

# Is the Impact of Starvation on the Gut Microbiota Specific or Unspecific to Anorexia Nervosa? A Narrative Review Based on a Systematic Literature Search

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## REVIEW ARTICLE

# Is the Impact of Starvation on the Gut Microbiota Specific or Unspecific to Anorexia Nervosa? A Narrative Review Based on a Systematic Literature Search

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**Abstract: Background:** The role of the gut microbiota in Anorexia Nervosa (AN) has long been neglected by researchers, although the fact that the former is known to play an important role in health, disease and weight regulation. Cycles of overweight and underweight due to natural states of starvation and refeeding are normal in many vertebrates in their ecological niches.

**Objective:** The aim of this review was to compare the similarities and differences of the gut microbiota in eating disorders with conditions of fasting and refeeding in other vertebrates.

**Method:** A systematic literature search was conducted in Pubmed and Web of Science to find all relevant studies examining the gut microbiota in eating disorders and different states of fasting in vertebrates for this narrative review.

**Results:** Gut microbiota appears to differ in AN versus normal-weight individuals. Induced fasting conditions in other vertebrates resulted in heterogeneous effects on gut microbiota with respect to their richness, diversity and community structures. The findings for hibernating animals were generally consistent. A decrease in microbial richness and diversity was observed in the hibernating animal compared to the active animal, and the community structures were linked to these conditions. Some similarities and differences between AN and different states of fasting in other vertebrates were found.

**Conclusion:** The complexity of the relationship between fasting and gut microbiota is difficult to interpret. A deeper biological understanding is necessary to identify promising approaches for the modulation of the AN gut microbiota to support established psychotherapies.

**Keywords:** Microbiota, gastrointestinal, hibernation, fasting, starvation, caloric restriction, eating disorder, anorexia nervosa.

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## 1. INTRODUCTION

Anorexia Nervosa (AN) is a serious illness associated with a chronic course and high mortality. In addition to psychological and environmental factors, physiological factors could be involved in the aetiology of this disease [1] – the gut microbiota could be one such factor. In 2013 Smith *et al.* published in *Science*, that the gut microbiota plays a central role in the cause of kwashiorkor, an acute form of childhood protein-energy malnutrition. Characteristics of kwashiorkor are oedema, irritability, anorexia, ulcerating dermatoses and enlarged livers with fatty infiltrates [2].

The human gut is a complex ecosystem, which harbours a tremendous amount of microbes belonging to the domains of bacteria, archaea and eucaryotes—the gut microbiota. The composition and diversity of microbial species not only vary considerably along the gut compartments, but also differs between individuals and is influenced by age, genetics, health status, diet and other factors [3, 4].

Since the characterization of germ-free animals in comparison to conventional animals in the 1960s and 1970s, it has become evident that the gut microbiota plays a physiological role in weight regulation of the host [5-7]. However, only in the last 15 years, researchers have turned their interest towards the gut microbiota and weight regulation in humans. Microbial activity produces short chain fatty acids (SCFA) such as butyrate, propionate and acetate by fermenting dietary fiber and endogenous substrates. These fatty acids are assumed to contribute to 5 to 10 % of the human en-

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ergy requirements [8]. Moreover, SCFA have been shown to interact with specific G-protein-coupled receptors expressed by enteroendocrine cells in the gut, thereby influencing the release of satiety hormones such as Peptide YY [9]. Additionally, proteins or amino acids, which escape digestion or endogenous mucins, are fermented by gut microbiota to branched chain fatty acids (BCFA) such as isobutyrate and isovalerate and to toxic compounds such as phenols, indols (both co-carcinogens), ammonia (mutagen, cellular poison), amines (neurotransmitter/mutagen precursors), HS<sup>-</sup> and thiols (both cellular toxins) [10]. Several of these toxic compounds have the potential to negatively impact the host's gut physiology, motility and psychology, the latter *via* the brain-gut-axis [11, 12].

The observation that germ-free kept pigs had lower body weight than conventionally raised pigs has been described in 1966 and 1972 [6, 7]. For mice originated from Swiss Webster mice Gordon *et al.* [5] showed with a large sample size (n=97) the opposite and another study with ICR strain mice demonstrated similar results to the pigs, however the weight differences were lower [13]. Fullfed germ-free Lobund Wistar rats had less body weight when compared to their conventionally held litter mates, however the opposite was shown for these rats with restricted food intake [14]. No differences in body weight were observed in germ-free chickens compared to conventionalized chickens and similar weight loss trends were observed during fasting [15]. Interestingly, around 40 years later, after a thorough morphological characterization of germ-free kept animals, Bäckhed *et al.* demonstrated for C57BL/6J (B6), that germ free mice had lower body weight and less body fat than conventional animals despite increased chow consumption and decreased energy expenditure [16]. After transferring faecal microbiota of conventional animals into germ free animals, the latter gained body weight and body fat, despite a reduction in chow consumption and an increase in energy expenditure, similar to that of the conventional animals. With respect to the afore-mentioned literature, it appears that the extent to which the gut microbiota influences body weight is dependent on the animal, age and/or specific strain. However, with the publication of Bäckhed *et al.*, the attention of more researchers was drawn towards the gut microbiota and its role in weight regulation. In 2005, Gordon and co-workers reported significantly differing ratios of the phyla Bacteroidetes and Firmicutes in the stools of lean versus leptin deficient obese (ob/ob) mice, with more Firmicutes and fewer Bacteroidetes being present in the obese mice. A similar pattern was found for the diet induced obesity mouse model [17]. More importantly, Turnbaugh *et al.* demonstrated that the differences in the ratio of the dominant phyla Bacteroidetes and Firmicutes also had functional implications for the host: the gut microbiota was linked to different capacities for energy harvest from the diet [18]. Specifically, the gut microbiota of obese mice produced larger amounts of SCFA and the excreted faeces contained less energy than normal-weight animals. Finally, Ley *et al.*, were the first to show that differences in the Bacteroidetes to Firmicutes ratio existed in normal-weight versus obese humans, and that weight loss in the latter resulted in a shift in this ratio towards one similar to that of normal-weight humans [19]. Since then, many more articles examining obesity and the gut microbiota have

been published. For example, considerable alterations of the gut microbiota have been described after bariatric surgery [20] and the role of gut microbiota on functions of the central nervous system (CNS) has recently been debated [21]. However, the contribution of specific microorganisms to the development of obesity remains controversial, with many subsequent studies unable to confirm the Bacteroidetes to Firmicutes differences [22]. Nevertheless, a recent reanalysis of raw data across ten individual obesity studies in humans demonstrated a relationship between the human gut microbial community and obesity status. However, it is important to note that this association was weak [23].

Despite the fact that the composition of the intestinal microbiota plays an important role in gastrointestinal disorders and weight regulation [24] the role of gut microbiota in AN has been neglected by researchers for a long time. However, in previous years a number of studies have been published which show that, similarly to obesity, the gut microbiota in AN is different to normal-weight people, however as with the obesity literature they do not clearly demonstrate causality.

Overweight and underweight in the biological context are both states which are normal in other vertebrates in their ecological niches. Periods of starvation and periods of plenty due to the annual cycles of food availability are typical and drove the evolution of animals with their gut microbiota adapting to this situation. Hibernation of mammals and amphibians, the dietary pattern of reptiles or the moult of penguins are typical and natural states of starvation with subsequent refeeding which give us an opportunity to learn about the adaptation processes of the gut microbiota under physiological conditions.

Therefore, it is time to ask the pivotal question: Are changes in gut microbiota during starvation and after refeeding specific to AN? Are there differences in the microbial shifts in AN during starvation and refeeding compared to these conditions in other vertebrates? And if so, are there functional consequences for the host?

We will begin our review by providing an overview of the role of the gut microbiota in health and disease. Aiming to answer our questions, we will then provide an overview of the existing literature regarding the gut microbiota in eating disorders, followed by an overview about the gut microbiota in other vertebrates during fasting. Finally, we will compare our findings and draw conclusion about the specificity of the gut microbiota to eating disorders.

## 2. METHODS

To assure that all relevant literature on the gut microbiota and eating disorders and fasting was retrieved for this narrative review, the literature search was conducted on the basis of the PRISMA statement [25, 26].

### 2.1. Literature Information Sources and Search Strategy

We conducted two separate searches. Search 1 was applied to identify relevant studies examining the gut microbiota in eating disorders, and search 2 to identify studies analyzing the gut microbiota in different states of starvation in

vertebrates. The databases PubMed and Web of Knowledge were searched for literature on the 2. May 2017. The PubMed search was updated on the 11. November 2017. The following search terms were used: **Search term 1: Pubmed:** ((gastrointestinal OR intestinal OR faecal) AND (microbiome OR microflora OR microbiota) AND ("anorexia nervosa" OR "bulimia nervosa" OR "binge eating")); *Web of Science:* a) ((gastrointestinal OR intestinal OR faecal) AND (microbiome OR microflora OR microbiota) AND ("anorexia nervosa" OR "bulimia nervosa" OR "binge eating")); b) TOPIC: (gastrointestinal OR intestinal OR faecal) AND TOPIC: (microbiome OR microflora OR microbiota) AND TOPIC: ("anorexia nervosa" OR "bulimia nervosa" OR "binge eating") **search term 2: Pubmed:** ((gastrointestinal OR intestinal OR faecal OR fecal) AND (microbiome OR microflora OR microbiota OR "gut bacteria" OR "intestinal flora")) AND (kwashiorkor OR marasmus OR hibernation OR fasting OR starvation OR "total parenteral nutrition" OR "TPN"); *Web of Science:* ((gastrointestinal OR intestinal OR fecal OR faecal) AND (microbiome OR microflora OR microbiota OR "gut bacteria" OR "intestinal flora")) AND (kwashiorkor OR marasmus OR hibernation OR fasting OR starvation OR "total parenteral nutrition" OR "TPN").

## 2.2. Eligibility Criteria

### 2.2.1. Search 1

We included human and other vertebrate studies, which examined eating disorders and the gut microbiota of the large intestine. Studies with undernutrition in early childhood or undernourished neonatal animals were not included. No restrictions were made concerning ethnicity or sex. To present a complete overview of the current literature, we included randomized and non-randomized, qualitative and quantitative studies with and without comparison groups, pre-post designs and mere observational studies with any sample size. Study settings and outcomes were not required to fulfill any specific criteria, if outcomes regarding the gut microbiota and eating disorders were tested. Overall, no study was excluded due to study design or methodology. We included only peer-reviewed articles written in English and German.

### 2.2.2. Search 2

We included all human and other vertebrate studies, which examined the gut microbiota of the large intestine and any condition of fasting. We excluded studies with a duration  $\leq 72$  hours fasting, studies of the oral cavity or small intestine, studies which dealt with overweight or obesity, bariatric surgery, AN, undernutrition in early childhood or undernourished neonatal animals or total parenteral nutrition (although the latter two conditions were included in the literature search term to obtain a broad overview of the topic). The focus of the review lies on studies based on 16S rRNA gut microbiota analyses but other studies including culture-based technologies were also included for this narrative review if appropriate. The remaining criteria were similar to search 1.

## 2.3. Study Selection and Data Collection

For study selection and data collection, we used a modified PICOS-scheme [27]. For search 1, IM and JD and for

search 2, IM and JC conducted the initial literature search on all databases. The duplicates were removed and the titles and abstracts screened to identify appropriate studies. Full-text articles were evaluated regarding their eligibility. Discussions due to uncertainties about study inclusion were held between the respective authors for approximately 5% of the articles. Discrepancies between the respective authors were clarified by including a third person (search 1: JC, search 2: JD).

## 3. RESULTS

Firstly, we will provide a short overview about the role of the gut microbiota in health and disease, which is not based on a systematic literature review. Next, we present the existing literature regarding the gut microbiota in eating disorders of humans and during fasting in other vertebrates, which is based on a systematic literature search. Finally, we will compare our findings and draw conclusion about the specificity of the gut microbiota to eating disorders.

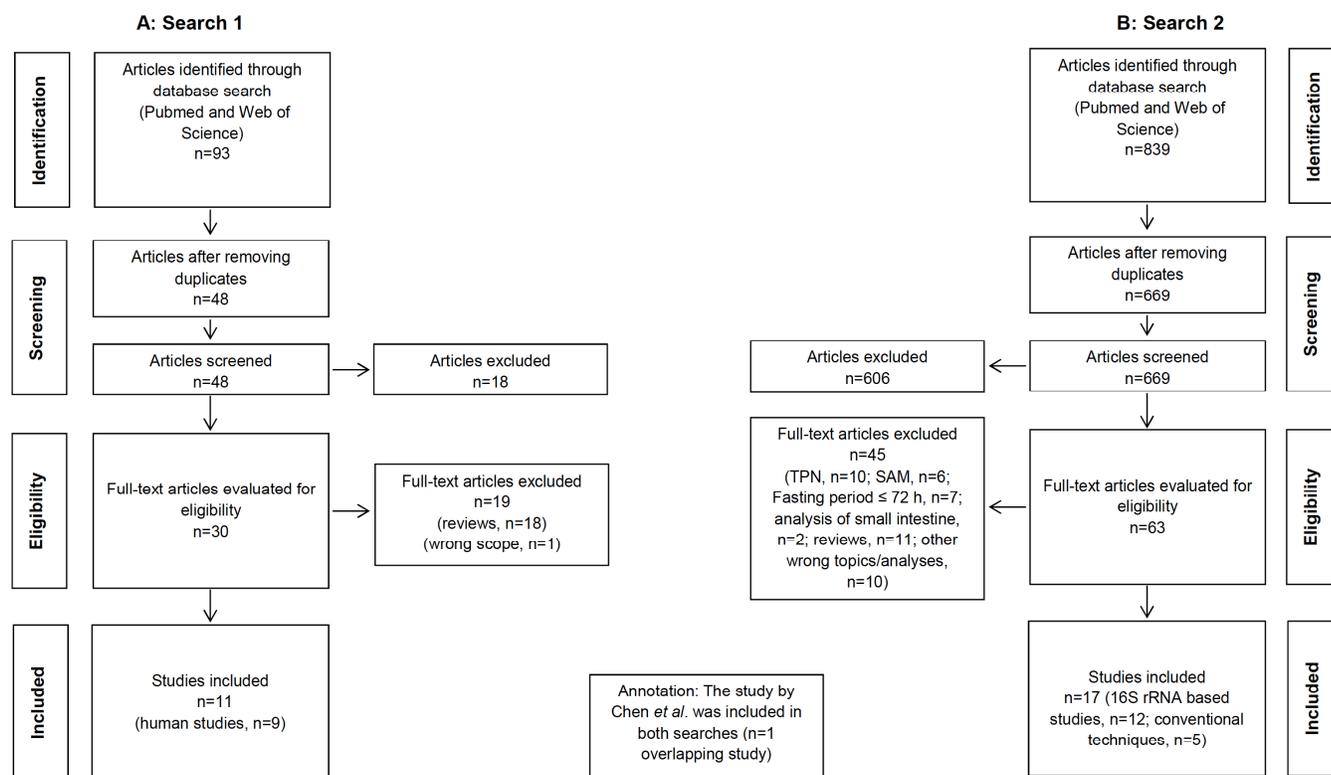
### 3.1. The Gastrointestinal Tract and the Intestinal Microbiota in Health

The gut is exposed to numerous potential pathogens and antigens. Therefore, it is of great importance for the host to prevent their uncontrolled penetration into the body. In addition to unspecific immune defenses such as the acidity of the stomach, bactericidal properties of digestive enzymes, peristalsis and mucus secretion, the gut is surrounded by the largest collection of lymphoid tissues in the body, known as the gut-associated lymphoid tissue (GALT). It consists of mesenteric lymph nodes, Peyer's patches, lymphocytes located in the intestinal lamina propria and large numbers of IgA plasmablasts located in the epithelium [28-30].

Several interactions between the host and the indigenous microorganisms have been described. With regards to health, this symbiosis is beneficial for the host. The resistance of the gastrointestinal tract to colonization by potential pathogens is important. To accomplish this, several mechanisms complement each other. Firstly, the commensal microorganisms occupy ecological niches within the gastrointestinal tract. Thus, other (potential pathogenic) microorganisms not characteristic of the habitat are prevented from colonization. Secondly, the microbiota produce metabolites such as SCFA, lactate and bacteriocins, which are able to influence the pH of their environment or damage other microorganisms, respectively. Thirdly, the microbiota competes for nutrients and growth factors. Besides many other positive impacts the microbiota has on the health of the host, the microbiota also stimulates and influences the immune system of the digestive tract - GALT. Thus, the microbiota is actively involved in maintaining the gut barrier function and overall health of the host [31-33]. Additionally, there is an evidence that the gut microbiota impacts on the function of the CNS by modulating signaling pathways *via* the microbiota-gut-brain axis [34, 35].

### 3.2. The Intestinal Microbiota in Disease

Despite its relevance to the human body, the composition and function of the gastrointestinal microbiota is only now beginning to be understood. In the past few years, large col-



**Fig. (1).** PRISMA flow chart for study inclusion.

laborative efforts such as META-HIT and the Human Microbiome Project [36] have generated a wealth of data and provided many new insights into the composition of human microbiota, the large interindividual variations and the impact of geography and diet on the human microbiome. Furthermore, one of the most striking findings of META-HIT was the clustering of humans based upon their microbiota composition into 3 distinct enterotypes driven by *Prevotella*, *Bacteroides* and *Ruminococcus* respectively [37]. Despite the considerable scientific debate on the existence of segregated enterotypes rather than a continuum or gradient [38], diet appears to have a strong impact on the balance between *Bacteroides* and *Prevotella* [39]. Molecular analyses have shown that the microbiota composition is perturbed in many diseases. Whereas a disturbed microbiota was anticipated and confirmed for intestinal disorders such as inflammatory bowel diseases [40] or colon cancer, its association with atopic diseases (allergies and eczema), diabetes and metabolic syndrome shows that the microbiota has also a systemic impact on human health [3, 24]. Furthermore, there is an evidence that the microbiota influences brain function and the behaviour of the host by communicating with the brain *via* the gut-brain axis [41, 42]. Altered community structures of gut microorganisms that have been linked with disease states differ between conditions, however for many diseases it is still unclear which species are involved. Nevertheless, it appears that a loss of gut microbial richness and biodiversity is present with most diseases [43]. Similar to other ecosystems such as the rain forests or the water, a loss of species diversity in the gut might be closely linked to a loss of resilience [44].

### 3.3. Results of the Systematic Literature Search

We systematically searched the literature for studies dealing with the gut microbiota and a) eating disorders and b) states of fasting in vertebrates. The detailed study selection process is given in Fig. (1A and 1B), respectively. To give a structured overview we classified the results into 2 groups: group 1: The gut microbiota in eating disorders of humans; group 2: The gut microbiota during food restriction in other vertebrates. The latter group was further structured into the 3 subgroups “fasting”, “hibernation” and “fasting and hibernation”.

### 3.4. The Gut Microbiota in Eating Disorders of Humans

Eating disorders comprise patients with AN, bulimia nervosa and binge-eating disorder. At present time, we found no studies, which analysed the gut microbiota of patients with bulimia nervosa or binge-eating disorder. However, since patients with a binge-eating disorder are often obese, they may have been included in gut microbiota analyses of obesity studies. Nine studies dealing with AN and the gut microbiota in humans were published between 2009 and 2017 and are summarized in Table 1. One explorative study found 11 new bacterial species in a stool sample of one AN patient [45], whereas another study reported a low diversity of fungal species with 4 microeukaryotes previously not described for the human gut [46]. Armougom *et al.* [47], Million *et al.* [48] and Morita *et al.* [49] performed cross-sectional stool sample analyses for a selected range of gut microorganisms in 9, 15 and 25 AN patients, respectively, using quantitative real-time PCR technologies. Morita *et al.* additionally applied

**Table 1. Overview of human studies analyzing the gut microbiota in Anorexia nervosa (AN) patients.**

Author, Year	Title of Study	Type of Study	Species	Further Characteristics	Characteristics of Feces Collection and Storage	Methods	Main Results (Focus on Outcomes Regarding AN-Patients in Mixed Studies)
Pfeiderer <i>et al.</i> , 2013	Culturomics identified 11 new bacterial species from a single anorexia nervosa stool sample.	Explorative, cross-sectional	Human (homo sapiens sapiens)	Female AN patient (n=1) Age: 21 years BMI: 10.4 kg/m <sup>2</sup> Control: no, n.a. for study purpose	Feces collection at the day of hospitalization, before the introduction of tube feeding. No further information on feces collection and storage provided.	Large scale of culture conditions. Identification of colonies by MALDI-TOF and 16S rRNA.	Identification of 11 new bacterial species in feces.
Gouba <i>et al.</i> , 2014	Gut microeukaryotes during anorexia nervosa: a case report.	Explorative, cross-sectional	Human (homo sapiens sapiens)	Female AN patient (n=1) Age: 21 years BMI: 10.4 kg/m <sup>2</sup> Control: no, n.a. for study purpose	Feces collection at the day of hospitalization, before the introduction of tube feeding. No further information on feces collection and storage provided.	Culture and PCR techniques.	Diversity of fungi low. Identification of 4 new microeukaryote.
Armougom <i>et al.</i> , 2009	Monitoring bacterial community of human gut microbiota reveals an increase in Lactobacillus in obese patients and Methanogens in anorexic patients.	Explorative, cross-sectional	Human (homo sapiens sapiens)	AN patients (n=9) Sex: not reported Age: 19-36 years BMI: 12.7±1.6 kg/m <sup>2</sup> Normal-weight participants (n=20) Sex: not reported Age: 13-68 years BMI: 20.7±2.0 kg/m <sup>2</sup> Obese patients (n=20) Sex: not reported Age: 17-72 years BMI: 47.1±10.7 kg/m <sup>2</sup>	No information on feces collection and storage provided.	16S rRNA analyses by quantitative Real-time PCR.	<i>M. smithii</i> higher in AN patients in comparison to other participants.
Million <i>et al.</i> , 2013	Correlation between body mass index and gut concentrations of Lactobacillus reuteri, Bifidobacterium animalis, Methanobrevibacter smithii and Escherichia coli.	Explorative, cross-sectional	Human (homo sapiens sapiens)	AN patients (n=15) Sex: 14 female, 1 male Age: 27.3±10.8 years BMI: 13.5 (11.7–14.6) kg/m <sup>2</sup> Normal-weight participants (n=76) Sex: 36 female, 40 male Age: 49.5±18.6 years BMI: 22.4 (20.7–23.7) kg/m <sup>2</sup> Overweight participants (n=38) Sex: 6 female, 32 male Age: 54.1±17.8 years BMI: 27.1 (25.9–28.6) kg/m <sup>2</sup> Obese participants (n=134) Sex: 69 female, 65 male Age: 51.8±14.7 years BMI: 27.1 40.0 (36.4–46.8) kg/m <sup>2</sup>	No information on feces collection and storage provided.	16S rRNA analyses by quantitative Real-time PCR.	<i>M. smithii</i> higher in non-obese participants in comparison to obese patients

(Table 1) contd....

Author, Year	Title of Study	Type of Study	Species	Further Characteristics	Characteristics of Feces Collection and Storage	Methods	Main Results (Focus on Outcomes Regarding AN-Patients in Mixed Studies)
Morita <i>et al.</i> , 2015	Gut Dysbiosis in Patients with Anorexia Nervosa.	Explorative, cross-sectional	Human (homo sapiens sapiens)	Female AN patients (n=25) Age: 30.0 ± 10.2 years BMI=12.8 ± 1.3 kg/m <sup>2</sup> Controls matched for sex and age (n=21) Age: 31.5 ± 7.4 years BMI:20.5 ± 2.1 kg/m <sup>2</sup>	The faecal samples were placed directly into two tubes by the participants or hospital staff members. One tube contained RNAlater and was stored at 4°C and used for the analysis of faecal microbiota. The other tube was empty and was stored at -20°C for the analysis of faecal organic acid concentration and faecal pH. Tubes were stored a indicated above within 30 min of excretion.	16S and 23S rRNA analysis by quantitative Real-time PCR (Yakult Intestinal Flora-SCAN); Short chain fatty acids by HPLC.	AN-patients had lower amounts of total bacteria and obligate anaerobes as well as lower levels of acetate and propionate in their feces.
Kleiman <i>et al.</i> , 2017	Daily Changes in Composition and Diversity of the Intestinal Microbiota in Patients with Anorexia Nervosa: A Series of Three Cases.	Explorative, longitudinal	Human (homo sapiens sapiens)	Female AN patients (n=3) in the course of weight restoration. T1= before weight gain; T2= after weight gain. Time between T1 and T2: 34, 73, 58 days Age: 16,25,29 BMI at T1 : 13.7; 15.6; 17.6 kg/m <sup>2</sup> BMI at T2: 15.4; 20.2; 21.1 kg/m <sup>2</sup>	Samples were stored at +4°C and were transferred to the laboratory within 24 h where they were processed and stored at -80°C for future DNA isolation and molecular microbiological analyses.	16S rRNA analyses by quantitative Real-time PCR and sequencing (MiSeq platform).	In the time course of weight restoration changes of microbial composition and diversity were observed and were patient specific.
Kleiman <i>et al.</i> , 2015	The Intestinal Microbiota in Acute Anorexia Nervosa and During Renourishment: Relationship to Depression, Anxiety, and Eating Disorder Psychopathology.	Explorative, longitudinal	Human (homo sapiens sapiens)	Female AN patients before weight gain (T1, n=16) and after weight gain (T2, n=10) Time between T1 and T2: not reported Age: 28 ± 11.7 years BMI at T1: 16.2 ± 1.5 kg/m <sup>2</sup> BMI at T2: 17.4 ± 6.9 kg/m <sup>2</sup> Controls (n=12), matched for sex and age Age: 29.8 ± 11.6 years BMI: 21.5 ± 1.9 kg/m <sup>2</sup>	Procedure unclear: Study refers to another paper with PMID: 22339879. "Subjects unable to provide stool samples at the visit were instructed to collect a specimen at home and return it to study staff at the same morning. Each faecal sample was immediately transferred to the laboratory where it was homogenized, divided into aliquots and stored at -80°C for future DNA isolation ..."	16S rRNA analysis by sequencing (454 platform). Questionnaires	<u>AN patients versus controls:</u> Phylogenetic richness↓ Anaerostipes↓ in AN Faecalibacterium↓ in AN <u>AN patients after weight gain:</u> Phylogenetic richness↔ Beta diversity: similarity↑ Differences at phylum and genus level (global tests). <i>Ruminococcus spp.</i> ↑  Microbial composition and diversity associated with mental health.

(Table 1) contd....

Author, Year	Title of Study	Type of Study	Species	Further Characteristics	Characteristics of Feces Collection and Storage	Methods	Main Results (Focus on Outcomes Regarding AN-Patients in Mixed Studies)
Mack <i>et al.</i> , 2016	Weight gain in anorexia nervosa does not ameliorate the faecal microbiota, branched chain fatty acid profiles, and gastrointestinal complaints.	Explorative, longitudinal	Human (homo sapiens sapiens)	Female AN patients before weight gain (T1, n=55) and after weight gain (T2, n=44) Time between T1 and T2: 14.0 ± 6.8 weeks. Age: 23.8 ± 6.8 years BMI at T1: 15.3 ± 1.4 kg/m <sup>2</sup> BMI at T2: 17.7 ± 1.4 kg/m <sup>2</sup> Controls (n=55), matched for sex and age Age: 23.7 ± 6.7 years BMI: 21.6 ± 2.0 kg/m <sup>2</sup>	AN patients: Feces was collected as soon as possible after the beginning of their inpatient stay. Patients were provided with a stool sampling kit and instructed to auto-collect their stool. Immediately, upon defaecation, patients delivered their stool samples to the collection point for human specimens at the hospital where one of the receptacles was instantly frozen at -80°C. Controls: Same procedure but after defaecation instructed to immediately contact staff from the University Hospital. University staff picked up samples straight away, transported the samples between cool packs (stored ahead at +4 °C) and subsequently stored the samples at -80°C upon arrival. The median time to freezing was 0:45 [0.15-1:55] hours.	16S rRNA analyses by quantitative Real-time PCR and sequencing (MiSeq platform); Short chain fatty acids by gas chromatography; Other measurements: Gastro-questionnaire, dietary assessment via 24 h food records, food frequency questionnaires and the multiple source method.	<u>AN patients versus controls:</u> Phylogenetic richness ↔ Phylogenetic diversity ↔ Beta diversity: similarity ↓ in AN Community structure different. Bacteroidetes ↑ and Verrucomicrobia ↑ in AN patients Actinobacteria ↓ in AN patients Mucin-degraders and members of Clostridium clusters I, XI and XVIII ↑ in AN patients Butyrate-producing <i>Roseburia spp.</i> branched-chain fatty acid concentrations, being markers for protein fermentation ↓ <u>Between AN patients:</u> Community structure different in restrictive versus binge/purging AN-subtypes. <u>AN patients after weight gain:</u> Phylogenetic richness ↑ Phylogenetic diversity ↔ Beta diversity: similarity ↑. Similarity very high within the subjects. Community structure different Bacteroidetes ↓ Firmicutes ↑ Verrucomicrobia ↓ Perturbations in intestinal microbiota and short chain fatty acid profiles in addition to several gastrointestinal symptoms did not recover.
Borgo <i>et al.</i> , 2017	Microbiota in anorexia nervosa: The triangle between bacterial species, metabolites and psychological tests.	Explorative, cross-sectional	Human (homo sapiens sapiens)	Female AN patients (n=15) Age: not reported BMI: 13.9 ± 2.1 Controls (n=15) matched for sex and age Age: not reported BMI: 22.1 ± 2.6	Feces collected and stored at -80°C. No further information on feces collection provided.	16S rRNA analyses by quantitative Real-time PCR and sequencing (MiSeq platform); Short chain fatty acids by gas chromatography; Questionnaires: EDI-2, SCL90, STAI-Y, BDI; Blood values.	AN patients versus controls: Phylogenetic richness ↔ Phylogenetic diversity ↔ Beta diversity ↔ Community structure different. Short chain fatty acids in AN patients ↓ Firmicutes ↓ in AN patients <i>Proteobacteria</i> ↑ in AN patients <i>Roseburia</i> ↓ in AN patients <i>Clostridium</i> ↓ in AN patients <i>M. smithii</i> ↑ (if detected) in AN patients Different correlations between microbial species, metabolic parameters, short chain fatty acids, BMI and psychometrics.

↓=decrease, ↑=increase, ↔=no change.

high-performance liquid chromatography (HPLC) to analyse SCFA. Armougom *et al.* reported that *Methanobrevibacter smithii*, a methane producing archaeon, was increased in AN patients compared to normal weight participants [47] which was not confirmed by Million *et al.*, although *Methanobrevibacter smithii* concentration was lower in obese individuals in comparison to non-obese [48]. Morito *et al.* found that female AN patients had lower amounts of total bacteria and obligate anaerobes as well as lower levels of acetate and propionate in their faeces when compared to normal-weight, age-matched female participants [49]. Additionally, Borgo *et al.* performed a cross-sectional stool sample analysis using 16S rRNA gene sequencing in 15 AN-patients and 15 age and sex matched controls [50]. The authors found that that phylogenetic richness and diversity were not different whereas the microbial community structures were distinct between the groups. The phylum Firmicutes and *Roseburia spp.* along with SCFA (butyrate and propionate) were lower in AN patients in comparison to controls. The archaeon *M. smithii* was higher in AN patients if detected (found in 30% of AN patients) than in controls. The group found various correlations between microbial species, metabolic parameters, SCFA, BMI and psychometrics. A longitudinal study analyzed the daily changes on the gut microbiota using 16S rRNA gene sequencing in 3 AN patients. The group reported patient-specific changes in microbial composition and diversity in the course of weight gain [51].

Kleiman *et al.* [52] and Mack *et al.* [53] performed longitudinal stool sample analyses using 16S rRNA gene sequencing (Kleiman *et al.*: Roche 454 Life Sciences Genome Sequencer; Mack *et al.*: Illumina MiSeq instrument). Kleiman *et al.* [52] investigated the relationship between mental health and gut microbiota in a small sample of female AN patients before (n=16) and after weight gain (n=10) in comparison to 12 healthy age-matched female controls. The mean age of AN-patients was 28±11.7 years, the mean BMI before weight gain was 16.2±1.5 kg/m<sup>2</sup> and after weight gain 17.4±0.9 kg/m<sup>2</sup>, thus the BMI had increased by mean 1.2 BMI points. The period of treatment was not reported. The study reported that microbial richness was lower in AN patients before and after weight gain in comparison to controls, however no differences were observed in AN patients during the course of weight gain. Beta diversity showed that the samples of AN-patients were more similar after weight gain than before weight gain. Global tests also revealed differences in the phylum and genus levels for AN patients before and after weight gain, whereas univariate tests did not show differences at phylum level and at genus level only *Ruminococcus spp.* increased with weight gain. In comparison to controls, AN patients before weight gain did not differ at phylum level, but after weight gain there was a trend towards a lower relative abundance of Bacteroidetes and higher abundance of Firmicutes (false discovery rate=0.11). At genus level, the relative abundance of *Coriobacteriales spp.* was lower and *Faecalibacterium spp.* and *Anaerostipes spp.* were higher in AN patients before weight gain. After weight gain, the difference in Coriobacteriales was still observed and the relative abundance of *Ruminococcus spp.* was higher in comparison to controls. The authors also reported that the microbial composition and diversity were associated with

mental health. It should be considered that due to the small sample size and the accompanying limited statistical power, differences within and between the study groups may have remained undetected.

Mack *et al.* [53] analysed the stool samples of female AN patients at the beginning (n=55) and at discharge (n=44 paired samples) of an inpatient stay compared to 55 healthy, age-matched female control participants (NW). The mean age of the patients was 23.8±6.8 years, the BMI before weight gain was 15.3±1.4 kg/m<sup>2</sup> and after weight gain was 17.7±1.4 kg/m<sup>2</sup>. Thus, the mean BMI increase was 2.3±1.2 kg/m<sup>2</sup>. The mean time interval of treatment was 14±6.8 weeks. The authors report that gastrointestinal symptoms were not only present at the beginning of treatment but also remained present at the end of therapy, suggesting that the gastrointestinal tract had not fully recovered after three months inpatient treatment. Before weight gain, AN patients had similar microbial richness and evenness to NW participants. The authors suggested that the observed normal fibre intake in comparison to NW participants, and the normal proportion of energy derived from macronutrients are critical for the inconspicuous alpha diversity observed. Before weight gain AN patients at phylum level had lower levels of Bacteroidetes and higher levels of Actinobacteria and Verrucomicrobia in comparison to NW participants. At genus level, Mack *et al.* found higher levels of mucin-degrading (*e.g.* Verrucomicrobia, *Bifidobacteria*, *Anaerotruncus*) and protein degrading taxa (*e.g.* Clostridium cluster I and XI) in AN patients, whereas levels of carbohydrate degraders (*e.g.* the key butyrate-producing *Roseburia spp.* and *Gemminger spp.*) were lower in comparison to NW participants. In addition, gas chromatography revealed higher levels of BCFA (markers for protein fermentation) and lower relative butyrate levels in the faeces of AN patients in comparison to NW participants. The authors concluded that fibre and endogenous host and microbe-derived proteins (*e.g.* bacterial secretions, lysis products, mucosal and bacterial cells) and endogenous carbohydrates (*e.g.* mucins being glycoproteins) were most likely the main substrates nourishing the gut microbiota. Gastrointestinal symptoms, which are linked to slow colonic transit times offered an ecological niche for mucin degraders. In the course of weight gain and exposure to a high-energy, high-fibre diet, symptoms linked to slow colonic transit times also improved. Interestingly, the archaeon *Methanobrevibacter smithii* was only detected in 20% of the participants, but in those patients where it was detected, the relative abundance was higher before weight gain. In line with the observed taxonomic changes, Mack *et al.* found that the microbial community structure was related to the disease status (AN patients versus NW participants) and to a lesser extent to age. Moreover, the community structure was also different between AN patients with the restrictive subtype versus those with the binge-purge subtype, a finding which reflects the different feeding behaviour styles of the patients. After weight gain, species richness increased but not when compared to NW participants. The diversity of species (Shannon index) was even higher in AN patients when compared to NW participants. Upon weight gain, the relative abundances of the phyla Bacteroidetes and Verrucomicrobia decreased whereas Firmicutes and Actinobacteria

increased. At genus level, no differences in carbohydrate utilizing taxa (*Roseburia spp.*, *Gemminger spp.*) were observed between AN patients and NW participants. *Ruminococcus spp.* increased, which may reflect the increased amount of fibre and resistant starch in the diet. Mucin degraders (*Akkermansia spp.* and *Anaerotruncus spp.*) decreased. Interestingly, *Bifidobacteria* were present in the core microbiome of AN patients but not of NW, implying that the vast majority of AN patients had specific bifidobacterial taxa in their microbiome in contrast to NW participants. After weight gain, the *Bifidobacteria spp.* difference compared to NW participants became even larger, suggesting that the already established bifidobacteria had benefitted from the diet-derived carbohydrates. The butyrate proportion normalized after weight gain but the BCFA concentrations were still higher in AN patients compared to NW participants, suggesting that protein fermentation still played an important role.

Overall, few studies on the gut microbiota of AN patients have been published to date, and the studies that have been conducted have applied different technologies and vary in scope. Additionally, the small sample sizes in most of the studies may limit the conclusions drawn from those studies. However, it appears that the microbiota in AN, similarly to obesity, differs in comparison to healthy, NW populations. Regarding microbial richness, Kleiman *et al.* [52] found differences between AN patients versus controls whereas Mack *et al.* [53] and Borgo *et al.* [50] did not. In contrast to Kleiman *et al.*, Mack *et al.* found an increase of richness and evenness with weight gain. All three studies reported differences regarding microbial taxa at phylum and genus level between controls and AN patients. The finding of Mack *et al.*, that the phyla Firmicutes increased, whereas Bacteroidetes decreased during the course of weight gain, was also observed as trend by Kleiman *et al.* Additionally, the increased levels of BCFA observed by Mack *et al.* were observed as a clear trend by Morita *et al.* [49], thus supporting that protein degradation of gut microbiota may be increased in AN. However, Borgo *et al.* [50] did not make this observation. Nevertheless, all three authors found that the SCFA profile and/or abundance was different in AN patients compared to controls. Both, Borgo *et al.* and Mack *et al.*, observed low abundances of *Roseburia spp.* in AN patients in comparison to controls which they linked to the low butyrate levels observed in these patients. Three studies [47, 50, 53] found higher abundances of *M. smithii* in AN patients in comparison to controls, with the limitation that two studies [50, 53] reported that only a small subgroup of participants harboured this archaeon. Finally, *Ruminococcus spp.* benefited from the diet during weight gain in AN as observed by Mack *et al.* and Kleiman *et al.*

### 3.5. The Gut Microbiota During Food Restriction in other Vertebrates

As mentioned previously, AN, which can also be described as a chronic fasting period, is accompanied by gastrointestinal complaints and several symptoms are linked to decreased colonic transit times [53]. A change in the gastrointestinal transit time itself may cause altered microbial community structures in the gut [54]. In addition to stool

frequency, stool consistency changes in periods of fasting. For example Sonoyama *et al.* described that wet weights of cecal contents were higher in torpid hamsters than in active hamsters. Additionally, the caecal contents in fasted hamsters were more fluid compared to fed and torpid hamsters [55].

Starvation of vertebrates can be studied in active and non-active states. Depriving animals of food intake or studying penguins at moult are active states, whereas hibernation is a state of inactivity. The presented studies analyzed either the faeces or the content of gut compartments after sacrificing the animal. If an animal was sacrificed and the caecum was the location of microbial turnover and not the colon (*e.g.* mice and squirrels), some studies may have analyzed the microbial content of the caecum only. An overview of the studies, which performed 16S rRNA analyses, is provided in Table 2.

#### 3.5.1. Fasting

Early studies using microscopy and culture-based techniques described gastrointestinal bacterial shifts upon fasting, for example *in vivo* and *in vitro* studies of the flounder, squid and mouse using culture-based and microscopy techniques revealed that fasting led to bacterial shifts to favour bacterial species with survival mechanisms [56].

##### 3.5.1.1. Mammals

To study AN in animals, the activity based anorexia (ABA) rat-model can be used. In this model Sprague Dawley rats are starved by restricting food access to 23 hours per day and are confined to running wheels except during the one hour exposure to food. These animals become more anorexic than food-restricted control animals (no running wheel provided) due to their decreased food-intake and their high activity-level. Using PCR-denaturing gradient gel electrophoresis and quantitative real-time PCR (based on 16S rRNA), Queipo-Ortuno *et al.* found that food restriction led to higher numbers of Proteobacteria and the archaeon *Methanobrevibacter smithii*, and lower quantities of Actinobacteria, Firmicutes and Bacteroidetes [57].

Chen *et al.* analyzed mice subjected to post-weaning chronic dietary restriction and subsequent refeeding [58] and performed 16S rRNA gene sequencing of the faecal microbiota. The group found that age and diet, but not bodyweight itself were associated with faecal microbiota composition. Characteristic for the animals with dietary restriction was a relative immature faecal microbiota, a phenomenon that was abolished after refeeding. The overall microbial community structure was significantly different in the fasted compared to the *ad libitum* fed mice. Upon refeeding of the fasted mice, the microbial community structure significantly changed compared to mice that were persistently fasted throughout the study period. However, the microbial community structure of refeed mice also still differed significantly from the *ad libitum* fed mice, indicating that the microbiota changed in response to refeeding but did not resume the state of *ad libitum* fed mice. At phylum level, refeeding was accompanied with increased abundances of Bacteroidetes and Proteobacteria and a decreased abundance of Firmicutes.

**Table 2. Overview of vertebrate studies (excluding humans) analyzing the gut microbiota in different states of caloric restriction.**

Author, year	Title of study	Type of study, category and species	Further study characteristics	Characteristics of specimen collection	Methods	Results - CR, RF or HN condition					Firmicutes	Bacteroidetes	Actinobacteria	Proteobacteria	Verrucomicrobia
						Findings	Phylogenetic richness	Phylogenetic diversity	Community structure						
Queipo-Ortuno <i>et al.</i> , 2013	Gut microbiota composition in male rat models under different nutritional status and physical activity and its association with serum leptin and ghrelin levels.	Explorative, food restriction study. Mammal, rat (Rattus rattus).	Activity based anorexia (ABA) rat (n=40) 4 groups, n=10 each group: ABA: food restriction and access to running wheel; Control ABA: food restriction and no access to running wheel; Exercise: fed ad libitum and access to running wheel; Ad libitum: fed ad libitum and no access to running wheel.	Faecal samples immediately collected and stored at -80°C.	PCR-denaturing gradient gel electrophoresis and real-time PCR based on 16S rRNA.	See details to the right.	n.r.	↓CR	Difference between food-restricted and fed state.	CR↓	CR↓	CR↓	CR↑	CR↑	
Chen <i>et al.</i> , 2016	Altered gut microbiota in female mice with persistent low body weights following removal of post-weaning chronic dietary restriction.	Explorative, food restriction study. Mammal, mouse (Mus musculus).	BALB/c mice (n=48) 4 groups, n=12 each group: Ad libitum: fed ad libitum; limited fed (LF): food restriction throughout the study to prevent natural weight gain; LF refed: like LF but than refed; Treated LF refed: like LF but treated with insulin growth factor-1 and refed.	Faecal samples were collected by placing the tubes under the anus at different times before feeding at 10:00.	16 S rRNA based sequencing (MiSeq platform).	See details to the right.	Increased with age, no group differences reported.	Increased with age, no group differences reported.	Age and diet, but not body weight, were associated with gut microbiota composition. RF of fasted mice changed in comparison to fasted mice; refed mice still differed from the ad libitum fed mice.	RF↓	RF↑	RF↔	RF↑	RF↔	

(Table 2) contd....

Author, year	Title of study	Type of study, category and species	Further study characteristics	Characteristics of specimen collection	Methods	Results - CR, RF or HN condition								
						Findings	Phylogenetic richness	Phylogenetic diversity	Community structure	Firmicutes	Bacteroidetes	Actinobacteria	Proteobacteria	Verrucomicrobia
Kohl <i>et al.</i> , 2014	Unique and shared responses of the gut microbiota to prolonged fasting: a comparative study across five classes of vertebrate hosts.	Explorative, food restriction study.	Animals were sacrificed in states of being nourished and of being fasted.	Faecal content was collected from the colon and the caecum (from the former only if possible).	16 S rRNA based sequencing (MiSeq platform).	Phyla distribution across the analyzed species varied considerably.	-	-	Changes to fasting were extremely heterogeneous between the different vertebrates. Most changes occurred in the caecum if anatomically present.	-	-	-	-	-
-	-	Fish, Nile tilapia ( <i>Oreochromis niloticus</i> ).	n=23	-	-	-	CR: Colon↑ Caecum↓	CR: Colon↑ Caecum↓	-	CR: Colon↔ Caecum↔	CR: Colon↔ Caecum↔	CR: Colon↑ Caecum↔	CR: Colon↑ Caecum↔	CR: Colon↑ Caecum↔
-	-	Amphibian, southern toad ( <i>Anaxyrus terrestris</i> ).	n=23	-	-	-	CR: Colon↑ Caecum n.a.	CR: Colon↑ Caecum n.a.	-	CR: Colon↔ Caecum n.a.	CR: Colon↑ Caecum n.a.	CR: Colon↔ Caecum n.a.	CR: Colon↔ Caecum n.a.	CR: Colon↔ Caecum n.a.
-	-	Amphibian, leopard gecko ( <i>Eublepharis macularius</i> ).	n=25	-	-	-	CR: Colon↔ Caecum n.a.	CR: Colon↔ Caecum n.a.	-	CR: Colon↔ Caecum n.a.				
-	-	Bird, Japanese quail ( <i>Coturnix coturnix</i> ).	n=25	-	-	-	CR: Colon↓ Caecum↔	CR: Colon↓ Caecum↔	-	CR: Colon↔ Caecum↔				
-	-	Mammal, mouse ( <i>Mus musculus</i> ).	n=26	-	-	-	CR: Colon↑ Caecum↔	CR: Colon↑ Caecum↔	-	CR: Colon↔ Caecum↔	CR: Colon↓ Caecum↔	CR: Colon↔ Caecum↔	CR: Colon↔ Caecum↔	CR: Colon↔ Caecum↔
Xia <i>et al.</i> , 2014	The intestinal microbiome of fish under CR.	Explorative, food restriction study. Fish, Asian seabass (Lates calcarifer).	Fish (n=12) were either fed normally (n=6) or food-restricted (n=6).	Faecal content was collected across the entire intestine.	16 sRNA based sequencing and real-time PCR.	Most abundant phyla in large intestine different to that of mammals.	CR: ↔	n.r.	Difference between food-restricted and fed state.	CR↔	CR↓	CR↔	CR↔	CR↔

(Table 2) contd....

Author, year	Title of study	Type of study, category and species	Further study characteristics	Characteristics of specimen collection	Methods	Results - CR, RF or HN condition								
						Findings	Phylogenetic richness	Phylogenetic diversity	Community structure	Firmicutes	Bacterioidetes	Actinobacteria	Proteobacteria	Verrucomicrobia
Dhanasiri <i>et al.</i> , 2011	Changes in the intestinal microbiota of wild Atlantic cod <i>Gadus morhua</i> L. upon captive rearing.	Explorative, food restriction study. Fish, Atlantic cod ( <i>Gadus morhua</i> ).	Free-living cod were caught (n=79). Fresh fed fish and starved fish were investigated. Sample size of the groups not clearly reported.	Faecal content and intestinal walls were collected from the intestine.	PCR-denaturing gradient gel electrophoresis based on 16S rRNA.	See details to the right.	CR: ↔	n.r.	No difference between food-restricted and fed state.	n.r.	n.r.	n.r.	n.r.	n.r.
Costello <i>et al.</i> , 2010	Postprandial remodeling of the gut microbiota in Burmese pythons.	Explorative, food restriction study. Reptile, Burmese python ( <i>Python molurus</i> ).	Snakes were fasted for 30 days before RF (n=32).	Faecal content was collected from the large intestine.	16 S rRNA based sequencing (454 platform).	See details to the right.	CR↓	CR↓	Difference between food-restricted and fed state.	CR↓	CR↑	CR↔	CR↔	CR↑
Dewar <i>et al.</i> , 2014	Influence of fasting during moult on the faecal microbiota of penguins.	Explorative, food restriction study. Bird, little penguin ( <i>Eudyptula minor</i> ) and king penguin ( <i>Aptenodytes patagonicus</i> ).	Free-living king penguins (n=12) and little penguins (n=9) during early and late moult were investigated.	A sterile Copan E-swab was inserted into the cloaca. Samples transferred into amine solution for DNA preservation and stored at -20°C in the field and subsequently at -80°C.	16 S rRNA based sequencing (454 platform).	See details to the right.	n.r.	n.r.	Difference between early and late moult in both, little and king penguin.	King penguin: CR↔ Little penguin: CR↓	King penguin: CR↓ Little penguin: CR↑	King and little penguin: CR↔ Little penguin: CR↔	King penguin: CR↓ Little penguin: CR↔	King and little penguin: CR↔
Sonoyama <i>et al.</i> , 2009	Response of gut microbiota to fasting and HN in Syrian hamsters.	Explorative, food restriction and HN study.	Hamsters (n=18) were fed active nonhibernating (n=6), fasted active nonhibernating (n=6) and hibernating (n=6).	Faecal content was collected from the caecum.	PCR-denaturing gradient gel electrophoresis and real-time PCR based on 16S rRNA.	Fasting in the active versus the inactive state have large impact on the cecal microbiota.	n.r.	n.r.	n.r.	CR↓	CR↔	CR↔	CR↑	CR↑ HN↔

(Table 2) contd....

Author, year	Title of study	Type of study, category and species	Further study characteristics	Characteristics of specimen collection	Methods	Results - CR, RF or HN condition					Firmi-cutes	Bacte-riotes	Actino-bacte-ria	Proteo-bacteria	Verruco-microbia
						Findings	Phylo-genetic richness	Phylo-genetic diversity	Commu-nity structure	CR↔					
Weng <i>et al.</i> , 2016	Functional analysis for gut microbes of the brown tree frog (Polypedates megacephalus) in artificial HN.	Explorative, HN study. Amphibian, brown tree frog (Polypedates megacephalus).	Frogs collected in the wild (n=39) at different seasons: fall (n=12), winter (n=18), spring (n=6). Artificial HN was implemented in 3 frogs.	Faecal content was collected from the large intestine.	16 S rRNA based sequencing (454 platform).	See details to the right.	HN↓	HN↓	Similar between frogs at different season, difference between HN and other frogs. not specifically reported	HN↓	HN↔	HN↔	HN↔	CR↔	
Carey <i>et al.</i> , 2013	Seasonal restructuring of the ground squirrel gut microbiota over the annual HN cycle.	Explorative, HN study. Mammal, 13-lined ground squirrel (Ictidomys tridecemlineatus)	Free-living Squirrels were caught (n=6). Pups (n=40) were used for experiments and artificial HN was induced .	Faecal content was collected from the caecum.	16 S rRNA based sequencing (454 platform).	See details to the right.	HN↓	HN↓	Difference between HN and active state.	HN↓	HN↑	HN↔	HN↑	HN↑	
Dill-McFarland <i>et al.</i> , 2014	HN alters the diversity and composition of mucosa-associated bacteria while enhancing antimicrobial defence in the gut of 13-lined ground squirrels.	Explorative, HN study. Mammal, 13-lined ground squirrel (Ictidomys tridecemlineatus).	Free-living Squirrels were caught (n=5). Pups (n=19) were used for experiments and artificial HN was induced.	Rinsed caecum was frozen and stored at -80°C	16 S rRNA based sequencing (454 platform).	Mucosal microbiota remained relatively stable across the annual cycle.	HN↓	HN↓	Difference between HN and active state.	HN↓	HN↑	HN↔	HN↔	HN↑	
Stevenson <i>et al.</i> , 2014	Effects of season and host physiological state on the diversity, density, and activity of the arctic ground squirrel cecal microbiota.	Explorative, HN study. Mammal, arctic ground squirrel (Urocitellus parryii)	Free-living Squirrels were caught across the annual cycle (n=44)	Faecal content was collected from the caecum.	16s rRNA based sequencing (454 platform).	See details to the right.	HN↓	HN↓	Difference between HN and active state.	HN↓	HN↑	HN↔	HN↑	HN↑	

(Table 2) contd....

Author, year	Title of study	Type of study, category and species	Further study characteristics	Characteristics of specimen collection	Methods	Results - CR, RF or HN condition								
						Findings	Phylogenetic richness	Phylogenetic diversity	Community structure	Firmicutes	Bacteroidetes	Actinobacteria	Proteobacteria	Verrucomicrobia
Sommer et al., 2016	The Gut Microbiota Modulates Energy Metabolism in the Hibernating Brown Bear <i>Ursus arctos</i> .	Explorative, HN study. Mammal, Eurasian brown bear ( <i>Ursus arctos</i> )	Wild bears were tracked with global positioning system collars and anesthetized in winter (n=16) and summer (n=8). N=8 paired samples.	Faecal samples were collected directly from the rectum and immediately frozen at -80°C.	16 S rRNA based sequencing (MiSeq platform).	See details to the right.	HN↓	n.r.	Difference between HN and active state.	HN↓	HN↑	HN↓	HN↔	HN↓

CR=caloric restriction, HN=hibernation,RF=refeeding, n.a.=not applicable, n.r.=not reported, ↓=decrease, ↑=increase, ↔=no change.

The abundances of *Ruminococcus spp.*, *Oscillospria spp.*, *Coprococcus spp.*, and *Adlercreutzia spp.* were decreased and *Sutturella spp.* increased in the refed animals.

**3.5.1.2. Fish**

The intestinal gut-microbiota of eight day long fasted Asian seabass (*Lates calcarifer*, fish) was analyzed by 16S rRNA gene sequencing and revealed that Proteobacteria, followed by Firmicutes and Bacteroidetes were the most abundant bacterial taxa [59]. Thus, the distribution of the most abundant phyla in the large intestine is different to that of mammals. Starvation led to only minor shifts of microbial richness but at community level large shifts were observed. At phylum level, Bacteroidetes was decreased and Firmicutes increased during fasting.

Another study analyzed the intestinal microbiota in wild Atlantic cod (*Gadus morhua*) using counting techniques and PCR-denaturing gradient gel electrophoresis (based on 16S rRNA) after five weeks starvation starvation upon captive rearing [60]. They found that the counts of intestinal microbes were similar in the starved versus the non-starved and wild-caught Atlantic cod. Moreover, starvation had not affected the microbial population structure in comparison to the wild-caught Atlantic cod. In contrast, the microbial population differed between the fed-group versus the wild-caught Atlantic cod group, suggesting that the provided fish feed was responsible for this change.

**3.5.1.3. Reptile**

One animal model used to study starvation and refeeding at physiological conditions are snakes, which belong to the reptiles of the vertebrate class [61]. The gut microbiota was studied in Burmese pythons (*Python molurus*) under laboratory conditions by Costello et al. using 16S rRNA gene sequencing. Similarly to mammals, Firmicutes and Bacteroidetes

were the most abundant phyla. The fasted snakes displayed decreased microbial richness and Faith's phylogenetic diversity, increased abundances of Bacteroidetes and decreased abundances of Firmicutes in the large intestine. At genus level, *Bacteroides spp.*, *Rikenella spp.*, *Synergistes spp.* and *Akkermansia spp.* were increased. Refeeding not only increased the bacterial community diversity and species richness but also changed the ratio of Firmicutes and Bacteroidetes dramatically.

**3.5.1.4. Birds**

Dewar et al. analyzed the effect of fasting on the faecal microbiota during moult of penguins [62]. These animals have to survive long periods of starvation during increased metabolic demands for thermoregulation and feather synthesis. The most abundant phyla in little penguins (*Eudyptula minor*) were Firmicutes, Proteobacteria, Bacteroidetes and Actinobacteria whereas in king penguins (*Aptenodytes patagonicus*) the phyla Proteobacteria, Firmicutes, Fusobacteria and Bacteroidetes dominated. In little penguins, the phylum Bacteroidetes increased during starvation whereas in the king penguin it was the phylum Proteobacteria. Interestingly, the microbial community structure during early and late moult in king penguins differed dramatically, whereas in little penguins only moderate differences were observed.

**3.5.1.5. Mammal, Bird, Amphibian and Fish**

Kohl et al. analyzed the influence of 20% to 30% body weight loss under laboratory conditions on the gut microbiota of the Nile tilapia (*Oreochromis niloticus*, fish), southern toad (*Anaxyrus terrestris*, amphibian), leopard gecko (*Eublepharis macularius*, amphibian), Japanese quail (*Coturnix coturnix*, bird) and the mouse (*Mus musculus*, small mammal) [63]. 16S rRNA gene sequencing revealed that the phyla distribution across the analyzed species varied considerably. Richness and phylogenetic diversity of the colonic

microbiota increased with fasting in tilapias, toads and mice, whereas no difference was observed in geckos, and a decrease was observed in quails in comparison to nourished animals. In contrast, in the caecum the microbial richness and Faith's phylogenetic diversity decreased in tilapias and no differences were observed for mice and quails at late-fasting versus nourished animals. The caecum of the gecko and toad was not analyzed as the caecum is generally either missing or extremely small in amphibians. After fasting the phylum levels in the colon had not changed in the gecko and the quail, but Bacteroidetes had increased in the toad and the mouse, and Tenericutes decreased in mice only. The microbiota of the colon in tilapia changed dramatically upon fasting with increased levels of Proteobacteria, Actinobacteria, Verrucomicrobia and Planctomycetes. Interestingly, in the caecum of tilapia, quail and the mouse no such differences were observed. Overall, the changes to fasting were extremely heterogeneous between the different vertebrates. Shared responses of toads, geckos, quail and mice in the colon were the reduced levels of Coprococcus and Ruminococcus. In the caecum of tilapia, quail and mouse a reduction in Lactobacillus and Prevotella and an increase in Oscillospira was observed upon fasting. This study elegantly highlights the inter-species and inter-compartmental variation in the gut microbiota during the nourished and the energy-restricted state.

### 3.5.2. Hibernation

Microbial shifts in the intestinal tracts of different hibernating animals such as the leopard frog [64, 65] or the 13-lined ground squirrel [66, 67] have been described using culture-based techniques for many years. In the following, we will only present studies which are based on 16S rRNA gene analyses of the gut microbiota.

#### 3.5.2.1. Amphibian

The effect of hibernation on the large intestinal microbiota of the brown tree frog (*Polypedates megacephalus*) was analyzed using 16S rRNA gene sequencing under laboratory conditions [68]. In comparison to controls, hibernation was associated with a decreased microbial richness and diversity. At phylum level, the abundance of Firmicutes decreased during hibernation. Interestingly, *Citrobacter spp.*, an opportunistic pathogenic genus increased in this state of starvation.

#### 3.5.2.2. Small and Large Mammals

The cecal microbiota of 13-lined ground squirrel (*Ictidomys tridecemlineatus*) was analyzed over the annual hibernation cycle under laboratory conditions using 16S rRNA gene sequencing and gas chromatography [69]. The most abundant phyla were Bacteroidetes, Firmicutes and Verrucomicrobia. Microbial richness and phylogenetic diversity were lowest in late winter hibernators and the microbial community structure was clearly related to season. The hibernators had a lower abundance of Firmicutes and higher levels of Bacteroidetes and Verrucomicrobia (for the latter only *Akkermansia spp.*). Interestingly, *Lactobacillus spp.* were completely depleted in late winter hibernators. The production of SCFA was dramatically decreased during hibernation. Similar results were reported by another group, which analyzed

the structure of the mucosal bacteria community in 13-lined ground squirrels [70]. However, the authors note that although the mucosal microbiota remained relatively stable across the annual cycle, it responded to the changes in substrates.

The influence of the annual cycle (including hibernation) on the caecal microbiota of the arctic ground squirrel (*Urocitellus parryii*) was also analyzed under laboratory conditions [71]. Hibernation led to a decrease of microbial richness and phylogenetic diversity. The caecal microbial community of squirrels clustered tightly during summer, as indicated by the unweighted Unifrac beta-diversity indice. This demonstrates high inter-individual similarity and low dispersion between animals whereas the dispersion was high at posthibernation. The most abundant phyla were Bacteroidetes and Firmicutes, similar to humans. Hibernation led to a shift towards a decrease in Firmicutes and an increase in Bacteroidetes, Verrucomicrobia and Proteobacteria. SCFA decreased during hibernation. It is important to note that the changes observed in diversity and composition of the caecal microbiota were not reversed immediately after hibernation.

Sommer *et al.* investigated the faecal microbiota of free-ranging Eurasian brown bears (*ursus arctos*), which are large mammal hibernator [72]. The most abundant phyla were Proteobacteria, Firmicutes, Bacteroidetes and Actinobacteria. The gut microbial diversity was lower during the hibernation period and the microbial community structure was clearly linked to season (summer/active animal versus winter/hibernating animal). In the hibernating period, the abundances of Actinobacteria and Firmicutes were lower whereas Bacteroidetes was higher in comparison to the active/feeding summer phase. Verrucomicrobia (*Akkermansia spp.*) were not higher in hibernating animals. Finally, the group performed additional analyses by colonizing germ-free mice with bear microbiota. They found that animals inoculated with summer bear faecal microbiota tended to gain more weight and had increased adipose tissue. Glucose metabolism was similar in the animals and was even improved in the "summer bear microbiota" mice.

#### 3.5.3. Fasting Versus Hibernation

Finally, one study analyzed the differences between fasting and hibernation in the syrian hamster (*Mesocricetus auratus*). Using flow cytometry, Sonoyama *et al.* showed that the caecal total bacterial count was lower in fasted animals compared to torpid or fed hamsters [55]. Additionally, the count of viable cells was lowest in fasted animals and highest in torpid animals. SCFA analyzed with high-performance liquid chromatography were decreased in torpid animals when compared to fed animals, and dramatically low levels were observed in fasted hamsters. Using PCR-denaturing gradient gel electrophoresis and quantitative real-time PCR (based on 16S rRNA), they found that the class Clostridia of the phylum Firmicutes was the most abundant taxonomic group. Fasting in the animals led to a shift towards a decrease in Firmicutes, a massive increase of Verrucomicrobia (*Akkermansia spp.*) and Proteobacteria. This study shows that fasting in the active state versus fasting in the inactive state results in large differences for the gut microbiota.

Overall, fasting in animals resulted in increased, decreased and unchanged gastrointestinal microbial richness and diversity, and depended on the gastrointestinal compartments. If the overall microbial community structure was reported for the different states of feeding, most studies reported clustering according to the feeding state except one study. With respect to the variation in specific microbial taxa, the findings for the relative abundances of the phyla Firmicutes and Bacteroidetes upon starvation and refeeding were inconsistent. However, if a change in the phyla Verrucomicrobia (or the genus *Akkermansia spp.*) or Proteobacteria was reported, an increase was observed upon starvation. In the toad, gecko, quail and the mouse *Ruminococcus spp.* was decreased in the colon during starvation, however one study reported for mice a decrease of *Ruminococcus spp.* upon refeeding. Only one study reported a role of the archaeon *Methanobrevibacter smithii* and found this taxon to be increased upon starvation.

In contrast to the conflicting results found for the gastrointestinal and faecal microbiota in fasting studies, the findings for hibernating animals were rather consistent. If reported, a decrease of microbial richness and diversity was observed in the hibernating animal in comparison to the active animal. The microbial community structure was linked to the season (hibernation versus active period). Hibernation was also linked to decreased relative abundances of Firmicutes whereas Bacteroidetes and Verrucomicrobia were increased or unchanged with the exception of Sommer *et al.* where Verrucomicrobia were significantly decreased during winter.

### 3.6. Similarities and Differences between the Gut Microbiota in Humans with Eating Disorders and other Vertebrates

Keeping in mind that i) the morphology of the gastrointestinal tract and ii) the food and living schemes differ substantially between vertebrates and within vertebrate classes (*e.g.* mammals) [73], it is clear that the gastrointestinal microbiota has needed to adapt to these different habitats. Thus, it is not surprising that the results of our reviewed studies are rather heterogeneous. The aim to determine similarities and differences between the gut microbiota in humans with AN in comparison to other vertebrates subjected to fasting periods is somewhat difficult since i) only few studies have been published for AN in this field and ii) the results of the fasting studies for other animals were heterogeneous. One explanation for this could be that the gastrointestinal microbiota is extremely species- and even strain- specific (when looking at the characterization of the germ-free animals) and/or that the environmental conditions during the fasting experiments bias the results (*e.g.* environmental temperature, activity or resting of the animal, dietary intake). An argument against an overall species specificity is that the gut microbiota at hibernation of amphibians and mammals was rather homogeneous. Here the environmental conditions were overall constant and controllable.

The fasting studies show that caloric restriction (except during hibernation) does not necessarily lead to a loss of gut microbial richness and diversity. Turnover of microbes adapting to the specific situations of fasting and/or refeeding

is imperative, which explains how generally the microbial community structures were linked to the states of feeding. Thus, conflicting results in microbial richness observed by Kleiman *et al.* versus Mack *et al.* and Borgo *et al.* may simply reflect environmental differences. The directions of change observed for the phyla Firmicutes and Bacteroidetes in AN patients may be specific since the results in the fasting animal studies were heterogeneous, and reported opposite directions in hibernating animals. However, due to the few studies published for AN, this is mere speculation at the present time. Additionally we have to consider that, at least for mice and humans, the Firmicutes to Bacteroidetes ratio should not be compared since it is well known that both species harbor a very different faecal microbial community in terms of composition and function [74]. Regarding the phylum Verrucomicrobia (*Akkermansia spp.*) Mack *et al.* found high levels in AN patients before weight gain, a finding which appears to be unspecific to AN itself, since many of the fasting and hibernation studies also reported high abundances for this phylum. *Akkermansia spp.* have an advantage in fasting periods since they are typical mucin-degraders [75]. The finding of Kleiman *et al.* and Mack *et al.* that *Ruminococcus spp.* increases with weight gain is in line with the fasting studies in other vertebrates, which show a decrease upon fasting, except for one study. *Ruminococcus spp.* are involved in the degradation of fiber and resistant starch [76, 77].

The role of the gut microbiota and CNS function was not tested in any of the studies, but this was not within the scope of the systematic literature search. However, Kleiman *et al.*, and Borgo *et al.* found associations with mood and the gut microbiota. There is no clear evidence that allows us to draw the conclusion that the gut microbiota influences the psyche of AN patients. Nevertheless, an important communication link between gut bacteria and the host mucosa (and thus the gut-brain axis) are metabolites and low weight molecular compounds (*e.g.* SCFA) [78]. Since the amounts and/or proportions of faecal SCFA were consistently different in AN patients compared to controls, it is tempting to speculate that the gut microbiota of AN patients impacts on central nervous functions.

Finally, we asked whether – in the case that the gut microbiota in AN is specific – this would have functional consequences for the host. Since we cannot answer the first question fully, we are far away from answering this key question.

### CONCLUSION

We found that the complexity of the relationship between fasting and the gut microbiota in humans with AN and other vertebrates is difficult to interpret. Considering that AN has the highest mortality rate of all mental disorders and only 50% of AN patients fully recover in the long-term [79], alternative therapies in addition to the well established psychotherapies are needed for better outcomes. The finding of decreased abundances of the butyrate producing *Roseburia spp.* in combination with reduced butyrate levels in AN could provide an interesting target to modulate the gut microbiota. Future opportunities include further investigations of *Roseburia spp.* as a probiotic, as well as other established probiot-

ics such as *Lactobacilli spp.* and *Bifidobacteria spp.*, which can increase the abundance of *Roseburia spp.* via cross-feeding [80]. Modulating the gut microbiota could support therapy by improving the nutritional status and/or common side effects such as gastrointestinal symptoms or psychological disease. A deeper biological understanding will help to find promising approaches for the modulation of the AN gut microbiota.

## CONSENT FOR PUBLICATION

Not applicable.

## CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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