated net effect in patients homozygous for haplotype allele F might be a 14% lower platelet aggregation as compared to patients homozygous for haplotype allele A. Smoking, polymorphisms of the cytochrome P450 C19 (CYP2C19) gene, diabetes mellitus, age and proton pump inhibitor treatment are reported to have a similar effect-size on clopidogrel-induced platelet inhibition.²¹⁻²⁴ It remains, however, to be established whether these differences are associated with clinical outcome and what the underlying biological processes are that explain the observed haplotype effects.

Our study confirmed a wide variability in residual on-clopidogrel platelet reactivity, as measured with ADP-induced LTA and the VerifyNow® P2Y₁₂ assay. To date, a uniform definition of so-called clopidogrel "resistance" or clopidogrel "non-responsiveness" is lacking. This definition may vary depending on the type of platelet function assay used, or whether adverse clinical events occurred during clopidogrel therapy.^{5,25} In our study, the absolute residual on-clopidogrel platelet reactivity was measured, and not the relative response to clopidogrel from baseline (i.e. the pharmacodynamic response). For this

reason, we cannot exclude the possibility that the observed differences between haplotypes may already be present at baseline and thus independent of clopidogrel treatment. In addition, we have not genotyped our patients for the *CYP2C19* loss-of-function alleles (*2, *3, *4 and *5), nor the *ABCB1* gene variants. *CYP2C19* variants have recently been shown to affect the hepatic bio-activation of the clopidogrel pro-drug and the concomitant platelet inhibition and risk of adverse ischemic cardiovascular events, whereas the *ABCB1* variants have been shown to affect clopidogrel absorption.²⁶⁻²⁸ However, since *CYP2C19* and *ABCB1* genes are located on different chromosomes than the *P2RY12* gene, we expect that the *CYP2C19* and *ABCB1* alleles are independent of the *P2RY12* haplotypes; i.e. the *CYP2C19* and *ABCB1* alleles are randomly distributed over the different *P2RY12* haplotype-subgroups of our patients. In addition, *CYP2C19* and *ABCB1* genes do not have common biological pathways with the *P2RY12* gene, since the *P2RY12* gene encodes the target-receptor of clopidogrel, whereas *CYP2C19* and *ABCB1* genes are involved in clopidogrel bio-availability. Although these notions do not entirely exclude the possibility of interaction between the three genes, the actual effect of *P2RY12* gene variants (i.e. adjusted for any confounders) will probably be larger than the one observed in the present study. Although it would have been interesting to include additional pharmacokinetic and pharmacodynamic measurements of clopidogrel in our study, the absolute magnitude of the residual platelet reactivity during clopidogrel treatment is considered to be one of the most important end-stage determinants of risk of recurrent atherothrombotic events. Additional studies need to be performed to test whether our results may be generalized to other ethnicities than Caucasians. In conclusion, common variation in the *P2RY12* gene is a significant determinant of the wide interindividual variability in residual on-clopidogrel platelet reactivity in patients with CAD.

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Supplemental Figure 1 |

Results are presented for 2 $\mu\text{mol/L}$ ADP-induced maximal aggregation (panel A) and late aggregation at 6 min (panel B), and 10 $\mu\text{mol/L}$ ADP-induced maximal aggregation (panel C) and late aggregation at 6 min (panel D). In each panel, the results are shown for the analysis of the total study population (squares, dosing regimens A+B+C), the subgroup of patients who were on dosing regimen A (triangles) and dosing regimen B (circles). Dosing regimen C was excluded from the analysis due to small sample size. Values are expressed as mean differences from the reference haplotype in percent of absolute aggregation per haplotype allele, with error bars representing SEM. ** $p < 0.001$, * $p < 0.05$

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Chapter 10

The relevance of P2Y₁₂-receptor gene variation for the outcome of clopidogrel-treated patients undergoing elective coronary stent implantation: a clinical follow-up

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LETTER TO THE EDITOR

The interindividual variation in the response to the antiplatelet drug clopidogrel is subject of extensive research. Multiple potential determinants of clopidogrel response have been investigated, including genetic variation in the pharmacological target of clopidogrel, the adenosine diphosphate (ADP) receptor P2Y₁₂. Fontana and colleagues were the first to show that genetic variation in the P2Y₁₂-receptor was related to the degree of ADP-induced platelet aggregation¹. Using a comprehensive approach, we previously demonstrated that P2Y₁₂-receptor haplotypes were significantly associated with the magnitude of on-treatment platelet reactivity in clopidogrel and aspirin treated patients undergoing elective coronary stent implantation². The aim of the present study was to determine whether this ex vivo association was translated into an effect at the level of clinical outcome.

Clopidogrel and aspirin pre-treated patients undergoing elective percutaneous coronary intervention (PCI) were eligible for inclusion. Blood for laboratory and genetic analysis was drawn into EDTA-anticoagulated tubes (Sarstedt, Nümbrecht, Germany) from the femoral artery sheath at the catheterisation laboratory, prior to the administration of heparin. Genomic DNA was isolated from EDTA blood followed by analysis of six haplotype-tagging single nucleotide polymorphisms (ht-SNPs; rs6798347 C>t, rs6787801 T>c, rs9859552 C>a, rs6801273 A>g, rs9848789 G>a, rs2046934 T>c) of the P2Y₁₂-receptor (selected as described previously^{2,3}) using TaqMan® SNP Genotyping Assays (Applied Biosystems, Foster City, Calif) on an ABI 7900HT instrument (Applied Biosystems, Foster City, Calif) using SDS 2.2.2 software (Applied Biosystems, Foster City, Calif).

The primary end point was defined as a composite of all-cause death, non-fatal myocardial infarction⁵, stent thrombosis⁶, and non-fatal ischemic stroke at one year follow-up. Secondary endpoints were the individual constituents of the primary endpoint. The primary safety endpoint was the combination of minor and major bleeding (according to the modified Thrombolysis In Myocardial Infarction Study Group criteria⁵).

Haplo.Stats software (<http://mayoresearch.mayo.edu/mayo/research/biostat/schaid.cfm>) was used to calculate odds ratios (OR) with 95%-confidence intervals (CI) for occurrence of the endpoints relative to reference haplotype A². Associations between single ht-SNPs and clinical outcome were tested using logistic regression with calculation of OR(95%CI) of heterozygote and homozygote carriers of variant alleles as compared to the wildtype (SPSS version 14.0; SPSS Inc., Chicago, IL, USA). Two-sided *p*-values <0.05 were considered significant.

A total of 1069 patients were included, with a mean age of 64±11 years, of which 802 (75%) were male, 823 (76.9%) had hypertension, 858 (80.3%) had hypercholesterolemia, and 199 (18.6%) suffered from diabetes mellitus. Further baseline characteristics were published previously⁴. During 1-year follow-up 18 (1.7%) patients died, 64 (6.0%) patients suffered from myocardial infarction, 16 (1.5%) patients incurred stent thrombosis (13 definite and 3 possible, classified following the Academic Research Consortium criteria⁶) and 14 (1.3%) patients had an ischemic stroke. Bleeding occurred in a total of 55 (5.1%) patients, of which 33 (3.1%) major bleeding. None of the selected ht-SNPs in the P2Y₁₂-receptor gene was associated with the primary endpoint and primary safety endpoint (**Table**) or secondary thrombotic endpoints (all *p*>0.05, data not shown). Furthermore, the frequency of the primary and secondary endpoints was similar for all haplotypes (all *p*>0.05; **Table**, data not shown for the secondary thrombotic endpoints).

The present study is the first using a comprehensive haplotype approach to investigate the association of variation of the P2Y₁₂-receptor gene to the clinical outcome of clopidogrel-treated patients undergoing elective PCI. The previously demonstrated relationship of P2Y₁₂-receptor gene variation with on-treatment platelet reactivity could not be translated into an effect on thrombotic or bleeding events in the present clinical follow-up study of the same cohort². Haplotype E and F demonstrated lower on-treatment platelet reactivity as compared to reference haplotype A. Therefore, a lower thrombotic event rate and a higher frequency of bleeding was expected for these haplotypes. In the present follow-up study the odds ratio for the primary endpoint and primary safety endpoint deviated from 1 in the expected directions, but confidence intervals were wide and no relationship between P2Y₁₂-receptor haplotypes and thrombotic event rate could be established.

Discrepancy between effects on on-treatment platelet reactivity and on clinical events has been shown for other determinants of the laboratory response to clopidogrel, including the use of calcium channel blockers^{7,8}, statins or proton pump inhibitors⁹. Possibly, conventionally sized studies are insufficiently powered to clinically detect more subtle effects observed at the level of ex vivo pharmacodynamics. Furthermore, it has become clear that the response to clopidogrel is mainly attributed to plasma levels of the active metabolite reached during treatment, referred to as "No active metabolite, no party"^{10,11}. Genetic variations in the enzymes responsible for formation of the active metabolite of clopidogrel are the main source of the variability in active metabolite plasma levels, resulting in an impaired pharmacodynamic response to clopidogrel and worse clinical outcome in part of clopidogrel-treated patients undergoing coronary stent implantation¹¹⁻¹³.

Hypothetically, a contribution of variation in the P2Y₁₂-receptor gene to clopidogrel response or baseline (off-drug) platelet reactivity could become overshadowed by the substantial variability in active metabolite plasma levels caused by pharmacokinetic determinants. Novel P2Y₁₂-receptor antagonists lacking the noise due to variable formation of active metabolite exhibit more consistent active compound levels^{14,15}, potentially rendering P2Y₁₂-receptor gene variation more relevant than for clopidogrel.

Table 1 | Association of clinical outcome with single ht-SNPs of the P2Y₁₂-receptor gene and haplotypes

Single ht-SNPs*	Primary endpoint			Primary safety endpoint			Haplotypes*	Primary endpoint	Primary safety endpoint
	Wildtype†	Heterozygote	Homozygote	Wildtype†	Heterozygote	Homozygote			
rs6798347 C>T	1	1.38[0.86-2.23]	0.26[0.04-1.96]	1	0.97[0.50-1.89]	1.94[0.65-5.74]	A‡	1	1
rs6787801 T>C	1	1.45[0.82-2.56]	1.22[0.64-2.35]	1	0.94[0.48-1.86]	0.88[0.40-1.94]	B	0.57[0.23-1.44]	1.55[0.52-4.62]
rs9859552 C>A	1	0.81[0.48-1.39]	-	1	0.74[0.36-1.51]	1.53[0.45-5.19]	C	0.74[0.40-1.38]	1.30[0.52-3.30]
rs6801273 A>G	1	1.15[0.71-1.86]	1.33[0.68-2.60]	1	1.33[0.71-2.49]	1.29[0.53-3.16]	D	0.56[0.30-1.07]	1.20[0.48-2.96]
rs9848789 G>A	1	0.78[0.46-1.32]	0.51[0.12-2.16]	1	0.72[0.35-1.47]	1.43[0.42-4.84]	E	0.78[0.43-1.39]	1.46[0.62-3.44]
rs2046934 T>C	1	0.84[0.51-1.39]	0.82[0.25-2.73]	1	1.18[0.63-2.19]	1.69[0.49-5.78]	F	0.87[0.50-1.51]	0.75[0.30-1.85]

*odds ratios (OR) with 95% confidence intervals [95%-CI] for associations with the primary endpoint and primary safety endpoint; †Wildtypes were the reference genotypes; ‡Haplotype A was the reference haplotype 2; - No events. The primary endpoint was a composite of all-cause death, non-fatal myocardial infarction, stent thrombosis and non-fatal ischemic stroke. The primary safety endpoint was the combination of minor and major bleeding (according to the modified Thrombolysis In Myocardial Infarction Study Group criteria 5). All p-values for both ht-SNP and haplotype associations were >0.05.

However, these novel antiplatelet agents also exhibit a more potent antiplatelet profile, thereby reducing response variation in general. In conclusion, common variation in the P2Y₁₂-receptor gene has no impact on the clinical efficacy of clopidogrel in patients undergoing elective PCI.

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Part IV

Pharmacokinetic determinants of the response to clopidogrel

Chapter 11

Variability in on-clopidogrel platelet reactivity explained by CYP2C19*2 genotype is modest in patients undergoing coronary stenting

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ABSTRACT

Aims

An inadequate response to clopidogrel is mainly attributable to the variable formation of its active metabolite. The CYP2C19*2 loss-of-function polymorphism leads to reduced generation of the active metabolite and is, similarly to high on-treatment platelet reactivity (HPR), associated with recurrent atherothrombotic events following coronary stent implantation. The aim of the present study was to determine the relative contribution of CYP2C19*2 genotype to (high) on-treatment platelet reactivity.

Methods and Results

CYP2C19*2-genotyping and platelet-function-testing using 5 and 20 µmol/L ADP-induced light transmittance aggregometry (LTA), the PlateletWorks-assay, and the VerifyNow P2Y₁₂-assay, were performed in 1069 clopidogrel pre-treated patients undergoing elective coronary stenting (POPular study, clinicalTrials.gov NCT00352014). The relative contributions of CYP2C19*2-genotype and clinical variables to the interindividual variability of on-treatment platelet reactivity and the occurrence of HPR were established using multivariate regression models.

CYP2C19*2 carrier status was associated with a more frequent occurrence of HPR. CYP2C19*2 genotype alone could explain 5.0%, 6.2%, 4.4% and 3.7% of the variability in 5 and 20 µmol/L ADP-induced LTA, the PlateletWorks-assay and the VerifyNow P2Y₁₂-assay, respectively, which increased to 13.0%, 15.2%, 5.6% and 20.6% when clinical variables were considered as well. Besides the CYP2C19*2 genotype, multiple clinical variables could be identified as independent predictors of HPR, including age, gender, body mass index, diabetes mellitus, clopidogrel loading dose regimen, use of amlodipine and platelet count.

Conclusion

The CYP2C19*2 loss-of-function polymorphism is associated with a more frequent occurrence of HPR. However, the part of the interindividual variability in on-treatment platelet reactivity explained by CYP2C19*2 genotype is modest.

INTRODUCTION

The large interindividual variability in the response to clopidogrel is mainly attributable to the variable extent to which its active metabolite is formed. Both a CYP2C19*2 carrier status as well as the presence of high on-treatment platelet reactivity (HPR) have been associated with recurrent atherothrombotic events following coronary stent implantation [1-5]. It is unknown whether genotyping or platelet function testing is superior in tailoring clopidogrel therapy in the individual patient. Genotyping and platelet function testing each have potential advantages for the optimization of clopidogrel therapy. CYP2C19-genotyping provides reproducible results that are stable over time, regardless of clinical presentation, whereas the individual platelet reactivity status represents the sum of multiple clinical factors and CYP2C19-genotype.

We have performed CYP2C19*2 genotyping in the 'Do Platelet Function Assays Predict Clinical Outcomes in Clopidogrel-Pretreated Patients Undergoing Elective PCI' (POPular) study with the aim to determine the relative contribution of CYP2C19*2 carrier status to the interindividual variability in on-treatment platelet reactivity [2].

METHODS

The study design and methods used for platelet function testing of the POPular-study were described previously [2]. In brief, patients with coronary artery disease undergoing elective coronary stent implantation were eligible for inclusion. All patients were adequately pre-treated with clopidogrel (75 mg daily for >5 days, or a loading dose of 300 mg $\geq$ 24 hours or 600 mg $\geq$ 4 hours before PCI) and aspirin (80-100 mg daily $\geq$ 10 days prior to PCI) unless on long-term anticoagulation with coumarin derivatives. Blood for platelet function testing and genetic analysis was collected from the femoral or radial artery sheath into 3.2% citrate and K₃-EDTA anticoagulated tubes, respectively, prior to heparinization and the administration of glycoprotein IIb/IIIa inhibitors. The primary endpoint was the magnitude of on-treatment platelet reactivity as measured with the VerifyNow P2Y₁₂-assay. Secondary endpoint was on-treatment platelet reactivity as measured with 5 and 20 μ mol/L adenosine diphosphate (ADP)-induced light transmittance aggregometry (LTA) and the PlateletWorks assay. The study protocol complied with the declaration of Helsinki and was approved by the ethical committee of our institution. All patients gave written informed consent for participation.

Platelet function testing

The absolute level of platelet reactivity during treatment with clopidogrel (*i.e.* on-treatment platelet reactivity) was quantified using ADP-activated, aggregation-based platelet function tests that were able to predict clinical outcome in the POPular study, *i.e.* 5 and 20 μ mol/L ADP-induced LTA (n=1005 and n=1006, respectively), the PlateletWorks assay (Helena Laboratories, Beaumont, Texas; n=511, due to irregularities in supply) and the VerifyNow P2Y₁₂ assay (Accumetrics, San Diego, California; n=1010). High on-treatment platelet reactivity to ADP was defined according to the receiver operator characteristics curve based cut-offs determined for high on-treatment platelet reactivity based on 1-year clinical outcome, *i.e.* aggregation >42.9% for 5 μ mol/L ADP-induced LTA, >64.5% for 20 μ mol/L ADP-induced LTA, >80.5% for the PlateletWorks, and P2Y₁₂ reaction units (PRU) >236 for the VerifyNow P2Y₁₂-assay [2,5].

CYP2C19-genotyping

Genomic DNA was isolated from EDTA blood followed by identification of the CYP2C19*2 (681G>A, rs4244285) allele using Real time PCR. DNA sequence analysis was used to validate the genotyping procedure. CYP2C19*2 genotypes were available for 1024 patients. The CYP2C19 wildtype (*1/*1) occurred with a frequency of 72.0%, while 25.4% were heterozygote for CYP2C19*2 (*1/*2), and 2.6% were CYP2C19*2 homozygotes (*2/*2). These genotype frequencies did not deviate from the Hardy-Weinberg equilibrium ($\chi^2=0.50$, $p=0.48$).

Statistics

Data are presented as mean $\pm$ standard deviation (SD) for continuous variables and as counts (%) for categorical variables. The Student's t-test and χ^2 -test were used to test the association of various clinical variables with on-treatment platelet reactivity ($p<0.10$), i.e. age (10 years), gender, body mass index (BMI, kg/m²), current smoking, hypertension (systolic blood pressure [BP] >140 mm Hg or diastolic BP >90 mm Hg), diabetes mellitus, left ventricular ejection fraction (LVEF) <45%, renal failure (creatinine level >1.36 mg/dL), platelet count, mean platelet volume, clopidogrel dosing regimen, proton pump inhibitor (PPI) use, and use of the calcium channel blocker amlodipine [6,7]. Differences in the magnitude of on-treatment platelet reactivity between the three CYP2C19-genotype groups were tested with analysis of variance (ANOVA) followed by the least significant difference (LSD) post-hoc test.

Multivariate linear regression analysis with calculation of the adjusted β coefficient and coefficient of determination (R^2) was used to identify the independent contribution of each of the above-mentioned factors to the interindividual variability in on-treatment platelet reactivity. Furthermore, multivariate binary logistic regression analysis was used to compute adjusted odds ratios with corresponding 95% confidence intervals (ORadj [95%-CI]) for exhibiting HPR compared to not exhibiting HPR, adjusting for the above mentioned variables. All statistical analyses were performed with SPSS (version 15.0; SPSS Inc., Chicago, IL, USA), and two-sided p -values <0.05 were considered significant.

RESULTS

Genetic data was available in 1024 of 1069 patients enrolled in the POPular-study. Patient characteristics stratified by CYP2C19*2 genotype are shown in **Table 1**.

The mean $\pm$ SD magnitude of on-treatment platelet reactivity was higher in CYP2C19*2 heterozygotes ($44\pm14\%$, $63\pm13\%$, $72\pm27\%$, and 230 ± 71 PRU) and homozygotes ($52\pm14\%$, $70\pm9\%$, $90\pm21\%$, and 257 ± 60 PRU) as compared to patients not carrying the CYP2C19*2 gene variant ($38\pm14\%$, $56\pm15\%$, $61\pm30\%$ and 202 ± 76 PRU, for 5 and 20 $\mu\text{mol/L}$ ADP-induced LTA, the PlateletWorks assay, and the VerifyNow P2Y₁₂ assay, respectively; **Figure 1**). Furthermore, HPR was more frequent in patients carrying the CYP2C19*2 genetic polymorphism (**Table 2**).

Contribution to on-treatment platelet reactivity

CYP2C19*2 carrier status alone could explain 5.0%, 6.2% and 4.4% of the interindividual variability in 5 and 20 $\mu\text{mol/L}$ ADP-induced LTA and the PlateletWorks assay, respectively, compared to 13.0%, 15.2% and 5.6% when clinical factors were included as well (**Table 3**). The whole blood VerifyNow P2Y₁₂-assay demonstrated a smaller contribution of CYP2C19-genotype, 3.7% of the variability in PRU

could be attributed to CYP2C19*2 carrier status, which increased more than five-fold to 20.6% after adding clinical variables. The clinical variables independently associated with the magnitude of on-treatment platelet reactivity were age, BMI, gender, diabetes mellitus, clopidogrel loading dose regimen, use of a PPI, or amlodipine, and platelet count (Table 4).

Predictors of HPR

Multivariate logistic analysis showed that the CYP2C19*2 heterozygotes (*1/*2 genotype) had an approximately 2-fold increase in the occurrence of HPR as compared to the patients with the *1/*1 genotype, and this association was stronger for patients carrying two copies of the CYP2C19*2 gene variant. Besides CYP2C19*2 genotype, multiple clinical variables could be identified as independent predictors of HPR, including age, BMI, gender, diabetes mellitus, clopidogrel loading dose regimen, use of amlodipine and platelet count (Figure 2).

Table 1 | Patient characteristics stratified by CYP2C19*2 genotype

Characteristic	CYP2C19 *1/*1 n=737	CYP2C19 *1/*2 n=260	CYP2C19 *2/*2 n=27	p-value
Age (years)	64±11	65±10	64±12	0.582
BMI (kg/m ²)	27±4	27±4	28±4	0.249
Male gender	561 (76.1)	189 (72.7)	20 (74.1)	0.541
Current smoking	9 (1.2)	3 (1.2)	0 (0)	0.974
Hypertension	559 (75.9)	205 (78.8)	23 (85.2)	0.368
Diabetes Mellitus	136 (18.5)	49 (18.8)	5 (18.5)	0.993
LVEF <45%	108 (14.7)	43 (16.5)	6 (22.2)	0.463
Renal failure	57 (7.7)	21 (8.1)	2 (7.4)	0.981
Medication				
Clopidogrel LD (300 mg /600 mg)	299 (42.0) / 62 (8.7)	105 (41.7) / 16 (6.3)	17 (68.0) / 1 (4.0)	0.079
PPI	195 (27.2)	51 (19.9)	9 (36.0)	0.035
CCB/Amlodipine*	241 (33.8) / 127 (17.8)	96 (37.9) / 55 (21.7)	10 (38.5) / 7 (26.9)	0.469/ 0.234
Statin	581 (80.7)	211 (82.7)	22 (81.5)	0.771
B-blocker	5569 (78.6)	201 (79.1)	17 (63.0)	0.144
Laboratory parameters				
Platelet count (109/L)	269±74	280±97	246±64	0.049
Mean platelet volume (fL)	7.4±0.9	7.5±1.0	7.7±1.1	0.123
Procedural characteristics				
No. of stents implanted	1.6±0.8	1.6±0.8	1.5±0.8	0.934
LAD	356 (48.3)	120 (46.2)	14 (51.9)	0.766
Drug-eluting stent	474 (64.7)	154 (59.9)	19 (70.4)	0.302

Continuous variables are presented as mean±SD, dichotomous variables as counts (%). *Amlodipine showed to be the calcium channel blocker associated with HPR in a previously published sub-analysis of POPular [5]. BMI: body mass index, LAD: left anterior descending artery, LD: loading dose, either 300 mg or 600 mg clopidogrel, LVEF: left ventricular ejection fraction, MPV: mean platelet volume, PLT: platelet count, PPI: proton pump inhibitor.

Figure 1 | Individual values of on-treatment platelet reactivity stratified by CYP2C19-genotype
Individual values ($n=1024$) of on-treatment platelet reactivity stratified by CYP2C19-genotype, as measured with 5 μ mol/L ADP-induced LTA (a), 20 μ mol/L ADP-induced LTA (b), the PlateletWorks assay (c) and the VerifyNow P2Y₁₂-assay (d). Differences in mean platelet reactivity were tested for significance using analysis of variance (ANOVA) followed by the least significant difference (LSD) post-hoc test. Mean values of platelet reactivity are represented by solid lines and dashed lines indicate cut-off values for HPR based on 1-year clinical outcome ². ADP: 5'-adenosine diphosphate, HPR: high on-treatment platelet reactivity, LTA: light transmittance aggregometry, PRU: P2Y₁₂ reaction units.

Table 2 | Frequency of HPR stratified by CYP2C19-genotype

CYP2C19*2 genotype	*1/*1	*1/*2	*2/*2	P-value
5 μ mol/L ADP-induced LTA >42.9%	38%	53%	70%	<0.001
20 μ mol/L ADP-induced LTA >64.5%	31%	52%	78%	<0.001
PlateletWorks >80.5%	33%	51%	91%	<0.001
VerifyNow >236 PRU	34%	49%	67%	<0.001

χ^2 test was used to test the statistical significance of differences in the frequency of HPR in the different CYP2C19-genotypes *1/*1, *1/*2 and *2/*2. ADP: 5'-adenosine diphosphate, HPR: high on-treatment platelet reactivity, LTA: light transmittance aggregometry, PRU: P2Y₁₂ Reaction Units.

Table 3 | Percentage of the variability in on-treatment platelet reactivity explained

	5 μ mol/L ADP-induced LTA		20 μ mol/L ADP-induced LTA		PlateletWorks		VerifyNow P2Y ₁₂	
	R ²	p-value	R ²	p-value	R ²	p-value	R ²	p-value
CYP2C19*2	5.0%	<0.001	6.2%	<0.001	4.4%	<0.001	3.7%	<0.001
Clinical variables*	8.9%	<0.001	9.8%	<0.001	2.9%	0.515	17.3%	<0.001
CYP2C19*2 + Clinical variables	13.0%	<0.001	15.2%	<0.001	5.6%	0.058	20.6%	<0.001
p for change†		<0.001		<0.001		0.839		<0.001

The percentage of the variability in on-treatment platelet reactivity explained was defined as the coefficient of determination ($R^2 \cdot 100\%$). *Clinical variables included were those significantly associated with on-treatment platelet reactivity in univariate analysis. †p-value for the change in R^2 when adding clinical variables to CYP2C19*2 genotype. ADP: 5'-adenosine diphosphate, LTA: light transmittance aggregometry

Figure 2 | Predictors of HPR

Adjusted odds ratios with corresponding 95% confidence intervals (ORadj [95%-CI]), per unit for multinomial and continuous variables) for exhibiting HPR vs not exhibiting HPR as determined with 5 μ mol/L ADP-induced LTA (a), 20 μ mol/L ADP-induced LTA (b), the PlateletWorks assay (c) and the VerifyNow P2Y₁₂-assay (d), using a multivariate binary logistics model containing CYP2C19*2 carrier status (*1/*1, *1/*2 or *2/*2) in addition to clinical variables that were associated with on-treatment platelet reactivity in multivariate linear regression ($p < 0.05$). CYP2C19 *1/*2 and *2/*2 genotypes were compared with *1/*1 genotype; clopidogrel loading dose, either 300 mg or 600 mg, was compared with 75 mg daily maintenance dose regimen. ADP: 5'-adenosine diphosphate, BMI: body mass index, LD: loading dose, LTA: light transmittance aggregometry, PLT: platelet count, PPI: proton pump inhibitor, PRU: P2Y₁₂ Reaction Units.

Table 4 | Contribution to on-treatment platelet reactivity

	5 µmol/L ADP-induced LTA*		20 µmol/L ADP-induced LTA†		Platelet Works‡		VerifyNow P2Y ₁₂ -assay§	
	βadj [95%-CI], % Aggregation	P-value	βadj [95%-CI], % Aggregation	P-value	βadj [95%-CI], % Aggregation	P-value	βadj [95%-CI], PRU	P-value
CYP2C19*2	5.93 [4.03-7.83] ¹	<0.001	6.55 [4.66-8.44] ²	<0.001	10.48 [4.89-16.07] ³	<0.001	25.30 [15.88-34.73] ⁴	<0.001
Age (10 years)	1.91 [0.90-2.92]	<0.001	0.82 [-0.18-1.83]	0.108	1.21 [-1.77-4.19]	0.424	10.77 [5.76-15.78]	<0.001
BMI (kg/m ²)	0.36 [0.10-0.61]	0.006	0.61 [0.36-0.86]	<0.001	0.64 [-0.15-1.44]	0.114	2.61 [1.36-3.85]	<0.001
Male gender	-3.01 [-5.39- -0.62]	0.014	-2.91 [-5.28- -0.53]	0.016	-1.43 [-8.62-5.75]	0.695	-33.04 [-44.82- -21.26]	<0.001
Current smoking	-0.38 [-2.18-1.43]	0.683	-0.64 [-2.44-1.15]	0.481	2.20 [-2.67-7.07]	0.374	0.48 [-8.46-9.42]	0.916
Hypertension	0.14 [-2.25-2.53]	0.908	-0.08 [-2.46-2.30]	0.949	2.49 [-4.36-9.33]	0.476	-0.74 [-12.53-11.05]	0.902
Diabetes mellitus	2.12 [-0.46-4.70]	0.107	2.90 [0.34-5.46]	0.027	2.79 [-4.83-10.41]	0.472	17.66 [4.98-30.35]	0.006
LVEF <45%	1.12 [-1.64-3.88]	0.425	2.42 [-0.34-5.17]	0.085	-2.24 [-10.05-5.56]	0.572	7.12 [-6.44-20.69]	0.303
Renal Failure	0.62 [-3.17-4.40]	0.750	-0.25 [-4.02-3.52]	0.896	-8.20 [-18.92-2.52]	0.133	-4.98 [-23.82-13.86]	0.604
Clopidogrel LD	3.25 [1.48-5.01]	<0.001	3.66 [1.91-5.42]	<0.001	2.61 [-2.57-7.79]	0.323	19.04 [10.32-27.75]	<0.001
PPI	0.89 [-1.43-3.20]	0.452	1.31 [-0.99-3.61]	0.264	-2.48 [-9.51-4.55]	0.488	20.08 [8.66-31.50]	0.001
Amlodipine*	3.29 [0.85-5.72]	0.008	4.21 [1.78-6.64]	0.001	-0.21 [-7.17-6.74]	0.952	18.55 [6.48-30.62]	0.003
PLT (10 ⁹ /L)	0.01 [0.00-0.03]	0.035	0.01 [-0.01-0.02]	0.328	0.00 [-0.04-0.03]	0.938	-0.09 [-0.16- -0.03]	0.004
MPV (fL)	-0.13 [-1.30-1.04]	0.822	0.40 [-0.76-1.57]	0.500	0.01 [-3.57-3.60]	0.993	4.84 [-0.90-10.58]	0.098

Adjusted β coefficients with corresponding 95% confidence intervals (βadj [95%-CI]) for on-treatment platelet reactivity, in a multivariate linear regression model containing CYP2C19*2 carrier status (*1/*1, *1/*2 or *2/*2) in addition to clinical characteristics that were associated with on-treatment platelet reactivity in univariate analysis (p<0.10). Unadjusted β for CYP2C19*2 carrier status *6.30 [4.59-8.01], †7.01 [5.32-8.71], ‡12.23 [7.28-17.19] and §28.21 [19.35-37.07]. * Amlodipine showed to be the calcium channel blocker associated with HPR in a previously published sub-analysis of POPular⁵. ADP: 5'-adenosine diphosphate, BMI: body mass index, LD: loading dose, either 300 mg or 600 mg clopidogrel, LTA: light transmittance aggregometry, LVEF: left ventricular ejection fraction, MPV: mean platelet volume, PLT: platelet count, PPI: proton pump inhibitor, PRU: P2Y₁₂ Reaction Units.

DISCUSSION

An inadequate response to clopidogrel is mainly attributable to the variable formation of its active metabolite. The CYP2C19*2 loss-of-function polymorphism leads to reduced generation of the active metabolite of clopidogrel and both the loss-of-function CYP2C19*2 genetic polymorphism as well as high on-treatment platelet reactivity (HPR) have repeatedly shown to be associated with an increased rate of atherothrombotic events following coronary stenting [1-5]. Though CYP2C19 is regarded the most important enzyme in the metabolic activation of clopidogrel, the present study demonstrates that CYP2C19*2 carrier status could only explain approximately 5% of the variability in the pharmacodynamic response to clopidogrel. In addition to CYP2C19*2 genotype, multiple clinical variables could be identified as independent predictors of HPR, with adjusted odds ratios closely approximating that for heterozygote carriers of the CYP2C19*2 allele. Combining these clinical variables with CYP2C19*2 carrier status yielded a 2 to 5-fold increase in the percentage of the variability in on-treatment platelet reactivity that could be explained.

Hochholzer and colleagues recently published a similar approach using 5 µmol/L ADP-induced LTA, a platelet function test has previously shown to correlate only very modest with plasma levels of the active metabolite of clopidogrel, which is an important concern when estimating the relative contribution of CYP2C19*2 genotype affecting the generation of the active metabolite of clopidogrel [8]. Twenty µmol/L ADP-induced LTA and the VerifyNow P2Y₁₂-assay have shown to correlate better

with in vivo plasma levels of the active metabolite of clopidogrel^[9]. Furthermore, all four platelet function tests included have shown to be capable of predicting clinical outcome in clopidogrel-treated patients^[2].

The VerifyNow P2Y₁₂-assay is performed in whole blood, thereby more closely mimicking the *in vivo* situation than LTA, and is not hampered by pre-analytical variables such as pipetting, centrifugation and agonist sources, as is the case for LTA^[10].

Therefore, we hypothesized that a larger part of the interindividual variability of on-treatment platelet reactivity could be attributed to CYP2C19*2 carrier status when platelet reactivity was measured using the VerifyNow P2Y₁₂-assay. The opposite appeared to be true, as the variation in PRU explained by CYP2C19*2 carrier status alone was lower than for LTA (3.7%), while the combination of CYP2C19*2 carrier status and clinical risk factors could explain as much as 20.6% of the interindividual variability in PRU.

The percentage of variability in on-treatment platelet reactivity as measured with the PlateletWorks that could be attributed to CYP2C19*2 genotype and clinical variables was low. Although the PlateletWorks has shown to be capable of predicting clinical outcome in the POPular study, the lack of association with different variables known to affect platelet reactivity and to contribute to the interindividual response to clopidogrel, renders interpretation of data difficult.

It has previously been postulated that 73% of the interindividual variability in the pharmacodynamic response to clopidogrel is genetically determined^[11]. Assuming the straight-forward relationship between CYP2C19 and the pharmacodynamic effects of clopidogrel as stated above, it is remarkable that only 5% of the response to clopidogrel could be attributed to the CYP2C19*2 genetic polymorphism. The effects of an impaired enzyme activity associated with the CYP2C19*2 genotype was especially evident in CYP2C19*2 homozygotes. In March 2010, the Food and Drug Administration (FDA) issued a boxed warning to the label of clopidogrel (Plavix), stating that a higher dose of clopidogrel or alternative antiplatelet drugs should be considered in patients who can be classified as poor metabolisers based on CYP2C19-genotyping, *i.e.* patients carrying two CYP2C19 loss-of-function gene variants^[12]. For CYP2C19*2 heterozygotes, however, the relation with on-treatment platelet reactivity is less profound, and the established on-treatment platelet reactivity in this patient group is characterized by a similar magnitude of intersubject variability as observed in patients without the CYP2C19*2 loss-of-function allele. The present study shows that besides CYP2C19*2 genotype, also clinical variables and the use of concomitant medication known to interfere with generation of the active metabolite of clopidogrel. Furthermore, temporary and ongoing clinical disease states alter an individual's intrinsic platelet reactivity status and this may lead to a higher need of platelet inhibition. For example, diabetes mellitus is characterized by a high intrinsic platelet reactivity status, and several lines of evidence point out that diabetic patients benefit more from stronger antiplatelet therapy as compared to patients without diabetes mellitus^[13]. The genetics substudy of the *PLATelet inhibition and patient Outcomes* (PLATO) trial constituted the largest cohort of clopidogrel-treated patients in whom CYP2C19-genotyping was performed (n=5148) until present^[14]. Patients carrying at least one CYP2C19 loss-of-function allele had a worse clinical outcome at 30 days follow-up than patients not carrying such a gene variant (primary endpoint reached in 5.7% vs 3.8%, respectively, $p=0.028$), however, no clinical consequences were observed at 1-year follow-up (primary endpoint reached in 11.2% vs 10.0%, respectively, $p=0.25$). The large interindividual variability in the response to clopidogrel can be considered as a matter of systems pharmacology rather than a single-gene determined phenomenon, and prediction of an individual's response to clopidogrel may require integration of

information on genetics and clinical variables, both captured in an individual's on-treatment platelet reactivity status. Moreover, the finding that only a small percentage of variability could be attributed to CYP2C19-genotype encourages the search for additional genetic factors explaining the highly variable response to clopidogrel, enabling us to accurately and effectively tailor clopidogrel therapy to the individual patient's need.

In conclusion, the CYP2C19*2 loss-of-function polymorphism is associated with a higher on-treatment platelet reactivity, though the percentage of the interindividual variability in on-treatment platelet reactivity explained by CYP2C19*2 genotype is modest. Prospective studies will have to determine the role of CYP2C19-genotyping and platelet function testing in tailoring clopidogrel therapy and predicting the clinical outcome of clopidogrel treated patients.

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Chapter 12

Cytochrome P-450 Polymorphisms and Response to Clopidogrel

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TO THE EDITOR

On the basis of the results of a genetic association study (Jan. 22 issue),¹ Mega et al. conclude that reduced-function variants of the CYP2C19 allele are responsible for lower plasma levels of the active metabolite of clopidogrel; these lower levels lead to decreased platelet inhibition and thereby increase cardiovascular risk. However, no direct evidence of the causal involvement of the cytochrome P-450 enzyme CYP2C19 in the biotransformation of clopidogrel to its active metabolite is presented. To test the hypothesis of Mega et al., we incubated clopidogrel, the inactive metabolic intermediate 2-oxo-clopidogrel,² and the known CYP2C19 substrate omeprazole³ with human microsome preparations expressing CYP2C19 (**Figure 1**). Although omeprazole was transformed into 5-hydroxy-omeprazole, no significant biotransformation of clopidogrel into 2-oxo-clopidogrel and active metabolite or of 2-oxo-clopidogrel into active metabolite was observed. Hence, CYP2C19 does not appear to contribute to the biotransformation of clopidogrel. It is possible that the CYP2C19 polymorphisms represent only tags for the true causal gene variant involved in clopidogrel activation. Moreover, the CYP2C19 polymorphisms may directly affect the risk of cardiovascular events. Genomewide association studies may help resolve these discrepancies.

Results of Tests for the CYP2C19-Dependent Biotransformation of Clopidogrel, 2-Oxo-Clopidogrel, and omeprazole into Metabolites. Clopidogrel, 2-oxo-clopidogrel, and omeprazole were incubated for 5 minutes at 37°C with microsome preparations from CYP2C19 (National Center for Biotechnology Information sequence accession number, NM_000769.1) transfected human embryonic kidney 293 cells (200 μg of protein containing 7.6 pmol of CYP2C19 enzyme and equimolar concentrations of purified recombinant human NADPH-cytochrome P-450 reductase and cytochrome b₅) in 50 mM sodium phosphate buffer (pH 7.4), 10 mM magnesium chloride, and 2 mM NADPH (final volume, 200 μl). Incubations were performed with eight different concentrations, ranging from 1 to 300 μM. The formation of metabolites was measured in supernatants extracted by 600 μl acetonitrile by means of liquid chromatography–tandem mass spectrometry in positive electrospray mode (TSQ Quantum, Thermo Fisher Scientific). Each metabolite was detected by monitoring the mass-to-charge ratio (m/z) for the transition of the parent ion [M+H]⁺ into selected fragment ions. The transition of m/z 338 to m/z 183 was used for quantitation of 2-oxo-clopidogrel, m/z 356 to m/z 183 for the active thiol metabolite of clopidogrel, and m/z 362 to m/z 214 for 5-hydroxy-omeprazole. Each incubation experiment was performed three times with the use of individual microsome preparations. Arithmetic means and standard deviations are shown.

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Chapter 13

Paraoxonase-1 is a major determinant of clopidogrel efficacy

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ABSTRACT

Clinical efficacy of the antiplatelet drug clopidogrel is hampered by its variable biotransformation into the active metabolite^{1,2}. The variability in the clinical response to clopidogrel treatment has been attributed to genetic factors, but the specific genes and mechanisms underlying clopidogrel bioactivation remain unclear. Using in vitro metabolomic profiling techniques, we identified paraoxonase-1 (PON1) as the crucial enzyme for clopidogrel bioactivation, with its common Q192R polymorphism determining the rate of active metabolite formation. We tested the clinical relevance of the PON1 Q192R genotype in a population of individuals with coronary artery disease who underwent stent implantation and received clopidogrel therapy. PON1 QQ192 homozygous individuals showed a considerably higher risk than RR192 homozygous individuals of stent thrombosis, lower PON1 plasma activity, lower plasma concentrations of active metabolite and lower platelet inhibition. Thus, we identified PON1 as a key factor for the bioactivation and clinical activity of clopidogrel. These findings have therapeutic implications and may be exploited to prospectively assess the clinical efficacy of clopidogrel.

LETTER

Antiplatelet therapy with the ADP P₂Y₁₂ receptor antagonist clopidogrel is recommended by current clinical practice guidelines to prevent atherothrombotic events in patients with coronary artery disease (CAD) undergoing percutaneous coronary intervention (PCI) with stent implantation. The main drawback of clopidogrel is a high interindividual variability in antiplatelet response³. Low platelet responsiveness to clopidogrel has been found to translate into a high incidence of atherothrombotic events, including stent thrombosis as the most serious and often fatal clinical event⁴. However, the biological mechanisms underlying the response variability remain largely unclear. In a recent genome-wide association analysis, it was estimated that 83% of individual variance in response to clopidogrel was attributable to genetic effects⁵, but the gene variants investigated thus far explain only a minor proportion of the response variability^{6,7}.

Clopidogrel is a thienopyridine prodrug that requires enzymatic conversion into its active thiol metabolite. Pharmacokinetic-pharmacodynamic analyses suggest that the majority of the variability in platelet response is explained by the variability in plasma concentrations of the active metabolite^{8,9}.

We postulated that genetic variants of drug-metabolizing enzymes would affect the response to clopidogrel. Using a validated microsomal expression system of metabolizing enzymes, we identified *PON1*, an esterase synthesized in the liver and associated with HDL in blood, as the rate-determining enzyme for the formation of the thiol active metabolite from clopidogrel. We found that the common functional *PON1* Q192R gene polymorphism resulted in a more efficient clopidogrel bioactivation. On the basis of this mechanistic information, we performed a case-cohort study in individuals with CAD who underwent PCI and received clopidogrel therapy to comprehensively assess the relationships between the *PON1* Q192R polymorphism, *PON1* enzyme activity, clopidogrel pharmacokinetics, platelet response and the risk of stent thrombosis. Subsequently, we conducted an independent replication study evaluating the reliability and validity of the association between *PON1* and clinical end points.

We functionally expressed cytochrome P₄₅₀ oxidoreductase isozymes (CYPs) and esterases in a human embryonic kidney cell line and monitored conversion of clopidogrel or potential metabolic clopidogrel intermediates in microsome preparations (Figure 1 and Supplementary Figure 1). We identified bioactivation of clopidogrel as a two-step process. The first step was an oxidation of clopidogrel to 2-oxo-clopidogrel catalyzed by CYP3A4, CYP3A5, CYP2B6, CYP1A2, CYP1A1, CYP2E1 and CYP2A6 at enzymatic efficiencies (V_{max} / K_m) of 16.02, 8.67, 2.61, 1.87, 0.88, 0.72 and 0.70 $\mu\text{L mg}^{-1} \text{min}^{-1}$, respectively. The second step was a hydrolytic cleavage of the γ -thiobutylolactone ring of 2-oxo-clopidogrel to the pharmacologically active thiol metabolite catalyzed by the esterases *PON1* and *PON3* at enzymatic efficiencies of 0.36 and 0.030 $\mu\text{L mg}^{-1} \text{min}^{-1}$, respectively. This bioactivation step competed with the hydrolysis of the methyl ester in clopidogrel, 2-oxo-clopidogrel and the thiol metabolite to form inactive carboxylic acid metabolites at considerably higher conversion rates catalyzed by the esterases carboxylesterase-1, carboxylesterase-2 and butyrylcholinesterase (Supplementary Table 1 and Supplementary Figure 2).

Figure 1 | Kinetics of clopidogrel-metabolizing enzymes. (a,b) Substrate saturation kinetics for microsomal preparations of human cytochrome P450 isozymes (a) (left) and esterases (b) (next page) involved in clopidogrel metabolism. Microsomes were obtained from stably transfected human embryonic kidney cells (HEK 293). Conversion of clopidogrel to 2-oxo-clopidogrel, of 2-oxo-clopidogrel to the active thiol metabolite and of clopidogrel to the thiol metabolite was assayed by incubation with increasing concentrations of substrate for 5 min at 37 °C. For esterases, the conversion of clopidogrel to clopidogrelcarboxylate, of 2-oxo-clopidogrel to 2-oxo-clopidogrelcarboxylate, and of the thiol metabolite to thiol metabolitecarboxylate was also assayed. Kinetics of the PON1 allozymes with the L55M and Q192R polymorphisms were determined. For each enzyme a specific probe reaction (positive control) was performed (black circles). Symbols and error bars represent means $\pm$ s.e.m. of three independent incubation experiments each. Supplementary Figure 1 shows similar kinetic measurements for enzymes not involved in clopidogrel metabolism. BChE, butyrylcholinesterase; CES1, carboxylesterase-1; CES2, carboxylesterase-2. DTNB, 5,5'-dithiobis-(2-nitrobenzoic acid).

Table 1 | Distribution of variant genotypes among case-cohort patients

CYP2C9			
C430T (CYP2C9*2)			0.84 [0.52]
CC (*1/*1) – no. (%)	30 (75.0)	57 (80.3)	
CT (*1/*2) – no. (%)	10 (25.0)	14 (19.7)	
A1075C (CYP2C9*3)			0.50 [0.69]
AA (*1/*1) – no. (%)	32 (80.0)	59 (83.1)	
AC (*1/*3) – no. (%)	8 (20.0)	12 (16.9)	
CYP2C19			
G681A (CYP2C19*2)			0.31 [0.53]
GG (*1/*1) – no. (%)	26 (63.4)	49 (69.0)	
GA (*1/*2) – no. (%)	12 (29.3)	20 (28.2)	
AA (*2/*2) – no. (%)	3 (7.3)	2 (2.8)	
CYP3A4			
A(–392)G (CYP3A4*1B)			0.63 [0.85]
AA (*1/*1) – no. (%)	38 (95.0)	68 (95.8)	
AG (*1/*1B) – no. (%)	2 (5.0)	3 (4.2)	
IVS10+G12A (CYP3A4*1G)			0.88 [0.80]
GG (*1/*1) – no. (%)	31 (77.5)	58 (81.7)	
GA (*1/*1G) – no. (%)	7 (17.5)	11 (15.5)	
AA (*1G/*1G) – no. (%)	2 (5.0)	2 (2.8)	
CYP3A5			
A6986G (CYP3A5*3)			0.69 [0.90]
AA (*1/*1) – no. (%)	1 (2.5)	1 (1.4)	
AG (*1/*3) – no. (%)	5 (12.5)	10 (14.1)	
GG (*3/*3) – no. (%)	34 (85.0)	60 (84.5)	
PON1			
A576G (Q192R)			< 0.001 [0.001]
AA (QQ) – no. (%)	27 (65.9)	25 (35.2)	
AG (QR) – no. (%)	13 (31.7)	33 (46.5)	
GG (RR) – no. (%)	1 (2.4)	13 (18.3)	
ABCB1			
C3435T			0.71 [0.59]
CC – no. (%)	7 (17.5)	16 (22.5)	
CT – no. (%)	20 (50)	38 (53.5)	
TT – no. (%)	13 (32.5)	17 (24.0)	

All variant alleles were designated by their nucleotide substitution (relative to position 1 of the coding DNA reference sequence); for CYP variants the common harmonized star allele designation, and for the PON1 variant the one-letter amino acid substitution (relative to the peptide reference sequence), are given in parentheses. The variant alleles CYP2C19*3, CYP2C19*4, CYP2C19*5, CYP3A4*2 and CYP3A4*3 were not present in the study population. For one subject in the stent thrombosis group, genotype information was missing for CYP2C9, CYP3A4, CYP3A5 and ABCB1. P values were determined by univariate Cox regression with weighting of subcohort noncases with the inverse of the sampling fraction according to a previously described method³³ and additionally by binary logistic regression (values are presented in square brackets). P values were not corrected for multiple testing. Comparison between Cox regression and logistic regression indicates that the significance of the genetic associations did not depend on the timing of the stent thrombosis events. $P < 0.05$ was considered a statistically significant difference. IVS, intervening sequence; SNP, single nucleotide polymorphism.

To further exclude that oxidative biotransformation was involved in the second step of active metabolite formation, as suggested previously¹⁰⁻¹², we incubated 2-oxo-clopidogrel with human liver microsomes or with human serum, with equal paraoxonase activity in both preparations. There were no differences in the conversion rates to the thiol metabolite (Supplementary Figure 3). Moreover, pharmacological inhibition of *PON1* in liver microsomes suppressed formation of the thiol metabolite from both clopidogrel and 2-oxo-clopidogrel substrates, whereas inhibition of CYP3A activity reduced thiol metabolite formation only from clopidogrel (Supplementary Figure 3).

The low conversion rate of 2-oxo-clopidogrel to the thiol compound indicated that this reaction was the rate-limiting step of bioactivation, with *PON1* representing the key enzyme. This was supported by our observation that the rates of thiol metabolite formation were not different after incubation of liver microsomes with clopidogrel or 2-oxo-clopidogrel (Supplementary Figure 3). Two common coding polymorphisms of the *PON1* gene are known: Q192R and L55M¹³. The Arg192 allozyme compared with the Gln192 allozyme showed a higher hydrolysis efficiency for 2-oxo-clopidogrel (V_{max} / K_m (s.e.m.), 1.36 (0.21) versus 0.36 (0.045) $\mu\text{l mg}^{-1} \text{min}^{-1}$, $P < 0.001$) and for the probe substrate paraoxon (13.9 (1.1) versus 1.69 (0.25) $\mu\text{l mg}^{-1} \text{min}^{-1}$, $P < 0.001$), but not for phenylacetate (5.19 (0.23) versus 5.09 (0.40) $\text{ml mg}^{-1} \text{min}^{-1}$, $P = 0.850$), whereas the Met55 allozyme compared with the Leu55 allozyme showed no differences in the hydrolysis rates toward 2-oxo-clopidogrel or the probe substrates (**Figure 1** and Supplementary Table 1). Similar effects of the Q192R and L55M polymorphisms on the hydrolysis efficiency of paraoxon and phenylacetate have been suggested in previous reports^{14,15}. Corroborating the enzyme activity data, analysis of the crystal structure of *PON1* indicates that position 192 (but not position 55) constitutes part the active histidine dyad16; therefore alteration of the pKa value at position 192 by the Q192R substitution would be expected to affect substrate affinity.

We next conducted a case-cohort study¹⁷ in individuals with CAD who underwent PCI and received clopidogrel for 6-12 months in accordance with consensus guidelines¹⁸. We compared 41 incident cases with nonfatal stent thrombosis and 71 randomly selected subjects without stent thrombosis who accrued in a cohort of 7,719 eligible subjects during 18 months of follow-up. Subsequently, the clopidogrel-free subjects received a single 600-mg test dose of clopidogrel to assess pharmacokinetic and pharmacodynamic responses (Supplementary Figure 4). Cases and noncases were well balanced with respect to demographic, clinical and procedural characteristics, minimizing the influence of confounding variables on outcome (Supplementary Tables 2 and 3 and Supplementary Figure 5). Compared with individuals with either the *PON1* RR192 or the QR192 genotype, QQ192 homozygous individuals were more frequent in the stent thrombosis group than in the control group (odds ratio, 3.6; 95% confidence interval, 1.6-7.9; $P = 0.003$; false-positive reporting probability, 3.7%). In addition, early stent thrombosis (within 30 d, $n = 30$) tended to be more frequent than late or very late stent thrombosis (>30 d, $n = 11$) in QQ192 subjects as compared with QR192 heterozygous and RR192 homozygous individuals (odds ratio, 3.3; 95% confidence interval, 0.82-13.3; $P = 0.095$). The distributions of variant genotypes of *CYP2C19*, *CYP2C9*, *CYP3A4*, *CYP3A5* and *ABCB1*, which have been associated with clopidogrel response in previous studies¹⁹⁻²², were not significantly different between the stent thrombosis group and the control group (**Table 1**). The genotype distributions were in agreement with the distributions reported from other populations of European descent¹⁹, indicating an absence of population stratification.

Considering not only the occurrence of events but also the time of event occurrences after index PCI, the hazard ratio of stent thrombosis for QR192 heterozygous individuals was considerably higher compared with RR192 homozygous individuals and increased in an approximately log-additive fashion

in QQ192 homozygous individuals (**Table 2** and **Figure 2a,b**). The *PON1* Q192R genotype was the only significant factor that was independently associated with the occurrence of stent thrombosis in univariate and multivariate stepwise Cox regression analyses ($P < 0.001$). Adjustment for other potentially explanatory covariates did not change the hazard ratios (**Table 2**). After administration of the 600-mg clopidogrel test dose, the individuals who had experienced nonfatal stent thrombosis during follow-up showed a lower plasma concentration of the active clopidogrel metabolite, a higher concentration of the 2-oxo-clopidogrel metabolite, a higher ratio of 2-oxo-clopidogrel to active metabolite and a lower inhibition of platelet aggregation compared with participants without incident stent thrombosis (**Figure 2c** and Supplementary Table 4). Concentrations of parent clopidogrel and of the inactive carboxylic acid metabolite and baseline platelet aggregation were not different between the groups (**Figure 2c** and Supplementary Table 4).

Table 2 | Hazard ratios of incident non-fatal definite stent thrombosis among case-cohort patients in relation to *PON1* Q192R genotype, paraoxonase activity, plasma concentration of active clopidogrel metabolite, and platelet inhibition

Predictor variable	Unadjusted hazard ratio (95% CI)	P value	Adjusted hazard ratio (95% CI)	P value
<i>PON1</i> genotype:				
- RR192	1 (reference)		1 (reference)	
- QR192	4.41 (1.89–10.20)	0.001	4.52 (1.81–11.24)	0.001
- QQ192	12.82 (4.74–90.91)	<0.001	12.90 (4.54–95.48)	<0.001
Paraoxonase plasma activity (nmol min ⁻¹ ml ⁻¹):				
- Highest tertile (≥ 180.4)	1 (reference)		1 (reference)	
- Middle tertile (<180.4–104.9)	6.29 (2.79–22.07)	<0.001	7.14 (2.93–19.23)	<0.001
- Lowest tertile (<104.9)	22.03 (6.62–73.38)	<0.001	22.22 (6.67–76.92)	<0.001
Active clopidogrel metabolite (C _{max} , ng ml ⁻¹):				
- Highest tertile (≥ 22.85)	1 (reference)		1 (reference)	
- Middle tertile (<22.85–11.57)	6.25 (2.34–16.67)	<0.001	6.33 (2.57–15.63)	<0.001
- Lowest tertile (<11.57)	16.13 (5.56–45.45)	<0.001	17.24 (5.08–58.82)	<0.001
Platelet inhibition (20 μ M ADP, $\Delta\%$):				
- Highest tertile (≥ 40.6)	1 (reference)		1 (reference)	
- Middle tertile (<40.6–19.0)	3.97 (1.64–9.52)	0.002	4.13 (1.74–9.80)	0.001
- Lowest tertile (<19.0)	11.23 (3.29–38.46)	<0.001	11.24 (3.29–38.34)	<0.001

Hazard ratios were calculated by univariate and multivariate Cox regression with weighting of subcohort noncases with the inverse of the sampling fraction according to a previously described method³³. Multivariate adjustments were done for baseline demographic (sex, age, body-mass index and smoking) and clinical characteristics (indication of percutaneous coronary intervention, number of treated vessels, type of stent, clopidogrel loading dose, hypertension, hypercholesterolemia, diabetes mellitus, previous myocardial infarction or coronary artery disease, family history of coronary artery disease and left ventricular ejection fraction <45%), and variant genotypes of CYP2C9*2 and CYP2C9*3, CYP2C19*2, CYP3A4*1B, CYP3A4*1G, CYP3A5*3 and ABCB1 C3435T. Additional adjustment for the use of aspirin and statins did not change the hazard ratios (data not shown). Genotype distribution and tertile limits in the subcohort sample fraction were extrapolated to the total cohort. Maximal plasma concentration of the active metabolite (C_{max}) and platelet inhibition (maximal predose versus maximal 6-h postdose aggregation induced by 20 μ M ADP, $\Delta\%$) were determined after administration of a single 600-mg clopidogrel dose. $P < 0.05$ was considered a statistically significant difference.

Parameters reflecting clopidogrel activity - paraoxonase plasma activity, maximal plasma concentration of the active metabolite and maximal platelet inhibition - were equally strong predictors as the *PON1* Q192R genotype for the risk of stent thrombosis in univariate and multivariate Cox regression analyses (**Table 2** and **Figure 2a,b**). The hazard estimates for the *PON1* Q192R genotype lost statistical significance after individual adjustment for variables reflecting clopidogrel activity as listed above, indicating a strong interaction between the *PON1* genotype and the clopidogrel activity markers (Supplementary Table 5). Consistently, the *PON1* Q192R genotype was the only factor that significantly correlated with paraoxonase plasma activity (unadjusted $R^2 = 0.805$, $P < 0.001$), maximal plasma concentration of the active clopidogrel metabolite ($R^2 = 0.809$, $P < 0.001$) and inhibition of platelet aggregation ($R^2 = 0.725$, $P < 0.001$) in univariate and multivariate stepwise linear regression models. There were also close correlations between paraoxonase activity and both active metabolite concentration ($R^2 = 0.939$, $P < 0.001$) and platelet inhibition ($R^2 = 0.738$, $P < 0.001$). Accordingly, the predictive diagnostic power of the clopidogrel activity markers for stent thrombosis, as determined by receiver operating characteristic curves, did not substantially increase on inclusion of the *PON1* genotype, but the combined predictive power of demographic, clinical and other genetic factors was considerably improved by inclusion of the *PON1* genotype (Supplementary Figure 6). The distribution of the clopidogrel activity markers was independent of other gene variants and was also independent of treatment with potentially interacting drugs (Supplementary Tables 6 and 7).

For further evaluation of the genotypic and phenotypic association of *PON1* with the clinical response to clopidogrel, we conducted a replication study in a prospective cohort of 1,982 individuals with acute coronary syndromes over a 12-month follow-up period. The results confirmed and extended the findings of the case-cohort study. The unadjusted hazard ratio of fatal and nonfatal definite stent thrombosis in *PON1* QQ192 versus RR192 homozygous individuals was 10.20 (95% confidence interval, 4.39-71.43; $P < 0.001$). Lower but significant risk associations were also found with the etiologically more diverse secondary end points myocardial infarction (hazard ratio, 4.93; 95% confidence interval, 2.16-11.24; $P < 0.001$) and the composite of vascular death, nonfatal myocardial infarction and nonfatal ischemic stroke (hazard ratio, 3.89; 95% confidence interval, 2.10-7.19; $P < 0.001$), suggesting that unmeasured covariates diluted the association with the *PON1* genotype. The *PON1* genotype was not associated with the risk of ischemic stroke (hazard ratio, 2.38; 95% confidence interval, 0.54-10.42; $P = 0.250$) or nonvascular death (hazard ratio, 0.98; 95% confidence interval, 0.20-4.72; $P = 0.981$) (Supplementary Table 8), consistent with the lack of efficacy of clopidogrel for stroke and nonvascular death in clinical trials²³. QQ192 homozygous subjects showed a lower risk of major bleeding (hazard ratio, 0.36; 95% confidence interval, 0.18-0.75; $P = 0.006$) that could be related to a less effective platelet inhibition. Similar risk estimates were obtained for paraoxonase plasma activity (Supplementary Table 9). The subject groups with and without the respective clinical outcome did not differ in demographic and clinical characteristics; the characteristics of subjects with and without stent thrombosis are shown in Supplementary Table 10. Multivariate adjustments failed to alter the risk estimates (Supplementary Tables 8 and 9).

Taken together, these biochemical, clinical and epidemiological studies indicate that the functional *PON1* Q192R gene variant is a major determinant of the pharmacokinetic and pharmacodynamic responsiveness to clopidogrel and thus its clinical efficacy.

Figure 2a/b | Kaplan-Meier curves for individuals with coronary stent implantation and their pharmacokinetic and pharmacodynamic responses to clopidogrel. (a,b) Kaplan-Meier survival curves showing cumulative probabilities of case-cohort subjects without incident nonfatal definite stent thrombosis over 18 months of follow-up according to PON1 Q192R gene polymorphism (a) and according to tertiles (T1, T2 and T3) of paraoxonase plasma activity, with T1 < 104.9, T2 < 180.4–104.9 and T3 ≥ 180.4 nmol min⁻¹ ml⁻¹ (b). Genotype distribution and tertile limits were extrapolated to the total cohort, and subcohort noncases were weighted with the inverse of the sampling fraction according to a previously described method³³. Numbers of individuals at risk at the indicated time points are shown. P values for the total model coefficients were calculated by univariate Cox regression.

Figure 2c | Kaplan-Meier curves for individuals with coronary stent implantation and their pharmacokinetic and pharmacodynamic responses to clopidogrel.

(c) Box-and-whisker plots showing median response values with twenty-fifth and seventy-fifth percentiles (box) and tenth and ninetieth percentiles (whisker). After the 18-month follow-up, the clopidogrel-free cases with nonfatal stent thrombosis (ST, $n = 41$) and the subcohort noncases without stent thrombosis (No ST, $n = 71$) were given a single 600-mg clopidogrel dose, and pharmacokinetic and platelet responses were compared by univariate Cox regression. Pharmacokinetic responses are indicated as maximum plasma concentrations (c_{max} , ng ml⁻¹) of active thiol metabolite, 2-oxo-clopidogrel, parent clopidogrel and carboxylic acid metabolite and as ratio of the maximum plasma concentrations of 2-oxo-clopidogrel to thiol metabolite. Platelet response is indicated as percentage of maximal predose versus maximal 6-h postdose aggregation ($\Delta\%$ induced by 20 μ M ADP), Paraoxonase and arylesterase plasma activities are indicated as the velocity of transformation (nmol min⁻¹ ml⁻¹) of paraoxon to *p*-nitrophenol and of phenylacetate to phenol, respectively. $P < 0.05$ was considered a statistically significant difference.

Previous studies have suggested associations of several variant genes, such as *CYP3A4**1G²⁰, *CYP3A5**3²¹, *CYP2C9**2 or *CYP2C9**3²² and the *ABCB1* drug transporter C3435T polymorphism¹⁹, with pharmacokinetic, antiplatelet or clinical responses to clopidogrel. In particular, the common loss-of-function allele *CYP2C19**2 or concomitant administration of *CYP2C19*-inhibiting drugs have repeatedly been associated with lower responsiveness to clopidogrel^{6,7}. However, even in a genetically homogenous population, the *CYP2C19**2 allele was reported to account for only 12% of the variability in ADP-stimulated platelet response to clopidogrel⁵. The high heritability of clopidogrel response but relatively weak prediction by existing proposed genetic markers argues for the involvement of an as yet undiscovered genetic factor. The *PON1* Q192R polymorphism explained 72.5% (as derived from the R^2 statistic of linear regression) of the variability in ADP-stimulated platelet aggregation after clopidogrel administration and thus seems likely to be the primary predictor of clopidogrel response. However, a previous genome-wide association study in a cohort of related healthy subjects of Amish descent provided no evidence for an association of the *PON1* gene region with the platelet response to clopidogrel⁵. The basis for this discrepancy with our findings is unclear, but it might be explained by differences in the populations treated with clopidogrel or in the methodology of platelet function testing. Instead of measuring platelet inhibition after a single loading dose, the authors determined platelet inhibition values after multiple doses of clopidogrel⁵, which in our validation studies correlated insufficiently with active metabolite formation (Supplementary Methods). Likewise, a lack of consistency between *ex vivo*-tested platelet function and clinical response to clopidogrel has been reported in previous studies, and lower magnitudes of the risk estimates to predict clinical events on the basis of platelet function as compared with our studies were found²⁴, which could be related to differences in the methodology of platelet function testing or to the heterogeneity of the assessed clinical outcomes. In accordance with our findings, the degree of platelet inhibition after a single 600-mg clopidogrel loading dose showed a high predictive ability for definite stent thrombosis in a large prospective study²⁵.

The genetic association of clopidogrel response with *PON1* genotype was consistent with results from quantitative metabolomic profiling of clopidogrel bioactivation. Two previous studies have quantified the contribution of CYP isozymes to oxidative clopidogrel metabolism but were only in partial agreement with each other^{12,26}. Our data are consistent with one of these studies²⁶, including the lack of involvement of *CYP2C19* in clopidogrel oxidation. Our finding that 2-oxo-clopidogrel, the product of CYP-catalyzed oxidation, was opened to the active thiol by hydrolysis is in agreement with the maintenance of the formal oxidation states at sulfur and carbon atoms upon the conversion of 2-oxo-clopidogrel to the thiol metabolite (Supplementary Figure 2). The basis for the discrepancies between our findings and reports of an oxidative CYP-dependent ring cleavage¹⁰⁻¹² remains unclear. As we have shown, the ring opening observed in incubation experiments with liver microsomes results from microsomal PON activity but not from CYP activity. The suggested formation of sulfenic acid intermediates¹¹ may also result from CYP-dependent oxidation of the free thiol, similarly to the metabolism of spironolactone²⁷. In support of our findings, *PON1* has been shown to possess thiolactonase activity toward differently substituted γ -thiobutyrolactone compounds^{14,28,29}.

Associations of specific *CYP* genotypes with a higher atherothrombotic risk might be independent of clopidogrel metabolism. For example, carriers of the *CYP2C19**2 allele showed higher plasma concentrations of inflammatory markers that could have contributed to an increased baseline cardiovascular risk associated with *CYP2C19**2³⁰. Similarly, the *PON1* Gln192-encoding allele has been associated with elevated markers of systemic oxidative stress and a higher risk of major cardiovascular

events (hazard ratio, 1.32; 95% confidence interval, 1.04-1.69)³¹. However, a systematic meta-analysis showed an association of the *PON1* Arg192-encoding allele with a higher risk of CAD (relative risk, 1.12; 95% confidence interval, 1.02-1.16)³³. It is unlikely that the small magnitude of either potentially harmful or protective effects of *PON1* Q192R on baseline cardiovascular risk could substantially bias the large and consistent effects of the *PON1* genotype on cardiovascular risk related to clopidogrel efficacy. Examination of the HapMap-CEU (Caucasian Europeans from Utah) population showed that the Q192R polymorphism is located within a linkage disequilibrium block of *PON1* that, with the exception of Q192R, covers only polymorphisms not affecting paraoxonase activity (L55M and intronic single nucleotide polymorphisms without putative cis-regulatory function) and does not extend to the downstream *PON3* and *PON2* genes or other genes, inconsistent with the possibility that Q192R is a tag for the true causal gene variant. Aside from the Q192R genotype, the activity of *PON1* has been suggested to be modified by environmental factors such as age, smoking, plasma lipids, ischemic or inflammatory conditions or medication³². However, in accordance with previous studies^{31,32} we found a strong correlation between the *PON1*Q192R genotype and paraoxonase activity ($R^2 > 0.80$) that was not altered by adjustment for environmental covariates. In particular, the proportion of the phenotypic variance of paraoxonase plasma activity explained by the *PON1* genotype was not different between measurements at nonischemic conditions in the case-cohort study (80.5%) and measurements conducted during the acute ischemic disease state in the replication study (80.7%).

Our studies were adequately powered to discover strong risk predictors with an odds ratio of ≥ 3.0 for the homozygous risk genotype or the highest risk tertile, but weaker predictors conferring smaller risk increases may have remained undiscovered, yielding no significant associations with stent thrombosis or other investigated disease outcomes ($P > 0.05$). Consequently, the *PON1* Gln192-encoding risk allele arose as the only significant independent predictor of stent thrombosis, and the magnitudes of the risk estimates were considerably higher compared with those previously reported for any other common single allele. Our findings may have clinical utility in that *PON1* genotyping or *PON1* activity measurements may provide prognostic information of clopidogrel efficacy beyond common clinical and laboratory risk markers.

METHODS

Methods and any associated references are available in the online version of the paper at <http://www.nature.com/naturemedicine/>

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ONLINE METHODS

Bioanalytics of clopidogrel and its metabolites. For quantification of clopidogrel and its metabolites, we developed a validated liquid chromatography tandem mass spectrometry method. This included synthesis and pharmacological testing of the active metabolite of clopidogrel and conducting a full validation procedure in accordance with regulatory standards for drug approval (Supplementary Methods and Supplementary Figs. 7 and 8).

Metabolomic profiling. We generated human embryonic kidney cell (HEK 293) clones of metabolizing enzymes (including common genetic variants) by stable transfection with their respective cDNAs. We obtained microsomal preparations for each enzyme (cytochrome P₄₅₀ isozymes and esterases) from HEK 293 transfectants and determined enzymatic activity by a specific probe reaction. We incubated HEK 293 cell microsomes, human liver microsomes or serum with synthesized primary reference standards of clopidogrel base or clopidogrel metabolites at various concentrations and determined the rates of biotransformation by Michaelis-Menten analysis (Supplementary Methods).

Human studies. From a population of European descent of 7,719 individuals with a clinical presentation of stable angina pectoris or acute coronary syndrome who underwent PCI and received clopidogrel maintenance therapy for 6-12 months, we compared 41 incident cases involving nonfatal definite stent thrombosis that accrued over an 18-month follow-up and a random sample of 71 noncases in a case-cohort design by genotyping, pharmacokinetic and pharmacodynamic testing to evaluate the determinants of clopidogrel response (Supplementary Figure 4). To further assess the association of the *PON1* genotype and phenotype with clinical endpoints, we conducted a replication study in prospective cohort design in a population of European descent comprising 1,982 subjects with acute coronary syndrome, who underwent PCI and were monitored during a 12-month follow-up on clopidogrel maintenance therapy. The Institutional Review Boards of the St. Antonius Hospital Nieuwegein and the University Hospital of Cologne approved the protocols of the studies, and all participants gave written informed consent. We established an optimized assay of platelet function measurement by light transmission aggregometry for assessment of mechanistic pharmacokinetic-pharmacodynamic relationships in response to clopidogrel (Supplementary Figure 9). Details of study populations, study designs and clinical laboratory testing (including blood processing, pharmacokinetic analysis, aggregometry, determination of *PON1* plasma activity and genotyping) are presented in the Supplementary Methods.

Statistical analyses

Details of the statistical analysis (including prospective sample size calculation, Cox regression models, determination of false-positive reporting probability and assessment of effectiveness of diagnostic variables by receiver operating characteristic curves) are presented in the Supplementary Methods.

Additional methods

Detailed methodology is described in the Supplementary Methods.

Supplementary files are available on request from the author (heleenbouman@gmail.com) or can be accessed at <http://www.nature.com/naturemedicine/>.

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General discussion

A large heterogeneity exists in the response to clopidogrel among treated patients, resulting in thrombotic complications in poor responding patients exhibiting high on-treatment platelet reactivity to ADP (HPR)^{1,2}. The present thesis describes the consequences of HPR, evaluates methods to measure clopidogrel response, and investigates different determinants of HPR that may be used for tailoring antiplatelet therapy to the individual patient.

HIGH ON-TREATMENT PLATELET REACTIVITY AS A NOVEL RISK FACTOR FOR ATHEROTHROMBOTIC EVENTS

Stent thrombosis remains the most feared complication after coronary stenting and for this key reason patients undergoing coronary stent implantation are treated with dual antiplatelet therapy. Patients with a history of early stent thrombosis exhibit HPR to clopidogrel and aspirin more frequently than patients with previous coronary stent implantation who did not incur stent thrombosis during follow-up³. Interestingly, platelet reactivity was measured months to years after the occurrence of stent thrombosis and most patients did not use clopidogrel at the time of inclusion, implicating that a poor response to clopidogrel and/or HPR status is intrinsic and continuous over time. This observation supports the idea that genetic variations in enzymes involved in the formation of the active metabolite of clopidogrel play an important role in the phenomenon of HPR. Late stent thrombosis appeared not to be associated with high on-clopidogrel platelet reactivity, but high on-aspirin platelet reactivity was frequent in this patient group^{3,4}. Little is known about the exact pathophysiological mechanisms that lead to late coronary stent thrombosis and it is generally believed that an accumulation of several distinct, but often overlapping candidate pathological pathways including alterations involved in inflammation, thrombosis (platelet biology, thrombin generation, coagulation), lipoprotein metabolism, calcification, vascular remodeling (smooth muscle cell function), endothelial function and oxidative stress are involved⁵.

The novel, reversible, direct-acting P₂Y₁₂-receptor antagonist cangrelor decreased the magnitude of on-treatment platelet reactivity in clopidogrel-treated patients undergoing elective PCI. Furthermore, the degree of interindividual variation in on-treatment platelet reactivity was clearly attenuated⁶. The clinical evidence supporting the proof of concept that a stronger suppression of platelet function results in an improved clinical outcome was provided by the TRITON and PLATO studies^{7,8}. Although the decrease in thrombotic events was accompanied by an expected increase in bleeding complications for both prasugrel and ticagrelor, a comprehensive analysis of events in TRITON demonstrated a net clinical benefit in favour of prasugrel⁸.

Platelet function tests are often used to quantify the response to clopidogrel. Pre-analytical variables can affect the test result, including the anticoagulant in which blood is collected after withdrawal⁹. Importantly, cut-offs used for prediction of clinical outcome are only of value when appropriate methods of blood withdrawal are used and sample preparation steps are cautiously taken care of. The direct thrombin-antagonists PPACK and hirudin showed a significant lower on-treatment platelet reactivity compared to the more commonly used citrate^{10,11}. Therefore, cut-offs for the prediction of clinical outcome of clopidogrel-treated patients defined in citrate anticoagulated blood, cannot be considered valid when blood is anticoagulated using PPACK or hirudin.

WHICH PLATELET FUNCTION TEST IS PREFERRED?

A series of platelet function tests developed to measure the response to clopidogrel has become available in recent years^{9, 12}. Although associations of individual tests with clinical outcome had been studied previously, no direct comparison between different tests was available. We compared six platelet function tests commonly used and well-described in the literature with respect to the correlation of test results with plasma active metabolite levels after a 600 mg loading dose of clopidogrel. The aggregation-based light transmittance aggregometry (LTA; using 20 µmol/L ADP as the agonist), the VerifyNow® P2Y₁₂-assay and the flowcytometric VASP-assay correlated best with the peak plasma levels of the active metabolite of clopidogrel, with similar correlation coefficients¹³. Subsequently we evaluated a series of tests regarding their capability to predict one-year clinical outcome in clopidogrel-treated patients undergoing elective PCI in the POPular-study. The aggregation-based tests LTA (using both 5 and 20 µmol/L ADP as the agonist), the VerifyNow® P2Y₁₂-assay and the PlateletWorks ADP-assay significantly predicted clinical outcome². The VASP-assay was not included in this study, since it needs to be performed at the laboratory, is labour-intensive and therefore not suitable for clinical monitoring of clopidogrel response¹⁴. Based on their correlation with plasma levels of the active metabolite of clopidogrel and clinical outcome, aggregation-based tests are preferred when measuring an individual's magnitude of on-treatment platelet reactivity. In particular the VerifyNow® P2Y₁₂-assay appears valuable for use in personalised treatment approaches, since it is a true point-of-care test and clinical studies have repeatedly and consistently demonstrated significant relations with clinical outcome, with ROC-curve analysis revealing very similar optimal cut-off values in several independent study cohorts^{2, 15-18}. Of the evaluated shear-stress based platelet function tests only the novel PFA-100 assay P2Y Innovance showed promising results for measuring the effects of clopidogrel^{2, 13}.

GENETIC POLYMORPHISM OF THE P2Y₁₂-RECEPTOR

Several years after the identification of the P2Y₁₂-receptor, Fontana *et al.* were the first to investigate the clinical relevance of polymorphisms of the gene encoding the P2Y₁₂-receptor. ADP-induced platelet aggregation was higher in healthy subjects carrying the P2Y₁₂-receptor H2-haplotype and patients with peripheral arterial disease (PAD) more frequently carried the H2-haplotype than matched controls^{19, 20}. In the following years several research groups have studied the potential association of H2-haplotype and clopidogrel response, though with ambiguous results²¹. Of note, the H2-haplotype was not a true haplotype but a combination of 4 SNPs of the P2Y₁₂-receptor gene that were in complete linkage disequilibrium and cover only part of the P2Y₁₂-receptor gene²⁰. In contrast, we used a comprehensive, haplotype-based approach to study common variation in the complete P2Y₁₂-receptor gene and its flanking regions including the promoter and 3'-untranslated region²². Haplotype-tagging (ht) SNP rs6787801 was associated with a better drug-response both to cangrelor added *in vitro* to platelets of healthy volunteers as well as in clopidogrel-treated patients undergoing elective PCI^{22, 23}. Concordantly, on-clopidogrel platelet reactivity was lower in patients carrying haplotypes E and F, containing the minor allele of htSNP rs6787801²². The response to cangrelor was not lower for haplotypes E and F, but was attenuated in carriers of haplotypes C and D that respectively include rs2046934 (part of the H2-haplotype identified by Fontana *et al.*) and rs9859552, which were also

associated with the effects of cangrelor in single-htSNP analysis²³. Discrepancies between cangrelor and clopidogrel with respect to the effects of P2Y₁₂-receptor haplotypes may be attributed to a distinct interaction with the P2Y₁₂-receptor or other population studied (*i.e.* healthy volunteers versus patients with established cardiovascular disease)²⁴. It is unclear how genetic variation in the P2Y₁₂-receptor results in an altered response to P2Y₁₂-inhibitors. The observed associations may be based on structural changes of the P2Y₁₂-receptor resulting in either altered binding of ADP or interference with interaction of cangrelor and clopidogrel with the P2Y₁₂-receptor, or modified transcriptional activity.

Since htSNP rs6787801 is located within the promoter region of the P2Y₁₂-receptor gene, the latter explanation sounds plausible for associations of this polymorphism. The *in vitro* and *ex vivo* established relation of P2Y₁₂-receptor gene variants and the response to P2Y₁₂-antagonists seemed promising. Results of a study linking genetic variation of the target receptor to clinical outcome were however disappointing. Though odds ratios for atherothrombotic and bleeding events deviated from 1 in the expected directions, no significant clinical consequences could be established during one year follow-up of clopidogrel-treated patients undergoing elective PCI²⁵. It has become clear that the interindividual variation in on-treatment platelet reactivity in clopidogrel-treated patients is mainly attributable to pharmacokinetic factors, including drug-drug interactions and genetic polymorphisms interfering with the gastrointestinal absorption of clopidogrel and transformation into the active metabolite^{26, 27}. The resulting variable plasma levels of the active metabolite of clopidogrel may blur the potential association between P2Y₁₂-receptor genetics and on-treatment platelet reactivity. Nonetheless, at the present time there is no clue to consider genetic variation of the P2Y₁₂-receptor as a tool for personalizing clopidogrel therapy.

ROLE OF CYP2C19

The hepatic enzyme CYP2C19 has been suggested to be involved in both steps of the metabolic activation of clopidogrel, rendering it of significant importance for the determination of clopidogrel response²⁸. Polymorphism of the gene encoding CYP2C19 comprises both gain-of-function (CYP2C19*17) as well as loss-of-function alleles, with CYP2C19*2 as the most prevalent loss-of-function allele carried by more than 95% of Caucasian people^{28, 29}. A series of studies have shown that patients carrying at least one loss-of-function allele exhibit lower levels of the active metabolite of clopidogrel, higher on-treatment platelet reactivity and a higher rate of thrombotic events than patients with the wildtype CYP2C19 genotype^{28, 30, 31}. Few studies demonstrated that patients who carry the gain-of-function variant CYP2C19*17 are at greater risk of bleeding during clopidogrel treatment^{32, 33}. A genome-wide-association study revealed CYP2C19*2 as the genetic variant with the strongest contribution to ADP-induced platelet reactivity in clopidogrel-treated patients in a cohort of healthy Amish people³⁴. Several large clinical investigations have however questioned the role of CYP2C19*2 as a causal factor of variation in clopidogrel response since these studies did not find an effect of CYP2C19*2 on long-term clinical outcome^{33, 35}. This suspicion was strengthened by data showing that administration of up to 4 times a 600 mg loading dose of clopidogrel in patients with HPR resulted in a similar percentage of patients maintaining HPR after the 4th loading dose for all CYP2C19*2-genotypes³⁶. Since the CYP2C19*2-allele results in non-functional protein without catalytic activity, apparently other metabolism routes sufficed to generate enough active metabolite to produce on-treatment platelet reactivity below the level of HPR in CYP2C19*2-homozygotes^{29, 30}. In the POPular-study we showed a

significant correlation between (high) on-treatment platelet reactivity and CYP2C19*2 genotype. However, CYP2C19*2 explained merely 5 % of the total variation in on-treatment platelet reactivity, compared to up to 17% of variation that could be attributed to the combination of clinical variables and CYP2C19*2³⁷. Furthermore, using *in vitro* human microsome preparations expressing CYP2C19 we found no evidence of direct involvement of CYP2C19 in clopidogrel metabolism^{38,39}. The reason for the discrepancy between previous studies showing a significant contribution of CYP2C19 and our metabolomics study indicating no direct role for CYP2C19 in clopidogrel metabolism is left to conjecture at this moment. There may be a limited role for a difference in methodology, since we used a 600 mg loading dose of clopidogrel whereas others studied platelet reactivity in patients on maintenance therapy³⁴. Bertrand-Thiébault *et al.* demonstrated that CYP2C19*2 is inversely associated with plasma levels of inflammation markers⁴⁰. Given the evidence that on-treatment platelet reactivity is positively correlated with levels of inflammation markers and that coronary artery disease and stent thrombosis are associated with increased levels of inflammation markers, this nourishes the hypothesis that CYP2C19*2 exerts its effects on on-treatment platelet reactivity indirectly via inflammatory modulation⁴¹⁻⁴⁵. Whether CYP2C19 is directly involved in the metabolism of clopidogrel or indirectly affects on-treatment platelet reactivity via an inflammatory pathway does not alter the current body of evidence that CYP2C19*2-genotype is associated with an inferior response to clopidogrel. Therefore, CYP2C19*2-genotype should be considered as one determinant of on-treatment platelet reactivity, though keeping in mind that the effects are modest.

A NOVEL PLAYER: PARAOXONASE-1

By comprehensive metabolomic profiling we mapped the complete metabolism of clopidogrel and its active and inactive metabolites. *In vitro* human microsome preparations expressing over 30 enzymes were used to investigate the participation of these enzymes in clopidogrel metabolism. The most important finding was that the transformation of the inactive intermediate metabolite 2-oxoclopidogrel into the active thiol metabolite of clopidogrel was catalysed by the plasma-esterase paraoxonase-1 (PON-1). Furthermore, this metabolisation step was rate-limiting rendering PON-1 the most relevant enzyme of clopidogrel metabolism. Patients with the lowest PON-1-activity or carrying the reduced-function Q-allele of the PON-1 Q192R-genotype demonstrated lower levels of the active metabolite, higher on-treatment platelet reactivity and more often incurred stent thrombosis than patients with high PON-1 activity³⁹.

The observed association between PON-1 Q192R-genotype with on-treatment platelet reactivity was confirmed by Campo *et al.*, but other groups could not replicate the relation of PON-1 genetic variation with on-treatment platelet reactivity nor clinical outcome in clopidogrel-treated patients⁴⁶⁻⁴⁹. The origin of this discrepancy remains unclear. Therefore, implications for the future role of PON-1 in personalized antiplatelet therapy should be formulated carefully.

GENOTYPE VERSUS PHENOTYPE TO TAILOR ANTIPLATELET THERAPY

Therapeutic drug monitoring of clopidogrel response by direct measurement of plasma levels of the active metabolite requires complex sample preparation proceedings and analytics and is therefore

not suitable for incorporation in clinical practice⁵⁰. Traditionally, clopidogrel response was quantified using platelet function tests presenting the quantitative phenotype on-treatment platelet reactivity. However, the identification of genetic variants contributing to HPR and thrombotic events in clopidogrel-treated patients has raised an ongoing discussion on whether genotyping or phenotyping is preferred in the decision making for alternate antiplatelet treatment strategies in the individual patient. Arguments to advocate the use of genotyping include an easy to interpret result - the patient either does or does not carry a genetic polymorphism - and an inherent test result that is stable over time and through different stages of disease⁵¹. Although the human genome is considered the human's blueprint, at the same time the genetic variant is as far from the final phenotype as possible⁵². The relevance of the latter statement is supported by the often disappointing strength of genotype-phenotype relations for multifactorial clinical phenotypes⁵³. Besides an altered DNA-makeup, the phenotype can be influenced by varying levels of transcription of DNA into RNA, translation of RNA into protein, post-translational modification of proteins, and regulation at all levels from DNA to final phenotype including epigenetic regulation⁵⁴. In monogenetic diseases genotyping may be of utmost importance and can often be used with a high degree of certainty for diagnosis. However, this may become difficult with polygenetic diseases and even more so when disease is a result of complex systems biology⁵⁵.

Whereas a genotype is stable over time and through different stages of disease, on-treatment platelet reactivity in clopidogrel-treated patients is not. Besides CYP2C19*2-genotype several independent clinical determinants of HPR are increasing age, body mass index (BMI), diabetes mellitus, acute coronary syndrome, and medication interfering with clopidogrel response such as statins, calcium channel blockers and proton pump inhibitors^{21, 37, 56-59}. It is unclear whether these clinical variables affect either platelet reactivity, generation of the active metabolite of clopidogrel or both. Furthermore, due to variable baseline platelet reactivity, a response that is stable over time and equal among individuals can result in a broad range of on-treatment platelet reactivity^{60, 61}. Therefore, monitoring clopidogrel response using a phenotypic determinant might approach reality more closely than the determination of one single genetic variant. However, no direct comparison between genotyping and phenotyping with regard to clopidogrel efficacy is available currently.

TOWARDS PERSONALISED ANTIPLATELET THERAPY: WHERE DO WE STAND & FUTURE PERSPECTIVES

Currently two strategies are available to guide the change of clopidogrel to alternative, more potent antiplatelet therapy in the individual patient treated with clopidogrel: genotyping of enzymes involved in clopidogrel biotransformation and phenotyping by measurement of on-treatment platelet reactivity with platelet function tests. Implementation of personalized antiplatelet therapy in clinical practice requires a diagnostic test that is capable of identifying patients who will benefit from more potent antiplatelet therapy with a sufficient degree of certainty. In prospective clinical studies, HPR demonstrated a high negative predictive value for recurrent thrombotic events of about 95%, but the positive predictive value does not exceed 15% in most studies; thus patients without HPR are not at increased risk of events but most of the patients who do exhibit HPR will also not incur an event. Of note, similar positive predictive values account for CYP2C19-genotyping with negative predictive values of approximately 90%^{2, 62}. The use of a model that incorporates both the response to clopidogrel

(either genotype or phenotype) complemented by other determinants of clinical outcome of clopidogrel-treated patients to differentiate within the group of patients with HPR, may further select patients at high risk and hence improve the positive predictive value of tailoring strategies.

Practical requirements for both strategies to enable tailoring of clopidogrel therapy are that tests should be easy to use, have a short test-to-result time and be of limited costs. Though for both strategies point-of-care tests are available, platelet function tests (especially the VerifyNow®-assay) are less complex and have a test-to-result time of 15 minutes compared to at least 2 hours for genotyping and therefore seem to be advantageous over genotyping⁹. For both strategies, the cost-aspect is problematic to date, since especially point-of-care tests are expensive and health-care insurances do not cover the use of either method yet.

Personalised therapy is aimed at increasing both efficacy as well as safety of therapy. The safety of clopidogrel therapy is hampered by the occurrence of bleeding, which is still the underdog in the development of personalised treatment approaches. Recently a relation was demonstrated between bleeding and low on-treatment platelet reactivity and CYP2C19*17-genotype and also PON-1-genotype was associated with major bleeding^{32, 33, 39, 63}. However, the amount of data is limited as compared to the available evidence on determinants of thrombotic events. Potentially, methods used to measure clopidogrel response aimed at prediction of thrombotic events may lack sufficient sensitivity or specificity for prediction of bleeding. Also, the detection of - especially minor - bleeding during follow-up is challenging. Furthermore, studies may be hampered by a lack of adequate power to detect the association of clopidogrel response with bleeding, since they were powered for the relation of platelet reactivity with the more frequent occurring thrombotic events.

Patients with HPR have an approximately 2-fold higher risk of recurrent thrombotic events compared to patients without HPR². For stent thrombosis, especially the occurrence of early events within 30 days post-stenting is associated with higher on-treatment platelet reactivity^{3, 4}. However, when also myocardial infarction and cardiovascular death are taken into account, event-free survival curves separate in a linear fashion continuously during 1-year². Preliminary results of a meta-analysis of individual patient data show that this tendency continues for at least 2 years, despite discontinuation of clopidogrel therapy at 1 year post-stenting according to the guidelines⁶⁴. This observation suggests that the benefit from temporary platelet suppression by clopidogrel results in an ongoing protection against longer term thrombotic events. This further strengthens the importance of tailoring antiplatelet therapy to the individual patient, since the benefits of matching the proper drug to the right patient proceed even long-term after discontinuation of drug-treatment.

Efforts made to improve clinical outcome by tailoring antiplatelet therapy to the individual patient have been disappointing until now. The GRAVITAS-study randomized 2214 patients with HPR based on measurement of platelet reactivity 12-24 hours post-PCI with the VerifyNow® P2Y₁₂-assay to receive either the standard dose of 75 mg clopidogrel daily or a 600 mg loading dose of clopidogrel followed by a double maintenance dose of 150 mg daily. After 6 months of treatment, none of the thrombotic or bleeding endpoints differed significantly between patients treated with single or double maintenance dose of clopidogrel⁶⁵. The lack of benefit of guiding antiplatelet therapy based on platelet reactivity measurements in the GRAVITAS-trial may be related to the lower than anticipated event rates in a relatively low-risk group of patients with stable angina pectoris. The notion that the event rate was only 2.3% after 6 months follow-up does not leave much room for improvement. Furthermore, the relatively weak augmentation of antiplatelet therapy to double maintenance dose of 150 mg clopidogrel daily has been associated with only a modest reduction of on-treatment platelet reactivity⁶⁶.

General discussion

The TRIGGER-PCI-study aimed to alter antiplatelet therapy to prasugrel in patients exhibiting HPR as defined by the VerifyNow® P2Y₁₂-assay in a total of 2160 patients undergoing elective PCI, but was prematurely halted because of a low event-rate⁶⁷. Potentially, tailoring antiplatelet therapy should be confined to high-risk patients presenting with ACS, diabetes mellitus or other comorbidity known to increase the risk for recurrent thrombotic events. Furthermore, it may be that a clinically significant effect can only be obtained when using an antiplatelet regimen that shows substantially higher suppression of platelet reactivity than 75 mg maintenance dose of clopidogrel. The novel antiplatelet drugs prasugrel and ticagrelor may provide a suitable alternative for patients with a poor response to clopidogrel, since these agents exhibit a faster onset of action, a more potent antiplatelet profile, no influence of CYP2C19-genotype, and an overall smaller degree of interindividual variation^{33, 68-70}. Furthermore, novel antiplatelet agents currently under development target other players in platelet activation and aggregation including von Willebrand factor (ARC1779, ALX-0081 and AJW200), the thromboxane receptor (terutroban) and the thrombin-receptor PAR-1 (SCH 530348, SCH 205831, SCH 602539 and E5555)⁷¹. Since on-treatment platelet reactivity is associated with clinical outcome and baseline platelet reactivity and clinical variables are important determinants of on-treatment platelet reactivity, it may be that other types of antiplatelet drugs can decrease the burden of thrombotic events after PCI as well as do P2Y₁₂-antagonists.

In conclusion, we are on the way towards personalised antiplatelet therapy. Several important steps have been made, including the identification of determinants of HPR and the evaluation of methods to monitor clopidogrel response. Available strategies should be further refined using simple algorithms that aid physicians in their interpretation and use of tailoring strategies, to enable tailoring of antiplatelet therapy to the individual patient in the near future.

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Nederlandse samenvatting

De toevoeging van de bloedplaatjesaggregatiemmer clopidogrel aan behandeling met aspirine verlaagt het aantal trombotische complicaties in patiënten die een coronaire stentimplantatie ondergaan. Echter, een gemiddelde verbetering op populatieniveau betekent niet dat elke persoon evenveel baat heeft bij het gebruik van clopidogrel. Ongeveer 30% van de patiënten heeft namelijk een hoge plaatjesreactiviteit ondanks gebruik van clopidogrel (*high on-treatment platelet reactivity*) en daardoor een hoger risico van trombotische complicaties. Nieuwe bloedplaatjesremmers zoals prasugrel en ticagrelor, onderdrukken de bloedplaatjesreactiviteit sterker dan clopidogrel, wat de kans op trombotische complicaties verder verlaagt. Dit gaat echter ten koste van de veiligheid door een verhoogde kans op bloeding, de belangrijkste bijwerking van bloedplaatjesaggregatiemmers. Het individualiseren van bloedplaatjesremmende therapie beoogt met behulp van determinanten van de respons op clopidogrel de keuze voor een bloedplaatjesremmer te begeleiden, om zo de effectiviteit en veiligheid van bloedplaatjesremmende therapie te optimaliseren.

Deel 1 van dit proefschrift beschrijft *high on-treatment platelet reactivity* als een belangrijke risicofactor voor trombotische complicaties. In hoofdstuk 1 wordt beschreven dat patiënten met een vroege coronaire stenttrombose (≤ 30 dagen na stentimplantatie) ondanks behandeling met clopidogrel en aspirine een hogere bloedplaatjesreactiviteit vertonen dan patiënten bij wie eerder ook een stent werd geïmplant maar die geen stenttrombose kregen. Daarentegen resulteerde het gebruik van clopidogrel wel in normale plaatjesremming bij patiënten met late stenttrombose, hoewel deze patiëntgroep minder goed op aspirine reageerde en vaker een gecombineerde verminderde gevoeligheid voor aspirine en clopidogrel vertoonde. Cangrelor is een van de nieuw ontwikkelde bloedplaatjesremmers met een sterkere plaatjesremmende werking dan clopidogrel. Zoals beschreven in hoofdstuk 2, verlaagt cangrelor de bloedplaatjesreactiviteit en vermindert het de mate van interindividuele variatie in bloedplaatjesreactiviteit in met clopidogrel voorbehandelde patiënten die electieve stentimplantatie ondergaan. Het bewijs van het concept dat sterkere plaatjesremming het aantal trombotische complicaties verlaagt, werd geleverd door de TRITON en PLATO studies, waarin respectievelijk prasugrel en ticagrelor werden vergeleken met clopidogrel. Het bepalen of een patiënt voldoende reageert op clopidogrel door vast te stellen of er sprake is van *high on-treatment platelet reactivity*, vereist een uniform analyseprotocol omdat testgerelateerde variabelen de uiteindelijke mate van plaatjesreactiviteit beïnvloeden. Hoofdstuk 3 en 4 demonstreren dat het gebruik van de anticoagulantia hirudine en PPACK resulteert in een lager testresultaat vergeleken met citraat, het meest gebruikte anticoagulans om vroegtijdig stollen van bloed in de afnamebuis tegen te gaan. Daarom kunnen afkapwaarden die patiënten categoriseren naar hoge of normale plaatjesreactiviteit die werden vastgesteld voor bloedmonsters afgenomen in citraat, niet worden toegepast voor bloedmonsters afgenomen in andere anticoagulantia dan citraat.

Een veelvoud aan bloedplaatjesfunctietesten met het specifieke doel de respons op clopidogrel te meten, is tegenwoordig beschikbaar. In deel 2 van dit proefschrift wordt geïdentificeerd welke van de beschikbare testen bij voorkeur gebruikt dient te worden bij het individualiseren van bloedplaatjesremmende therapie. Plaatjesreactiviteit zoals gemeten met de op *shear-stress* gebaseerde IMPACT-R correleert niet met de op aggregatie gebaseerde, gouden standaard LTA en de flowcytometrische VASP-test in hoofdstuk 5. De op aggregatie gebaseerde LTA (met 20 $\mu\text{mol/L}$ ADP als agonist) en de VerifyNow P2Y₁₂-assay, evenals de flowcytometrische VASP-assay correleerden het best met maximale plasmaconcentraties van de actieve metabooliet van clopidogrel na inname van

een oplaaddosis van 600 mg door patiënten met stabiel coronairlijden (hoofdstuk 6). De prospectieve POPular-studie evalueerde een reeks plaatjesfunctietesten met betrekking tot hun vermogen tot het voorspellen van de klinische uitkomst van 1069 met clopidogrel en aspirine behandelde patiënten die een electieve stentimplantatie ondergingen. Hoofdstuk 7 laat zien dat de op aggregatie gebaseerde testen LTA (zowel 5 als 20 $\mu\text{mol/L}$ ADP geïnduceerd), de VerifyNow P2Y₁₂-assay en de PlateletWorks significant een bij benadering tweemaal zo groot risico op trombotische complicaties voorspelden. Geen van de onderzochte bloedplaatjesfunctietesten kon het optreden van bloedingen voorspellen. Op aggregatie gebaseerde testen hebben dus de voorkeur in het monitoren van clopidogrel behandeling.

In deel 3 werd onderzocht of de interindividuele diversiteit in bloedplaatjesreactiviteit toegeschreven kan worden aan polymorfisme van het gen dat codeert voor de P2Y₁₂-receptor op bloedplaatjes. We gebruikten een uitgebreide benadering om P2Y₁₂-haplotypes te relateren aan de respons op P2Y₁₂-remming. Haplotypes C en D waren geassocieerd met een slechtere *in vitro* respons op cangrelor in 254 gezonde personen, terwijl haplotypes E en F en hun gemeenschappelijke htSNP rs6787801 resulteerden in lagere plaatjesreactiviteit in 1069 met clopidogrel behandelde patiënten die electieve stentimplantatie ondergingen (hoofdstuk 8 en 9, respectievelijk). Dit laatste cohort werd gedurende 1 jaar vervolgd, maar de waargenomen lagere bloedplaatjesreactiviteit resulteerde niet in een significante afname van trombotische complicaties of toename van bloedingscomplicaties (hoofdstuk 10).

Deel 4 van dit proefschrift onderzoekt de plaats van farmacokinetische determinanten van de respons op clopidogrel. Omdat metabole omzetting naar een actieve metaboliet vereist is voor het bloedplaatjesremmend effect van clopidogrel, worden farmacokinetische variabelen gezien als de primaire bron van de interindividuele variatie in de respons op clopidogrel. Vooral het leverenzym CYP2C19 staat in de aandacht, omdat het betrokken zou zijn bij beide stappen van de metabole activatie van clopidogrel. Genetische varianten die resulteren in een verminderde functie van het enzym werden in eerdere onderzoeken in verband gebracht met lagere plasmalevels van de actieve metaboliet van clopidogrel, hogere plaatjesreactiviteit en meer trombotische complicaties in met clopidogrel behandelde patiënten. Hoofdstuk 11 laat zien dat het genetische polymorfisme CYP2C19*2 - dat 95% van de genetische varianten resulterend in verminderde activiteit van CYP2C19 representeert - slechts 5% verklaart van de interindividuele variatie in plaatjesreactiviteit in met clopidogrel behandelde patiënten die electieve PCI ondergaan. Met gebruik van *in vitro* humane microsomen waarin CYP2C19 tot expressie wordt gebracht, laten we zien dat CYP2C19 niet direct betrokken is bij de omzetting van clopidogrel naar de inactieve intermediaire metaboliet 2-oxo-clopidogrel, noch bij vorming van de actieve metaboliet van clopidogrel (hoofdstuk 12). Ten slotte beschrijft hoofdstuk 13 een uitgebreide *metabolomics* studie met het doel de volledige metabole route van clopidogrel in kaart te brengen. Het bleek dat PON-1 de omzetting van 2-oxo-clopidogrel naar de actieve metaboliet van clopidogrel katalyseert. Deze stap was snelheidsbeperkend, wat PON-1 de belangrijkste determinant maakt van de generatie van de actieve metaboliet van clopidogrel. Patiënten met lage PON-1 activiteit of dragers van de Q192-variant welke is geassocieerd met lagere PON-1 activiteit, hebben een groter risico van stenttrombose.

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Curriculum vitae

Curriculum vitae

De schrijfster van dit proefschrift werd geboren op 3 juli 1985 te Waalwijk. Na tussen het groen en de paarden te zijn opgegroeid in het Brabantse dorpje Meeuwen, behaalde zij in 2003 haar diploma Voortgezet Wetenschappelijk Onderwijs aan het Willem van Oranje College te Waalwijk. Aansluitend volgde de reis naar Utrecht waar zij startte met de studie Farmacie aan de Universiteit Utrecht. Het apothekersdiploma behaalde zij op 26 februari 2010 *cum laude*. Al eerder, in het 4e jaar van haar studie Farmacie maakte Heleen kennis met het klinisch wetenschappelijk onderzoek tijdens een 6 maanden durende wetenschappelijke stage bij de afdelingen cardiologie & klinische chemie van het St. Antonius Ziekenhuis te Nieuwegein. Naar beider partijen tevredenheid volgde in januari 2008 een aanstelling als promovenda. Geboeid en geprikkeld door de kliniek waarmee zij in het kader van haar onderzoek in aanraking kwam, besloot ze deel te nemen aan de selectieprocedure voor de Selective Utrecht Medical Master, waarmee zij na toelating in september 2010 van start is gegaan. In 2014 hoopt zij af te studeren als arts.