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A data-driven approach for the identification of features for automated feedback on academic essays

Mohsin Abbas, Peter van Rosmalen, and Marco Kalz

Abstract—For predicting and improving the quality of essays, text analytic metrics (surface, syntactic, morphological and semantic features) can be used to provide formative feedback to the students in higher education. In this study, the goal was to identify a sufficient number of features that exhibit a fair proxy of the scores given by the human raters via a data-driven approach. Using an existing corpus and a text analysis tool for the Dutch language, a large number of features were extracted. Artificial neural networks, Levenberg Marquardt algorithm and backward elimination were used to reduce the number of features automatically. Irrelevant features were eliminated based on the inter-rater agreement between predicted and human scores calculated using Cohen’s Kappa (κ). The number of features in this study was reduced from 457 to 28 and grouped into different categories. The results reported in this paper are an improvement over a similar previous study. Firstly, the inter-rater reliability between the predicted scores and human raters was increased by tweaking the corpus for overfitting for average scores. The resulting maximum value of κ showed substantial agreement compared to moderate inter-rater reliability in the prior study. Secondly, instead of using a dedicated training and test set, the training and testing phases in the new experiments were performed using k-fold cross validation on the corpus of texts. The approach presented in this research paper is the first step towards our ultimate goal of providing meaningful formative feedback to the students for enhancing their writing skills and capabilities.

Index Terms—Natural Language Processing, Artificial Neural Networks, Levenberg Marquardt, Backward Elimination, Dimensionality reduction, Feature selection, Feature reduction, k-fold Cross Validation

I. INTRODUCTION

IN academic environments, text-based assignments are an important form of assessment to judge learners’ progress. On these assignments, individual feedback to learners is required for improvement of their performance and enhancement in learning. Such feedback, known as formative feedback, makes the learning environment more conducive for learners [1]. Formative assessment also supports self-regulated learning to enrich the learning experience [2].

Instead of relying solely on teacher-provided feedback, learners can participate in activities to promote self-regulation

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[3]. Technology can assist here by providing feedback as input to these activities. By using technology, students do not need to wait for teachers to provide feedback, rather, they can add further details, start thinking in different directions or completely change the course of study based on the feedback given to them [4]. Both for on-campus and on-line students, teacher-provided feedback is a time-consuming task and it takes a considerable amount of a teacher’s time to provide feedback on textual assignments [5]. Providing feedback to the learners immediately is not possible because reading and analyzing written material requires a lot of effort. For this purpose, several technological solutions have been researched and developed to support the process of providing feedback to learner’s texts and textual assignments. As an alternative to teacher-provided feedback, another widely studied topic is peer feedback for improving quality of one’s writing [5]–[10]. Further, due to the coronavirus pandemic, educational institutions have been forced to abandon on-campus education and are trying to continue the learning process through online education [11]–[13]. This has had an impact on both students and teachers [13]. For this reason, a system that provides automatic feedback to the students is essential to combat such situations in the future.

Concerning technology based approaches, PEG (Project Essay Grade) built in the 1960s by Ellis Page, is regarded as the very first system to use computers for assessment tasks [14]. The system automatically graded essays while the scores given by PEG were comparable with the scores given by human judges. The correlation scores between the human graders and PEG varied between 65% to 71%. The reduction in workload of the teachers is one of the motivations of our work, similar to PEG. MI-Write, the current version of PEG [15] provides automated essay scoring along with immediate feedback on texts through recommendations on how to improve the scores. IntelliMetric [16] another early Automatic Essay Scoring (AES) system used artificial intelligence to score essays. IntelliMetric calculated more than 300 discourse, semantic and syntactic features to give a final score based on coherence, organization, elaboration, sentence structure and overall mechanics of the essay [17]. Another approach that focused more on content was introduced with Latent Semantic Analysis [18], a technique that can be used to find the similarity among texts. A plethora of applications have been developed that apply latent semantic analysis for learning [19]–[21]. Similar work was done in Pearson’s Intelligent Essay Assessor (IEA) [17]. Final scores in IEA were based on the correlation of unknown essays to a pool of already existing and scored essays. In English language, Educational Testing Services (ETS) has developed E-rater [22] to automatically

score GMAT essays. In order to provide scores, E-rater uses a huge corpus of graded responses to train its system. The first version of E-rater used approximately 50 features with an agreement of 0.87 to 0.94 between the system and expert readers' scores on GMAT essay prompts [23]. In the newer version of E-rater (version 2.0), 12 more features were added with a kappa (κ) value of 0.58 [22]. Despite the existence of these systems, there is still a need to further elaborate these systems, in particular, on the kind and quality of the feedback they give to help students to improve their writing. In addition, to develop approaches to make them less dependent on huge corpora and to extend their reach to languages other than English.

For the development of these systems, one of the critical questions is, which textual features are most important for automated feedback and how these features can be identified. The textual features (surface, syntactic, morphological and semantic features) that contribute the most in predicting the quality of students' texts can be extracted using machine learning techniques to provide formative feedback to the students. Once a limited number of features has been calculated, a final selection can be made in cooperation with teachers and students to determine the most useful features to provide meaningful actionable feedback.

Several approaches for feature selection exist. In a study [24], an automatic linguistic and textual feature extraction tool Coh-Matrix [25] was used to select the features required to predict the essay quality; this selection was based on the highest values of Pearson correlation of features compared to scores given by human raters. Writing-Pal [26], an Intelligent Tutoring System, also uses features selected from Coh-Matrix using statistical procedures [27]. Features were selected in another study [28] using Principal Component Analysis and the effectiveness of chosen features was analyzed for providing formative feedback to the writers. Feature selection techniques in text mining using deep learning have been reviewed in [29].

Several existing text analysis tools can calculate a huge number of textual features against input texts. ReaderBench [30] is an open source framework that makes use of natural language processing techniques to provide text-analysis tools. The framework is multilingual [31] – text analysis tools are available in Dutch, French, Romanian and English. Readerbench provides more than 200 textual complexity indices related to linguistic features of the text including surface, syntactic, morphological, semantic, and discourse features. Using ReaderBench, research to choose features that contribute the most towards the scores given by human raters has already been conducted for the French language [32]. That research uses a different approach, namely Discriminant Function Analysis. T-scan [33], [34] is a Dutch language analysis tool that calculates more than 400 text features which can be used for lexical and syntactic analysis. T-Scan derives its features from tools such as Alpino parser [35] and Frog [36]. To date, no research is available that has identified in a data-driven manner the metrics for automated essay feedback for the Dutch language. Thus, the goal of our research is to find the text analytic metrics (surface, syntactic, morphological and semantic features) that can be used to predict the quality

of student's essays in the Dutch language. Students may feel overwhelmed if all possible indicators are shown to them and in addition, only a few indicators could have sufficient predictive power to provide automated feedback on essays written by students. Therefore, the idea is to identify a small number of features that are required to provide meaningful feedback. Machine learning algorithms such as Neural Networks can be used to create models using a corpus of scored texts and subsequently backward elimination [37] can be used to choose those features which are the most meaningful ones.

In one of our previous studies [38], we reported a set of text metrics that may be used to provide formative feedback. The analysis was done by calculating more than 457 features against a scored corpus of Dutch students' essays extracted using T-Scan. However, there were certain limitations reported in this experiment. The biggest constraint was the issue of overfitting of the learned models leading to limitations in reliability. The original corpus of scored texts contained 436 scores over representing texts with an average score. The machine learning model depicted the phenomena of overfitting [39] for prediction of scores using the models learned through this corpus. The models worked well for those texts which had an average score. However, the accuracy was reduced dramatically if those scores were predicted which were either well above or below average. The current study extends the approach of the earlier study by avoiding overfitting and thus improving the validity of our results. Secondly, instead of using all features we eliminated those features at the start of the experiment for which either the variance was negligible or the values were not being calculated for texts. Lastly, to expand the training set, instead of using a dedicated training and a test set, the training and testing phases in the new experiments were performed using k-fold cross validation [40] on the corpus of texts.

Following the approach discussed above, the main research questions of this study were:

- 1) Which text analytic metrics (surface, syntactic, morphological and semantic features) can be derived to predict the quality of student essays in the Dutch language?
- 2) Is there any external evidence available that the identified dimensions contribute to essay quality and/or can be used for formative feedback?

In addition, for question 1 following the improvements suggested, we investigate the difference in results with the results of our previous study [38].

This paper is divided into four parts: the methods, approach and the techniques used in the experiment performed are discussed in the next section. After that, the results of the current research are presented. Finally, we discuss the significance of our findings and discuss limitations of the research and conclude implications for future research that can be conducted using our approach.

II. METHODS

The current study explores a data-driven approach to identify textual features and metrics for an essay feedback system for the Dutch language. We have implemented an Automatic

Essay Scoring (AES) methodology to automatically predict the scores against the input texts. Using this methodology, the first research question that is addressed is to identify the text analytic metrics (surface, syntactic, morphological and semantic features) that can be used to predict the quality of student essays in the Dutch language. Prediction models can be created using Natural Language Processing approaches where the input of these models are the features extracted from the texts. The basis of our experimentation is the proposition that the scores obtained in the essays by students can be correlated with the features extracted from those texts.

A. Materials: Corpus preparation

The texts for our research have been taken from CLiPS Stylometry Investigation (CSI) corpus [41]. The CSI corpus was designed for stylometric research purposes such as detection of age, gender, authorship, personality, sentiment, deception, topic, and genre. The goal of the collection of this corpus was to provide a Dutch corpus that is freely available for research to overcome the problems related to the non-disclosure agreements and anonymization problems in the existing corpora. The CSI corpus is licensed under Creative-Commons Attribution Non-Commercial Share-Alike 3.0 (CC BY-NC-SA 3.0); we were therefore allowed to use it freely. The corpus contains a vast amount of meta-data available on the author. For each author, the corpus provides information on age, gender, region of origin, and personality scores on the Big Five Inventory (BFI) scale [42].

The version that we used in our research was assembled in February 2016. This corpus provides 436 essays scored by humans. The raters of these texts were professors teaching the Dutch proficiency course at the University of Antwerp. They were experts in the Dutch proficiency courses for native speakers. The authors of these texts were first-year, and second-year Linguistics & Literature students enrolled in the Dutch proficiency course at the University of Antwerp. These students were Dutch native speakers. There were 333 authors in total. The male authors were 57, while the remaining 276 authors were female. The corpus contains documents of two genres: essays/papers and reviews. In their first year, students had to write a shorter text, here called 'essay'; in their second year, they wrote a longer text called 'paper.' The topics of these assignments were not the same; therefore, the category/topic was different for the students. The reviews were assignments for the students. Our research included documents related to essays and papers only.

The region-wise division of these authors is given below:

- Antwerp (Belgium): 228 (68.5%)
- The Netherlands: 32 (9.6%)
- Oost-Vlaanderen (Belgium): 30 (9%)
- Limburg (Belgium): 18 (5.4%)
- Vlaams-Brabant (Belgium): 13 (3.9%)
- West-Vlaanderen (Belgium): 6 (1.8%)
- Others: 6 (1.8%)

The human raters gave a single score to the essays ranging from 0 to 20. The maximum score obtained in this corpus is 18, whereas, the minimum score is 5. The original corpus

contained a large number of texts with an average score. Using all the texts, the models didn't perform well and were overfitting [38]. The first step therefore was tweaking the corpus of scored texts during the training phase of the machine learning process. We reduced the size of our corpus to 406 by excluding texts which had an average score. The Fig.1 shows a comparison of texts in the original and the ones used in this study.

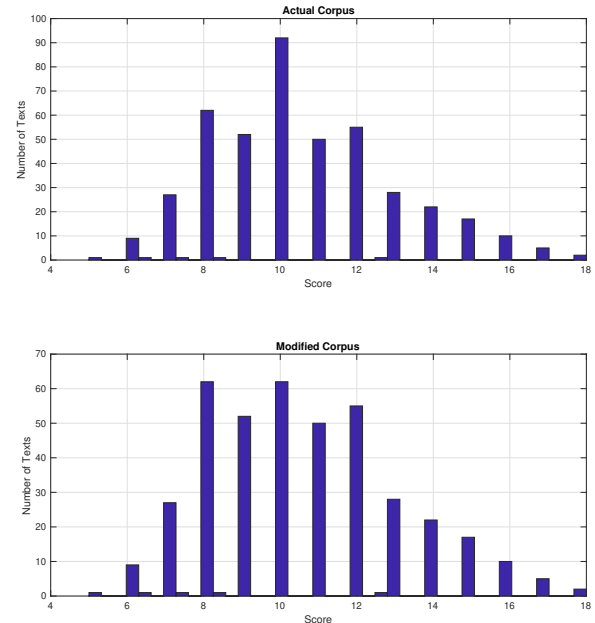


Fig. 1. Comparison between histograms of the actual corpus (top) and the modified corpus (bottom). The histogram at the bottom has flatter peaks compared to histogram at the top.

The collectors of the CSI corpus have not disclosed the rating behaviour; however, we can confidently state that the rating of professors was not based merely on essay length. This is evident from the fact that the essay having 3292 words had a score of 10, while another essay having 327 words had a score of 12. Hence, the essay length did not influence the scoring of the raters. The maximum, minimum, and average length of the texts is given below:

- Maximum Length: 3292 words
- Minimum Length: 327 words
- Average Length: 1126 words

B. Tool: T-Scan for feature extraction

The tool that we used to extract features for our study was T-Scan. The complete form of T-Scan is **Text - Software for Complexity Analysis**. This feature extraction tool provides stylometric features; hence, using the CSI corpus (primarily for stylometric research) in conjunction with T-Scan was a suitable option. T-Scan intends to find features that influence the complexity of the Dutch texts using different tools for calculating its features; these are:

- 1) Frog [36] for tokenization, lemmatization, PoS tagging, and named entity recognition
- 2) Alpino [35] for dependency parsing;
- 3) SoNaR [43] for frequency lists;
- 4) SUBTLEX-NL [44] also for frequency lists;
- 5) Wopr [45] for measuring tri-gram probability, entropy, and perplexity;
- 6) Dutch Reference Document for semantically annotated word lists.

A brief description of the categorization of features calculated using T-Scan is shown in Table I. This classification of features is useful in finding out the effect of features present in each class on the overall score hence indicating the importance of individual classes.

TABLE I
CLASSIFICATION OF T-SCAN FEATURES SHOWING THE NUMBER OF FEATURES PRESENT IN DIFFERENT CATEGORIES.

No.	Class Name	No. of Features
1	General Characteristics	4
2	Word Difficulty	88
3	Sentence Complexity	73
4	Lexical Diversity and Referential Coherence	31
5	Relational Coherence and Situation Model Measures	36
6	Semantic Classes, Concreteness and Generality	128
7	Personal Elements	5
8	Other Lexical Information	76
9	Probability Measures	16
Total		457

The features in the first category relate to **general characteristics** such as the number of paragraphs, sentences, and words in the text. **Word difficulty** features are based on the mechanics of the text in the essays, such as the word frequency and word preferences. Examples of two of such 88 features are the frequency of top 1000 words and the number of letters per sentence. The linguistic complexity of texts is measured in the 73 features the **sentence complexity**. **Lexical diversity and coherence** are essential elements of writing quality; there are 31 features related to this category in T-Scan. **Relational coherence** refers to the connectives of words such as: causal, comparative, constructive, enumerating, and temporal, and the **situation models** are words related to time, space, and emotion. In this class, there are 36 features. **Semantic Classes, Concreteness, and Generality** features sum up to 128 and are related to nouns, adjectives, verbs, and adverbs. These features have been calculated based on the annotations given in the Dutch Reference Document [46]. The following two classes are related to Personal elements and Other Lexical Information. These classes have 5 and 75 features, respectively. The class **personal elements** include features referring to persons (for example, nouns referring to human beings, personal names, etc.) **Other lexical information** has features based on named entity recognition (calculated using Frog [36]), verb characteristics, modal verbs and auxiliary verbs of time, linking verbs, non-conjugated verbs, prepositions, and parts of speech (POS) related features. T-Scan calculates word

probabilities in the category of **probability measures**; these 16 features are computed using Wopr [45].

C. Procedure: Feature selection

The features required as an input for our machine learning models are extracted using T-Scan. Eliminating those features at the start of the experiment for which either the variance was negligible or the values were not being calculated by T-Scan for a majority of texts reduced the number of features calculated by T-Scan from 457 to 382. Further reduction in features was performed by creating machine learning models for automatic prediction of the score of unknown texts written in Dutch language. The experiments in our research consist of the training and testing phases. The neural networks Levenberg Marquardt algorithm [47], [48] was used in both phases. The Levenberg–Marquardt (LM) Algorithm is used to solve nonlinear least squares problems. Instead of the LM algorithm, we could have chosen one of the two iterative algorithms: Gauss-Newton or Gradient-Descent. These curve-fitting methods minimize the sum of squared errors to find the optimal values of a function. Compared to the two, the LM algorithm is slower, but accurate. The LM algorithm is a combination of these two methods where a choice between either of the two methods is made based on the damping parameter (λ) [49]. Levenberg [47] and Marquardt [48] provided a solution to find the local minima of non-linear least squares problems [50].

The algorithm is explained considering the loss function (f) below as a sum of least squared errors (e) for m instances present in data-sets:

$$f = \sum_{i=1}^m e_i^2$$

We define a $m \times n$ Jacobian Matrix with n parameters of the Neural Network for $i = 1, \dots, m$ and $j = 1, \dots, n$:

$$\mathbf{J}_{i,j} = \frac{\partial e_i}{\partial \mathbf{w}_j}$$

A gradient vector of the loss function with \mathbf{e} as vector of errors is computed as:

$$\nabla f = 2\mathbf{J}^T \cdot \mathbf{e}$$

Next, a Hessian Matrix can be approximated using the following expression:

$$\mathbf{H}f \approx 2\mathbf{J}^T \cdot \mathbf{J} + \lambda \mathbf{I}$$

Finally the expression for parameters improvement process for LM algorithm are defined for $i = 0, 1, \dots$:

$$\mathbf{w}^{i+1} = \mathbf{w}^i - (\mathbf{J}^{iT} \cdot \mathbf{J}^i + \lambda \mathbf{I})^{-1} \cdot (2\mathbf{J}^{iT} \cdot \mathbf{e}^i)$$

After each iteration, the Levenberg–Marquardt Algorithm chooses either the gradient descent or Gauss-Newton and updates the solution based on the damping parameter (λ):

- 1) for large values of λ , Gradient-Descent method is used since parameters are far from their optimal value, and
- 2) for $\lambda = 0$ Gauss-Newton method is used as the parameters are close to their optimal value.

For the learning phase of these models, the input features are correlated with the scores given by human raters. Once the learned models have been created, automatic prediction of scores for unknown texts can be done in the testing phase. The performance of these models is measured by finding the inter-rater reliability between the predicted scores and human raters. Cohen’s Kappa (κ) is one such statistic that is used in our research to measure the inter-rater reliability to filter out features which were relatively unimportant.

Backward elimination technique was used to reduce the number of features based on the inter-rater reliability between the predicted scores and the human scores. The corpus was divided into two parts for creating training and testing models. In this technique, texts for training were used and N input features were used to train the Artificial Neural Network models. The experiment was repeated N times, leaving one feature at a time. The feature left out was replaced (put back) for the next iteration, therefore, the algorithm used backward elimination technique with replacement. After each iteration, the value of Kappa was calculated. At the end of N iterations, N values of Kappa were calculated and that feature was eliminated for which the value of Kappa was maximum. The Kappa value being maximum was an indication that even without the feature eliminated, the inter-rater reliability was the best of all the Kappa values calculated, thus, the left-out features had little or no effect on predicting the final score. The features were then reduced by using Backward Elimination Technique which recursively downsized the number of features based on a stopping criterion. The algorithm of backward elimination is shown in the block diagram shown in Fig.2.

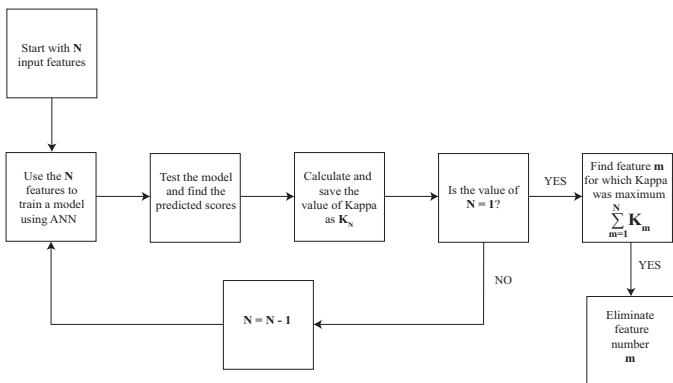


Fig. 2. Backward elimination Algorithm to identify a minimum set of text features.

For increasing the validity of our results during the training and testing phases in the experiments using k -fold cross validation on the corpus of texts with a value of $k = 7$ with 58 texts in each bin. The Fig.3 shows the complete flow of our experiments with backward elimination and k -fold cross validation.

We regard the scores given by the human raters as evidence of essay quality; the greater the score obtained, the higher is the essay quality. The experiment did run until our stopping criteria ($\kappa = 0.2$) was met indicating a slight agreement between the human and our machine predicted scores serving as an evidence that the identified features contribute towards

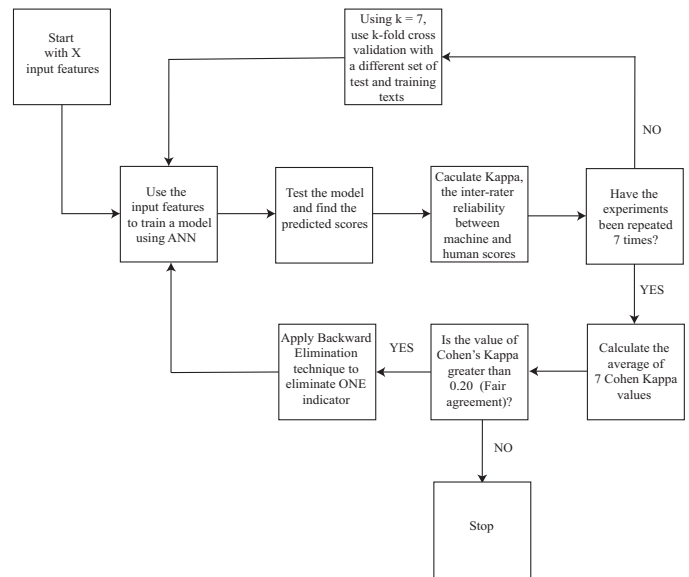


Fig. 3. Complete flow of the experiments with backward elimination and k -fold cross validation.

the essay quality. Consequently, we consider those features as the most important ones, which, when left behind at the end of the experiments, still show an agreement between the machine predicted and the given scores. We regard the number of features left at the end of the experiment as the most important ones since with only these few features, the inter-rater agreement did not fall below a range where there existed some agreement between the raters. Prior research had a goal to increase the value of Kappa to produce models for accurate prediction of scores for automatically grading of texts [15]–[17], [22], [23]. However, the goal of our research is to identify the minimum number of features for a particular inter-rater agreement and to investigate if these features can be used to provide automated formative feedback to students on their text. If the number of features is reduced, there should still be a correlation of the given scores with the ones predicted by our machine learning models.

For our second research question we focused on identifying external evidence to support that the features we identified contribute to essay quality and/or can be used for formative feedback.

III. RESULTS

The number of features were reduced using the Levenberg Marquardt algorithm and backward elimination running on MATLAB R2017b on a computer having core i7 4.0 GHz processor and 32 GB RAM. The experiment ran for 19 days before the stopping criteria was reached. The maximum value of Cohen’s Kappa was calculated as 0.7175 showing substantial agreement compared to 0.52 in the prior study which fell just above the middle range of moderate inter-rater reliability. Fig. 4 shows a comparison between the current results and the ones reported in the prior study [38].

At the end of the experiment, the number of features was reduced to 28 as compared to 23 in the earlier study.

The Table II shows the features that were selected at the end of the experiment. These features belong to different categories/classes. A detailed explanation of these features is given in Appendix A. There are 17 features that are common in both sets (results of prior study and that of current research), these features are shown in the table given in Appendix B. 10 new features that were not present in the prior study have been listed in Appendix C. Six features that were present in the final list of prior study have now been eliminated.

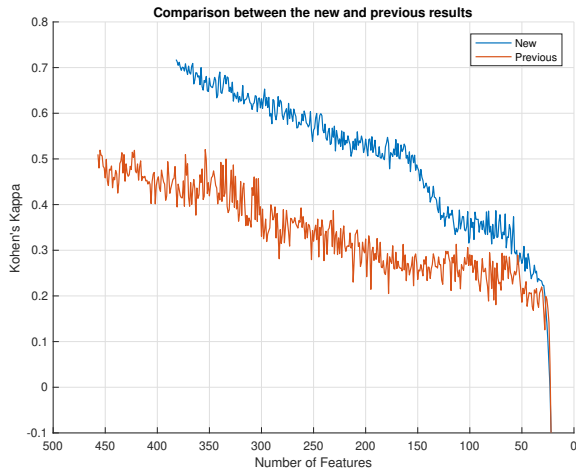


Fig. 4. The value of Cohen’s Kappa (κ) at the end of each experiment by eliminating 1 feature at a time.

IV. DISCUSSION

In the current study we have investigated a data-driven approach to reduce the number of features for the further usage of these features for automated feedback on Dutch student essays. Our first research question was, which text analytics metrics (surface, syntactic, morphological and semantic features) can be derived to predict the quality of student essays in the Dutch language?

Out of the 457 input features, 75 features with low variance were discarded before the start of the experiment reducing the total features to 382. The final results contain features for which there remained a fair agreement between machine predicted scores and human ratings at the end of our study. The number of features in this research was reduced to 28 by using a combination of machine learning, backward elimination techniques. We discuss the most important clusters of features and their relation to feedback (on writing) and potential to be used for automated feedback next.

1) *Word Difficulty*: Of the total features shown in Appendix A, there are seven features related to word difficulty. These features are based on the mechanics of the text in the essays such as the word frequency and word preferences. The understanding of the texts increases if the users are aware of the words used in those texts [51]. Word prevalence [52] and word frequency lists [53] are two ways to estimate the chances of a reader’s knowledge about a word. Word frequency is strongly

TABLE II
LIST OF SELECTED FEATURES

No.	Feature	Class ¹
1	<i>Wrd_freq_zn_log</i> : Logarithm of word frequency without names	WD
2	<i>Wrd_freq_log_sam_nw</i> : Logarithm of word frequency of the noun phrases	WD
3	<i>Freq2000</i> : The word that belongs to the most frequent 2000 words	WD
4	<i>Freq1000_inhwrld</i> : The proportion of content words associated with the most frequent 1000 words	WD
5	<i>Freq20000_nw</i> : Proportion of nouns associated with the most frequent 20000 words	WD
6	<i>Freq1000_corr</i> : Corrected proportion of words pertaining to the most frequent 1000 words	WD
7	<i>Lem_freq_log</i> : Lemma frequency, logarithm	WD
8	<i>Wrd_per_zin</i> : Words per sentences	SC
9	<i>Attr_bijv_nw_d</i> : Density of attributive adjectives	SC
10	<i>MTLD_wrd</i> : Measure of textual lexical diversity for words	LDRC
11	<i>Tijd_MTLT</i> : Measure of textual lexical diversity for time words	LDRC
12	<i>MTLD_inhwrld</i> : Measure of textual lexical diversity for content words	LDRC
13	<i>MTLD_lem</i> : Measure of textual lexical diversity for lemmas	LDRC
14	<i>TTR_wrd</i> : Type token ratio for words	LDRC
15	<i>Inhwrld_d</i> : Density of content words	LDRC
16	<i>Vnw_ref_d</i> : Density of referring pronouns	LDRC
17	<i>Tijd_d</i> : Density of time words	LDRC
18	<i>Causal_d</i> : Density of causal words	LDRC
19	<i>Conc_nw_ruim_d</i> : Density of broadly-concrete nouns	SCCG
20	<i>Gedekte_nw_p</i> : Proportion of nouns and names in the list	SCCG
21	<i>Alg_nw_d</i> : Density of general nouns	SCCG
22	<i>Ep_ev_bvnw_p</i> : Proportion of nouns that evaluate epistemically	SCCG
23	<i>Conc_ww_p</i> : Proportion of concrete verbs	SCCG
24	<i>Alg_bijw_d</i> : Density of general adverbs	SCCG
25	<i>Spec_bijw_p</i> : Proportion of specific adverbs to adverbs	SCCG
26	<i>Conc_nw_strikt_p</i> : Proportion of strictly concrete nouns	SCCG
27	<i>Concr_ov_nw_p</i> : Proportion of other specific nouns	SCCG
28	<i>Perplexiteit_bwd</i> : Perplexity, backwards	PM

¹ WD = Word Difficulty; SC = Sentence Complexity; LDRC = Lexical Diversity and Referential Coherence; SCCG = Semantic Classes, Concreteness and Generality, PM = Probability Measures

correlated with both perceived and actual text difficulty [54]. We therefore believe that all the frequency related features in the list of selected features may be important to provide feedback to the students. These features in this category are all related to the frequency of words in the texts, these are discussed in detail in Appendix A.

2) *Sentence Complexity*: Sentence Complexity features are important for measuring linguistic complexity [55], [56]. In our final list of features, there are two features from this category. The first feature provides the average of the number of words present in each sentence; the second one calculates the density of attributive adjectives in a sentence. If at a later

stage it appears to be necessary (e.g., to measure complexity of expressions over time of a student) we might consider to trace back and look for other features in this category.

3) *Lexical Diversity and Referential coherence*: The variety of words used in the texts is called lexical diversity. The consistency of sentences in a text is called coherence. Lexical diversity and coherence are important elements of writing quality [57], [58]. The greater the vocabulary of words used, greater is the lexical diversity [59]. Lexical diversity is a measure of one's written and spoken language proficiency [60]–[63]. Traditionally, lexical diversity has been measured through Type Token Ratio (TTR) [64], however, other ways to measure it [65] have also been defined. In our experiment, 9 out of 28 features are related to the lexical diversity. Explanation of these features is given in Appendix A. We consider features in the class Lexical Diversity to be important for providing feedback to the learners.

4) *Semantic Classes, Concreteness and Generality*: Grouped into these classes are features related to nouns, adjectives, verbs and adverbs. These classes have been created based on the annotations given in the Dutch Reference Document [46]. Brief explanation of these features is given in Appendix A.

From our final list of eliminated features, the 9 features in this category seem too complex and require further investigation before it may be concluded if these can be used to give feedback to the students in order to improve the essay quality.

5) *Probability Measures*: Lastly, a feature calculates the logarithm of the backward perplexity. In Natural Language Processing, “perplexity” is a way to evaluate the language model [66] and has an inverse relation with the probability. A lower value of perplexity refers to a higher value of probability. This feature is also quite technical and may not be useful to provide meaningful feedback to the students.

Of these features, we especially expect the categories “Word Difficulty” and “Lexical Diversity” as most useful for providing automated formative feedback to students. Providing feedback to students about the frequency of certain words (such as the proportion of content words associated with the most frequent 1000 words, nouns associated with the most frequent 20000 words or proportion of words pertaining to the most frequent 1000 words etc.) used in the texts and the diversity of the vocabulary (such as the measure of textual lexical diversity for words, time words, content words; the type token ratio for words etc.) may help them in improving the quality of their writing. The features present in the categories “Sentence Complexity” and “Semantic Classes” need to be explored further. The results obtained from these categories serve as a starting point for our future research where the teachers in writing will analyze if these features can be used to provide meaningful formative feedback. The only feature present in the category “Probability Measures” that calculates the logarithm of the backward perplexity is too technical and may not be helpful in providing meaningful feedback to the students.

The second research question was to explore if there is

any external evidence available that the identified dimensions contribute to essay quality and/or can be used for formative feedback. The first version of e-rater used a huge corpus of graded responses to train its system. Their scoring engine computes the score of GRE essays with the help of approximately 50 features [67]. The final list contains lexical complexity features, average word length and use of sophisticated vocabulary to automatically predict the scores. For non-native English language speakers, e-rater distinguishes good essays from bad ones through the use of vocabulary by converting essays into vectors of word frequency [68]. Most of our features in the category “Word Difficulty” are word frequency features. In the newer version of e-rater (version 2.0) [22], 12 more features were added in the scoring engine. Out of these new features that were 3 features related to Lexical Diversity (type-token ratio, average word length and measure of vocabulary level) - our final list have similar features.

In another study, statistical techniques (discriminant analysis and stepwise regression) were used [69] to select Coh-Metrix features significant in predicting the quality of high and low scoring essays. The feature classes related to lexical diversity, word frequency and syntactic complexity were reported to be the most predictive ones in determining the essay proficiency. Features related to lexical diversity, word difficulty and sentence complexity were selected in another study [28] using Principal Component Analysis and the effectiveness of chosen features was analyzed for providing formative feedback to the writers. 211 features used in the study were extracted from 3 different tools: Coh-Metrix, Linguistic Inquiry and Word Count [70] and the Writing Assessment Tool [27].

Using different Machine Learning approaches, features that identify difficult texts have been discovered in [71]. A collection of 16 features have been suggested in this study from different categories. Final results of this study include features corresponding to frequency of words and characters, part-of-speech (nouns, adjectives, verbs, adverbs) and vocabulary.

When comparing this study with its predecessor, our approach and findings might be of use also in other cases. In general, it tends to be challenging to collect dedicated, fit for purpose corpora for less-spread languages such as for instance Dutch. The approach followed did alleviate this challenge with two closely connected steps. The first step, a general improvement also applicable in large corpora, was tweaking the corpus for overfitting for average scores. Next, in particular of relevance for small corpora, the decrease in corpus size was more than compensated for by expanding the training set by using k-fold cross validation on the corpus of texts. Obviously, in what cases and to what degree this approach is sufficient to use small corpora has to be part of further study.

This reduction in features was imperative because this huge number of features cannot be understood easily by the learners without prior knowledge and understanding of these features. The results in our study are restrained by the corpus used in the experiments - the corpus used in this work does not have texts that belong to the same subject or topic. There could be certain features that correspond to higher values for certain domains and types of writing (e.g. a news article versus an academic article) and lower values for others. Another problem in the

corpus is regarding the scores. The human raters could give a single score to the essays ranging from 0 to 20, however, the maximum score obtained in this corpus is 18, whereas, the minimum score is 5. The corpus does not have essays that have scores less than 5 or greater than 18. Using a domain specific corpus with essays having scores spread across the scoring range may improve the results further. Lastly, the texts in the corpus used in our experiments have been written by people having different backgrounds, age groups and levels of education. The type of writing may have different features that distinguish the type of writer (such as their age, gender etc.). Conducting the experiment with texts written by people having the same age group, same level of education and similar background also needs to be investigated. In future, the same experiment can be repeated using machine learning algorithms other than neural networks to explore whether there is an improvement in results by using a different algorithm. Further, applying the algorithm on features extracted from texts using a different tool such as ReaderBench [31] may add to the existing set of our chosen features.

V. CONCLUSION

The current study has several methodological and practical implications. The approach presented in this research paper is the first step towards the development of automated feedback for essay writing for Dutch learners and higher education lecturers. The initial research [38] explored the data-driven reduction of features but had also some shortcomings with regard to overfitting and number of features. The current research has addressed this issue pointing to a potential approach which could be useful for other languages to identify important features for feedback on essays. In the current study, the dimensionality of the input features was reduced automatically via an existing corpus. In future studies, the usefulness of the identified features shall be confirmed with the help of human experts (teachers/experts and students). Based on their responses, the most relevant features for essay feedback will be chosen. An automated feedback service for Dutch essays will be built on the basis of the final list of features. Understandable feedback such as suggestions on how to improve will be shown to learners for improving the overall quality of responses. The final version of the automated essays feedback in Dutch will focus on providing feedback to the students using visualizations of their text. Such a combination of visualizations with written feedback is expected to help students in improving the quality of written responses. It will also help in reducing the workload of teachers and tutors. Based on the feedback provided, the students will make changes in their essays. The implementations of the research will provide automatic analysis of Dutch essays using natural language processing and text mining techniques.

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