Generic objectives for community-based education in undergraduate medical programmes: the perspective from developing countries

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**Generic objectives for community-based education
in undergraduate medical programmes:
the perspective from developing countries**

**Tujuan umum pendidikan berbasis masyarakat
untuk program dokter: pandangan negara-negara berkembang**

Tri Nur Kristina

Thesis Maastricht University, The Netherlands
(with summary in Dutch and Indonesian language).

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**Tujuan umum pendidikan berbasis masyarakat
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Proefschrift

ter verkrijging van de graad van doctor aan de Universiteit Maastricht,
op gezag van de Rector Magnificus, Prof. dr. G.P.M.F. Mols
volgens het besluit van het College van Decanen, in het openbaar te
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Chapter 1

Introduction and aims of the thesis

1. INTRODUCTION

Community-based education (CBE) is usually understood to mean a strategy for facilitating community-orientation of education.¹ However, the term community-oriented education (COE) is often confused with CBE, and this needs to be explained.

- COE is a characteristic of an institution whose mission, aims, and objectives are determined by the needs of the community within which the institution is located, whose programme adopts a comprehensive rather than a mainly curative approach to health, and whose programme activities indicate commitment to the goal of health for all.²
- CBE is defined as a means of achieving educational relevance to community needs and, consequently, of implementing a community-oriented educational programme.³ It consists of learning activities that use the community extensively as a learning environment, in which not only students but also teachers, community members, and representatives of other sectors are actively engaged throughout the educational experience.³

An educational programme or curriculum can be called community-based if in the entire curriculum an appropriate number of learning activities is inserted in a variety of primary or secondary health care settings and (particularly in developing countries) within communities.^{3,4}

Thus, the CBE programme is an approach by which students, already in the early phases of their training, are confronted with the health problems of the communities they are supposed to serve in the future.⁵ Such programme emphasizes health promotion and disease prevention in the population.⁶

The general objective of the CBE programme is to expand the students' experience in terms of learning, service and research in the community and thereby to improve the health of the community in which the programme is carried out.⁷ As the general purpose of the CBE programmes is to improve the health status of the community, the term 'community' in this context could best be defined as "a group of population, or cluster of people within the health planning area identified with a common characteristic and the potential to interact with each other in order to improve health".⁸

There is a distinct difference in the implementation of CBE programmes between industrialized and developing countries. In industrialized countries the emphasis of CBE is more on the students' attachment to general practices or family practitioners to acquire clinical skills in community settings.⁹⁻¹¹ However, there are few additional goals such as to experience socio-cultural conditions that impact on the health needs of rural communities;¹² or working with community organisations to solve community health problems.¹³ In developing countries, in addition to those objectives, CBE is implemented to empower the community in improving their health status by establishing partnerships of educational institutions with communities, primary health care, and social agencies and by applying a public health approach.^{3,14,15} This thesis will focus on CBE for undergraduate medical programmes in the context of developing countries.

1.1. Background and rationale of community-based education

Several forums have encouraged shifting health care and health profession education and particularly undergraduate medical education into community settings and to make medical education more responsive to community health needs. In 1979, the first meeting of The Network of Community-Oriented Educational Institutions for Health Sciences was held. A key aim of this organisation was to build mutual support among member institutions that wished to adapt their curricula to the health needs of the community.¹⁶ The Edinburgh Declaration, issued by the 1988 World Conference on Medical Education, proposed to modify curriculum content to make it more relevant to national health needs and to enlarge the settings for clinical education by including communities and other non-hospital training sites.¹⁷ In May 1995 the World Health Assembly passed its resolution WHA 48.8, calling for reorientation of medical education and medical practices for Health for All (HFA), derived from the preceding WHO programme of HFA by the year 2000 through Primary Health Care.¹⁸ This resolution reiterated that current medical practices should be adapted to better respond to health needs of both individuals and communities.

There are several reasons in favour of implementation of CBE in undergraduate medical programmes. The principal reason is the considerable discrepancy between the types of patients seen by students in teaching hospitals (tertiary care) and the characteristics of patients visiting primary health care facilities.^{5,6,19} A CBE programme provides students with a patient population representative for the context they will work in as professionals. The use of primary care settings for the CBE programme broadens students' definition of the scope of medical intervention, promotes inter-professional collaboration, alters the power relationship between the (medical) profession and the society it serves,²⁰

and increases opportunities for understanding of environmental, socio-economical, psychological, and cultural aspects of health and disease and their implications for care.²¹

The greatest advances in community health status derive from improvements in public health including improvement in standard of living (e.g. sanitation, housing, environmental and occupational safety), promotion of healthy life styles, and education on preventable diseases.⁵ The contributions of health professions are not only to provide health care for individuals but also to assist the community to improve conditions influencing (community) health. CBE programmes have the potential to provide public health experiences for medical students by assisting the community to identify their priority health needs and to implement interventions such as health promotion and disease prevention.²²

Today, health professionals are usually mal-distributed by specialty and geography and inappropriately trained to address the health of the public, particularly the large proportion who are disadvantaged, and this has contributed to the disparity in access to health services.^{5,6} CBE programmes assist students in understanding and handling inequity of health services for medically under-served communities²³, and also encourage them to become community-oriented specialists.^{15,24,25}

Based on the above reasons, a growing number of medical schools all over the world has implemented CBE programmes in their institutions.^{9-15,26-31} In 1970 CBE was only an isolated example in some curricula, in 1985 it had become established in many curricula, in 2000 it was widely accepted, and is predicted in 2015 as a major focus of medical curricula.³²

1.2. Learning objectives of community-based education programme

CBE has been widely accepted as an important innovation in undergraduate medical education, and medical schools may share general goals and similar experiences with respect to CBE.³³ However, well-defined CBE objectives are not found in the international literature. A study that collected objectives from various CBE programmes showed strong heterogeneity among the pertinent sets of objectives.³⁴ Another study that looked at nine programmes (in Chile, Cuba, Egypt, India, the Philippines, South Africa, Sudan, Sweden and the United States) found that all programmes described provided a variety of community-based learning experiences.³⁵

Also an analysis of the educational reports for Full Member accreditation submitted between 1994 and 2004 by 28 medical school members of the Network: Towards Unity for Health from developing countries, revealed that the objectives of CBE programme vary (Table 1). Participation in public health programmes (93%) and identification of community health problems and/or risk assessment (82%) were most frequently mentioned, followed by management of health problems seen in primary care (64%); working in a health team (57%); proposing solutions for identified health problems (43%); and health education to the community (39%).

Ideally, the definition of learning objectives in the CBE programme should be based on actual health problems of communities in the catchments area of a medical school.⁴ The actual health problems of the community are derived from the assessment of the health needs of the community. This assessment is a systematic approach performed by students and community representatives, and involves epidemiological, qualitative, and comparative methods to describe health problems of a population, to identify inequalities in health and access to health services,

and to determine priorities for the most effective use of resources.^{14,36} After the identification of a community's priority health problems, students are expected to co-operate with the community to solve these problems and to evaluate the results of their interventions.¹⁴ If performed this way, it is reasonable to assert that CBE objectives are based on the essential conditions influencing community health. In addressing these CBE activities, undergraduate medical students may be able to assist the community to implement feasible, affordable and sustainable interventions. Thus, several factors which influence community health status, like e.g. environment, behaviour, education, and health care,⁶ could be considered starting points for the definition of CBE objectives. We therefore decided to make an attempt to develop generic CBE objectives for undergraduate medical programmes based on a framework identifying essential factors influencing community health.

Table 1. Objectives of CBE activities specified in the reports of medical schools from developing countries submitted to the Network: TUFH

Objectives	% (28 schools)
Students should:	
Participate in public health programmes	92.9
Identify community health problems and/or risks	82.1
Manage of health problems as seen in primary care	64.3
Work in a health team	57.1
Propose solutions for identified health problems	42.8
Provide health education to the community	39.3
Implement health interventions	35.7
Manage community health problem	35.7
Perform research to improve quality of, and/or access to health care	35.7
Survey environment health	25.0
Survey, or participate in occupational health	25.0
Promote personal hygiene and/or sanitation and housing conditions	25.0
Evaluate the results of health interventions	25.0
Survey life style and health behaviour	21.4
Promote family health	21.4
Perform maternal and child health care	21.4
Assess nutrition status of the community	21.4
Collaborate with other sectors for community development	17.9
Be able to manage a health unit	17.9
Identify cases which need referral	14.3
Diagnose and manage emergency cases	14.3
Survey vector prevalence	14.3
Assess infectious diseases in the community	14.3
Educate community health workers	10.7
Survey access to safe water	10.7
Survey endemic diseases	10.7
Understand important trends in health care policy	10.7
Assess or participate in the solution of geriatric problems	10.7
Plan health education	7.1
Conduct school children' health programmes	7.1
Understand the management of the Primary Health Care system	7.1
Assess chronic and acute diseases	7.1
Evaluate the results of education	3.6
Assess age, sex, genetic predisposition for diseases in given communities	3.6

2. AIMS OF THIS THESIS

The main objective of this thesis is to define and validate generic CBE objectives for undergraduate medical education in developing countries. This effort is unique because generic objectives for such CBE programmes are not available in the literature, and generic objectives will allow comparison of CBE programmes in different settings. This set of generic CBE objectives is intended to be used as reference for the evaluation of existing CBE programmes and as guidance for the implementation of new CBE programmes

The four major research questions addressed in this thesis are:

1. How to develop a framework for the generation of generic CBE objectives for undergraduate medical programmes?
2. What is the perception of medical schools in developing countries toward the developed generic CBE objectives? Will the results of this study yield a validated list of CBE objectives for undergraduate medical training?
3. Can an established method of curriculum evaluation, combined with the generic CBE objectives for under-graduate medical programme, be used as a reference for CBE programme evaluation?
4. What is the impact of a CBE programme matching the generic CBE objectives on students and community?

Figure 1.

A framework of generic CBE objectives for undergraduate medical programme

2.1. Outline and setting of this thesis

The contents of this thesis are schematically presented in Figure 1. To define generic CBE objectives for undergraduate medical programmes a logical framework was developed based on literature review and Internet consultation. An international expert panel discussed this framework and the derived generic CBE objectives for face validation. To assure the acceptability of the generic CBE objectives, opinions from medical schools in developing countries were collected to see how much generalisability of the framework might support. Next, the validated set of generic CBE objectives was field-tested. The applicability of the generic CBE objectives was probed in two ways: as a reference to evaluate an existing CBE programme in a medical curriculum and as a reference in the implementation of a CBE programme adjusted to these generic CBE objectives.

The setting for the latter studies was the Medical Faculty of Diponegoro University (MFDU) in Semarang, Central Java, Indonesia. In Indonesia it is compulsory for medical school graduates to work at a Primary Health Care (PHC) centre or at a government secondary hospital for three years before they are permitted to continue with post-graduate specialisation, apply for a job as government officers, or work in a private hospital.³⁷ Consequently, any medical school in Indonesia is obliged to adequately prepare its students for community work and hence to include a CBE programme in the curriculum.

The CBE programme at MFDU encompasses two parts and is organised in co-operation with PHC centres in urban and rural areas, rural health professions training centres, secondary hospitals, the Urban Health Office, and local government authorities. In semester 8 in the fourth year, students study family health problems in an urban area for two weeks. In the final year, students take a ten-week 'CBE clerkship' as

a part of their clinical rotations to study the management of a PHC centre, a secondary hospital and the referral system, emergency cases, rural community health problems, and national urban health programmes.

As this study was performed in the context of developing countries, MFDU, which has conducted its CBE programme for over 20 years, was deemed suitable as an example for the evaluation of an existing CBE programme. The result of this evaluation was used as a basis for implementation of a revised CBE programme at MFDU, adjusted to our set of generic CBE objectives.

2.2. Chapters of this thesis

2.2.1. Definition of generic CBE objectives for undergraduate medical programme

Chapter 2 of this thesis presents a framework for the definition of generic CBE objectives for undergraduate medical programmes. The method used in this study was based on literature reviews and exploration of Internet sources. First, basic conditions affecting community health were identified. Second, tasks for the students to improve inadequate circumstances were determined. Third, the competencies required to execute those tasks were defined. Finally, educational objectives to develop those competencies were designed. An international panel of experts involved in CBE at their respective institutions discussed the face validity of the framework and the derived generic CBE objectives.

2.2.2. External validation of generic CBE objectives

To examine the acceptability of the list of generic CBE objectives, opinions from a wider audience were collected. In chapter 3, a

questionnaire containing the framework for the definition of generic CBE objectives and the list of derived generic CBE objectives was used to acquire perceptions of medical schools in developing countries. Data were acquired from medical schools members of the Network: TUFH and non-Network medical schools randomly taken from developing countries. The result of this study was used to validate the list of generic CBE objectives for undergraduate medical training.

2.2.3. CBE generic objectives as a reference for CBE programme evaluation

Programme evaluation is a continuous process to ensure the appropriateness of the implementation of educational programme.³⁸ The value of programme evaluation is much greater if it is used not only to provide evidence on how well students' learning objectives are being achieved or whether teaching standards are being maintained, but also to identify areas that can be improved and to provide feedback and encouragement for teacher to evolve the curriculum.³⁸ Hence, programme evaluation is needed to improve the educational programme rather than to prove,³⁹ and this equally applies to CBE programme. Continuous evaluation in the CBE programme is needed not only to show evidence about the value and impact, but also to get feedback to sustain and to improve the programme.⁴⁰ The literature on the evaluation of CBE programme indicates a need for better preparation of the intended curriculum to emphasize active involvement of the community and to be concerned with the benefit of CBE programme for the community.⁴¹ Concerns have also been expressed about the challenging logistics of dispersed teaching,⁴² issues of remuneration, and conflict with other service commitments.⁴³

To probe the value of our framework and the set of CBE objectives we evaluated, as a case study, the CBE programme at MFDU using the model of Coles & Grant (1985).⁴⁴ According to this model, subsequently the curriculum on paper, the curriculum in action, and the curriculum as experienced by the students should be evaluated. The generic CBE objectives developed by us were used as a reference in the analysis of MFDU's CBE programme.

To gather detailed information on various aspects of the CBE programme, a qualitative study using triangulation of data was applied, i.e. document analysis, direct participatory observations, interviews with faculty members, and focus group discussions with students. In chapter 4, MFDU's CBE programme in action was assessed against its objectives on paper, and analysed by comparing that programme's objectives to the set of generic CBE objectives. Chapter 5 of this thesis presents the students' opinions about their CBE programme ('experienced curriculum'). The outcomes were used to clarify or strengthen the results from the previous analysis. The evaluation studies presented in chapters 4 and 5 were used to assess how closely MFDU's CBE curriculum matched the generic CBE objectives, and to provide suggestions for improvement of the CBE programme at MFDU.

2.2.4. Implementation of a CBE programme adapted to the set of generic CBE objectives

An example of a structured approach to the implementation of a CBE programme in the community settings has been described elsewhere.³³ Taking advantage of this approach we inserted the set of generic CBE objectives. Departing from the results of the evaluation of MFDU's CBE programme (chapter 4 and 5) we redesigned for MFDU part of its CBE programme matching the generic CBE objectives.

This revised CBE programme was expected to have a different impact on the students and on the community. An experimental study to compare the impact of the revised programme with the traditional CBE programme on both target groups is presented in chapter 6. A non-blinded, randomised control study was used for the experimental design. Groups of students enrolled in the revised CBE programme were designated experimental groups and those following the unmodified programme conventional groups. The experiment was conducted twice to increase the reliability of data collected.

In chapter 7 the outcomes of our studies will be summarised and discussed.

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Chapter 2

Defining generic objectives for community-based education in undergraduate medical programmes

Abstract

RATIONALE The availability of a framework for the definition of generic objectives for community-based education (CBE) programmes may assist in the rational design of objectives for specific CBE programmes.

STRATEGY Factors impacting on community health from the perspective of a developing country were collected. Potential assistance from medical students to communities to improve their health status was determined. Competencies required in students to execute tasks in the community were defined and eventually educational objectives to develop these competencies in the students were established.

METHODS Factors impacting on community health and activities of medical students in CBE programmes were identified by review of literature and Internet resources. Competencies desired for execution of tasks by students and educational objectives to develop these competencies were defined by us and checked against pertinent literature. A draft table representing the 4 elements of the framework was discussed by an international group of experts for external validation.

MAIN OUTCOMES A total of 26 factors impacting on community health were identified and clustered in 5 domains. Twenty-one generic objectives for CBE programmes were defined to develop the required competencies in students. Analogues of each of these 21 objectives were found in at least 1 publication specifying objectives for specific CBE

Programmes, but none of these publications stated any objective not covered by our list of generic objectives.

CONCLUSION It proved possible to develop a framework to define generic objectives for CBE programmes. An example was elaborated from the perspective of a medical school in a developing country.

Key learning points

- It proved possible to construct a framework for the definition of generic objectives for community-based educational programmes for undergraduate medical students in the setting of a developing country.
- Departing from 26 factors impacting on community health, 21 generic objectives for CBE programmes were derived in a 4-step procedure.
- Application of the framework in the setting of industrialised countries may assist in designing objectives for CBE programmes promoting integration of public health aspects in medical education.

INTRODUCTION

Community-oriented education (COE) aims to focus health professions education on the priority health problems of the society in which the educational institution is based. The objectives of COE are supposed to be reflected in the entire curriculum of the educational institution.¹ Community placements within such curricula, organised with the purpose of involving students in studying and addressing the health problems of the communities they are to serve as health professionals, are referred to as community-based education (CBE).² Thus, as a way of implementing a COE programme, CBE is a means of achieving educational relevance to community needs.

Several issues have been discussed in support of making undergraduate medical programmes more community-oriented and more inclusive of CBE.^{4,5} Three of these will be elaborated on here. The first issue concerns the considerable discrepancy between types of patients seen by students in the clinical phase of their studies in the context of the teaching hospital and the characteristics of patients who present to primary health care (PHC) facilities at community level. In addition, because only a small percentage of all patients eventually end up in a tertiary (teaching) hospital, the relevance of undergraduate medical education greatly improves when students are also exposed to health problems in a range of community settings, such as PHC units, workplaces, schools, households etc.⁶ Encountering patients in their own environments illustrates to students the complex interplay between physical, psychological, social and environmental factors in health and illness.^{7,8} The second issue concerns the growing perception that the efficiency of health services may benefit more from inclusion of health promotion and the prevention of diseases (public health) than from unlimited technological advances in curative care.^{9,10} Consequently,

medical students should also be trained to act as health team managers, advocates for their patients and communities, information specialists, and practitioners who apply social and behavioural sciences.¹¹ The third issue relates to the aim of reducing inequity in access to health services.¹² Community-oriented education and CBE may assist in expanding health services for target communities and eventually motivate graduates to work in health services for medically under-served communities.¹³

Although COE and CBE have been widely accepted as important innovations in undergraduate medical education, little attention has been given to a rational definition of objectives for CBE programmes. A study that collected objectives for various CBE programmes showed strong heterogeneity among these sets of objectives.¹⁴ The aim of this paper is to present a framework for the definition of generic objectives for CBE programmes. Although in this paper the framework is applied to develop generic objectives for CBE programmes in the curricula of medical schools in developing countries, the framework can also be applied to CBE programmes for other health professions and in industrialised countries. There are a variety of settings that may be selected for CBE programmes. In industrialised countries there is a tendency to arrange student placements in community primary care settings such as general practices and primary care surgeries.¹⁵ In developing countries, often in addition to situations mentioned above, partnerships are established with communities at grassroots level with the aim of improving their health status by applying a public health approach.^{5,16} As the work for this paper was performed in part to prepare for a revision of the CBE programme at the Faculty of Medicine, Diponegoro University in Semarang, Indonesia, the dominant viewpoint taken in this paper is that of a developing country.

METHODS

Four steps were taken to arrive at a draft list of generic objectives for CBE programmes. We set out to define:

- 1 a set of factors impacting on community health
- 2 how undergraduate medical students can assist communities to improve their health status.
- 3 the competencies needed in students to execute such tasks
- 4 the objectives of CBE programmes to develop those competencies in the students.

For each step, a literature search covering the years 1966-2003 (April) was undertaken on the Medline and Eric databases. References retrieved from Eric overlapping with those found in Medline were eliminated. Books and papers referred to in the retrieved papers but not identified by our search terms or not indexed in Medline or Eric were added.

In Step 1, resources describing factors impacting on community health and well-being were identified. Literature databases were searched using the search terms 'healthy communities' and 'community health and health determinants'. We also performed a Google-assisted search of the Internet, using identical search terms.

In Step 2, possible assistance by undergraduate medical students to communities to address their health needs was inventoried by collecting descriptions of various CBE programmes. For this purpose literature databases were screened using the search terms 'students AND community-based education'.

In Step 3 we defined the competencies needed in medical students to perform the tasks identified in Step 2. Relevant references were extracted

from the literature databases using the search terms 'competencies AND medical students' and 'competencies AND community-based education'. Competencies formulated by us were compared with those in the retrieved literature and the resulting competencies categorised as cognitive and affective competencies and skills.

In Step 4 we designed an educational programme for all the competencies specified in the Step 3 aimed at preparing students for CBE and the objectives to be addressed within a CBE programme. Objectives designed by us were checked against lists of objectives for specific CBE programmes found in references identified by a literature search using the search terms 'community-based education and objectives OR goals'.

To probe the face validity of the procedure described above and the resulting list of generic objectives for CBE programmes, a draft version of perceived community health needs, student assistance, associated competencies and CBE objectives (Table 1) was presented to an international faculty panel involved in CBE at their respective institutions. To prepare for the discussion by this international expert panel, a pilot discussion was held 6 months earlier at Maastricht University with 2 representatives of the Department of General Practice and 1 of the Department of Educational Development and Research.

With 1 exception the members of the international expert panel were selected to represent institutions applying CBE in developing countries dispersed over the globe. The panel included single representatives from the University de la Frontera, Temuco, Chili; Pravara Medical Trust, Loni, India; Moi University, Eldoret, Kenya; Mindanao State University, Iligan City, Philippines; the University of Gezira, Wad Medani, Sudan, and the University of New Mexico, Albuquerque, USA. Although the latter institution is not based in a developing country, its CBE programme particularly addresses the health

of poor indigenous and immigrant communities.¹⁷ Panel members received the draft version of Table 1, 1 week prior to the meeting. In their discussion, held on 24 October 2001 in Londrina, Brazil, the panel addressed the potential usefulness of the procedure applied and the comprehensiveness and relevance of the items produced in each of the 4 steps. Experts shared their views through a moderator to establish the widest range of meaning and interpretation for the topic, using a semi structured question format.¹⁸ The expert panel discussion was recorded, transcribed verbatim and analysed independently by 2 of the authors (TNK and GDM). The outcomes were used to modify and add items in the draft version of Table 1.¹⁹

Table 1. Perceived community health needs, potential assistance from students, competencies required in students to deliver assistance, and associated objectives for CBE programmes.

Perceived community health needs	Potential assistance from students	Associated competencies in students	Objectives for CBE programmes
Community actively participates in:	Community mobilisation; empowerment of the community to improve inadequate conditions	Training: Cognitive: Community assessment (3)* Affective: Community supportive attitude (3) Skills: Problem solving (3) Communication (3) Team work (3)	In co-operation with the community, graduates are able to: 1. identify health problems related to the given circumstances 2. determine incidence and prevalence of disease in the community 3. invent realistic solutions for identified health problems 4. collaborate with professionals from other disciplines and other related sectors to solve identified health problems 5. mobilise the community for health interventions 6. design and implement a health intervention, and to analyse the results
- Identifying aspects impacting on health: Quality and availability of drinking water Safe sanitation and healthy housing Adequate nutrition Rewarding daily activities	Tasks: Working together with the community in identifying inadequate conditions Selecting optimal solutions with the community. Working together with the community to implement selected solutions	Services: Implementing health interventions (3)	
- Choosing and implementing health interventions	Working together with the community to assess the impact of the intervention	Research: Investigation of the effectiveness of health interventions (3)	
- Assessing the outcome of interventions			
Community identifies needs for education about health risks:	Providing health education to the community and training community health workers	Training: Cognitive: Knowledge on adequate nutrition (2)*	To provide health education to the community, graduates have knowledge on:

- Environmental - Life style-related - Occupational	Tasks: Organising health education sessions on environmental, life style, and occupation-related health risks based on felt needs of the community. Working together with the community to assess the impact of health education	Knowledge on environmental and life style-related health risks (2) Knowledge on occupational health (2) Affective: Awareness of the intellectual capacity of a community (3) Skills: Co-operation with existing public health services (3) Providing health education (3) Services: Providing health education (3) Research: Investigation of the efficacy of health education (3)	adequate nutrition life style-related health risks in the community (e.g. smoking, alcohol and drug abuse, promiscuity, lack of physical activities, etc.) environment-related health risks (e.g. contagious diseases, water and vector borne diseases, pollution) occupational health, and are able to: design and transmit health education sessions train community health workers in health education evaluate the effectiveness of health education	7. adequate nutrition 8. life style-related health risks in the community (e.g. smoking, alcohol and drug abuse, promiscuity, lack of physical activities, etc.) 9. environment-related health risks (e.g. contagious diseases, water and vector borne diseases, pollution) 10. occupational health, and are able to: 11. design and transmit health education sessions 12. train community health workers in health education 13. evaluate the effectiveness of health education
Community assesses its access to health services	Reducing inequity in access to health services Tasks: Assessing access to health services	Training: Cognitive: Knowledge on primary health care and referral systems (2)	To reduce inequity in access to health services, graduates are able to: 14. assess availability of health services to the community 15. identify barriers to health care	

Developing approaches for improvement

Assisting the community to implement selected solutions

Assessing the impact of the intervention

Affective:

Awareness of barriers in a community preventing utilisation of health facilities (3)

Skills:

Basic clinical skills and emergency care (3)

Project and facility management (2)

Team work/Co-operation with existing public health services (3)

Services:

Providing primary and emergency care (3)

Research:

Investigation of access to the health services (3)

16. monitor effectiveness and efficiency of community health services

17. invent realistic strategies to improve community access to health services

18. work in a variety of community health care settings (e.g. primary health care centres, district hospitals, Maternal and Child Health care units, etc.) and to provide preventive, primary curative, and emergency care.

19. manage a primary care unit and to sustain health administration

20. judge which patients need to be referred

21. participate in health teams (with e.g. nurses, midwives, community health workers, etc)

* Example of a desired competency level (based on Miller, 1990):

- (1) : Knows (factual recall of knowledge)
- (2) : Knows how (the application of knowledge to problem solving and decision making)
- (3) : Shows how (the students has performed the skill at least several times).
- (4) : Does (actual performance)

RESULTS

The results of this study are presented for each of the 4 steps taken in the design of a draft list of generic objectives. Table 1 presents the outcomes of the 4 steps, including modifications adopted from the discussion by the expert panel.

Step 1: Identification of factors impacting on community health

Literature database searches on factors impacting on community health yielded 153 references from Medline and 20 from Eric. Out of these, 54 papers were found to explicitly specify health determinants or factors impacting on community health and well-being. The Internet search yielded 211 sites, of which 36 met the same requirements. A total of 26 factors were extracted from the resources and clustered into 5 domains: safe environment; rewarding daily activities; adequate nutrition; knowledge about health risks, and access to health care (Table 2). Factors impacting on community health ranged from physical and local (_conditions for basic life support_, e.g. clean air) to socio-cultural and global (_environmental conditions_, e.g. absence of fear of war).²⁰⁻²² From this range we selected 6 conditions impacting on community health that may be improved by the community itself in collaboration with students, such as the availability of safe drinking water, safe sanitation and healthy housing, adequate nutrition, safe working conditions, education on health risks, and access to health services.

Table 2. Factors influencing community health

Factors influencing community health	Domain
Air quality Environment pollution, e.g. hazardous waste Quality and availability of drinking water Safe food Safe sanitation Healthy housing, shelter Absence of fear of war	Safe environment
Poverty Unemployment	Rewarding daily activities
Non-adequate nutrition, e.g. unhealthy diet, malnutrition	Adequate nutrition
Insufficient physical activities Unsafe sex Teenage pregnancy Smoking Substance abuse, e.g. alcohol, drugs	Knowledge about health risks - related to life styles
Water and vector-borne diseases	- related to environment
Safe working conditions	- related to occupation
Awareness of health risks Prevention care, e.g. immunization Equity in access to health care Maternal and child care School health care Regular medical & dental check-ups Health insurance Emergency preparedness, e.g. for road accidents, violence Coping with chronic diseases, ageing	Access to health care

Step 2: Potential assistance from students to improve community health.

A literature search on student activities in CBE programmes yielded 28 references from Medline and 45 from Eric, but only 7 of the references found in Medline (and none from Eric) proved relevant in terms of specifying activities of students in CBE. Medical students in most CBE programmes were found to be involved in providing health services through primary care and by visiting families in their homes.^{23,24} Other activities included co-operation with community members and local health staff in identifying and solving problems affecting community health.²⁵⁻²⁹ Below we present 4 examples from the literature used to inventories activities by students to improve community health. In a CBE programme focused on tuberculosis control, final year students of the University of Natal (Durban, South Africa) conducted a community needs assessment. Based on that, a directly observed treatment programme was set up in which students monitored treatment effectiveness by paying home visits.²⁵ Students at the University of Gezira in Wad Medani, Sudan were trained to identify and prioritise health as well as other problems and to implement with community participation projects to address those problems.³⁰ Students' tasks in the community orientation programme at Christian Medical College in Vellore, India included evaluation of the health status of a community by assessment of chronic diseases, congenital anomalies, and acquired disabilities associated with various diseases. Subsequently, students were assigned to plan, implement and evaluate intervention projects.³¹ Elective studies at Suez Canal University in Ismailia, Egypt were used as an educational vehicle for community research. By carrying out a research project to develop solutions for a health problem in the community, students may indirectly deliver service to the community.³² Based on

these reports; we decided to also include student research activities that indirectly contribute to the improvement of community health.

When exploring the tasks medical students could adopt to assist a community to improve its health status, we discovered a substantial overlap in the types of activities relevant to promote the availability of safe drinking water, safe sanitation and healthy housing, adequate nutrition, and rewarding daily activities. Therefore, the possible student activities addressing these health needs were pooled and considered as 1 cluster in the subsequent steps.

Step 3: Competencies needed in students to assume tasks in CBE.

We defined the competencies needed for the execution of each of the possible tasks students could assume in CBE. Next we compared our list of competencies to competencies for medical graduates as defined in the literature. Literature searches yielded 104 hits in Medline and 4 in Eric. Of this total of 108 papers, 43 specified competencies required in medical graduates. Most competencies referred to hospital-based clinical care; others more relevant to community health service could be categorised as clinical competencies related to screening for disease and delivery of preventive and primary curative care, communication skills, ability to co-operate in teams with other (health) professionals, and problem-solving skills.

According to a 1987 WHO report, graduates of COE/CBE programmes should have the following professional competencies: provision of preventive care; provision of curative care; health education of the population; management of services; participation in health team work; training other members of the health team, and participation in research activities.⁵ Boelen stated that a doctor must be able to integrate

preventive, curative, and rehabilitative services, promote healthy lifestyles, reconcile individual and community requirements, and be a team worker.³³ These competencies were recapitulated in the profile presented for the '5-star doctor', who should be a care provider, decision maker, communicator, community leader and manager.³⁴

Analogue of all competencies defined by us were recognised in 1 or more of the references consulted. However, inversely we came across 1 competency mentioned in some references that could not be directly inferred from the students' possible tasks in CBE. This competency pertained to the management of a (small) health facility, which may be particularly relevant in rural areas in some developing countries (see also WHO, 1987⁵). Consequently, this competency was added in relation to 'access to health care'. Following the systematic taxonomy of Harden *et al.*, we sorted the competencies identified as cognitive and affective competencies and skills.³⁵ For each of the identified competencies a medical school may wish to set the level the students should have reached on graduation, by, for example, basing them on Miller's levels of competence.³⁶ As an example, such labelling has been applied in Table 1.

Step 4: Definition of generic objectives for CBE programmes

Literature database searches on objectives for CBE programmes yielded 57 references (17 from Medline, 40 from Eric), nine of which specified objectives for specific CBE programmes.^{14,25,37-42} Analogues of each of the 21 objectives derived by us from the desired competencies defined in Step 3 were found in at least 1 of the references specifying objectives for specific CBE programmes; no objectives were found to be missed by our approach.

Analysis of the discussion by the international expert panel

Two questions were consecutively discussed by the international expert panel. The first question explored whether the participants felt it was worthwhile to design a framework for the definition of generic objectives for CBE programmes. The second question asked for comments on, and additions to, the draft shown in Table 1 (after having received a positive answer to the first question). Participants were unanimous in their support of the attempt to describe a framework for generating generic objectives for CBE programmes. They considered the value of this exercise to lie even more in the approach used than in the resulting example of a set of generic objectives.

About 45 minutes of the 1-hour session were spent on discussing improvements in, and possible additions to the draft Table 1. Analysis of the transcribed text yielded 4 categories in which remarks and comments could be classified: community health needs; co-operation; generic objectives; and additions. For each of these categories keywords extracted from the transcribed text are presented in Table 3. Our decisions to include or exclude suggested items in the draft Table 1 are discussed below.

With respect to the health needs as perceived by the community, the expert panel suggested distinguishing between 'felt' needs and 'actual' needs (e.g. those described in the literature as basic health requirements).^{21,43} Because felt needs must be identified in consultation with the community, an item referring to active participation by the community was inserted in Table 1 at the top of the column labelled 'Perceived community health needs'. With respect to defining the assistance that students may lend to the community, the expert panel emphasised the need for students to co-operate with the community. Therefore, mobilising and empowering the community were inserted as

items in the column labelled 'Potential assistance from students' and most tasks for the students were described as needing to be undertaken in co-operation with the community.

'Socio-economic determinants of health', which was suggested as an additional item, was assumed by us to be covered by 'rewarding daily activities'. We argued that problems in this area affecting health would be identified in the dialogue between students and the community. We therefore, neither explicitly included 'socio-economic determinants of health' nor 'income-generating projects' as additional items. We considered the panel's suggestions to add 'organising co-operatives in the community' and 'cross-community co-operation' to be examples of strategies to implement health interventions (compare objectives 3 and 5) and therefore did not add these items to Table 1.

Table 3. Issues raised by the international expert panel

Categories	Keywords
Community health needs	Community and students should work together in identifying health problems Involve community in setting priorities for the health agenda Community empowerment Community mobilization Include socio-economic determinants of health Distinguish felt needs and actual needs
Co-operation	Multi professional co-operation, e.g. in health teams Organising co-operatives in the community Cross-community co-operation
Generic objectives	How to enter in, and integrate with the community Students as community advocates Accommodate training, research and service as major components of CBE programmes
Additional items	Occupational health Chronic diseases Rehabilitation Income-generating projects

The item 'how to enter into and integrate with the community' was not included in the list of generic objectives because we felt this skill to be implicit in co-operation with the community as generally emphasised in objectives 1–6. Furthermore, entering the community is also dependent on the quality of the partnership established by the educational institution with the target community and therefore can be considered a condition rather than an objective for a CBE programme. Following the suggestion by the expert panel, competencies needed in students to assist the community were categorised as those related to training, research and service, respectively. The suggested item 'occupational health' was included as an example of health risks, in health education to be developed by students, and in objective 10. We felt 'chronic diseases' was covered by objectives 14–21 addressing equity in access to health services. In our view, a possible need for 'rehabilitation services would emerge from assessing available health services (objective 14), and therefore this item was not explicitly added.

DISCUSSION

This paper presents a framework for the definition of objectives for CBE and elaborates an example focusing on medical schools in developing countries. Both elements of the paper will be discussed consecutively. We feel the strength of the framework presented to define generic objectives for CBE programmes lies in the logical sequence of the 4 steps taken, which yielded objectives traceably rooted in factors impacting on community health. Although the principle of this approach has been recommended earlier (e.g. for the design of COE curricula³), in the literature we did not encounter elaborations as detailed as presented here. However, this elaboration has also confronted us with some weaknesses in the procedure.

Firstly, we cannot be certain that we have identified all factors impacting on community health and well-being. For retrieval of information resources 2 databases and the Internet were explored, using a limited number of search terms. From these references we were directed to literature resources not identified by our search terms or not indexed in the explored databases. Nevertheless, non-indexed resources may be very relevant to this study, such as, for example, the *Annals of Community-Oriented Education* (issued as of 1988 and continued from 1996 as the journal *Education for Health*). It must be assumed that we missed some relevant resources but possibly no important factors impacting on community health, as the 54 papers and 36 Internet sites used showed considerable overlap in the factors presented and eventually yielded just 26 factors. Similar considerations apply to the comprehensiveness of the literature searches performed in Steps 2–4.

Secondly, at some stages in the design of the procedure we clustered items, for instance the factors impacting on community health, into domains, and the possible student activities in CBE programmes to promote the availability of safe drinking water, safe sanitation and healthy housing, adequate nutrition and rewarding daily activities. However, we preferred to provide clear insight into the construction of the framework over the loss of some detail that might possibly result from this clustering.

Thirdly, both the competencies needed in the students to execute tasks with the community and the objectives for educational programmes to develop those competencies in the students, were initially formulated by us. We are aware that this procedure and the subsequent comparison of these items with inventories of competencies and objectives in the literature may have been influenced by our personal perspectives. To correct for this bias we had our draft framework reviewed by an international expert panel.

We claim the framework can also apply to CBE programmes for other health professions and in the setting of industrialised countries. For a CBE programme in another health profession, the Step 1 inventory of factors impacting on community health should be composed in tune with the scope of the profession in question. In addition, if the procedure were to be applied in the setting of an industrialised country, the list of factors impacting on community health should be adjusted to the most relevant problems prevailing in those communities. Taking the example of unhealthy diet affecting health in a certain community, students could lend assistance by assessing eating habits in that community, designing interventions such as education in healthy eating, and assessing the impact of such interventions. In current CBE programmes in industrialised countries the emphasis is usually on participation in primary health care, but there is growing support for integration of public health aspects in medical education. This aim calls for the inclusion of student activities in CBE programmes, as described in the above example.

The list of generic objectives for CBE programmes in the setting of developing countries as elaborated in this paper departs from a set of factors impacting on community health and well-being. The exhaustiveness of this list will affect the contents of the subsequent steps. As demonstrated by the expert panel, one may wish to modify or add items and our reasoning behind the adoption or rejection of suggestions may not always have been unequivocal. On the other hand, the finding that none of the retrieved papers presenting objectives for specific CBE programmes contained objectives essentially different from those derived in our framework supports its reliability.

An important question concerns whether the generic objectives for CBE programmes presented in this study do indeed apply to CBE programmes for medical students in any developing country. Although

the discussion by the international expert panel lent support to this assumption, we have planned to further test the relevance of the framework and the set of objectives presented in this paper in a wider circle of medical schools in developing countries.

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ETHICAL APPROVAL

Ethical approval not required for this study.

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Chapter 3

A survey validation of generic CBE objectives for undergraduate medical training

Abstract

INTRODUCTION Recently we developed a framework for the definition of generic CBE objectives for undergraduate medical programmes, particularly for developing countries. To probe the validity of the set of CBE objectives generated by this approach, opinions from a wider audience were sought.

METHOD We sent questionnaires to 72 medical schools in 36 developing countries. Half of the addressees were randomly drawn from the list of institutional members of The Network: Toward Unity For Health (TUFH), stratified according to developing countries. Another 36 medical schools were randomly drawn from non-Network: TUFH schools from the same country where the selected Network: TUFH addressee was located, or from a neighbouring country.

RESULT A total of 43 medical schools responded (60% response rate); 31 out of the 36 addressed Network: TUFH members (86%) and 12 out of 36 addressed non-Network: TUFH schools (33%). Overall, 39 out of 43 respondents (91%) had implemented CBE in their curricula. Opinions of Network: TUFH and non-Network: TUFH schools on the framework and the generic objectives were not significantly different. Four out of 21 proposed objectives were scored as relevant by less than 75% of the pooled responders.

CONCLUSION A framework to develop generic CBE objectives and a derived set of 21 objectives were modified based on inputs by 43 medical

schools residing in developing countries distributed all over the globe. The outcome is a validated set of 20 generic objectives for CBE programmes in developing countries.

INTRODUCTION

Community-based education (CBE) has become widely accepted as an important innovation in undergraduate medical education. In curricula featuring CBE, students get acquainted with the community early in their studies and working in community settings returns throughout the curriculum.¹ Departing from priority health problems jointly identified by community members and students, the latter are encouraged to co-operate with the community to solve these problems and to evaluate the outcomes of their interventions.² Medical schools have implemented CBE programmes for various purposes, for example to enable students to experience the health needs of society and the potentials of community-based organisations;³ to introduce students to the full spectrum of health care;⁴ to advocate public health approaches in assessing the health needs of community;⁵ and to meet health needs of the nation at community and hospital levels.⁶ The availability of generic objectives for CBE programmes would assist medical schools with the design of these programmes. Such set of generic CBE objectives could be used to compare with a school's own CBE objectives, e.g. to check whether the most important aspects were covered.

Based on a literature review we recently developed a framework for the definition of generic CBE objectives for undergraduate medical programmes, particularly for developing countries. The framework illustrates the steps taken to develop objectives for CBE departing from factors impacting on community health as perceived by the community; identifying tasks for the students to improve inadequate conditions;

defining the competencies required to execute those tasks; and eventually designing educational objectives to develop those competencies. This framework was validated by an international panel of experts involved in CBE.⁷ However, to examine the wider acceptability of the generic objectives derived through this framework, opinions from a larger audience were needed. Therefore, the aim of this study was to collect and analyse opinions of medical schools in developing countries on the framework and the derived objectives. Based on the outcomes of this survey we would next transform our preliminary set of generic CBE objectives into a validated list of generic objectives for CBE in undergraduate medical programmes.

METHODS

We used purposive sampling to select medical schools in developing countries likely to have implemented CBE programmes.⁸ Developing countries were defined according to World Bank criteria.⁹ Although belonging to the high income countries, Bahrain and Israel were included because participating medical schools from these countries featured CBE programmes comparable to those in developing countries.^{10,11} Thirty-six medical schools residing in 36 different countries were randomly chosen from the list of institutional members of The Network: TUFH, stratified according to developing countries. We assumed Network: TUFH member schools to have integrated CBE in their medical curricula, because one of the goals of the Network: TUFH is to encourage its member institutions to adopt CBE.^{12,13} To avoid convenience and judgemental sampling bias [a risk due to the fact that one of us (GDM) was a Network: TUFH board member when this study was executed] for each Network: TUFH member school we also randomly selected from the World Directory of Medical Schools,¹⁴ a non-Network: TUFH school from the same country. If no non-Network: TUFH school was available in the same country, a

non-Network: TUFH school was selected from a neighbouring country with a similar number of physicians per 100,000 inhabitants.¹⁴

We distributed questionnaires to a total of 72 medical schools. We promised to raffle 20 copies of a book (i.e. Schmidt *et al.*, 2000)¹⁵ among all who responded to the questionnaire. The questionnaire presented our provisional list of 21 generic CBE objectives grouped in three themes: 1) solving health problems in collaboration with the community; 2) providing health education to the community; and 3) reducing inequity in access to health services.⁷ In an accompanying letter we explained the purpose of the study and the steps taken in the framework to arrive at generic CBE objectives. Respondents were invited to state their opinion on each individual CBE objective and on the elements in the framework used to arrive at that objective. Respondents were asked to score their opinions on a five-point Likert scale (1=irrelevant; 2=somewhat irrelevant; 3=neutral; 4=relevant; 5=highly relevant). Additional comments were invited, for which blank space was provided.

Data analysis

Quantitative data were analysed with SPSS software, version 10. Cronbach's alpha was calculated to measure internal consistency of items in the questionnaire.^{16,17} We set 75% as a minimum percentage for general agreement on any particular item.¹⁸ For this and some other calculations the scores 1 and 2 on the Likert scale were combined to represent 'irrelevant', score 3 was preserved as 'neutral', and scores 4 and 5 were combined to represent 'relevant'. Thus, in this study an item was accepted as relevant when 75% of the respondents rated this item with a score 4 or 5. Chi-square test was used to analyse differences between opinions of Network: TUFH members and non-Network schools. Students' t-test was used for comparison of the mean time spans devoted to CBE in Network: TUFH and non-Network: TUFH schools.

Respondents' additional comments were analysed by coding comparable comments expressed by two or more respondents as key points, then the key points were organised into categories to develop themes.¹⁹ To consider changes in the provisional set of generic CBE objectives we started from respondents' key points and subsequently checked for each suggestion whether it was supported (or at least not contradicted) by the quantitative data.²⁰ Conversely, we reviewed objectives that did not meet the 75% relevant score in the quantitative data by checking whether these objectives received positive comments from respondents. Lastly, suggestions to change the wording of, or to add some words to any CBE objective were adopted when the suggestion seemed to be worthwhile and did not alter the essence of the particular objective.

RESULTS

The internal consistency of the questionnaire was satisfactory (Cronbach's alpha for 6 items on 'solving community health problems': 0.81; Cronbach's alpha for 7 items on 'providing health education to the community': 0.73; and Cronbach's alpha for 8 items on 'reducing inequity in access to health services': 0.85).

In total 43 out of 72 addressed medical schools responded to the questionnaire (60% response rate). Thirty-one out of 36 addressed Network: TUFH members responded (86%), and 12 out of 36 non-Network schools (33%). There were 2.3% missing data on 10 items in the questionnaire, 4.6% on another 5 items, 6.9% on another 2 items, and 9.3% on again another 2 items.

Most respondents (93% of Network: TUFH members and 83% of non-Network schools) had implemented CBE programmes with

durations ranging from 3-120 weeks. The mean number of CBE weeks implemented by Network: TUFH member schools was 31.2 (standard error of the mean (SEM) = 4.9), and 10.6 for non-Network: TUFH schools (SEM = 3.5; $p = 0.002$). Scores of Network: TUFH and non-Network: TUFH schools on the relevance of the generic CBE objectives are compared in Table 1. There were no significant differences in the appreciation of the framework and the generic CBE objectives between Network: TUFH and non-Network: TUFH schools. Therefore, data from Network: TUFH and non-Network: TUFH schools were pooled to assess the overall perceived relevance of the framework and the generic CBE objectives (Table 2).

Apart from one 'neutral' score, all respondents perceived the framework used to develop generic CBE objectives as relevant. The following four CBE objectives were scored as relevant by less than 75% of the pooled respondents: Graduates 1) have knowledge on occupational health; 2) are able to monitor effectiveness and efficiency of community health services; 3) are able to invent realistic strategies to improve community access to health services; and 4) are able to manage a primary care unit and to sustain health administration.

Table 1. Comparison of opinions from Network: TUFH and non-Network: TUFH responders on the proposed generic CBE objectives

Items	Network: TUFH membership	Irrelevant Scale values 1 & 2; %		Neutral Scale value 3; %		Relevant Scale values 4 and 5; %		P*
		Yes	No	Yes	No	Yes	No	
The framework to develop CBE objectives								
<i>In collaboration with the community, graduates are able to:</i>								
1.	identify health problems related to the given circumstances			3.6		96.4	100	0.52
2.	determine incidence and prevalence of disease in the community			3.2		96.8	100	0.53
3.	invent realistic solutions for identified health problems			12.9	16.6	87.1	83.4	0.75
4.	collaborate with professionals from other disciplines and other related sectors to solve identified health problems		8.3	6.4		93.6	100	0.37
5.	mobilise the community for health interventions			13.3	8.3	86.7	83.4	0.26
6.	design and implement a health intervention, and analyse the results			16.7	8.3	83.3	91.7	0.49
				13.3		86.7	100	0.18
<i>To give health education to the community, graduates:</i>								
7.	have knowledge on adequate nutrition		8.3	20.0		80	91.7	0.08
8.	have knowledge on lifestyle-related health risks in the community			3.3		96.7	100	0.52
9.	have knowledge on environment-related health risks			13.3		86.7	100	0.19

10.	have knowledge on occupational health	10.0	8.3	16.7	16.7	73.3	75.0	0.97
11.	are able to design and transmit health education sessions	6.7		6.7	8.3	86.6	91.7	0.65
12.	are able to train community health workers in health education	13.3		13.3	16.7	73.4	83.3	0.41
13.	are able to evaluate the effectiveness of health education	10.0	8.3	13.3	16.7	76.7	75.0	0.95
<i>To reduce inequity of access to health services, graduates are able to:</i>								
14.	assess availability of health services to the community	3.4		6.9	8.3	89.7	91.7	0.80
15.	identify barriers to health care utilisation by the community			10.3	8.3	89.7	91.7	0.84
16.	monitor effectiveness and efficiency of community health services	10.3	8.3	24.2	16.7	65.5	75.0	0.83
17.	invent realistic strategies to improve community access to health services	3.4	8.3	34.5		62.1	91.7	0.06
18.	work in a variety of community health care settings and to provide preventive, primary curative, and emergency care			6.9	8.3	93.1	91.7	0.87
19.	manage a primary care unit and to sustain health administration	10.3	9.1	24.2	36.4	65.5	54.5	0.74
20.	judge which patients need to be referred	3.4		6.9	18.2	89.7	81.8	0.48
21.	participate in health teams			7.1		92.9	100	0.36

* Comparing scores from Network: TUFH and non-Network: TUFH respondents; significant if $p < 0.05$

Table 2. Pooled respondents' opinions on the proposed generic CBE objectives (scored on a 5-point Likert scale)

Items	1 Irrelevant; %	2 Somewhat irrelevant; %	3 Neutral; %	4 Relevant; %	5 Highly relevant; %	'Relevant' (Scale values 4 and 5; %)
The framework to develop CBE objectives			2.5	43.6	53.9	97.5
<i>In collaboration with the community, graduates are able to:</i>						
1. identify health problems related to the given circumstances			2.3	27.9	69.8	97.7
2. determine incidence and prevalence of disease in the community			13.9	25.6	60.5	86.1
3. invent realistic solutions for identified health problems			4.6	51.2	44.2	95.4
4. collaborate with professionals from other disciplines and other related sectors to solve identified health problems		2.4	11.9	40.5	45.2	85.7
5. mobilise the community for health interventions			14.3	45.2	40.5	85.7
6. design and implement a health intervention, and analyse the results			9.5	45.2	45.2	90.4
<i>To give health education to the community, graduates:</i>						
7. have knowledge on adequate nutrition		2.4	14.3	33.3	50.0	83.3
8. have knowledge on lifestyle-related health risks in the community			2.4	26.2	71.4	97.6

9.	have knowledge on environment-related health risks	9.5	19.0	71.5	90.5
10.	have knowledge on occupational health	9.5	40.5	33.3	73.8
11.	are able to design and transmit health education sessions	4.7	23.8	64.4	88.2
12.	are able to train community health workers in health education	9.5	19.0	57.2	76.2
13.	are able to evaluate the effectiveness of health education	9.5	40.5	35.7	76.2
<i>To reduce inequity of access to health services, graduates are able to:</i>					
14.	assess availability of health services to the community	2.4	26.8	63.5	90.3
15.	identify barriers to health care utilisation by the community	9.8	24.4	65.9	90.3
16.	monitor effectiveness and efficiency of community health services	9.7	34.2	34.2	68.4
17.	invent realistic strategies to improve community access to health services	4.9	36.5	34.2	70.7
18.	work in a variety of community health care settings and to provide preventive, primary curative, and emergency care	7.3	22.0	70.7	92.7
19.	manage a primary care unit and to sustain health administration	5.0	22.5	40.0	62.5
20.	judge which patients need to be referred	2.5	15.0	72.5	87.5
21.	participate in health teams	5.2	10.3	84.5	94.8

Five themes emerged from the respondents' comments: 1) emphasise partnership and intersectoral collaboration; 2) add specified CBE objectives to the proposed list; 3) positive comments on proposed CBE objectives; 4) criticism on proposed CBE objectives; and 5) objectives on which opinions diverged among respondents (Table 3). In addition, some respondents suggested adding and changing the wording of certain objectives.

Digestion of suggestions regarding the preliminary list of generic CBE objectives.

Suggestions to more explicitly insert the concepts of 'partnership between university, local government and community' and 'intersectoral collaboration to mobilise the community for health interventions' were not adopted. Collaboration of students (representing university) and community is covered by objectives 1-6; collaboration with local government by objectives 14-20 (for numbered objectives see Table 4). Intersectoral collaboration is explicitly mentioned in objective 4.

Respondents' suggestion to add 'Graduates are able to select appropriate teaching aids / media' was not adopted as we considered this skill implicit in the competence addressed by objective 11. Five objectives were considered too ambitious for undergraduate training of medical students. Three out of these five objectives (i.e.: Graduates are able to monitor effectiveness and efficiency of community health services; to invent realistic strategies to improve community access to health services; and to manage a primary care unit and to sustain health administration) were scored as relevant by less than 75% of the pooled respondents. The latter objective was also frequently criticised in added comments. Hence, based on the qualitative and quantitative data, the objective pertaining to management of a primary care unit and

sustainment of health administration was deleted. We decided not to delete the former two objectives since some respondents also made positive comments about these objectives (Table 3).

We adopted the essence of the suggestion to add 'Graduates understand government health policy'. Therefore, objective 4 was expanded by adding 'with consideration of government health policy'. The suggestion to include 'Graduates empower the community' was adopted in the framework used to derive generic CBE objectives. The issue was inserted on top of the column specifying 'Potential assistance from students' rather than adding it as a separate objective. The essence of the suggestion to add: 'Graduates have knowledge on socio-cultural conditions related to health' was inserted in objective 2. We also accepted to add 'community awareness about existing health services' by changing objective 15: 'Graduates are able to identify barriers to health care utilisation by the community' to 'Graduates are able to determine health care utilisation by the community and community attitude to available health services'.

We retained objective 10: 'Graduates have knowledge on occupational health' although scored as relevant by only 73.8% of the respondents, because there were positive comments from three respondents on this objective and no negative comments.

Finally, we added and changed the wording of some objectives according to suggestions by respondents, e.g. by adding 'and to solicit the community's effort to develop solutions' to objective 3: 'Graduates are able to design realistic solutions for identified health problems'; and by changing 'invent' used in objective 17 to 'design'.

The procedure described above yielded the validated list of generic objectives for CBE presented in Table 4.

Table 3. Issues raised by respondents

Themes	Key points
Partnership and inter sector collaboration	Insert the concepts of university partnership with community and local government, and inter sector collaboration
Add CBE objectives	Graduates: - understand government health policy - empower the community - have knowledge on socio-cultural conditions related to health - are able to select appropriate teaching aids / media - promote community awareness about existing health services
Positive comments on CBE objectives: Graduates: - are able to identify health problems related to the given circumstances - are able to mobilise the community for health interventions - have knowledge on environment and lifestyle-related health risks in the community - have knowledge on occupational health - are able to identify barriers to health care utilisation by the community - are able to judge which patients need to be referred	Through interaction with the community In collaboration with community leaders, social workers As an essential component of CBE Also learned during CBE Through interaction with the community In collaboration with health staff

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Criticism on CBE objectives:

- Graduates are able to determine incidence and prevalence of disease in the community
Meaning of incidence and prevalence more important than determination
  - Graduates are able to train health workers in health education
Too ambitious for undergraduates
  - Graduates are able to manage a primary care unit and to sustain health administration
Too ambitious for undergraduates
-

Divergent opinions on CBE objectives:

	<u>Negative views:</u>	<u>Positive views:</u>
- Graduates are able to evaluate the effectiveness of health education	Too ambitious for undergraduates	Has been implemented (evaluative phase)
- Graduates are able to monitor effectiveness and efficiency of community health services	Too ambitious for undergraduates	Has been implemented (postings in PHC centres and district hospitals)
- Graduates are able to invent realistic strategies to improve community access to health services	Too ambitious for undergraduates	Has been implemented (by follow up of patients and postings in district hospitals)
-

Table 4. Perceived community health needs, potential assistance from students, competencies required in students to deliver assistance, and associated objectives for CBE programmes: validated version.

Perceived community health needs	Potential assistance from students	Associated competencies in students	Objectives for CBE programmes
Community actively participates in:	Community mobilisation: Empowerment of the community to improve inadequate conditions	Training: Cognitive: Community assessment (3)* Affective: Community supportive attitude (3) Skills: Problem solving (3) Communication (3) Team work (3)	In co-operation with the community, graduates are able to: 1. identify health problems related to the given circumstances 2. determine incidence and prevalence of disease in the community and to appreciate the complex interplay between psychological, socio-cultural, and environment factors that impact on health and illness. 3. develop realistic solutions to community-identified health problems and to solicit community's participation in that process 4. collaborate with professionals from other disciplines and other related sectors to solve identified health problems with consideration of government health policy 5. mobilise the community for health interventions 6. design and implement a health intervention, and analyse results
Identifying factors impacting on health: - Quality and availability of drinking water - Safe sanitation and healthy housing - Adequate nutrition - Rewarding daily activities	Tasks: Working together with the community to identify inadequate conditions Selecting optimal solutions with the community.	Services: Implementing health interventions (3)	
Choosing and implementing health interventions	Working together with the community to implement selected solutions	Research: Investigation of the effectiveness of health interventions (3)	
Assessing the outcome of interventions	Working together with the community to assess the impact of the intervention		

Community identifies needs for education about health risks: Environmental Life style-related Occupational	Providing health education to the community Tasks: Organising health education sessions on environmental, life style, and occupation-related health risks based on the felt needs of the community. Working together with the community to assess the impact of health education	Training: Cognitive: Knowledge on: adequate nutrition (2)* environmental and life style-related health risks (2) occupational health (2) Affective: Awareness of the intellectual capacity of a community (3) Skills: Co-operation with existing public health services (3) Providing health education (3) Services: Providing health education (3) Research: Investigation into the effectiveness of health education (3)	To provide health education to the community, graduates must have knowledge of: - adequate nutrition - life style-related health risks in the community (e.g. smoking, alcohol and drug abuse, promiscuity, lack of physical activities) - environment-related health risks (e.g. contagious diseases, water and vector borne diseases, pollution) - occupational health, and be able to: - design and transmit health education sessions - train community health workers in health education - evaluate the effectiveness of health education
Community assesses its access to health services	Reducing inequity in access to health services	Training: Cognitive: Knowledge on primary health care and referral systems (2)*	To reduce inequity in access to health services, graduates are able to: assess availability of health services to the community

Tasks:	Affective:	
Assessing access to health services	Awareness of barriers in a community preventing utilisation of health facilities (3)	15. determine health care utilisation by the community and community attitude to available health services
Developing approaches for improvement		16. observe and workable solutions to the effectiveness and efficiency of community health services
Assisting the community to implement selected solutions	Skills: Basic clinical skills and emergency care (3) Project and facility management (2) Teamwork/ Co-operation with existing public health services (3)	17. design realistic strategies to improve community access to health services 18. work in a variety of community health care settings (e.g. primary health care centres, district hospitals, maternal and child care units) and to provide preventive, primary curative, and emergency care.
Assessing the impact of the intervention		19. judge which patients need to be referred
	Services: Providing primary and emergency care (3)	20. participate in health teams (e.g. with nurses, midwives, community health workers)
	Research: Investigation into access to the health services (3)	

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* Example of a desired competency level (based on Miller, 1990):

- (1): Knows (factual recall of knowledge)
- (2): Knows how (the application of knowledge to problem solving and decision making)
- (3): Shows how (the student has performed the skill at least several times).
- (4): Does (actual performance)

DISCUSSION

To ensure that medical schools participating in this survey had included CBE programmes in their curricula we purposively took a sample from Network: TUFH member schools residing in developing countries. For comparison, we also drew a comparable sample from non-Network: TUFH schools. Although only one-third of this non-Network: TUFH sample responded to the questionnaire, 83% of these schools had included CBE programmes in their medical curricula. This finding may confirm broad acceptance of CBE by medical schools in developing countries.²¹ However, a significant difference in the time devoted to CBE between Network: TUFH member and non-member schools was detected.

The low response rate among non-Network: TUFH schools may be explained by assuming that non-responder schools in this sample had not implemented CBE programmes and therefore felt not motivated to participate in this consultation. Opinions of responding non-Network: TUFH schools about our proposed generic CBE objectives were not statistically different from those of Network: TUFH schools, allowing us to pool data from both groups of respondents to assess the perceived relevance of our framework and the derived CBE objectives.

Apart from one 'neutral' score, 42 pooled respondents confirmed the relevance of our framework designed to derive generic CBE objectives. Four proposed objectives did not receive the pre-set 75% 'relevant' score. Based on quantitative and qualitative data, one of these objectives (pertaining to management of a primary care unit and sustaining health administration) had to be deleted from the proposed set of generic CBE objectives. In the past, individual schools (Suez Canal University, Egypt; University of Hawaii, USA) had decided to address this objective in their CBE programmes.¹ Our decision to maintain the

other three objectives was based on the fact that some respondents added positive comments about each of these objectives. For this decision there is further support in the literature. With respect to the objective: 'Graduates are able to monitor effectiveness and efficiency of community health services', Hamilton (2000) stated that both postgraduate and undergraduate health professions students need to understand each profession's contribution to patient safety and quality of care.²² In his view, students will only understand and learn how to respond to the inefficiency of community health services by being in the community and studying its health services. In relation to the objective: 'Graduates are able to invent realistic strategies to improve community access to health services', Dowell *et al.* (2001) recommended to include opportunities for improving the health care of the communities as part of students' task in undergraduate CBE programmes.⁵ With respect to the objective 'Graduates have knowledge on occupational health' the Eighth Joint ILO/WHO Report (1981) stated that special effort should be made to include occupational health and safety in basic medical courses.²³ Furthermore, occupational health has been described as part of some CBE programmes for medical undergraduates.^{1,24}

For the objective 'Graduates are able to determine prevalence and incidence of diseases in the community' the suggestion was made to add 'have knowledge on socio-cultural conditions related to health'. Based on this suggestion and recent literature we expanded this objective to include 'and to appreciate the complex interplay between psychological, socio-cultural, and environmental factors that impact on health and illness'.^{25,26,27}

The quite low overall response rate to our questionnaire (60%) limits the generalisability of the results from this study. Preferably, the validity of the framework and the derived generic CBE objectives should

be enhanced by application in a variety of conditions. For example, we recently used the preliminary set of generic CBE objectives as a reference to evaluate an existing CBE programme in a medical curriculum.^{28,29} and to design a modified version of the same programme.³⁰

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Chapter 4

Does CBE come close to what it should be?

A case study from the developing world.

Evaluating a programme in action against objectives on paper

Abstract

CONTEXT A growing number of health professions schools have implemented programmes for community-based education (CBE) for their students. There are indications, however, that particularly in developing countries, CBE programmes are not always optimally implemented or sustained.

OBJECTIVE To test the suitability of an established method for curriculum evaluation combined with a set of generic objectives for CBE programmes, for evaluation of CBE programmes.

METHODS As a case study, Coles and Grant's model for curriculum evaluation was applied to the CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia. Document analysis yielded information on the programme on paper; participatory observation and staff interviews on the programme in action. In addition, MFDU's CBE programme was evaluated against a set of generic objectives for CBE programmes recently designed by us.

RESULTS MFDU has created great opportunities for its CBE programme in which, however, also significant weaknesses were revealed. (1) In the community, much time was spent on formal teaching; (2) Students' work in the community was not jointly identified with

community members regarding the community's felt health needs; (3) There was rarely continuity, and evaluation or follow-up of the students' work in the community; and (4) No systematic programme evaluations are carried out.

DISCUSSION This evaluation study showed shortcomings in the implementation of MFDU's CBE programme. The major weaknesses identified point at an underutilization of the opportunities and potential jeopardization of the facilities in the community. On the other hand, more time is needed in the CBE programme to establish the health needs to be addressed jointly with the community and to assess the impact of activities undertaken. A thorough review of the CBE programme, perhaps taking the outcomes of this study into account, could turn MFDU's CBE programme into a fine example for other medical schools in Indonesia and beyond.

CONCLUSION Coles and Grant's method for curriculum evaluation proved suitable for evaluation of a CBE programme in a developing country. After additional comparison with a reference list of objectives for CBE programmes, reasoned suggestions for programme can be made.

INTRODUCTION

Community-based education (CBE) aims to train undergraduate medical students in the diagnosis, management and, if possible, solution of community health problems.¹ CBE activities should take place in a variety of primary and secondary health care settings and, particularly in developing countries, within communities.^{2,3,4} The general objective of CBE programmes is to expand the students' notion of community health problems through their learning, service and research in the community and thereby to improve the health of the community in which the programme is carried out.⁵ Based on these aims, many medical schools,

particularly in developing countries, have implemented CBE programmes. However, these programmes often suffer from negligence of and inappropriate approaches to, actual community involvement.^{6,7} Thus, some doubt exists whether CBE programmes are adequately implemented. So as a case study, we have evaluated the CBE programme executed by the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia, using the model of Coles and Grant (1985).⁸ According to this model, the “curriculum on paper”, the “curriculum in action”, and the “curriculum as experienced” by the students are evaluated.

The curriculum on paper includes what is written about the curriculum in documents, committee reports, etc., and what faculty says about the curriculum’s aims and goals. The curriculum in action is how the intended curriculum is theoretically implemented in practice. The experienced curriculum is what students actually do, how they study, what they believe they should be doing, the learning that occurs and the outcome of their learning, which in line with ‘actualised curriculum’.⁹ There can be considerable mismatches between these three curriculum concepts.¹⁰ In this report we compare MFDU’s existing CBE programme with its description on paper and a set of generic objectives for CBE programmes recently developed by us.¹¹ We formulated the following research questions to evaluate the CBE programme at MFDU:

- (1) What is the match between MFDU’s CBE programme objectives and the generic list of CBE objectives?
- (2) How are MFDU’s CBE objectives implemented in the curriculum?
- (3) Can any recommendations be formulated to improve MFDU’s CBE programme?

CBE in MFDU's Curriculum

MFDU has a six-year medical curriculum. The first two years emphasize basic sciences and years 3 and 4 clinical sciences. In those four years, lectures and practicals are the dominant educational formats. Some elements in this part of the curriculum may be relevant for the preparation of the students for their CBE programme, e.g. lectures on community medicine, epidemiology, occupational health, infectious diseases, and nutrition, as well as lectures and practicals on microbiology and parasitology. The last two years of the curriculum are predominantly devoted to clinical clerkships. CBE has two slots in the curriculum. In year 4, students study family health problems in an urban area for two weeks. In year 6, students participate in a 10-week programme referred to as the CBE clerkship.

METHODS

The model of Coles and Grant to evaluate curricula was adopted for this study. The CBE programme in action was evaluated against its description on paper and against a set of generic objectives for CBE programmes.¹¹ This study exclusively used qualitative methods, because we aimed to gather detailed information on various aspects of the CBE programme, including its structure, faculty roles and student activities. Triangulation of methods was applied to increase the validity of our observations.¹² To describe the curriculum on paper, written documents were analysed.¹³ Primary sources were the MFDU's study guide, which includes an outline of the CBE programme,^a and a report on the last meeting of the planning group for the CBE programme.^b Complementary

^a SOEJOENOS, A. CBE curriculum in MFDU. Workshop report. 1989.

^b On a meeting of the CBE Planning Group. 1991.

information was provided orally by faculty involved in the execution of the CBE programme. To study the curriculum in action, the first author conducted participatory observations with 4th year students during their home visits and with 6th year students in the full ten-week CBE clerkship programme.

To increase the reliability of the collected data, two cohorts of final year students (25 and 30 students) were observed.¹⁴ The observer passively participated in all activities of both cohorts and observed all student activities, and students and staff were aware of the purpose of the observer's presence. Observations were recorded in field notes, complemented with statements made by supervisors during activities and by heads of Primary Health Care (PHC) centres in informal interviews. The following steps were taken to analyse the records: linking student activities observed with related statements by supervisors; coding observations and statements as key points; organizing the key points into categories; and extracting themes with respect to execution of the CBE programme.^{15,16}

To increase reliability of this data analysis, a volunteer (a psychologist acquainted with qualitative studies) was asked to code the field notes and staff comments pertaining to students' work in the community (weeks 4 and 5 of the CBE clerkship, see below). Coding by the first author was compared with coding by the volunteer and differences emerging were discussed to reach consensus.

RESULTS

Programme on Paper

The single objective of the CBE programme for 4th year students states that the students should be able to manage health problems of

urban families. The students are supposed to be supervised by faculty from six departments. A checklist was provided to monitor student activities. The objectives of the CBE clerkship in year 6 are listed in Table 1.

In week 1 of the CBE clerkship, students should be trained to deal with emergency cases through Advanced Trauma Life Support (ATLS). In weeks 2 and 3 students are assigned to study the management of a PHC centre. Students stay in a Rural Health Professions Training Centre (RHPTC) and visit one of three rural PHC centres. Students should participate in the centre's primary care programmes executed in the community. This training is supposed to be supervised by faculty from seven departments. In weeks 4 and 5, students stay in a different RHPTC to identify and solve health problems in a rural community. In weeks 6–8 students are posted in two municipality secondary hospitals. Students are expected to work in the hospital's emergency room, to provide health services in the outpatient department and to study the management of the secondary hospital, including the referral system. In week 9, students are posted in an urban district health office to study implementation of the national urban health programme. Week 10 is reserved for final examinations. All students should be submitted to oral examinations by lecturers of the department of Community Medicine. There are no written guidelines for these examinations. Furthermore, the description of the CBE programme does not refer to any format of programme evaluation.

Next, the objectives of MFDU's CBE programme were compared with the list of generic objectives for CBE (Table 1). All but two of MFDU's objectives for its CBE programme matched objectives presented in the generic list of CBE objectives. Conversely, 14 of the 21 generic objectives were not matched by MFDU's CBE objectives.

Table 1. Comparison of MFDU's CBE objectives with the generic list of CBE objectives

Objectives of CBE programme at MFDU ¹	Generic objectives for CBE programmes ²
Year 4 urban programme: Students are able to manage family health problems in an urban area Year 6 CBE clerkship: Students are able to manage community health problems in the rural area. For that purpose, students are able to: - identify health problems in the community - propose health intervention to solve a problem - implement health interventions to solve a selected problem. Students are able to perform health education to the community	In co-operation with the community, graduates are able to: 1. <i>identify health problems related to the given circumstances</i> 2. determine incidence and prevalence of disease in the community 3. <i>invent realistic solutions to health problems</i> 4. collaborate with professionals from other disciplines and other related sectors to solve identified health problems 5. mobilize the community for health interventions 6. <i>design and implement a health intervention, and analyse results</i> To provide health education to the community, graduates must have knowledge of: 7. adequate nutrition 8. life style-related health risks in the community (e.g. smoking, alcohol and drug abuse, promiscuity, lack of physical activities, etc.) 9. environment-related health risks (e.g. contagious diseases, water and vector borne diseases, pollution) 10. occupational health, and be able to: 11. <i>design and transmit health education sessions</i> 12. train community health workers in health education 13. evaluate the effectiveness of health education

Students are able to:	To reduce inequity in access to health services, graduates are able to:
- work in a PHC centre	14. assess availability of health services to the community
- participate in a PHC centre's programmes in the community	15. identify barriers to health care utilisation by community
- manage a PHC centre	16. monitor effectiveness and efficiency of community health services
Students are able to manage emergency cases	17. invent realistic strategies to improve community access to health services
<i>Students understand the management of secondary hospital and the referral system</i>	18. <i>work in a variety of community health care settings (e.g. primary health care centres, district hospitals, maternal and child health care units) and to provide preventive, primary curative, and emergency care.</i>
<i>Students understand the management of national urban health programmes</i>	19. <i>manage a primary care unit and sustain health administration</i>
	20. <i>judge which patients need to be referred</i>
	21. <i>participate in health teams (e.g. with nurses, midwives, community health workers)</i>

1. Objectives in italics have no corresponding objective in the list of generic objectives.
2. Objectives in italics are covered by objectives of MFDU's CBE programme.

Programme in Action

Year 4 Programme. The first two days of this programme were used for lectures, discussions and introductions to community leaders and the head of an urban PHC centre. Students were divided in groups of 24–25 on the third day, and each group was sent from the PHC centre to one kampong (an urban unit like a block). Through home visits student groups were assigned to identify family health problems using a questionnaire, to analyse their data and write a report. Large variation in the time invested by the respective group supervisors was observed, and only one out of the four supervisors used the available checklist to monitor student activities. The last three days were used by the student groups to select a priority health problem and to discuss with community members how best to address that problem through a student-conducted health intervention. During these discussions with community members, not all students had a chance to talk. When the senior supervisor was asked what a student's mark for this programme would be based on, he replied:

“A student's score is based on his/her group's activities and report. A student will obtain a high score if he/she proposed a good and new idea to solve a specific health problem or was very active during discussions.”

Year 6 CBE Clerkship

Week 1: ATLS. As a preparation for posting in the Municipality secondary hospital (week 6-8), ATLS took place in MFDU's skills training facility where students attended lectures and trainings conducted by clinicians from various disciplines. In line with the roster for this week, the ratio of time spent on lectures and practicals was 2:1. Assessment was based on four objective structured clinical examination (OSCE) stations conducted on the last day of this week.

Weeks 2 and 3: Management of a PHC Centre. In the RHPTC, students were lectured for a total of 32 hours on the management of a PHC centre and related topics. In the first week, students in subgroups of 8–10 were given last year's patients' data of a PHC centre and asked to identify the priority health problems to be tackled by that PHC centre. In the second week, students participated for two days in PHC field programmes. They cooperated with midwives and community health workers to provide simple care and public health-related activities. Students' discussions with the supervisor from the RHPTC were conducted in the evenings, when students received advice on writing their reports. Deviating from the clerkship programme on paper, students' activities were not supervised by MFDU faculty. MFDU staff only came to the RHPTC to participate in the final examination of the students. Students had to give oral presentations on applying their approaches to community health problems identified from the PHC centre's data. Student presentations were assessed by their supervisor, three heads of the PHC centres, and MFDU faculty. When a staff member of Community Medicine was asked whether he felt this training met MFDU's CBE objectives, he replied:

“The objective of this training is that the students should acquire the ability to manage a PHC centre. Therefore, students should work in a PHC centre and in the community to collect data. Instead students receive last year's data as assembled by a PHC centre.”

Thus, the management of a PHC centre was mainly addressed in lectures at the RHPTC. Students did not work in a PHC centre as described in the outline for the CBE clerkship, and when participating in a PHC programme, students just assisted in the daily work of community health workers and health providers.

Weeks 4 and 5: PHC-related Activities in the Community. Two MFDU staff stayed with the students at another RHPTC and supervised their training. Student cohorts were divided in two groups of 12–15, and each group was sent to a PHC centre. Small groups of 3–5 students were formed and assigned to a health provider responsible for execution of a governmental PHC programme. Within the programme, students had to identify a specific problem, propose solutions for that problem, and implement one of the proposed solutions. With only one exception, none of the students' programmes included an evaluation of the impact of their interventions. Student assessment was based on written reports, oral presentations and the supervisors' records of student's attitude in daily activities on the parameters responsibility, discipline and teamwork. After the oral presentations, a head of a PHC centre commented:

“I noticed that the community already gets annoyed with the students' activities. I think if the student's activities were based on the community's felt needs they would be more useful. However, we are assigned to execute the programmes of the government as soon as possible, and by working together with the students these programmes can be finished on time.”

When asked about the continuity of the students' activities, a supervisor answered:

“Due to their perceived urgency, the PHC centres must execute all government health programmes immediately, so hardly any of the students' programmes can be continued, because a next group of students has to work in a new programme. However, there are a few examples of continuity in students' programmes and records of their success.”

Thus, although formally assigned to identify health problems in the community, students had no chance to explore the community's felt needs but had to assist in the execution of government programmes. Usually there was no continuity in the students' programmes, and the outcomes were not assessed.

Weeks 6–8: Municipality Hospital Service and Management. For their postings in municipality secondary hospitals, students were divided into two groups of 12–15 students, and each group was sent to a different hospital. Under supervision, students provided curative care in the emergency room, in the outpatient clinic and conducted one or two normal deliveries. Assessment was based on selected emergency case presentations. In one hospital, subgroups of 3–4 students were assigned to write a report on the management and referral system of a selected hospital unit, e.g. the children's ward. The students collected data from documents, observations and interviews with nurses, doctors and the hospital director. Assessment was based on students' reports and oral presentations in front of the hospital's vice-director, staff and their supervisor. The objective to study the management of a secondary hospital and the referral system was not addressed in one municipality hospital. There was no MFDU supervisor there, and when the vice-director of this hospital was asked why this topic was ignored, he stated he was not aware of this objective in relation to the posting.

Week 9: District Health Service in an Urban Area. During the first three days of this week, students were lectured on urban district health programmes and management of a district health office. Students participated in health programmes for the last two days. There was no assessment or evaluation to conclude this week.

Week 10: Final examination. The clerkship's final oral exams took place in MFDU's department of Community Medicine. A lecturer commented about this examination:

“We already received the students' marks on their training in PHC centres, in the community, and in the municipality secondary hospitals. If they passed those parts, at this examination they can only boost their score. However, we still have students who fail this exam, and she or he should redo the training or follow a remedial teaching programme.”

Comparison of the Programme on Paper and in Action

We labelled aspects of the implemented CBE programme that contributed to the realisation of MFDU's objectives as strengths and aspects that may not be supportive in that regard as weaknesses (Table 2). Based on the latter and on the comparison of the objectives of the CBE programme at MFDU with the generic set of CBE objectives (Table 1), the following important weaknesses of MFDU's CBE programme were identified:

- (1) Much time is spent in community sites on instruction (e.g. lectures) that also could have been given at MFDU.
- (2) Students' work in the community is not based on joint identification with community members of the community's felt health needs.
- (3) There is rarely continuity evaluation or follow-up of the students' work in the community.
- (4) No systematic programme evaluations are carried out.

Table 2. Comparison of the CBE programme's objectives and implementation.

Objectives of MFDU's CBE programme	Strengths	Curriculum in action	Weaknesses
Year 4 urban programme: Students are able to manage family health problem in an urban area	- Students identified health problems and proposed health interventions - Students discussed with the community health problems identified - Students implemented health interventions - Performance during oral presentations contributed to student assessment	- Large groups of students - Variation in supervision time - No daily performance assessment - No evaluation of impact of students' interventions	
Year 6 CBE clerkship: Students are able to manage health problems in the rural community: - identify health problems in the community - propose health intervention to solve a problem - implement health interventions to solve a selected problem	- Students identified health problems in the community and proposed health interventions - Students implemented health interventions - Daily performance and oral presentations contributed to student assessment	- Community work is based on government-determined programmes in a PHC centre - No interaction with community on health problems identified, and how to tackle those - No evaluation of the impact of students' interventions - No continuity of students' programmes - No follow-up by PHC centre on health problems identified by the students	

Students are able to perform health education to the community	-	Students applied various methods of health education in the community	-	Usually no evaluation of the impact of health education
Students are able to manage a PHC centre:	-	Students participated in PHC centre's programmes in the community	-	Management of PHC centre only addressed in lectures
- to work in a PHC centre	-	Students gave oral presentations on the management of a PHC centre	-	Students did not work in PHC centre
- participating in a PHC centre's programmes in the community	-		-	Students did not learn tabulation of PHC centre's patient data
Students are able to manage emergency cases	-	Practical training in skills lab	-	Several lectures about emergency cases overlapped with previous lectures
	-	Attachment in a secondary hospital to address emergencies		
	-	Assessment by OSCE's and oral presentation of emergency cases		
Students understand the management of a secondary hospital and the referral system	-	Report writing and oral presentation on the management and referral system of municipality hospital	-	Management of a secondary hospital and the referral system were not addressed by half of the students
Students understand the management of national urban health programmes	-	Participation on urban health office programmes	-	Predominance of lectures on national urban health programmes
			-	No student assessment

DISCUSSION

We applied the model of Coles and Grant to a case study evaluating the CBE programme of MFDU. Assessment of this programme in action in relation to its objectives and a set of generic objectives for CBE programmes,¹¹ revealed some important discrepancies. In accord with the model of Coles and Grant, we have also gathered data on the students' perception of the CBE programme, which will be presented separately.

Two objectives of MFDU's CBE programme, addressing the management of a secondary hospital and the national urban health programme, had no counterpart in the generic list of objectives. We feel the management of a secondary hospital does not fit a CBE programme, and we suggest addressing this objective elsewhere in the curriculum. The Indonesian national urban health programme focuses on environment and occupational health. Thus, students working on this programme may address objectives 9 and 10 in the list of generic objectives (see Table 1).

About two-thirds of the objectives in the generic list were not recognized in the objectives for MFDU's CBE programme. Some of the objectives specified in the generic list may, however, be addressed by the programme in action, even if there is no specific objective in MFDU's programme outline. For example in week 3 of the CBE clerkship, students co-operated with midwives and community health workers. This activity could be interpreted to match generic objective 21 referring to participation in health teams. In a case like this, MFDU would be advised to adopt a similar objective for its CBE programme, which would secure future inclusion of the corresponding activity in the CBE programme. Other objectives from the generic list may be addressed in the non-CBE curriculum of MFDU. Some lectures and practicals in years 2-4 are likely to be relevant to prepare the students for their CBE programme.

However, the current objectives of the CBE programme do not certify that these topics are revisited and applied when the students are posted in the community.

The major weaknesses identified in MFDU's CBE programme point at an underutilization of opportunities and potential jeopardization of the facilities in the community. On paper, 12 weeks in MFDU's curriculum are earmarked for CBE, but in practice only about half of that time is used for learning in the community. The rest is taken for lectures and activities in facilities remote from the community. On the other hand, more time is needed in the CBE programme to jointly establish the health needs to be addressed with the community and to assess the impact of activities undertaken.^{17,18} The current failure to involve the community in directing the students' activities and the lack of continuity and follow-up, may erode the community's interest in receiving MFDU students.⁷

Finally, we did not find any internal evaluation of MFDU's CBE programme. In line with this, we noted that the CBE planning group had not convened since 1991. We recommend that MFDU introduce programme evaluation for (the respective parts of) its CBE programme and annually discuss these evaluations in the planning group and education committee.¹⁹ CBE yields great opportunities for medical students to get acquainted with common health problems at the community level and to enter into cooperation with the community and their health providers to address these problems. In developed countries, CBE will often focus on primary health care as offered by general practices and family practitioners.²⁰ In developing countries, the emphasis is usually more on cooperation with community members to jointly tackle their priority health problems.^{21,22} We are impressed by the conditions created by MFDU and its partners for CBE. A thorough review of the CBE programme, perhaps taking the outcomes of this study into account, could turn MFDU's CBE programme into a fine example for other medical schools in Indonesia and beyond.

CONCLUSION

Coles and Grant's method for curriculum evaluation proved suitable for evaluation of a CBE programme in a developing country. After additional comparison with our reference list of objectives for CBE programmes (Kristina *et al.*, 2004),¹¹ reasoned suggestions for improvement of the programme could be derived.

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Does CBE come close to what it should be?

A case study from the developing world.

Students' opinions

Abstract

CONTEXT There has been an increase in the number of medical schools implementing community-based educational (CBE) programmes. However, there are doubts whether CBE programmes are appropriately implemented. As a case study we evaluated the 12-week CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia. Earlier, we compared MFDU's CBE programme on paper and in action and evaluated the programme against a reference set of objectives for CBE programmes recently developed by us. Evaluation of this CBE programme should be complemented with an account of the students' perceptions.

OBJECTIVE To acquire MFDU's students' opinions on their CBE programme as part of a comprehensive evaluation of an existing CBE programme.

METHODS Coles and Grant's model for curriculum evaluation was applied. To assess the curriculum as experienced by the students, direct participatory observation was performed and focus group discussions conducted to collect students' opinions.

RESULTS Most student comments confirmed the conclusions drawn after comparison of MFDU's CBE programme on paper and in action. No contradictory student comments were recorded. Students specifically

signalled: 1) frequent overlap of lectures given during the CBE clerkship and previously in the regular programme, 2) mismatch between their activities in the community and the community's felt health needs, 3) greater benefits of the CBE programme for PHC centres and students than for target communities, and 4) incidental defective co-operation between students and health providers or community health workers.

CONCLUSION As part of Coles and Grant's approach to curriculum evaluation, also students' comments were collected. These comments yielded more in-depth information on the CBE programme evaluated and facilitated formulation of recommendations for improvement of that CBE programme.

INTRODUCTION

Community-based education (CBE) has been implemented by a growing number of medical schools, particularly in their undergraduate medical programmes. CBE is an educational format advocated to teach students management of priority health problems within the community.¹ Many studies reported valuable learning experiences for students attending CBE programmes in community-based health facilities.^{2,3,4} However, there are doubts whether CBE programmes are appropriately implemented. Shortage of resources and negative perception of CBE by faculty particularly in developing countries are reasons why CBE programmes are not always successfully implemented.^{5,6} In addition, students may not fully appreciate the value of community experiences.⁷ and community participation may not develop satisfactorily.⁸

As a case study, we evaluated the CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia, using the model of Coles & Grant (1985) as a method of curriculum

evaluation.⁹ According to this model, subsequently the curriculum on paper, the curriculum in action, and the curriculum as experienced by the students are evaluated. The 'curriculum on paper' includes what is written about the curriculum in documents, committee reports, etc., and what faculty says about the curriculum's aims and goals. The 'curriculum in action' is how the intended curriculum is theoretically implemented in practice. The 'experienced curriculum' is what students actually do, how they study, what they believe they should be doing, the learning that occurs, and the outcome of their learning, which in line with 'actualised curriculum'.¹⁰ There can be considerable mismatches between the three concepts of the curriculum as distinguished above.¹¹ In a recent paper we evaluated MFDU's CBE programme in action against the programme on paper and we compared the objectives of MFDU's CBE programme with a set of recently developed generic objectives for CBE programmes.¹² Based on both comparisons four important weaknesses of MFDU's CBE programmes were revealed.¹³ 1) In the community much time is spent on formal teaching; 2) Students' work in the community is not based on the community's felt health needs jointly identified by community members and students; 3) There is rarely continuity in, and evaluation or follow-up of the students' work in the community; and 4) No systematic programme evaluations are carried out.

To complete the evaluation of MFDU's CBE programme also its perception by the students should be explored (the experienced curriculum; Coles & Grant, 1985). We here report the opinions of MFDU students on their CBE programme and compare their comments with our observations on the CBE curriculum in action. In particular we focused on student comments deviating from, or providing complementary information on the conclusions quoted above. In the discussion we will complete the evaluation of MFDU's CBE programme and present suggestions for improvement.

CBE in MFDU's curriculum

MFDU has a six-year medical curriculum. The first two years emphasise basic sciences and years 3 and 4 clinical sciences. The last two years of the curriculum are predominantly devoted to clinical clerkships. The CBE programme has two slots in the curriculum. In year 4, students for two weeks study family health problems in an urban area and pay home visits. In year 6, students participate in a 10-week programme referred to as the CBE clerkship. Further details on the structure of MFDU's CBE programme are given elsewhere.¹³

METHODS

Students' opinions on MFDU's CBE programme were collected using the qualitative methods of participatory observation and focus group discussion (FGD). The first author conducted participatory observations with one cohort of 25 students in their two-week CBE programme in year 4, and with two cohorts of 25 and 30 students, respectively, in their ten-week CBE clerkship in year 6. Students' comments and answers to explorative questions asked by the observer during their CBE activities were recorded. The following steps were taken to analyse these records: 1) linking students' activities and related comments and answers to questions, 2) coding comments and answers as key points, 3) organising the key points into categories, and 4) extracting themes with respect to the practical implementation of the programmes.¹⁴ To increase the reliability of this analysis, a volunteer (a psychologist acquainted with qualitative studies) was asked to also code the comments and answers pertaining to weeks 4 and 5 of the CBE clerkship, when the students worked in the community. Coding by the first author was compared with coding by the volunteer and differences emerging were discussed to reach consensus.

To further explore the students' perception of the CBE programme, FGD's were planned for 45 students randomly picked from the total population of 143 final year students who had completed their CBE clerkship. Focus groups of 15 students met for 90 minutes during lunchtime. Students' transportation expenses were reimbursed and they were provided free lunch. FGD's were moderated by one of the authors (TNK). Since she is not a CBE clerkship supervisor students were assumed to feel free to express their opinions. The moderator explained that the outcomes of the FGD would be used to improve MFDU's CBE programme; she set the rules for the FGD and promised anonymous and confidential handling of their comments.¹⁵ During these FGD's open questions were used. At the end of each FGD students were encouraged to suggest improvements in the CBE programme. FGD's were tape-recorded and later transcribed verbatim. Answers to similar questions asked in each of the FGD's were clustered and coded by the first author. Analysis across the FGDs involved detecting patterns or trends, identifying themes expressed by focus group participants, and attaching meaning (interpretation) to this results.¹⁶ The same volunteer who verified comments and answers was asked to independently identify themes in the transcript of focus group discussions. Codings by the first author (TNK) and the volunteer were compared and discrepancies discussed to reach consensus.

RESULTS

Student opinions were extracted from field notes made during participating observation and transcripts of FGD's. Forty-one out of 45 selected students attended three FGD's; the four students not showing up apologised due to obligations in the hospital. Gender distribution of the students was 70.7 % female and 29.3 % male. This gender distribution is similar to the gender distribution in the year class (67% female; 33%

male). FGD's were held on three consecutive days; 14 students participated in the first and third group, and 13 in the second. Many of the students' comments made during the CBE programme and in FGD's confirmed our conclusions drawn after comparison of MFDU's CBE programme on paper and in action (see Introduction) and therefore will not be presented. No student comment was recorded that contradicted our earlier conclusions on the curriculum in action. Below we will exclusively focus on student comments that expanded our insight in the CBE programme in action.

With respect to the CBE programme in year 4, most negative comments pertained to the large groups of 25 students that were sent into urban blocks ('kampongs') to pay home visits. Although each student individually visited about 15 households, all data had to be compiled and presented in one report. This caused large variation in the contributions by individual students. Other negative comments related to the many groups of students that had previously visited the same kampong and presumed subjectivity of supervisors in student assessment. Pertaining to this assessment one student stated:

"I don't know how the supervisor could give me my mark because he seldom observed our activities. Students who were very active during discussions received high marks, even if they had been very lazy in data analysis and report writing".

With respect to the CBE programme we had already observed much time in the CBE programme to be spent on instruction, particularly by lectures. Students' comments confirmed this observation and added that the content of those lectures often overlapped with lectures already given in the regular programme. Remaining comments could be clustered in three categories: benefits to the community; co-operation with other health professionals; and suggestions to improve the CBE programme.

Benefits to the community

With respect to their work in the community during weeks 4 and 5 of the CBE clerkship, also the students – like we before – had observed that the PHC centres were instructed by the District Health Office on the community health programmes to be executed. Some students even felt abused by the PHC centre in the execution of these community health programmes. One of them said:

“At least we should work together with the health providers to collect data, but they did not help us, even though this was a large target area with many households to be visited, and the available time was limited”.

Students confirmed our conclusion that these community health programmes often did not respond to the felt health needs of the community. Consequently, the students estimated the benefits of some community health programmes to be greater for the PHC centre and themselves than for the community involved. A pertinent student statement:

“We only used the community as a learning resource. For instance, we took sputum from individuals suspected of tuberculosis. We should inform them whether the test was positive or negative. However, we did not do that due to lack of time”.

Co-operation with other health professionals

Co-operation between students and health providers and community health workers did not always develop satisfactorily. For instance when presenting data on poverty and immunisation coverage, the students collected and presented data contradicting those routinely

used by the midwives. Consequently, the students felt the midwives were reluctant to receive and co-operate with the students. Two students who worked together with a community health worker felt this community health worker to be annoyed with their activities. One of them related:

“The community health worker told our group that every time the students came to the village, she and her colleagues would receive additional instructions. However, they never received anything in return from the students or the PHC centre”.

Students' suggestions to improve the CBE programme

At the end of the FGD's students were encouraged to raise suggestions for improvement of the CBE programme. The following suggestions were made:

Year 4 CBE programme:

- Split students in smaller sub-groups (of 5-6) when paying home visits.
- Execute the programme in newly selected kampongs.

Year 6 CBE clerkship

- Provide a study guide including the learning objectives.
- Explain the learning objectives at the start of the clerkship.
- Substitute lectures at community sites with interactive sessions, problem-based learning, and practical work in the community.
- Have small groups of students working on the management of specific tasks of the PHC centre instead of the full group studying the management of the PHC centre as a whole.

- Provide students with unsorted instead of tabulated patient data to study the management of the PHC centre.
- Adjust the target population to be studied (e.g. the number of households to be visited) to the time students have available.
- Devote more time to working in the community at the expense of working in the municipality hospital.

DISCUSSION

Many comments by students on MFDU's CBE programme confirmed the conclusions on weaknesses previously drawn by us based on comparison of the CBE programme on paper and in action.¹³ No student comment was recorded that contradicted our previous conclusions. In theory such finding could hint at a bias introduced by the person who executed the participatory observations and moderated the FGD's. The principal investigator (TNK) could have ignored student comments deviating from her own observations and opinion or students could have felt inhibited to share deviant comments with their peers and the participating observer or FGD moderator. However, the principal author took particular care not to give her opinion on the programme in which the students were participating, and in the FGD's. In addition the students were assured of anonymous and confidential handling of their comments. We therefore assume the students' comments included in this study to be representative and reliable.

It may be noted that only negative comments about the CBE programme were referred to in this report. Some students also shared positive comments about the programme, but these were far less frequently encountered than negative comments. As a consequence, and given our aim to formulate recommendations for improvement of

MFDU's CBE programme, we here exclusively concentrated on negative comments. The students' comments added to our detailed understanding of the CBE programme in action and consequently increased the depth of our evaluation. Students' suggestions for improvement of the CBE programme have been incorporated in our below recommendations to MFDU.

Final evaluation of MFDU's CBE programme

MFDU has created adequate partnerships with the regional health care system and local authorities to enable CBE programmes for its students. A total of 12 weeks in the curriculum is reserved for CBE. In practice this period was subdivided in one two-week subunit in year 4 and five one- or two-week subunits plus an examination week in year 6. Subunits were not fitted into a conceptual outline for the CBE programme. Subunits matched corresponding objectives specified in MFDU's description of the CBE programme. However, we felt one of these objectives – 'to understand the management of a secondary hospital and the referral system' – not to fit a CBE programme.

Almost half of the time available for CBE was used for formal instruction. Lectures were signalled by the students often to be repetitions of lectures already given earlier in the curriculum. Due to the short period available for field work in the community students lacked time to jointly with community members decide on health problems to be tackled, to provide feedback to the community after determining the impact of their interventions, and to prepare follow-up by the next student cohort. These weaknesses make the CBE programme vulnerable to "community exhaustion". In the year 4 CBE programme and in weeks 4 and 5 of the CBE clerkship this stage seemed to be reached. Furthermore, the work agendas of participating health centres (i.e. to

implement government programmes) interfered with MFDU's objective to enable students to manage community health problems identified by themselves.

Supervision of the students during the CBE programme was often observed to be quantitatively less than scheduled. This was only commented on by the students in relation to their assessment in the year 4 programme.

Little attention was paid to assessment of individual students in field work. Assessment was often only based on supervisors' impressions, profiling by some students in plenary sessions and marks for reports submitted by a group of students. Programme evaluations were never conducted, nor did the planning group for the CBE programme convene since 1991 to discuss possible improvements in the programme.

Suggestions for improvements

Based on the evaluation of MFDU's CBE programme reported here and in an earlier paper,¹³ we arrived at the following suggestions for improvement of that programme. Most of MFDU's objectives for its CBE programme, and perhaps even some more objectives adopted from our generic list of objectives,¹² could be met in a more integrated CBE programme with a larger part of the students' time spent on activities with the community. A link could be created between the CBE programme in year 4 and the CBE clerkship in year 6, e.g. by having students perform a "community assessment" in year 4 and arranging for them to return to the same community for follow-up in year 6.¹

In the year 6 CBE clerkship students must interact with the community to identify the community's felt health needs, to take joint

decisions on potentially useful interventions, evaluation of the activities and to provide feedback. If this cycle cannot be finished by one group of students, provisions should be made for a succeeding student group to complete it.¹⁷ Care should be taken to timely shift the programme to newly selected communities to prevent "community exhaustion".¹⁸

More attention should be given to assessment of the students' individual contributions in the CBE programme. Evaluation of students by community members and peers are methods suggested by others but not yet employed by MFDU.¹⁹ Questionnaires should be designed to evaluate perception of the CBE programme among students, faculty and community representatives and the outcomes should frequently be discussed in MFDU to adapt the programme where necessary.

CONCLUSION

Application of Coles & Grant's method for curriculum evaluation to the CBE programme of MFDU revealed shortcomings in its design and implementation. Combination of the outcomes of such programme evaluation with a comparison of MFDU's objectives for its CBE programme with a list of generic objectives for CBE programmes recently developed by us,¹² yielded concrete suggestions could be formulated for improvement of MFDU's CBE programme.

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Chapter 6

Comparison of a community-based education programme executed with and without active community involvement

Abstract

AIM. The aim of this study was to evaluate the applicability of a previously designed set of generic objectives for community-based education (CBE) emphasising community involvement.

METHODS. The study was designed as a non-blinded randomised trial. Experimental and conventional groups of students following CBE programmes either closely or weakly matching the set of generic objectives were compared. Student groups were subjected to passive participatory observation. Students evaluated their programmes through questionnaires. Impact of the students' interventions was assessed by community compliance. Community perception of the programmes was evaluated through structured interview using questionnaires with community representatives.

RESULTS. Students in experimental groups appreciated their programme more than students in conventional groups. High compliance and appreciation were recorded in communities hosting the modified programme. Most students in conventional groups judged their posting negatively particularly due to the many households to be visited. Health interventions performed by conventional groups lacked co-operation between students and the community. Communities hosting conventional groups felt their health needs hardly to be discussed and addressed.

CONCLUSION. Apart from the methodological limitation of this study, modifications of an existing CBE programme to better match a set of

generic CBE objectives emphasising community involvement positively impacted on programme outcomes and appreciation by students and hosting communities.

Overview

What is already known on this subject?

For community-based education programmes in developing countries, responding to community felt needs and active involvement of community members has been advocated on theoretical and practical grounds. There are, however, few examples of existing programmes closely following this theoretical recommendation.

What does this study add?

This study presents a comparison at the same medical faculty between a CBE programme unresponsive to the community's felt health needs and a variant modified on the basis of a set of generic objectives for CBE programmes advocating strong responsiveness. Outcomes of the modified programme included better student and community appreciation, better student performance, and high community compliance with implemented health interventions.

Suggestion for further research

Further specification of the conditions for effective CBE programmes in developing countries, like minimum time span, key resources, minimal funding, effects after different time elapsed, etc.

INTRODUCTION

Community-based education (CBE) is an educational approach which aims to prepare students for future professional work at community level.¹ A community-based activity is one that takes place within a community or in any of a variety of health services settings at the primary and secondary care level.² CBE provides students with opportunities to learn about community health problems, and concomitantly to apply their knowledge and skills in provision of health services to that community.³ CBE programmes also have the potential to provide public health experiences for medical students by assisting the community to identify their priority health needs and to implement interventions such as health promotion and disease prevention.⁴

There is a growing tendency to include CBE in undergraduate medical education. In Africa and Asia CBE took off in the nineties⁵⁻⁸ whereas in Britain and the USA only recently medical schools introduced CBE in their curricula.⁹⁻¹¹ Active participation by the local community in the identification of health needs and the design of infrastructure of health services has been strongly advocated in the development of CBE programmes.¹²⁻¹⁴ However, quite often community involvement is weak or suffers from negligence and inappropriate approaches.^{15,16} Particularly in developing countries the relationship is often lopsided: the community 'partner' may assist with planning and operation of the educational activity but receives little in return, and this lack of a true partnership may be seen as a shortcoming of many CBE programmes.¹⁶ Initially inviting the community to share its problems and expectations will assist to make service-learning experiences profitable for both students and community and add to the sustainability of the programme.¹⁷

Recently, we developed a set of generic objectives for CBE in undergraduate medical programmes particularly tuned to developing countries. Starting from perceived community health needs, we emphasised co-operation of the students with the community to promote its mobilisation and empowerment (Table 1).¹⁸ In this study we applied these objectives to a two-week part of the CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia. In the first four years of MFDU's curriculum some lectures and practicals are relevant as preparation for CBE. The school has two slots for CBE in its curriculum: two weeks in year 4 to study family health problems in an urban area, and 10 weeks in year 6 referred to as the CBE clerkship.¹⁹ In this CBE clerkship students are posted for two weeks in a rural area to identify and solve community health problems. In the remaining eight weeks students are trained in the management of a Primary Health Care (PHC) centre, a secondary hospital, emergency cases, and urban community health problems.

MFDU's two-week CBE programme within the CBE clerkship was found not to be based on the community's perceived health needs and students' activities were rarely evaluated or followed up. Also the students themselves felt they had not addressed the actual health needs of their hosting community.²⁰ In this study an attempt was made to remediate these weaknesses by designing a modified programme matching our set of generic CBE objectives as closely as possible.

Our research questions were: 1) What is the impact of such modified CBE programme on the co-operation between students and the community? 2) What is the impact on community compliance? and 3) How is such an modified programme appreciated by students and community members? A positive outcome of this experiment would add to the validation of our set of generic objectives for CBE.

Table 1. Objectives for CBE programmes in developing countries*

In co-operation with the community, graduates are able to:

1. identify health problems related to the given circumstances
2. determine incidence and prevalence of disease in the community
3. invent realistic solutions for identified health problems
4. collaborate with professionals from other disciplines and other related sectors to solve identified health problems
5. mobilise the community for health interventions
6. design and implement a health intervention, and to analyse the results

To provide health education to the community, graduates have knowledge on:

7. adequate nutrition
8. life style-related health risks in the community (e.g. smoking, alcohol and drug abuse, promiscuity, lack of physical activities, etc.)
9. environment-related health risks (e.g. contagious diseases, water and vector borne diseases, pollution)
10. occupational health,

and are able to:

11. design and transmit health education sessions
12. train community health workers in health education
13. evaluate the effectiveness of health education

To reduce inequity in access to health services, graduates are able to:

14. assess availability of health services to the community
15. identify barriers to health care utilisation by the community
16. monitor effectiveness and efficiency of community health services
17. invent realistic strategies to improve community access to health services
18. work in a variety of community health care settings (e.g. primary health care centres, district hospitals, Maternal and Child Health care units, etc.) and to provide preventive, primary curative, and emergency care.
19. manage a primary care unit and to sustain health administration
20. judge which patients need to be referred
21. participate in health teams (with e.g. nurses, midwives, community health workers, etc.)

* Derived from a framework specifying possible community health needs, potential assistance by students and competencies needed in students to lend such assistance (Kristina *et al.*, 2004).

MATERIALS AND METHODS

A non-blinded, randomised control study was designed. A full class of 141 final year medical students was stratified according to sex and randomly allocated to six groups of 20-25 students. Groups following the modified CBE programme were designated experimental groups and those following the unmodified programme conventional groups. The first investigator explained to the students about this study and asked for informed consent before randomization. Two groups (50 students) were arranged to pilot the research methodology and excluded from further analysis; the remaining four groups (91 students) were included in the study. The outcomes of these pilots were used to optimise the programme evaluation questionnaire and to equalise the study load for students in the experimental groups 1 and 2 (Table 2).

Table 2. Results of randomization villages for Experimental groups.

Experiment	Experimental groups			Conventional groups		
	Code	PHC centre	Village	Code	PHC centre	Village(s) *
Pilot	PE1	D	J	PC1	E	K
	PE2	D	J	PC2	E	L
A	A1	E	M	C1	D	N,O
	A2	E	M	C2	D	P
B	B1	D	Q	C3	E	R-X
	B2	D	Q	C4	E	Y,Z

* Villages for Conventional group were chosen by the head of PHC centre

Experimental and conventional groups worked in different villages in the catchment's areas of different PHC centres (D and E). The experiment was conducted twice to increase the reliability of data

collected (experiments A and B). In experiment B the PHC centres hosting experimental and conventional groups were interchanged to reduce location bias. All villages had previously received students for the unmodified programme. Target villages for conventional groups were selected as usual by the head of the PHC centre; for experimental groups target villages were selected at random from the available 10 (experiment A) or 5 (experiment B) villages. One faculty member was trained to supervise the experimental groups; a colleague routinely supervised conventional groups following the unmodified programme.

Experimental group A1 interviewed key persons in the community to collect information on the community's perceived health needs. Students discussed their findings with their supervisor and the head of the PHC centre to select needs suitable to address. Students' suggestions with respect to the selected health needs were reported to the head of the PHC centre. Group A1's final reports were discussed with the succeeding group A2, one day prior to their departure to the village. Group A2 discussed the health problems identified by group A1 with community members and proposed solutions feasible in relation to the community's resources. Group A2 verified and followed up on the findings of group A1, and evaluated the result of their own work and that of the preceding group A1 by assessing community compliance. The second experiment (B) was conducted as experiment A, but in another village.

According to the unmodified programme, conventional groups received assignments matching government health programmes from the head of the PHC centre. On site each of the four conventional groups (Table 2) was divided in subgroups to work with health providers responsible for a certain programme. Within such programme, students had to identify a health problem, propose solutions for the selected problem, and implement one of the proposed solutions.

Data collection and analysis

The outcomes of the programmes were evaluated on a number of variables.

First, *student activities and community participation* were monitored by passive participatory observation in which the observers followed the students' activities without interfering or giving any suggestion.²¹ Two research assistants alternately observed experimental and conventional groups; relevant comments by students and community members were recorded in writing. The first author co-observed experimental as well as conventional groups. *Community compliance* was chosen as a parameter for the impact of activities by experimental groups and calculated as the percentage of community units who followed students' advice concerning their identified health problem. Since conventional groups usually did not evaluate the impact of their interventions,¹⁹ community compliance in the unmodified programme was only determined if the students were assigned to perform an evaluation.

Second, to evaluate *student perceptions*, students were asked to fill in a programme evaluation questionnaire at the end of their CBE posting. They were promised confidentiality and assured that their participation would not affect their academic record. Students were requested to rate 22 statements on a 4-point Likert scale (4=totally agree, 3=tend to agree, 2=tend to disagree, and 1=totally disagree) and to answer some open-ended questions.

Third, *student competence* was assessed by comparing mean student marks of experimental and conventional groups. Marks were given independently by the two supervisors for each student, calculated on the basis of the students' individual written reports, oral presentations, and their supervisor's records of daily activities. The supervisors were not blinded to the group of students.

Fourth, two weeks after each experiment, research assistants assessed *community perceptions* using questionnaires in home visits to selected community members. Community members were stratified according to their status in the community and 30 were randomly selected from each area under study. This procedure yielded community response groups encompassing 4 community leaders, 7 health workers, 2 community organisation representatives, and 17 common community members.

Quantitative data were analysed using SPSS version 10. The non-parametric Mann-Whitney U-test was applied for comparison of ordinal data and students' marks; the chi-square test was used for proportional data. For comparison of achievement of learning objectives between subgroups of experimental and conventional groups, two-tailed *P*-values were used with $p < 0.01$ as the criterion for statistical significance.

Qualitative data were interpreted according to the format of a planned conceptual investigation.²² First, data were organised by linking student activities with recorded comments, community participatory activities, and outcomes of student interventions. Second, frequently expressed comments and frequently observed activities were coded as key points. Finally, key points were grouped into categories to develop themes.²³ Students' comments and answers to open questions in the questionnaire were initially analysed by the first author. To verify the reliability of this analysis, a volunteer (a resident with experience in qualitative studies) was asked to also code the students' comments and answers to open questions. Original coding by the first author was compared with coding by the volunteer; differences emerging (in 3 out of 26 codings) were discussed to reach consensus.

RESULTS

We will discuss the results for each of the variables that were investigated.

Student activities, community participation, and community compliance

Experimental groups

Based on community felt needs, inadequate housing and sanitation, health risks for fisherman and furniture labourers, undernourished toddlers, and low utilisation of the village health services were selected as suitable problems. In the two weeks available each student had to visit approximately 45 households. Group A1 was divided into three subgroups. Subgroup 1 provided education to improve housing and sanitation and attended undernourished toddlers; subgroup 2 provided health education to fisherman and furniture workers; and subgroup 3 investigated reasons for under-utilisation of village health services.

Also group A2 was divided in three subgroups to verify and follow up on the findings of group A1. Actions undertaken included construction of public toilets, screening of undernourished toddlers for tuberculosis (TB), first aid training for fishermen and furniture labourers, and promotion of the village health centre by arranging for assistance by a medical doctor. Compliance was 65% with respect to households inserting glass roofs on their houses to reduce the risk of upper respiratory tract infections and TB, and 71% for covering their toilet pits to prevent foul smell and spreading of germs by insects. Low compliance (3%) was observed among the furniture labourers with respect to wearing masks to prevent airway pollution.

The second experiment (B) was conducted as experiment A, but in another village. Similar health problems and needs were found, but also some additional health problems like Dengue Haemorrhagic Fever (DHF) and TB. High levels of community compliance were also observed in this experiment: 80% for households placing glass roofs on their house; 78% for putting covers on their toilet pits; and 73% for cleaning the environment to prevent DHF. Assessing possibly changed utilisation of village health centres was not accomplished due to lack of time.

Conventional groups

Students of conventional group C1 were assigned to execute government programmes like assessing cases of malaria, DHF, TB, and the nutritional status of toddlers. Group C2 assessed health behaviour in the community, environmental health in public places, and co-operated with teachers in school health programmes. Both groups gave education to health workers and the community. Group C3 collected data about the availability of potable water and adequate sanitation in one village and evaluated drug dispensaries in six villages. The students found many examples of inadequate sanitation, which was addressed by dissemination of an information leaflet. Groups C1-C3 suggested the PHC centre to follow up on their findings. Group C4 was assigned to mobilise the community to clean the environment for prevention of DHF.

Students in conventional groups each had to visit about 200 households in two weeks. Students implemented their interventions without any discussion with the community about the relevance of these interventions and their findings were not fed back to the community. With the exception of group C4's activity (yielding 23% compliance), the impact of students' interventions was not evaluated.

Student perceptions

A comparison of students' opinions in experimental and conventional groups is presented in Table 3. Students in the experimental groups scored significantly higher than those in conventional groups on 2/3 items scoring general aspects of the programme; on 4/5 items addressing experiences in the community, and on 1/3 items addressing teamwork.

Students' perceived achievement of learning objectives matching the set of generic CBE objectives was also explored (Table 4). Students in the pooled experimental group A1 + B1 indicated significantly higher achievement than pooled conventional groups on 3/10 objectives, and students in experimental group A2 + B2 on 5/10 objectives.

Students' answers to open-ended question and students' comments during training

Experimental groups

In general the students felt welcomed by the community, finding most community members enthusiastic and ready to cooperate, though critical to the students' health interventions. In mobilising the community, the students met no significant barriers and they appreciated the role of community leaders in that respect. Students also appreciated the continuation of activities in the community undertaken by successive groups. Critical comments by students regarded costs of their own transportation, lack of budget for interventions, varying learning experiences, and lack of time.

Table 3. Comparison of opinions of students in experimental and conventional groups

Students' opinions with respect to	Experimental Group N=46	Conventional Group N=45	P [§]
	Mean score ($\pm$ SD)	Mean score ($\pm$ SD)	
supervision in general			
- At the introductory session learning objectives were clearly explained	3.06 (0.53)	2.82 (0.77)	0.11
- My group's task was clear for me	3.09 (0.58)	2.87 (0.58)	0.08
- The quality of the supervision was satisfactory	3.09 (0.56)	3.08 (0.47)	0.98
- I was satisfied with the time our supervisor spent with us	2.69 (0.81)	2.48 (0.75)	0.28
experiences in the community			
- Most of the community members welcomed us	3.52 (0.54)	3.02 (0.72)	<0.001
- My group's work was based on the community's health needs.	3.37 (0.67)	2.36 (0.74)	<0.001
- My group's programmes were needed by the community	3.30 (0.59)	2.93 (0.75)	0.01
- The community supported our programme	3.04 (0.59)	2.82 (0.61)	0.06
- The community was easily mobilised	3.04 (0.66)	2.70 (0.73)	0.02
teamwork			
- My group was a good team	3.39 (0.61)	3.16 (0.77)	0.18
- My group did good teamwork with community leaders and health workers	3.26 (0.49)	2.97 (0.56)	0.01
- My group did good teamwork with the health providers of the PHC centre	3.00 (0.56)	3.06 (0.53)	0.44
the CBE training (marked on a scale from 1 – 10: 6 being satisfactory, 10 being excellent)			
- Overall quality of the CBE programme	7.24 (1.21)	6.73 (1.28)	0.06
- Overall value of this experience in community interaction	7.74 (1.31)	7.04 (1.31)	0.03
- Benefit of this training for the community	7.13 (1.11)	5.98 (1.18)	<0.001

[§] Considered significant if $p < 0.05$; non-parametric Mann-Whitney U-test.

Table 4. Students' perceived achievement of CBE objectives: pooled conventional compared to experimental groups 1 and 2

CBE objectives (selected from Kristina <i>et al</i> , 2004) (Students are able to...)	Experimental Groups 1 (A1+B1) N=22	$p^{\S}$	Pooled conventional groups N=45	Experimental Groups 2 (A2+B2) N=24	$p^{\S}$
	Mean score ($\pm$ SD)		Mean score ($\pm$ SD)	Mean score ($\pm$ SD)	
1. propose realistic solutions to solve identified health problems	3.00 (0.0)	< 0.001	2.55 (0.50)	3.00 (0.29)	< 0.001
2. mobilize the community to implement health interventions	2.36 (0.49)	0.11	2.15 (0.47)	2.87 (0.44)	< 0.001
3. design, implement, analyse the outcomes of health interventions	2.50 (0.51)	0.04	2.24 (0.43)	2.95 (0.35)	< 0.001
4. design and implement health education sessions	2.45 (0.59)	0.38	2.27 (0.62)	2.87 (0.45)	< 0.001
5. train health workers to give health education to the community	2.04 (0.48)	0.15	2.24 (0.57)	2.37 (0.49)	0.39
6. analyse the effectiveness of health education	2.04 (0.48)	0.57	1.97 (0.45)	2.71 (0.46)	< 0.001
7. assess community access to health services	2.95 (0.37)	0.001	2.49 (0.50)	2.50 (0.59)	0.94
8. identify obstacles in access to community health services	3.04 (0.37)	0.002	2.62 (0.53)	2.50 (0.59)	0.25
9. monitor effectiveness and efficiency of community health services	2.23 (0.53)	0.59	2.13 (0.62)	2.58 (0.66)	0.01
10. design strategies to increase community access to health service	2.18 (0.59)	0.95	2.13 (0.54)	2.41 (0.58)	0.07

$\S$ Considered significant if $p < 0.01$; non-parametric Mann-Whitney U-test.

Conventional groups

Most students evaluated the unmodified posting negatively as they considered it exhausting, due to the many households to be visited. Students also felt being used by the PHC centre, which neglected their learning process and only seemed interested in data collection. Many students felt the community to be annoyed because it had experienced similar student activities without any reward or follow-up by the students and the PHC centre. Students also complained about costs of transportation, lack of budget for interventions, and the restricted time available.

Student competence

Students' marks for the CBE programme ranged between 77 and 84. Eleven students in the experimental group, but only one student in the conventional group received marks over 80. The mean students' mark for the pooled experimental group A + B of 79.71 (SD $\pm$ 1.7; 95% CI: 79.17 – 80.25) was significantly higher ($p=0.001$) than the mean mark of 78.57 for the pooled conventional group (SD $\pm$ 1.3; 95% CI: 78.18 – 78.97). The inter-rater reliability for the two supervisors was satisfactory ($r=0.89$).

Community perceptions

Community opinions about the unmodified and modified CBE programmes are presented in Table 5. The proportion of community representatives who agreed that the students had responded to their felt needs was significantly higher for the modified programme than for the unmodified programme. Community representatives experiencing the modified programme expressed their satisfaction with the students' activities and recommended the same activities for future groups in other places. Most community representatives in villages visited by conventional groups were not (or 'not really') satisfied with the student activities.

Table 5. Comparison of community opinions on the work of experimental and conventional groups

Item	Community opinion	on work by experimental groups (30 respondents; %)	on work by conventional groups (30 respondents; %)	p^*
Students discussed our health needs with us	yes	48.33	5	< .001
	no	1.67	45	
	don't know	0	0	
Students responded to our health needs	yes	45	5	< .001
	no	0	40	
	don't know	5	5	
Students' activities met our expectations	yes	28.34	20	0.02
	no	8.33	25	
	don't know	13.33	5	
Our community is now more aware of its health risks	yes	28.34	31.67	0.02
	no	0	8.33	
	don't know	21.67	10	
Our community is satisfied with the students' activities	yes	33.89	6.78	< .001
	no		15.25	
	not really	16.95	27.13	
Students did advance our community's health	yes	41.67	11.67	< .001
	no	0	25	
	don't know	8.33	13.33	

* Considered significant if $p < 0.05$; chi-square test

DISCUSSION

Ensuring successful partnership of an educational institution with the community requires active community involvement in all aspects of the programme. However, few medical schools involve the community in the process of programme planning and evaluation.²⁴ Musal (2003) reported that in spite of students' eagerness to learn from and to resolve community health problems, community participation is still far from being satisfactory.²⁵ For MFDU's unmodified CBE programme, active community participation was not even stated. Substitution of its CBE programme by a modified version more closely matching a previously designed set of generic CBE objectives emphasising community involvement yielded more active community participation and more positive evaluations by students and community representatives. Community compliance in the modified programme was high, but could not be compared with compliance achieved in the unmodified programme, because only one group actively mobilised the community.

On average students in experimental groups attending the modified programme claimed better achievement of the relevant generic CBE objectives than students in conventional groups attending the unmodified programme. However, the experimental groups still felt not to have achieved all learning objectives. This may be due to the fact that some activities performed by these groups did not prompt for mobilisation of the community or did not allow for evaluation of the impact of the students' interventions due to lack of time.

Target sample of households to be visited by experimental groups was adjusted to the limited time available. On the other hand, the conventional groups had to pursue large samples of households for the government health programmes, and performed interventions without evaluation. Thus, experimental groups may claim better achievement of

objectives than conventional group because the later may have lacked of time to address those generic CBE objectives. Accordingly, the positive result of this study was influenced by the weaknesses of the unmodified programme.

Students in experimental groups appreciated their CBE programme better than students in conventional groups. It was particularly encouraging that students following the modified programme scored higher than their peers in the conventional programme on 4 out of 5 questions addressing their experiences with the community. Important questions here showing significantly different responses were the link between the work of the student groups and community's health needs, and the importance of the group's programmes for the community.

Although precautions were taken to avoid observer bias, some influence on the results of this study cannot be ruled out. The first author and the two independent observers (research assistants) took care to pay equal attention to student activities in experimental and conventional groups. However, stronger interest of the first author in the outcomes of the modified programme may have influenced the results of the experimental groups.²⁶

Another potential bias is a possible preference of the students' supervisors for the modified or the unmodified programme. Supervisors' preference for the modified or the unmodified programme may have indirectly influenced the students' evaluation of the programme attended. A further potential bias is that students were assigned to their placements in a non-blinded fashion, which may have yielded higher marks of students in experimental groups.²⁷ Lastly, the mere participation in the modified CBE programme may have elicited a more positive evaluation by participating students unrelated to its merits, a bias known as the Hawthorne effect.²⁸

Apart from the above possible limitations of our study, we take the outcomes to indicate the practical applicability of our set of generic CBE objectives to structure CBE programmes. Although this paper describes the application of our list of generic CBE objectives in the context of rural communities in Java, Indonesia, we presume this set of generic objectives to be applicable in any developing country setting.

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ETHICAL APPROVAL

There was no formal approval for the study by an ethics committee.

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Chapter 7

General discussion

The general objective of CBE programmes in medical curricula particularly in developing countries is to expand the students' notion of community health problems through their learning, service and research in the community and thereby to improve the health of the community with which the programme is carried out.¹ Although the objectives for such CBE programmes must be tuned to local circumstances,² the availability of a set of generic objectives for CBE programmes would assist medical schools in designing CBE programmes or to compare the objectives of an existing CBE programme with to check whether all important aspects were covered. This thesis focuses on the definition and validation of generic CBE objectives for undergraduate medical education in developing countries. The conceptual framework designed to derive generic CBE objectives from, and the derived objectives were used as a reference to evaluate an existing CBE programme in a medical school in a developing country and as guidance in the design of a modified CBE programme adjusted to our generic CBE objectives for the same school. The main results of this thesis are discussed in accordance with the research questions.

Research question 1: How to develop a framework for the generation of generic CBE objectives for undergraduate medical programmes?

Table 1 in chapter 2 presents the outcomes of the four consecutive steps taken to develop generic CBE objectives including modifications suggested by and adopted from the expert panel. As presented in the first column of Table 1, six conditions affecting community health were

identified that lend themselves for improvement by the community in collaboration with students. These conditions are: the availability of safe drinking water, safe sanitation and healthy housing, adequate nutrition, rewarding daily activities, education on health risks, and access to health services. The second column of Table 1 shows potential student activities in CBE programmes to improve inadequate circumstances identified in the first column. These activities could be clustered as co-operation with the community in identifying and solving community health problems, providing health education to the community and community health workers, and conducting research activities that indirectly contribute to improvement of community access to health services. The third column specifies the competencies required in the students to execute these possible tasks sorted according to three distinguished areas of CBE, i.e. research, training, and service.¹ To address these competencies, 21 generic objectives for CBE programmes were formulated which were checked against lists of objectives for specific CBE programmes stated in the literature (fourth column of Table 1). Each of the objectives derived from the process described above was also found in at least one of the documented lists of objectives for specific CBE programmes.

The strength of this study lies in the logical sequence of the four steps taken to generate objectives traceably rooted in factors impacting on community health. An international expert panel discussed the draft framework for face validation. Several suggestions were given by this panel to improve the draft framework. For example, with respect to factors influencing community health it was suggested that the students should identify these conditions in consultation with the community in order to define the community's felt needs. Furthermore, in defining students' tasks to assist the community in improving inadequate circumstances, the expert panel emphasised the need for close co-operation between students and community members. Thus, the generic

CBE objectives should not only focus on students but also on active community participation. These suggestions are in line with the recommendations for the implementation of CBE programmes as advocated by WHO, i.e. the importance of community involvement in the decision-making and close collaboration with community representatives and, whenever feasible, community members.³ Accordingly, most of the panel's suggestions were extensively adopted and inserted in the first and second column of Table 1.

In the literature no detailed elaboration to develop CBE objectives as presented here was detected. However, there is a parallel with the definition of CBE goals departing from a quality improvement perspective. This approach emphasises good relations with patients; clinical management in community practice; management of health and disease in the community; and the practice of improving community health.⁴

An important question is whether the generic objectives for CBE programmes as derived from our conceptual framework would indeed be supported by medical schools in developing countries. Thus, the framework and the set of objectives presented in this chapter were evaluated by a wider circle of medical schools in developing countries (chapter 3).

Research question 2: What is the perception of medical schools in developing countries toward the developed generic CBE objectives? Will the result of this study yield a validated list of CBE objectives for undergraduate medical training?

In chapter 3, a survey was conducted to validate the set of generic CBE objectives for undergraduate medical training. Questionnaires were sent to 72 medical schools world wide that were randomly chosen from lists

of members of The Network: Toward Unity for Health, and non-Network medical schools stratified according to developing countries. The approach to develop the generic CBE objectives and 17/21 of these objectives were perceived as relevant by the respondents. We eventually decided to maintain three out of the four "rejected" objectives based on positive comments by respondents and support in the literature. The objective 'graduates are able to manage a primary care unit and to sustain health administration' was deleted. Such objective is indeed rarely reported for undergraduate CBE programmes.⁵ On the other hand, management training has been reported as an objective for postgraduate training.^{6,7} Thus, we are aware that the CBE objective 'graduates are able to manage a primary care unit and to sustain health administration' has to give attention in further studies. We assume that by separating 'to manage a primary care unit' and 'to sustain administration of primary care unit', we may probably receive different results. Other methods, for example focus group discussions or in-depth interviews with stakeholders, may be applied in such study.

The strength of this questionnaire survey was enhanced by the characteristics of respondents. More than 90% of the respondents had implemented CBE programmes. Therefore, their comments and suggestion were considered worthwhile in the validation of the generic CBE objectives. This study yielded an amended and validated list of 20 generic CBE objectives for undergraduate medical training.

Research question 3: Can an established method of curriculum evaluation, combined with the generic CBE objectives for undergraduate medical programmes, be used as a reference for CBE programme evaluation?

As a case study of the evaluation of a CBE programme, an assessment of the 12-week CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia is presented in chapters 4 and 5. The comparison between MFDU's CBE programme on paper and in action indicated that this programme was not entirely implemented as planned. The use of the set of generic CBE objectives as a reference also revealed significant weaknesses of this programme. For instance students' work in the community was not based on joint problem identification with community members and the students' interventions were rarely evaluated. In the study describing 'the curriculum as experienced by the students', more in-depth information was acquired. The students specifically emphasised the occurrence of 'community exhaustion' and greater benefits of the CBE programme for the PHC centres and themselves than for the targeted communities.

Thus, when students were posted in urban and rural communities, this evaluation revealed weaknesses similar to those mentioned by Hamad (2000), i.e. the programme's objectives were limited to training, executed as isolated actions, with no follow-up or continuity, and the activities were repeated with little or no concern for the benefits to the community.²

Educational institutions should have a mission statement which clearly states their commitment to CBE,⁸ and to improvement of health in the communities where their CBE programmes are carried out.¹ These commitments should be reflected in the school's curriculum in both duration and timing, and in the labelling of human resources to execute CBE programmes.⁸ As a result, the students' activities in CBE should refer back to this mission. It is necessary not only to determine *which* specific knowledge, skills, and attitudes should be acquired in the community, but also *how* these competencies are best developed.⁹

As the main goal of CBE is to produce physicians who are responsive to community health needs and able to work in rural and underserved areas,¹⁰ our set of generic CBE objectives (that was created starting from the community's felt health needs) can be used as guidance to improve the CBE curriculum.¹¹ The students may follow the steps taken to meet the objectives, whereas the faculty should ensure the students' learning through application of appropriate and relevant methods of assessment.¹²

Moreover, it is necessary to introduce systematic evaluation of the CBE programme to monitor its appropriateness and effectiveness¹³; by creating continuity of the CBE programme^{5,14}; by inviting active community participation, by considering several different locations for the CBE activities to avoid community exhaustion, and by sustaining the CBE programme.⁸

A CBE programme must be of clear benefit to both students and the community, which implies that the community must be actively involved in the design of the educational programme.³ We found no active community participation in MFDU's CBE programme. In line with our study, Musal (2003) reported for a school in Turkey that in spite of the students' eagerness to learn and resolve community health problems, community participation was still far from satisfactory.¹⁵ Therefore, following this CBE programme evaluation, a modified version of MFDU's CBE programme was executed with active community involvement in accord with our set of generic CBE objectives (chapter 6).

To our knowledge, this is the first example in which the model of Coles & Grant for curriculum evaluation was applied to evaluate a CBE programme. This study demonstrated that this model, combined with the generic CBE objectives for undergraduate medical programme, was a

useful tool to evaluate the CBE programme in MFDU. On the other hand we assume that several medical schools have their own methods to evaluate their CBE programmes.¹⁵⁻¹⁷ We did not demonstrate whether this model is a better method if compared to other methods of evaluation of CBE programmes. Further research is suggested in which our evaluation format would be implemented in another medical school that routinely evaluated its CBE programme using another approach.

Research question 4: What is the impact of a CBE programme matching the generic CBE objectives on students and the community?

Chapter 6 of this thesis presents a comparison between a part of MFDU's conventional CBE programme and one modified on the basis of our set of generic objectives for CBE programmes. As usual training, students in the unmodified programme received assignments from the head of the PHC centre to perform government health programmes. In the modified programme, students used our framework and the derived generic CBE objectives as guidance for their activities.

When comparing the outcomes of the unmodified and the modified programme, the modified one resulted in better appreciation and performance by the students. The community was also more appreciative to the students' activities. High community compliance with the implemented health interventions was found in the modified programme. The community in the unmodified programme perceived that their health needs were hardly discussed and addressed. They also criticised the students' activities for being too focused on data collection.

Active participation of the community is a crucial element for the implementation and development of the CBE programme.¹⁸ However, quite often, their participation is missing or suffering from negligence

and inappropriate approaches.¹⁹ The later statement also applied to MFDU's unmodified CBE programme.

The community (including key persons) has valuable knowledge of local needs and should have an ownership of their involvement.²⁰ Accordingly, active participation by the local community in the identification of health needs,²¹ and involving the community to decide what issues should be addressed will increase the value of community-based training.^{10,22} The study presented in chapter 6 also indicates that community felt health needs can be used as a starting point to encourage community involvement in CBE activities.

In conclusion, substitution of a CBE programme closely matching our generic CBE objectives, which emphasise community involvement, resulted in more active community participation and more positive evaluations by students and community representatives. However, the significant differences in the outcomes as presented in this study are obviously influenced by the weaknesses of the unmodified programme. Thus, the generic CBE objectives should be implemented in other medical schools to corroborate the reliability and generalisability of this study.

Limitations of the studies presented in this thesis

The studies of this thesis have some limitations. This has been discussed in detail in chapters 2-6. However, there are some additional limitations. In chapter 2, assessment of the face validity of the framework and the derived objectives by an international expert panel was conducted only once, and this is considered a weakness of this study. The survey performed to acquire the perception of a wider circle of medical schools in developing countries (chapter 3) is supposed to compensate for this weakness. In the evaluation of CBE programme, the students' feedback,

the health workers' opinions and the community's reactions are the variables studied.¹⁵ In chapters 4 and 5, the evaluation study in MFDU only focuses on the intended curriculum, the students' activities, and the students' opinions. Not assessing the community's perspectives is considered a limitation of this study. Recorded opinions of community representatives on MFDU's unmodified CBE programme (chapter 6) compensate for this lack of information. However, another limitation concerning the study presented in chapter 6, is our failure to address opinions of supervisors and heads of PHC centres. Lastly, several aspects of the generic CBE objectives could not be addressed in this thesis and need to be tested in the field. For instance, in relation to community access to health services, the study presented in chapter 6 could not fully cover this issue.

CONCLUSIONS

Despite the limitations described above and in the respective chapters, we conclude that it proved possible to develop a framework for the definition of generic objectives for CBE programmes for undergraduate medical students in the developing world. Departing from factors impacting on community health, a list of generic objectives for CBE programmes was derived in a four-step approach. Accordingly, the final set of generic CBE objectives should have value for many medical schools in the developing world.

The combination of an established model for curriculum evaluation and the CBE generic objectives as a reference can provide detailed insight in existing CBE programmes. The results of the study should be used to increase the awareness of other institutions to regularly evaluate their CBE programmes, and medical schools in developing countries are suggested to use a similar approach.

The application of the generic CBE objectives in the context of rural communities in Java, Indonesia, yielded active community participation and positive evaluations by students and community representatives. It is presumed that the application of the generic objectives for CBE is applicable in any developing country setting.

What should CBE programmes be?

The general objective of CBE programmes is to facilitate the students to have experience of community health problems and thereby to improve the health of the community.⁵ Thus, the essence of all CBE activities should be adjusted to these main goals, which implies that CBE programmes should be beneficial for both students and the community.³ Student exposure to a community must be started early and must be continuous during medical education.⁵ This will also facilitate the continuity of the health programmes implemented in the community. Educational objectives are needed both by the supervisor and the students including the steps that students should follow to meet those objectives. Moreover, student assessment in community settings is an important aspect to ensure the students' learning impact through the application of appropriate and relevant methods of assessment.¹²

In CBE programmes, close coordination between university, health care delivery system, local government and community is essential. Furthermore, the educational institution should set apart adequate resources to conduct the programme, or collaborate with social organisations to support the funding.⁸ Dedicated supervisors both from university or health services should be chosen, trained appropriately and attention should be paid not only to their funding but also to their careers. Encouraging community-based research as suggested by Joseph & Abraham (2003) is useful to promote those careers.⁸ Community health workers and health providers should also be given attention to sustain their motivated participation in CBE.

When students are posted in PHC centres or rural hospitals, students not only learn clinical skills and about the referral system, but also about many aspects related to community access to health services. Moreover, students should learn to apply a comprehensive approach such as family medicine when caring for patients, which includes home visits, health promotion and disease prevention.

When students are posted in the community, active community participation in identifying and addressing priority health problems is critical for the success and sustainability of their CBE programme. Community motivation to change the lifestyle or to participate in a community health activity is closely linked with their values, beliefs, and perceived needs.¹⁸ Active community participation ensures sustainability of community-based activities and provides political and moral support for the training institute.¹⁵ Another strategy to sustain the CBE programme is e.g. involving several different locations to avoid community exhaustion. Last but not least: systematic programme evaluation should be planned and designed when setting up the CBE programme.^{4,13}

The implications of this thesis in relation to the practice of CBE and to research

The results of our studies have important implications for the planning, implementation, and evaluation of CBE programmes. CBE curriculum planners need to critically assess the CBE objectives currently used to promote student activities, and they should reconsider the effective use of key resources, i.e. human resources, health services, and the community. Studies as presented in chapters 4, 5, and 6 of this thesis showed that the "top down" dictated government health programmes which were dominated by community diagnoses without any continuity, resulted in

community exhaustion. Further work on the integration of top down and bottom up programmes is valuable, as it makes CBE programmes more beneficial for the community. Besides, the students will also learn to see the possible disparity between a government's health agenda and the health needs of society.²³

The CBE programme should link at an appropriate time in the curriculum theory and practical experiences gained in the community. In line with our studies presented in chapter 5 and 6, Lennox and Petersen (1998) reported that students in their CBE programme felt too pressurised because of the restricted time available. This lack of time limited the potential benefit of their experiences.²⁴ Further work is needed on the planning of CBE programmes in medical curricula, including adjustment of the time span of the CBE programme to the students' workload.

The evaluation of innovation in health professions education commonly concentrates on the effects on students and teachers, with few attention paid to effects on practice patterns and on community health.¹⁸ The study presented in chapter 6 only measured the impact of MFDU's CBE programmes on students and the community. Further research is needed to investigate the impact of CBE programme on health care delivery and the extent to which the educational institutions have assumed responsibility for meeting community needs and expectations.¹⁸ There are several areas that can be addressed e.g. access to health services, quality assurance of health services, consumer satisfaction with health services, allocation of resources, community participation in interventions, appropriate use of technology, distribution of health personnel, and improvement of community health. There is also a need for further evaluation of the effect of CBE programme on the willingness of graduates to serve in rural and medically underserved communities.²⁵

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Chapter 8

Summary

Many medical schools all over the world strive to strengthen community-orientation of their curricula by implementing community-based education (CBE) programmes. The general objective of the CBE programme is to expand the students' experience in terms of learning, service and research in the community and thereby to improve the health of the community in which the programme is carried out. Although objectives for such CBE programmes must be tuned to local circumstances, the availability of a set of generic objectives for CBE programmes would assist medical schools in designing CBE programmes or to compare the objectives of their own CBE programmes with to check whether all-important aspects were covered.

In chapter 1, an overview is given with respect to the definition, background and rationale of CBE programmes, and a rationale for the definition of generic CBE objectives. Accordingly, this thesis focuses on the definition and validation of generic CBE objectives for undergraduate medical education in developing countries. The conceptual framework designed to derive generic CBE objectives was used as a reference to evaluate an existing CBE programme and as guidance in the design of a modified CBE programme closely matching the generic CBE objectives.

Four major research questions are addressed in this thesis:

1. How to develop a framework for the generation of generic CBE objectives for undergraduate medical programmes?
2. What is the perception of medical schools in developing countries toward the developed generic CBE objectives? Will the

result of this study yield a validated list of CBE objectives for undergraduate medical training?

3. Can an established method of curriculum evaluation, combined with the generic CBE objectives for undergraduate medical programmes, be used as a reference for CBE programme evaluation?
4. What is the impact of a CBE programme matching the generic CBE objectives on students and the community?

In chapter 2, based on literature reviews and exploration of Internet sources, a four-step approach was used for the development of the generic CBE objectives for undergraduate medical programme. First, factors affecting community health that may be improved by the community itself in collaboration with students were identified, i.e. the availability of safe drinking water, safe sanitation and healthy housing, adequate nutrition, rewarding daily activities, education on health risks, and access to health services. Second, potential student activities in CBE programmes to improve inadequate circumstances were defined and clustered as co-operation with the community in identifying and solving community health problems, performing health education, and conducting research activities that may indirectly contribute to the improvement of community access to health services. Third, competencies required in students to execute their possible tasks were defined and clustered according to three distinguished areas of CBE, i.e. research, training, and service. Fourth, 21 generic objectives for CBE programmes were formulated to develop those competencies in the students.

An international panel of 6 experts on CBE performed face validation of the draft framework. This study indicated that the generic CBE objectives could be beneficial for both students and the community

and should encourage active community participation, which is in line with the recommendations for the implementation of CBE programme as advocated by the World Health Organization.

In chapter 3, a questionnaire survey was conducted among 72 medical schools in developing countries to validate the generic CBE objectives. The approach to develop the generic CBE objectives and 17/21 items of these objectives were perceived as relevant. Three objectives were scored as relevant by less than 75% of the respondents (i.e. graduates have knowledge on occupation health; are able to monitor effectiveness and efficiency of community health services; and are able to invent realistic strategies to improve community access to health services) but were retained based on positive comments by respondents and support in the literature. One objective (i.e. graduates are able to manage a primary care unit and to sustain health administration) scored relevant by only 62.5% of the respondents and frequently criticised in added comments was deleted. As a result, this study yielded a validated list of 20 generic objectives for CBE in undergraduate medical training.

In chapters 4 and 5, as a case study the 12-week CBE programme of the Medical Faculty of Diponegoro University (MFDU) in Semarang, Indonesia, was evaluated. The curriculum evaluation model of Coles & Grant was adopted for this study and hence subsequently the curriculum on paper, the curriculum in action, and the curriculum as experienced by the students were evaluated. The generic CBE objectives developed by us were used as a reference in the analysis of MFDU's CBE programme. The result of this study showed that MFDU has created adequate partnerships with the regional health care system and local authorities to enable CBE programmes to be implemented. However, some findings indicated

that this programme was not entirely implemented as planned. The use of the set of generic CBE objectives as a reference revealed significant weaknesses of MFDU's CBE programme. For example, students' work in the community was not based on joint identification of felt health needs with community members, and students' interventions in the community were rarely evaluated. In general the students confirmed our conclusions and specifically emphasised community exhaustion and greater benefits of the CBE programme for the PHC centres and themselves than for the target communities. In conclusion, the curriculum evaluation model of Coles & Grant combined with the generic CBE objectives for undergraduate medical programmes was found to be a useful tool to evaluate a CBE programme. However, further research is needed to test this evaluation model in other institutions.

In chapter 6, a non-blinded randomised trials was applied to compare a part of MFDU's conventional CBE programme with a programme modified to match the generic CBE objectives as closely as possible. As usual, students in the conventional programme received assignments to execute government health programmes from the head of the PHC centre. In the modified programme, the students used our framework and the derived generic CBE objectives as guidance for their activities, thus starting from the community's felt health needs. When compared with the unmodified programme, the modified programme yielded better student appreciation, better student performance, and high community compliance with the implemented health interventions. Representatives of communities that had experienced the modified programme expressed their satisfaction with the students' activities and recommended the same activities for future groups in other places. On the other hand, communities that hosted the unmodified programme felt

that their health needs were hardly discussed and addressed. They also criticised the students' activities for being too much focused on data collection. Thus, it can be concluded that substitution of a CBE programme that closely matched the generic CBE objectives resulted in more active community participation and a more positive evaluation by students and community representatives.

In chapter 7, all findings are discussed. With respect to the development of the generic CBE objectives, we discussed the strength of this study, which lies in the logical sequence of the four steps taken to yield objectives traceably rooted in factors impacting on community health. The weakness of this study is that the face validity of the framework and the derived objectives by an international expert panel was assessed only once. To compensate for this limitation a questionnaire survey was performed to acquire the perceptions of a wider circle of medical schools in developing countries. In this survey, more than 90% respondents had implemented CBE programmes. Therefore, their comments and suggestion were considered worthwhile in the finalisation of the generic CBE objectives. However, further study is needed to assess the importance of four objectives that were scored as relevant by less than 75% of the respondents.

In the study to test the usefulness of Coles and Grant' model for curriculum evaluation combined with the generic objectives as a reference for evaluation of CBE programmes, we are aware that no information from community representatives was included. If possible, we recommend to include that information when evaluating 'a CBE programme in action'. In the study performed to measure the impact of a CBE programme closely matching the generic CBE objectives on students and the community, we realise that the positive results obtained in this study are partly due to the shortcomings in the unmodified

programme. Therefore, the generic CBE objectives should also be implemented in other medical schools to corroborate the reliability and generalisability of this study.

The results of the studies presented in chapters 2-6 have important implications for curriculum planning, implementation, and evaluation of CBE programmes, and directions are given for future studies on more specific conditions for CBE programmes in developing countries. CBE curriculum planners need to critically assess the CBE objectives that are currently used to promote student activities, and they should reconsider the effective use of key resources i.e. human resources, health services, and the community. Further work on the implementation of CBE programmes, which emphasise the integration of government health programmes with community's felt health needs is valuable, as it makes CBE programmes more beneficial for the community. In the evaluation of CBE programmes, measuring the impact of CBE programmes on health care delivery and the health of population is especially needed. Finally, studies are needed that addresses the willingness of medical graduates who were exposed to CBE programmes to serve as a professional in rural and medically underserved communities.

Samenvatting

Overall ter wereld streven geneeskundefaculteiten ernaar om de maatschappelijke component van hun onderwijsprogramma te versterken door middel van gemeenschapsgericht onderwijs (GGO). De algemene doelstelling van dit onderwijs is om studenten ervaring te laten opdoen met leren, zorgverlening en onderzoek in de gemeenschap en op die manier een bijdrage te leveren aan de verbetering van de gezondheidssituatie in de gemeenschap waar het GGO-programma gevolgd wordt. Hoewel het belangrijk is dat de doelstellingen van GGO toegesneden zijn op de lokale situatie, zouden algemene doelstellingen van GGO zinvol kunnen zijn om medische faculteiten te helpen bij het ontwikkelen van GGO-programma's en om na te kunnen gaan of alle belangrijk aspecten van GGO in een programma zijn vertegenwoordigd.

In hoofdstuk 1 wordt ingegaan op de omschrijving, achtergrond en onderliggende principes van GGO en worden de ideeën uiteengezet, waarop de algemene doelstellingen van GGO gebaseerd zijn. Het centrale thema van dit proefschrift is de ontwikkeling en validering van algemene doelstellingen van GGO voor de medische basisopleiding in ontwikkelingslanden. Het ontwikkelde conceptuele kader voor de ontwikkeling van deze algemene doelstellingen vormt het referentiekader voor de evaluatie van een bestaand GGO-programma en de ontwikkeling van een aangepast GGO-programma dat nauw aansluit bij de algemene doelstellingen.

Vier onderzoeksvragen zijn bestudeerd:

1. Hoe kan een kader gecreëerd worden voor het ontwikkelen van algemene doelstellingen van GGO voor de medische basisopleiding?

2. Hoe beoordelen medische faculteiten in ontwikkelingslanden de ontwikkelde algemene doelstellingen van GGO? Leiden de resultaten van dit onderzoek tot gevalideerde doelstellingen van GGO voor de medische basisopleiding?
3. Is een bestaande methode voor curriculumevaluatie in combinatie met de algemene doelstellingen van GGO voor de medische basisopleiding bruikbaar als referentiekader voor het evalueren van een GGO-programma?
4. Wat is de invloed op studenten en de gemeenschap van een GGO-programma dat is opgesteld conform de ontwikkelde algemene doelstellingen van GGO?

In hoofdstuk 2 wordt een onderzoek beschreven waarin met behulp van overzichtsartikelen en internetbronnen in vier stappen algemene doelstellingen van GGO zijn ontwikkeld voor de medische basisopleiding. Als eerste stap is nagegaan voor welke van de factoren die bepalend zijn voor de lokale gezondheidssituatie verbetering bereikt kan worden door middel van samenwerking tussen studenten en de lokale gemeenschap. Dit betreft factoren als schoon drinkwater, goede afvalverwerking, huisvesting, voeding, zinvolle dagelijkse bezigheden, voorlichting over gezondheidsrisico's en toegang tot gezondheidszorg. Als tweede stap is bepaald welke activiteiten studenten in het kader van GGO kunnen uitvoeren ter verbetering van gebrekkige lokale omstandigheden. Deze activiteiten zijn samengevat in de clusters 'samenwerking met de lokale gemeenschap bij het opsporen en aanpakken van lokale gezondheidsproblemen', 'het geven van gezondheidsvoorlichting' en 'het doen van onderzoek dat indirect kan bijdragen aan een betere bereikbaarheid van gezondheidszorgvoorzieningen voor de lokale gemeenschap'. Als derde stap is bepaald over welke competenties studenten moeten beschikken om deze taken uit te kunnen voeren. Drie competentieclusters werden

onderscheiden overeenkomstig de drie GGO-domeinen: onderzoek, onderwijs en zorg. De vierde stap bestond uit het formuleren van algemene doelstellingen van GGO, die kunnen bevorderen dat studenten deze competenties verwerven.

Een internationaal panel bestaand uit zes GGO-experts onderzocht de 'face validity' van het conceptkader voor de algemene doelstellingen. Dit onderzoek wees uit dat zowel de studenten als de gemeenschap profijt kunnen hebben van algemene doelstellingen van GGO en dat deze doelstellingen actieve betrokkenheid van de gemeenschap kunnen bevorderen. Dit is in overeenstemming met aanbevelingen van de Wereldgezondheidsorganisatie voor het opzetten van GGO-programma's.

In hoofdstuk 3 wordt gerapporteerd over een onderzoek waarbij een vragenlijst is afgenomen bij 72 geneeskundeopleidingen in ontwikkelingslanden teneinde de algemene doelstellingen van GGO te valideren. De methode voor het ontwikkelen van de doelstellingen van GGO en 17 van de 21 doelstellingen werden als relevant beoordeeld. Drie doelstellingen werden door minder dan 75% van de respondenten als relevant beoordeeld: afgestudeerden beschikken over kennis op het gebied van de arbeidsgeneeskunde, kunnen de effectiviteit en de doelmatigheid van lokale gezondheidszorgvoorzieningen controleren en kunnen praktische strategieën ontwikkelen om toegang tot gezondheidszorg voor de lokale bevolking te verbeteren. Toch zijn deze doelstellingen gehandhaafd, omdat er positieve commentaren van respondenten waren en omdat er steun voor gevonden werd in de literatuur. Eén doelstelling - afgestudeerden kunnen een post voor eerstelijns gezondheidszorg leiden en beheren - werd door slechts 62,5% van de respondenten als relevant beoordeeld en was meermalen onderwerp van kritiek in aanvullende opmerkingen. Deze doelstelling is dan ook verwijderd. Het onderzoek resulteerde in een gevalideerde lijst

van 20 algemene doelstellingen van GGO voor de medische basisopleiding.

Hoofdstuk 4 en 5 betreffen een onderzoek waarin als casus het traditionele drie maanden durende GGO-programma van de Medische Faculteit van de Diponegoro Universiteit (MFDU) in Semarang, Indonesië geëvalueerd is. Voor de evaluatie is het model van Coles en Grant gebruikt. Dit betekent dat het 'curriculum-op-papier', het 'curriculum-in-de-praktijk' en het 'curriculum-zoals-de-studenten-het-ervaren' geëvalueerd zijn. De door ons ontwikkelde algemene doelstellingen van GGO vormden het referentiekader voor de analyse van het GGO-programma van de MFDU. Uit de resultaten van dit onderzoek kwam naar voren dat de samenwerking tussen de MFDU en de regionale gezondheidszorg en de plaatselijke autoriteiten voldoende was om GGO-programma's te kunnen uitvoeren. Er waren echter enkele bevindingen die een aanwijzing vormden dat het programma niet helemaal volgens de planning verliep. Met behulp van de algemene doelstellingen van GGO konden belangrijke zwakke punten in het GGO van de MFDU aan het licht gebracht worden. Zo was het werk van de studenten in de lokale gemeenschap niet gebaseerd op gezondheidsbehoeften die in samenwerking met leden van de lokale gemeenschap waren vastgesteld en werden de interventies van de studenten in de gemeenschap slechts zelden geëvalueerd. Onze conclusies werden algemeen door de studenten bevestigd, met name de bevinding dat de gemeenschap genoeg kreeg van het programma en het feit dat het programma meer ten goede kwam aan de gezondheidscentra en de studenten dan aan de lokale doelgroepen. Ten slotte bleek dat de combinatie van het curriculumevaluatiemodel van Coles en Grant met de algemene doelstellingen van GGO voor de medische basisopleiding een goed evaluatie-instrument opleverde voor een GGO-programma. Wel is meer onderzoek nodig om de waarde van dit evaluatiemodel te toetsen in andere instellingen.

In hoofdstuk 6 wordt verslag gedaan van een niet-geblindeerd gerandomiseerd onderzoek waarin een deel van het traditionele GGO-programma van de MFDU vergeleken is met een aangepast programma dat zoveel mogelijk aansloot op de ontwikkelde algemene doelstellingen van GGO. De studenten die het traditionele programma volgden, kregen op de gebruikelijke wijze opdrachten van het hoofd van het gezondheidszorgcentrum, gericht op het uitvoeren van gezondheidszorgprogramma's van de regering. In het aangepaste programma werden de activiteiten van de studenten ontwikkeld op basis van ons kader en de daaraan ontleende algemene doelstellingen van GGO. Dit betekende dat de activiteiten aansloten bij de gezondheidsbehoeften die leefden in de lokale gemeenschap. Vergeleken met het ongewijzigde programma kreeg het aangepaste programma meer waardering van de studenten, leidde het tot betere prestaties van de studenten en tot een hoge mate van blijvende lokale medewerking ten aanzien van de ingevoerde gezondheidsmaatregelen. Vertegenwoordigers van de gemeenschappen waar het aangepaste programma werd uitgevoerd, spraken hun tevredenheid uit over de activiteiten van de studenten en deden de aanbeveling om dezelfde activiteiten door nieuwe groepen op andere plaatsen te laten uitvoeren. Daarentegen gaven de gemeenschappen waar het ongewijzigde programma was uitgevoerd, te kennen dat zij het gevoel hadden dat hun gezondheidszorgbehoeften nauwelijks ter sprake waren gekomen en dat er ook bijna niets gedaan werd om aan hun behoeften tegemoet te komen. Een ander punt van kritiek was dat de activiteiten van de studenten te veel gericht waren op het verzamelen van gegevens. Er kan dus geconcludeerd worden dat de invoering van een GGO-programma dat nauw aansloot bij de algemene doelstellingen van GGO leidde tot actievere betrokkenheid van de lokale gemeenschap en een positievere beoordeling door zowel studenten als vertegenwoordigers van de gemeenschap.

In hoofdstuk 7 worden alle resultaten besproken. We bespreken de kracht van dit onderzoek met betrekking tot de ontwikkeling van algemene doelstellingen van GGO, die gelegen is in de logische opeenvolging van de vier stappen die resulteren in doelstellingen die aantoonbaar geworteld zijn in factoren die bepalend zijn voor de lokale gezondheidssituatie. Een zwak punt van dit onderzoek is dat de 'face validity' van het kader en de daaruit afgeleide doelstellingen slechts eenmaal door een panel van internationale experts is beoordeeld. Ter compensatie voor deze beperking is een enquête gehouden onder een brede groep geneeskundeopleidingen in ontwikkelingslanden. Meer dan 90% van de respondenten hadden eigen GGO-programma's. Hun commentaren en voorstellen werden dan ook als een waardevolle bijdrage gezien aan de definitieve versie van de algemene doelstellingen van GGO. Nader onderzoek zal inzicht moeten bieden in het belang van de vier doelstellingen die door minder dan 75% van de respondenten als relevant werden beoordeeld. We zijn ons ervan bewust dat er in het onderzoek naar de bruikbaarheid van de combinatie van het curriculumevaluatiemodel van Coles en Grant en de algemene doelstellingen van GGO als referentiekader voor de evaluatie van GGO geen rekening is gehouden met de inbreng van vertegenwoordigers van lokale gemeenschappen. Het is aan te bevelen om, indien mogelijk, deze informatie te betrekken bij de evaluatie van 'GGO-programma's-in-de-praktijk'. Wij zijn ons er ook van bewust dat de positieve bevindingen uit het onderzoek naar de invloed op studenten en de lokale gemeenschap van een GGO-programma dat nauw aansluit bij de algemene doelstellingen van GGO deels zijn toe te schrijven aan de tekortkomingen van het ongewijzigde traditionele programma. Het is daarom aan te bevelen dat de algemene doelstellingen van GGO ook toegepast worden door andere geneeskundeopleidingen, opdat de betrouwbaarheid en generaliseerbaarheid van de resultaten van ons onderzoek bevestigd kunnen worden. De resultaten van de onderzoeken

die beschreven worden in hoofdstuk 2-6, hebben belangrijke implicaties voor de planning, uitvoering en evaluatie van GGO-programma's. Er wordt gewezen op de noodzaak van nader onderzoek naar specifiekere voorwaarden voor GGO-programma's in ontwikkelingslanden. Curriculumplanners die GGO-programma's ontwikkelen, moeten de huidige doelstellingen van GGO, op grond waarvan bepaald wordt welke activiteiten studenten ondernemen, kritisch beoordelen en zij moeten tevens aandacht besteden aan het doelmatig benutten van belangrijke bronnen, zoals menselijk kapitaal, gezondheidszorginstellingen en de gemeenschap. Verdere inspanningen om GGO-programma's in te voeren die de nadruk leggen op integratie van gezondheidszorgprogramma's van de regering met de gezondheidsbehoeften van lokale gemeenschappen zijn belangrijk, omdat dit ertoe leidt dat de gemeenschap meer profijt heeft van GGO-programma's. Bij de evaluatie van GGO-programma's moet de nadruk vooral gelegd worden op de effecten van GGO-programma's op het gezondheidszorgaanbod en de gezondheidstoestand van de bevolking. Tot slot wijzen wij op de noodzaak van onderzoek naar de bereidheid van afgestudeerde artsen die GGO-programma's gevolgd hebben om op het platteland en in gemeenschappen met gebrekkige gezondheidszorgvoorzieningen te gaan werken.

Ringkasan

Banyak fakultas-fakultas kedokteran di dunia berusaha untuk memperkuat kurikulumnya agar lebih berorientasi terhadap masyarakat dengan cara mengimplementasikan program pendidikan berbasis masyarakat (PBM). Tujuan umum dari program ini adalah untuk memperluas pengalaman dari mahasiswa dalam hal pembelajaran, pelayanan serta penelitian di masyarakat. Sehingga dengan cara demikian akan meningkatkan kesehatan masyarakat dimana program ini dilaksanakan. Meskipun tujuan dari program pendidikan ini harus disesuaikan dengan keadaan setempat (lokal), tersedianya suatu kumpulan tujuan umum dari program PBM ini akan dapat membantu fakultas kedokteran dalam mendesain program ini, atau membandingkan dengan program mereka sendiri apakah sudah mencakup aspek-aspek yang terpenting.

Pada bab 1, gambaran dari program PBM ini diberikan dalam hal definisi, latar belakang dan dasar-dasar rasionalnya. Juga alasan untuk menetapkan tujuan umum PBM pada program pendidikan dokter. Sesuai dengan itu, maka tesis ini difokuskan untuk menetapkan dan mengesahkan tujuan umum untuk program pendidikan dokter di negara-negara berkembang. Kerangka kerja dari tujuan umum program PBM ini di tes sebagai acuan dalam mengevaluasi program PBM yang telah ada, dan sebagai panduan dalam mendesain program PBM agar sesuai dengan tujuan umum PBM.

Empat pertanyaan utama penelitian dalam tesis ini adalah sbb:

1. Bagaimana cara mengembangkan kerangka kerja untuk menetapkan definisi dari tujuan umum PBM pada program pendidikan dokter?

2. Apakah persepsi dari fakultas-fakultas kedokteran di negara-negara berkembang terhadap tujuan umum program PBM? Dapatkah hasil penelitian ini dipakai untuk mensahkan daftar tujuan umum PBM untuk pendidikan dokter?
3. Dapatkah metode yang baku untuk mengevaluasi kurikulum dikombinasikan dengan tujuan umum PBM untuk program dokter dipakai sebagai acuan dalam mengevaluasi program PBM ?
4. Apakah pengaruh dari program PBM yang telah disesuaikan dengan tujuan umum PBM tersebut bagi mahasiswa dan masyarakat?

Pada bab 2, berdasarkan tinjauan kepustakaan dan eksplorasi sumber-sumber dari Internet, digunakan empat strategi sebagai pendekatan untuk mengembangkan tujuan umum PBM untuk program pendidikan dokter. Pertama, faktor-faktor yang mempengaruhi kesehatan masyarakat yang mungkin dapat ditingkatkan oleh masyarakat sendiri dengan bekerja sama dengan mahasiswa diidentifikasi, yaitu: tersedianya air minum yang aman, sanitasi dan rumah sehat, makanan yang adekwat, penghargaan yang pantas atas aktifitas sehari-hari, edukasi terhadap faktor resiko yang berpengaruh terhadap kesehatan, dan akses terhadap pelayanan kesehatan. Kedua, tugas-tugas yang potensial bagi mahasiswa dalam melakukan aktifitas program PBM untuk meningkatkan keadaan yang tidak adekwat ditetapkan, dan dikelompokkan sebagai suatu kerja sama dengan masyarakat untuk mengidentifikasi dan mengatasi masalah kesehatan masyarakat, melakukan edukasi kesehatan, dan melakukan aktifitas penelitian yang secara tidak langsung mempunyai kontribusi terhadap peningkatan akses masyarakat pada pelayanan kesehatan. Ketiga, kompetensi yang diperlukan untuk melaksanakan tugas-tugas tersebut ditetapkan dan ditujukan pada 3 area dari PBM, yaitu: penelitian, pelatihan dan

pelayanan. Keempat, 21 tujuan umum program PBM diformulasikan untuk menghasilkan kompetensi-kompetensi tersebut.

Diskusi panel internasional yang diikuti oleh 6 pakar PBM dari institusinya masing-masing digunakan sebagai cara untuk mensahkan konsep yang telah dibuat. Studi ini mengindikasikan bahwa tujuan umum PBM harus berguna baik untuk mahasiswa maupun masyarakat, dan harus difokuskan pada partisipasi masyarakat yang aktif, dimana hal ini sangat sesuai dengan anjuran WHO dalam mengimplementasikan program PBM.

Pada bab 3, survai untuk mensahkan tujuan umum PBM dengan cara mendistribusikan kuesioner pada fakultas-fakultas kedokteran di negara-negara berkembang menunjukkan hasil yang memuaskan. Strategi untuk mengembangkan tujuan umum PBM, dan 17/21 itemnya dinyatakan relevan. Tiga tujuan dinilai relevan oleh kurang dari 75% responden (yaitu: lulusan mempunyai pengetahuan tentang kesehatan kerja, mempunyai kemampuan untuk memonitor efektifitas dan efisiensi pelayanan kesehatan, dan mempunyai kemampuan untuk mendesain strategi yang relevan untuk meningkatkan akses masyarakat terhadap pelayanan kesehatan) tetapi tetap dipertahankan atas dasar komentar yang positif dari responden, serta ditunjang oleh kepustakaan. Satu tujuan (yaitu: lulusan mempunyai kemampuan untuk manage puskesmas dan administrasi) dihapus karena hanya dinilai relevan oleh 62.5% responden dan juga sering mendapat kritikan pada komentar tambahan. Sebagai hasilnya, penelitian ini menghasilkan 20 daftar tujuan umum PBM untuk program pendidikan dokter yang tervalidasi.

Pada bab 4 dan 5, sebagai studi kasus, dilakukan evaluasi program PBM di Fakultas Kedokteran Universitas Diponegoro, Semarang, Indonesia (FK. UNDIP). Model dari Cole & Grant diadopsi untuk studi ini, dimana evaluasi dilakukan terhadap kurikulum di atas kertas,

pelaksanaan kurikulum dan pengalaman mahasiswa pada kurikulum tersebut. Tujuan umum PBM yang sudah dikembangkan digunakan sebagai acuan dalam menganalisa program PBM di FK. UNDIP. Hasil dari studi ini menunjukkan bahwa FK.UNDIP sudah menghasilkan kemitraan yang adekwat dengan sistem pelayanan kesehatan dan pemerintah lokal sehingga program PBM ini dapat diimplementasikan. Meskipun demikian, beberapa penemuan menunjukkan bahwa program ini tidak seluruhnya diimplementasikan sesuai dengan yang direncanakan. Penggunaan tujuan umum PBM sebagai acuan menunjukkan beberapa kelemahan yang berarti dari program ini, misalnya: mahasiswa dalam mengidentifikasi masalah kesehatan tidak berdasarkan atas kerja sama dengan masyarakat, dan evaluasi terhadap hasil intervensi di masyarakat jarang dilakukan. Secara umum mahasiswa memperkuat kesimpulan ini dan menegaskan adanya kebosanan dari masyarakat, dan program PBM ini mempunyai manfaat yang lebih besar untuk puskesmas dan mahasiswa daripada untuk masyarakat. Sebagai kesimpulan, evaluasi kurikulum dengan menggunakan model dari Coles & Grant dikombinasikan dengan tujuan umum PBM merupakan alat yang berguna untuk mengevaluasi program PBM. Meskipun demikian penelitian selanjutnya masih dibutuhkan untuk mentes model evaluasi ini pada institusi yang lain.

Pada bab 6, percobaan dengan sistem acak tidak membuta dilakukan untuk membandingkan sebagian dari program PBM di FK.UNDIP yang konvensional dengan yang telah dimodifikasi sehingga sesuai dengan tujuan umum PBM. Secara rutin, mahasiswa pada program yang konvensional menerima tugas dari kepala puskesmas untuk melaksanakan program kesehatan pemerintah. Pada program yang telah dimodifikasi, mahasiswa menggunakan kerangka kerja kami dan tujuan umum PBM, sehingga mereka harus memulai dari kebutuhan yang dirasakan oleh masyarakat. Ketika dibandingkan dengan program yang

konvensional, hasil dari program yang dimodifikasi menunjukkan penghargaan dan prestasi yang lebih baik dari mahasiswa, dan kepatuhan yang tinggi dari masyarakat terhadap intervensi kesehatan yang diimplementasikan. Perwakilan masyarakat yang mengalami program yang telah dimodifikasi mengemukakan kepuasan mereka terhadap aktifitas mahasiswa dan menganjurkan aktifitas yang sama di tempat lainnya. Sebaliknya, perwakilan masyarakat yang ketempatan program konvensional merasa bahwa kebutuhan mereka jarang didiskusikan ataupun dipenuhi. Mereka juga mengkritik aktifitas mahasiswa yang hanya difokuskan pada pengumpulan data saja. Sebagai kesimpulan, penggantian program PBM dengan yang lebih sesuai dengan tujuan umum PBM yang menegaskan keterlibatan masyarakat menghasilkan partisipasi yang lebih aktif dari masyarakat dan evaluasi yang lebih positif baik oleh mahasiswa maupun masyarakat.

Pada bab 7, seluruh penemuan hasil studi didiskusikan. Sehubungan dengan definisi tujuan umum PBM, kami mendiskusikan tentang kekekuatan dari studi ini yang terletak pada urutan yang logis dari empat langkah yang diambil sehingga menghasilkan tujuan yang berakar dari faktor-faktor yang mempengaruhi kesehatan masyarakat. Kelemahan studi ini terletak pada validasi yang hanya dilakukan satu kali dengan cara diskusi panel dengan pakar internasional. Sehingga survey yang kemudian dilaksanakan untuk mendapatkan persepsi dari fakultas kedokteran di negara-negara berkembang diasumsikan untuk dapat mengkompensasi keterbatasan ini. Pada survei ini lebih dari 90% responden sudah mengimplementasikan dan berpengalaman dengan program PBM. Oleh karena itu, komentar dan nasehat mereka dapat dipertimbangkan sebagai sesuatu yang berguna dalam memfinalisasi tujuan umum PBM. Meskipun demikian studi selanjutnya masih diperlukan untuk mengklarifikasi 4 item yang dinilai relevan oleh kurang dari 75% responden.

Pada studi untuk menguji kegunaan dari model Coles dan Grant dalam mengevaluasi kurikulum dikombinasikan dengan tujuan umum PBM sebagai acuan dalam mengevaluasi program PBM, kami menyadari tidak adanya informasi dari masyarakat yang mewakili. Sehingga bila memungkinkan, kami merekomendasikan untuk menambahkan informasi ini pada waktu mengevaluasi 'pelaksanaan kurikulum'.

Pada studi untuk mengetahui pengaruh dari program PBM yang telah disesuaikan dengan tujuan umum PBM pada mahasiswa maupun masyarakat, kami menyadari bahwa hasil yang positif dari studi ini sangat dipengaruhi oleh kelemahan dari program konvensional yang diimplementasikan dengan cara yang kurang tepat. Oleh karena itu, studi selanjutnya untuk mengimplementasikan tujuan umum ini pada institusi lain perlu dilakukan untuk memperkuat studi ini dalam hal pengulangan dan kepercayaan yang lebih luas.

Hasil-hasil studi yang dipresentasikan pada bab 2 sampai 6 mempunyai implikasi yang penting untuk perencanaan kurikulum, implementasi dan evaluasi program PBM, serta pengarahan diberikan untuk studi selanjutnya yang ditujukan pada kondisi yang lebih spesifik untuk program PBM di negara-negara berkembang. Perencana kurikulum harus menilai secara kritis tujuan PBM yang sedang digunakan untuk meningkatkan aktifitas mahasiswa, dan mereka juga harus mempertimbangkan kembali penggunaan sumber-sumber kunci yang efektif, yaitu sumber daya manusia, pelayanan kesehatan, dan masyarakat. Studi selanjutnya, yang menegaskan pada integrasi dari program kesehatan pemerintah dengan kebutuhan yang dirasakan oleh masyarakat perlu dilakukan karena dapat meningkatkan program PBM agar lebih berguna untuk masyarakat. Pada evaluasi program PBM, perlu dilakukan pengukuran pengaruh dari program PBM pada pemberian pelayanan kesehatan dan juga kesehatan dari populasi penduduk. Akhirnya, studi

selanjutnya juga diperlukan untuk mengetahui apakah lulusan yang sebelumnya telah di ekspose dengan program PBM mempunyai keinginan untuk bekerja di daerah rural maupun di masyarakat bawah.

Appendix

List of Abbreviation

- ATLS : Advanced Trauma Life Support
- CBE : Community-based education
- COE : Community-oriented education
- DHF : Dengue Hemorrhage Fever
- FGD : Focus Group Discussion
- HFA : Health for All
- ILO : International Labour Organization
- MFDU : Medical Faculty of Diponegoro University
- OSCE : objective structured clinical examination
- PHC centre : Primary Health Care centre.
- RHPTC : Rural Health Professions Training Centre
- TB : Tuberculosis
- TUFH : Towards Unity for Health
- WHO : World Health Organization

CBE activities in MFDU

Interview community leader

Risk assessment of DHF
(Assessment of mosquito larva *Aedes aegypti*)

Risk assessment in relation to environment
(Unhealthy sanitation)

Malnutrition

Discussion with community about
health problem identified

Dark (unhealthy) house

Glass roof

Assist the community
to build public toilet