

# Relevance and dynamics of nutritional status in patients on maintenance dialysis

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Daniele Marcelli



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# **Relevance and Dynamics of Nutritional status in patients on maintenance dialysis**

## **Proefschrift**

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door

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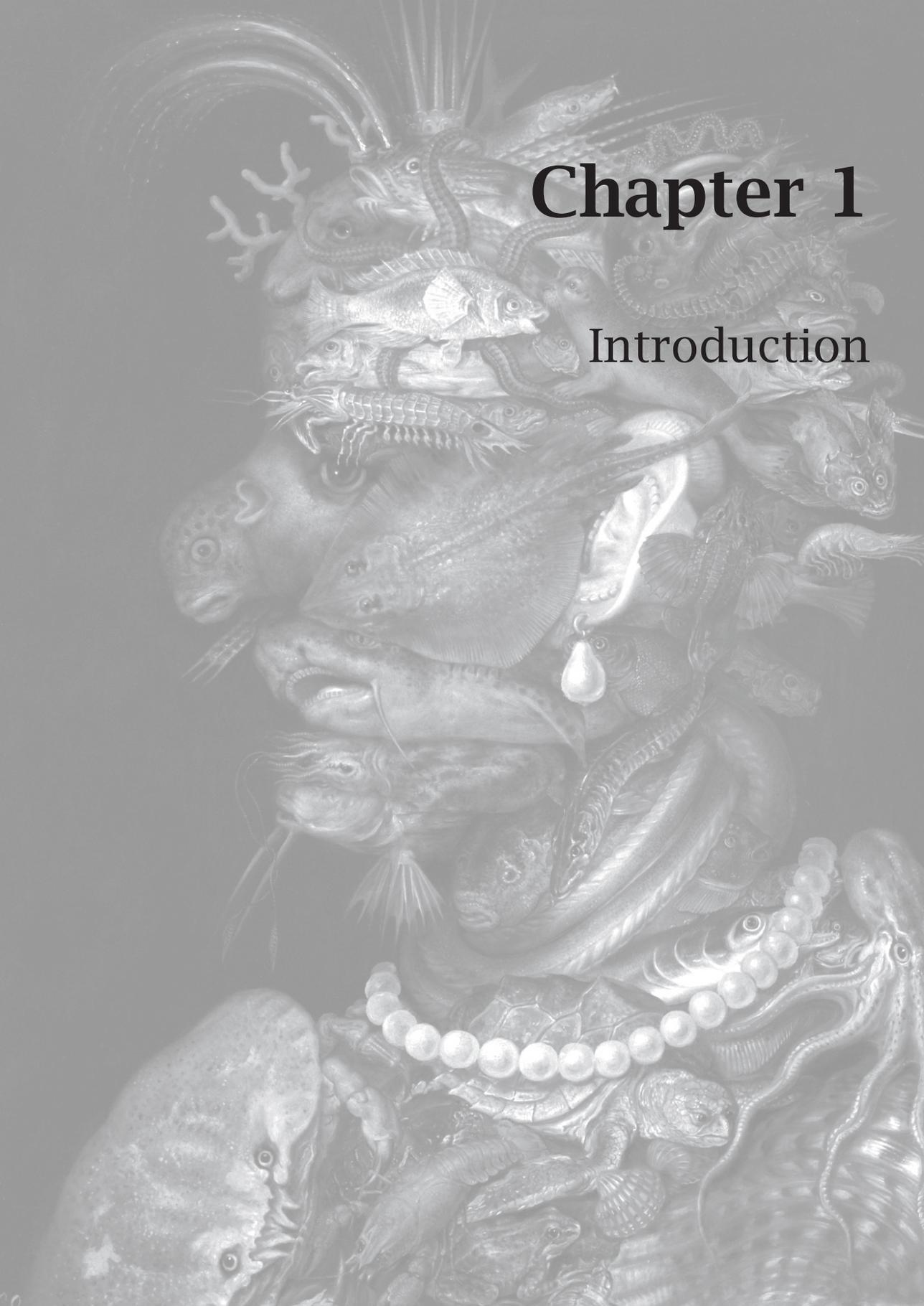
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# Chapter 1

## Introduction

## Introduction

### Functional losses associated with ESRD

The three main functions of the kidneys are i) removal of water-soluble waste substances from the blood, ii) production of various hormones regulating blood pressure and red blood cells generation and iii) homeostasis of body fluids, pH, and electrolytes. Chronic kidney disease (CKD) is a syndrome due to the progressive loss of renal function. Several diseases cause CKD, the most common being related to hypertension, atherosclerotic disease, type 2 diabetes and chronic glomerulonephritis. CKD can be quantified in 5 levels according to renal function estimated on the bases of age, gender, ethnicity and creatinine level<sup>1</sup>. Symptoms associated to CKD may be even undetectable until the highest level of CKD, close to the time to start renal replacement therapy. The proportion of people affected by different levels of CKD is very high, up to 10% depending from the age structure of the population and socio-economic factors. However, because it is often paucisymptomatic, the clinical detection is difficult and some countries tested pilot projects aimed to improve the detection of CKD patients and their referral to the adequate therapy, with the main aim to prevent the development to end-stage renal failure<sup>2-4</sup>.

**Renal Replacement therapy (RRT)**

RRT can be delivered as peritoneal dialysis, obtained by infusion of buffered osmotic solution of electrolytes or by extra-corporeal treatment, including hemodialysis and convective modalities such as hemodiafiltration (HDF).

Hemodialysis is the most commonly used modality of renal replacement therapy (RRT) for patient with ESRD. The procedure is able to remove waste/toxic substances, balance electrolyte composition of the body fluids, normalize blood pH and remove the fluids accumulated between two hemodialysis sessions. Hemodialysis is usually performed 3 times a week for approximately 4 hours each session. The centre-piece of the process is a semipermeable dialysis membrane. On one side, inside capillaries, the blood of the patient flows at a rate between 250-400 mL/min, on the other side, an ultra-pure solution of electrolytes buffered with bicarbonate and acetate at a slightly basic pH moves counter-flow at a rate of 500 mL/min. Blood is continuously drained and returned from a vascular access, which could be a native artero-venous fistula or graft, or a catheter.

The previously described renal replacement functions are realized through two mechanisms driven by difference in concentration of solutes and by differences in the hydraulic pressure on the two sides of the dialysis membrane. Small molecular weight substances such as urea are mainly removed with the first mechanism, namely diffusion, while those of medium molecular weight are removed mainly by convection. HDF combines the conventional diffusive mechanism of hemodialysis with the convective mechanism of hemofiltration. Accordingly, this method is able to couple optimal small molecular clearance with the clearance of larger molecules clearance, making it the dialysis treatment with the highest performance. With the

development of techniques for on-line production of sterile infusate from dialysate<sup>5</sup>, HDF has become cheaper and potentially more widely applicable. Extra-corporeal RRT is increasingly safer and better tolerated. However, life expectancy is still significantly lower than in age-gender matched subjects from the general population.

### **Protein energy wasting**

The unsatisfactory outcome of patients on dialysis is mainly due not only to the high prevalence of cardiovascular risk factors (hypertension, dyslipidemia, smoking, advanced age and diabetes mellitus) but also to the high prevalence of uremia related risk factors (fluid overload, hyperphosphatemia, high calcium-phosphate product, anaemia, left ventricular hypertrophy, inflammation, oxidative stress, endothelial dysfunction, insulin resistance, excess sympathetic tone, hyperhomocysteinemia, high level of lipoprotein (a) and increased asymmetrical dimethylarginine concentration)<sup>6,7</sup>. In addition, the frequent use of catheters as vascular access, mainly at initiation of hemodialysis has been associated with an enhanced inflammatory state. In patients with advanced CKD multiple metabolic and nutritional derangements, generically defined as malnutrition, have been reported<sup>8</sup>. More recently, the term “malnutrition” has been replaced by “wasting” in recognition that the disorder cannot be corrected by simply increasing dietary nutritional intake. The International Society of Renal Nutrition and Metabolism defined protein energy wasting according to the presence of at least three out of the following four characteristics<sup>9</sup>: (1) abnormal levels of circulating biomarkers (low serum albumin, pre-albumin, or cholesterol concentrations); (2) decreased body mass (low or decreased body mass or fat mass, or weight loss with decreased protein and energy intake); (3) decreased muscle mass; and (4) abnormal nutritional score.

Traditionally, an important component in the assessment of nutritional status in chronic patients is the evaluation of body weight or body mass index (BMI)<sup>10</sup>. However, it is well known that BMI is not capable to differentiate between fat, muscle and bone, or body fat distribution (abdominal vs. peripheral). Recently, Rodrigues et al<sup>11</sup> found that BMI thresholds do not accurately diagnose adiposity in elderly on hemodialysis. The conclusion is that considering only BMI as marker of nutritional status carries a high risk of misclassification, explained by the changes of body composition along the natural aging process (such as an increase in fat mass and decline in muscle mass), probably accelerated by the presence of a chronic disease such as CKD.

### **Physical methods for evaluating the nutrition status of hemodialysis patients**

Nutrition status assessment is made on the basis of anamnesis, physical examination, evaluation of nutrient intake, and on a selection of various screening/diagnostic methodologies.

These methodologies can be subjective, e.g. the Subjective Global Assessment score (SGA)<sup>12</sup>, or objective in nature (e.g. bioimpedance analysis (BIA))<sup>13</sup>. In addition, certain biochemical tests may be employed (e.g. albumin, pre-albumin)<sup>14</sup>.

There are several different types of bioimpedance methods available today, and the results may vary depending on which type is applied. This may vary from mono-frequency BIA methods, using either vector plots or empirical equations used to convert measured impedances into clinically meaningful information, to Bioimpedance spectroscopy without a physiological model using multiple frequencies to estimate body fluid compartments. It is assumed that the latter provide a more precise assessment of the extracellular and total body water<sup>15</sup>.

However, very large databases providing normal values for vectors in the general population and in dialysis patients have been published<sup>16</sup> and both phase angle and vector length have been shown to be highly predictive of survival<sup>17</sup>.

Finally, a more recently validated physiological model<sup>18</sup> facilitates separation of the body into three essential compartments, lean body mass, adipose tissue mass, and fluid overload (3 compartment [3C] model). The bioimpedance spectroscopy method with a physiological model is a promising practical method that may allow the diagnostic separation necessary for the diagnosis of protein energy wasting in CKD patients.

However, there are still limited data regarding the value of this model in the clinical assessment of ESRD patients. In *chapter 2*, an overview is given on different methods to assess body composition and protein energy wasting in dialysis patients.

### **'Reverse epidemiology' paradox**

Several parameters related to inflammation, malnutrition and presence of various cardiovascular diseases have been established as major risk factors for mortality and morbidity in patients on renal replacement therapy. Nutritional problems, including loss of appetite and decrease of body weight can be present also in the phase before the need for initiating renal replacement therapy. Some of these risk factors, i.e. low albumin and high C-reactive protein (CRP) levels, have similar behavior in patients on dialysis as well as in the general population, but others show a different relationship with outcome. On the contrary than in the general population, higher blood pressure levels<sup>19</sup> and obesity<sup>10</sup> are associated with better survival in patients on chronic hemodialysis. This observation produced a list of publications under the umbrella of the term 'reverse epidemiology'. Specifically, obesity as

defined by higher BMI in dialysis patients is associated with better outcome<sup>10</sup>. This paradoxical benefit of obesity has been shown to exist also for patients with a wide range of cardiovascular diseases as coronary artery disease, hypertension, peripheral vascular disease, atrial fibrillation and aortic stenosis, and for patients with coronary bypass cardiac implants and other acute coronary syndromes<sup>20-26</sup>. The obesity paradox has been specifically demonstrated in heart failure patients with a consistency of results seen among a wide range of clinical subpopulations across geographical locations, gender, age range, and the presence or absence of comorbidities<sup>27</sup>, and across different measures of body fatness, but mainly BMI.

Most of the data concerning the obesity paradox in dialysis patients are derived from US populations<sup>28</sup>. However, European data are lacking so far, which is of importance given the fact that various risk factors may differ between populations. As an example, the cardiovascular risk profile is completely different between US, Northern and Southern European populations<sup>29</sup>. In *chapter 3*, the relation between BMI and survival was investigated in a larger cohort of Southern European dialysis patients. However, as mentioned previously, BMI does not differentiate between fat and lean body mass. Therefore, it is not possible to recognize which component of body composition is related to better survival, either fat or lean tissue mass or both. For this reason, in order to clarify the paradox, is fundamental to further distinguish between main body components, for which the novel 3-C bioimpedance model appears to be a promising clinical tool. However, the prognostic value of fat mass and lean tissue mass expressed by this method with regard to survival has not yet been validated. In *chapter 4*, the relation between body compartments expressed by the 3-C bioimpedance method with outcome was assessed in a larger international

cohort of dialysis patients. In this chapter, also the relation between BMI with fat and lean tissue mass was assessed.

### **The effects of starting dialysis on nutritional parameters**

As reported by Pupim et al,<sup>30</sup> the progression of renal insufficiency is associated with the development of protein-caloric malnutrition. At the initiation of renal replacement therapy inflammation, metabolic acidosis, and impaired insulin/insulin-like growth factor functionality are often already present and all of them negatively affect protein anabolism even in presence of an adequate nutritional intake<sup>31</sup>. In patients with advanced kidney disease, metabolic and nutritional derangements induced by uremia interact and reinforce each other in a deleterious vicious circle. Based<sup>30</sup> on this evidence, some investigators have advocated the initiation of renal replacement therapy before the development of malnutrition<sup>32-34</sup>. Whereas the start of dialysis with the increased clearance of uremic toxins may lead to an improvement in nutritional state by an increase in appetite and wellbeing resulting from the improvement in the uremic state, the interference of dialysis with regular meals, the loss of proteins and amino acids in the dialysate, and the catabolism resulting from the dialysis treatment may negatively affect nutritional state<sup>30,35</sup>. Ikizler et al<sup>36</sup> showed that a dialysis session is a catabolic event and leads to a net loss of protein stores. However, longitudinal survey of the nutritional effects of dialysis treatment showed that hemodialysis per se improves body protein metabolism, which may be due to the clearance of uremic toxins counterbalancing the catabolic effects of hemodialysis. Using a leucine kinetic study before and 8 to 10 weeks after initiation of dialysis treatment in incident patients maintained on an unchanged diet, Lim, Yarasheski, and Flanigan<sup>37</sup> observed after initiation of dialysis treatment an increase in protein

synthesis. Therefore, the benefit may be above and beyond the potential catabolic effect that dialysis has<sup>38</sup>. However, literature addressing the effect of dialysis initiation on changes in nutritional parameters and body composition is limited and contradictory and some other investigators<sup>30</sup> support the alternative policy to delay the initiation of dialysis therapy until clear uremic symptoms are present, with the aim to postpone the catabolic process induced by dialysis and the high mortality rate detected in the first weeks/months of treatment<sup>36,39-43</sup>.

Finally, it has to be considered that Patients undergoing a chronic haemodialysis programme often need to be admitted to hospital mainly because of vascular access complications, infections and cardiovascular diseases<sup>44</sup>. When patients are admitted to hospital, a significant weight loss is often observed, which is probably a sign of progressive malnutrition<sup>45-47</sup>. According to Borrego et al<sup>47</sup>, body weight loss was already observed at discharge, and continued progressively for at least 4 weeks after the hospital stay. This weight loss was not sex- or age-dependent, but was related to the length of the hospital stay, and the presence of hypoalbuminemia, which is probably a sign of inflammation during hospital stay. Many factors are involved in this malnutrition<sup>47</sup>, and the decrease caloric and protein intake play an important role. The cause can be illness-related anorexia and the general low level of appreciation of the hospital food<sup>48,49</sup>. On the other hand, inflammation- and prolonged fasting related protein catabolism also cause mobilization of protein reserves, especially from muscles, as occurs in patients who have been in the intensive care unit for a long period of time or in difficult post-operative recoveries<sup>50</sup>.

Accordingly, following the start of dialysis treatment in *chapter 5*, changes in body composition and the effect of possible determinants on these changes, were

investigated and in *chapter 6*, the course of two nutritional biomarkers, serum albumin and creatinine was analyzed.

### **Inflammation and malnutrition**

Loss of muscle mass, a common feature of many inflammatory disorders, is considered one of the most important markers of protein energy wasting in CKD<sup>51</sup>. According to Roubenoff<sup>52</sup>, subclinical chronic inflammation is an important component of the pathophysiology of muscle wasting. Pro-inflammatory cytokines, such as IL-6 and tumor necrosis factor (TNF), stimulate the breakdown of muscle protein<sup>53</sup>. The close association between muscle wasting IL-6 and CRP in previous studies performed in CKD patients<sup>54,55</sup> suggests that systemic inflammation is involved in muscle wasting also in patients with advanced CKD. Various biomarkers have been proposed to measure systemic inflammation and, given the expenses associated with regular IL-6 or CRP monitoring, recently neutrophil to lymphocyte ratio has been proposed as easily available, cost-efficient indicator<sup>56-58</sup>. However, the relation between the neutrophil to lymphocyte ratio and other biomarkers of inflammation, such as CRP, as well as serum albumin have not been investigated yet. In *chapter 7*, the relation between the neutrophil to lymphocyte ratio, CRP and serum albumin was investigated.

### **Hyponatremia, inflammation and nutritional state**

Another marker which may be related to the nutritional state of the patient is the serum sodium level.

Hyponatremia, defined as a concentration of serum sodium levels below 135 mMol/L, is a common electrolyte disorder associated with increased mortality in general population<sup>60-62</sup>. The worse prognosis associated with hyponatremia is more pronounced in certain populations: women, postsurgical patients, patients with cirrhotic and cardiac failure<sup>63-65</sup>, and remains even in people with hyponatremia at moderate levels, that is 130-134 mMol/L.

Patients with chronic kidney disease also have a high prevalence of hyponatremia, estimated in the range of 13.5%<sup>66</sup>. Whereas well-functioning kidneys can prevent hyponatremia in the general population, in patients with advanced CKD, the protection from this risk dissolves in connection with the loss of residual renal function. After HD initiation, the balance of sodium and water occurring during dialysis sessions appears as a new potential factor in the development and maintenance hyponatremia. Hyponatremia may also be associated with inflammation, likely for the so called sick cell syndrome<sup>67,68</sup>, a disorder of the cellular Na<sup>+</sup>/K<sup>+</sup> pump with several causes which include hypoxia, sepsis, hypovolaemia and malnourishment.

As in the general population, in patients on dialysis hyponatremia is also associated with increased risk of death<sup>69-73</sup>. These studies found that low pre-HD sodium is associated with diabetes, neurological and psychiatric diseases and greater inter-dialysis weight gain. In HD patients, it is unclear whether the relationship between hyponatremia and mortality is causal or explanatory of some associated cofactor.

However, the association between hyponatremia and impaired cerebral function is clearly demonstrated<sup>65,74</sup>. It has been suggested that hyponatremia is associated with a "frail phenotype", given its association with low body mass index (BMI) and

biochemical markers of malnutrition<sup>69,70,75</sup>. In other patient populations, such as patients with cirrhosis or heart failure additional associations with malnutrition<sup>75,77,78</sup>, abnormalities in fluid status<sup>75,78,79</sup> or with inflammation<sup>67</sup> have been detected. However, these associations, have not yet been investigated systematically in dialysis patients. One of the reasons is the absence of a routine evaluation of the inflammatory status, i.e. by monitoring C-reactive proteins. As a consequence, the interpretation on the causative nature of the relationship between hyponatremia and outcome still remains unclear. For this reason, in *chapter 8*, the relation between hyponatremia with body composition, fluid overload and inflammation was investigated, as well as the relation between hyponatremia and outcome after correction for these parameters.

In *chapter 9*, the main results of the thesis are outlined in a general discussion

### **Aim of the thesis**

In summary, with the exception of the Asian patients, patients on maintenance hemodialysis the relationship between body mass index and mortality is often the reverse of the normal association. Accordingly, do we have to question the appropriateness of target levels for BMI as well as other main measures (i.e. blood pressure) used to assess patient on dialysis? We believe that the correct answer should deal with the causes for the observed reversed epidemiologic relations, and for this reason the major aims of this thesis are a) to clarify the paradoxical observation of the improved survival in obese patients on chronic hemodialysis, and to assess the relation between body composition with outcome in more detail b) to study the development of nutritional biomarkers as well as body composition after

admission to RRT, c) to investigate the relationship between nutritional status, hyponatremia and inflammation.

### **Outline of this thesis**

In **chapter 2**, in a Southern European HD population the relationship between survival and BMI at the start of HD treatment is examined, as is the influence of body weight variations during the first year of treatment. **Chapter 3** provides an overview of the different nutritional markers and the available methodologies for the physical assessment of nutrition status in hemodialysis patients, with special emphasis on early detection of protein energy wasting.

**Chapter 4** and **chapter 5** focus on changes in nutritional parameters following the start of dialysis. In **chapter 4**, changes in body composition, assessed by the 3-compartment bioimpedance model are studied.

Serum albumin and creatinine levels are indicators of both nutritional status and of mortality risk in hemodialysis patients. In **chapter 5** changes and determinants of these nutritional indicators in the 2 years following the first 3 months of hemodialysis are examined.

Inflammation likely plays an important role in the pathogenesis of muscle wasting in CKD. C-reactive protein, an acute-phase reactant, has emerged as the most widespread inflammatory biomarker for clinical use but its use is limited by the costs of the methods. The neutrophil lymphocyte ratio has been proposed as an alternative and cost-effective marker for inflammation. The aim of the study reported in **chapter 6** was to evaluate to which extent neutrophil lymphocyte ratio correlated with C reactive protein and serum albumin levels. Furthermore, it was aimed at determining

whether the combination of inflammatory markers (neutrophil lymphocyte ratio and albumin levels) improves prediction of C reactive protein levels in chronic HD patients. The association between hyponatremia and mortality has also been observed in patients with acute and chronic diseases, such as ESRD, congestive heart failure, pulmonary embolism and myocardial infarction. It has been suggested that hyponatremia is associated with a “frail phenotype”, given its association with low body mass index (BMI) and biochemical markers of malnutrition.

Concerning the problem of reverse epidemiology, In **chapter 7**, body composition assessed by MF-BIS, using the 3-C physiological model, was used to explore the relationship between lean tissue, fat tissue, and survival.

Given the relation between hyponatremia with outcome in dialysis, the study reported in **chapter 8** aimed to explore the relationship between hyponatremia, nutrition, inflammation and fluid status. The primary goal was to determine predictors of hyponatremia, including biomarkers of inflammation, fluid status and malnutrition.

The secondary goal was to assess the association between hyponatremia and mortality with appropriate adjustments for malnutrition, fluid status and inflammation.

The thesis ends with a summary and general discussion of the results in in **chapter 9**.

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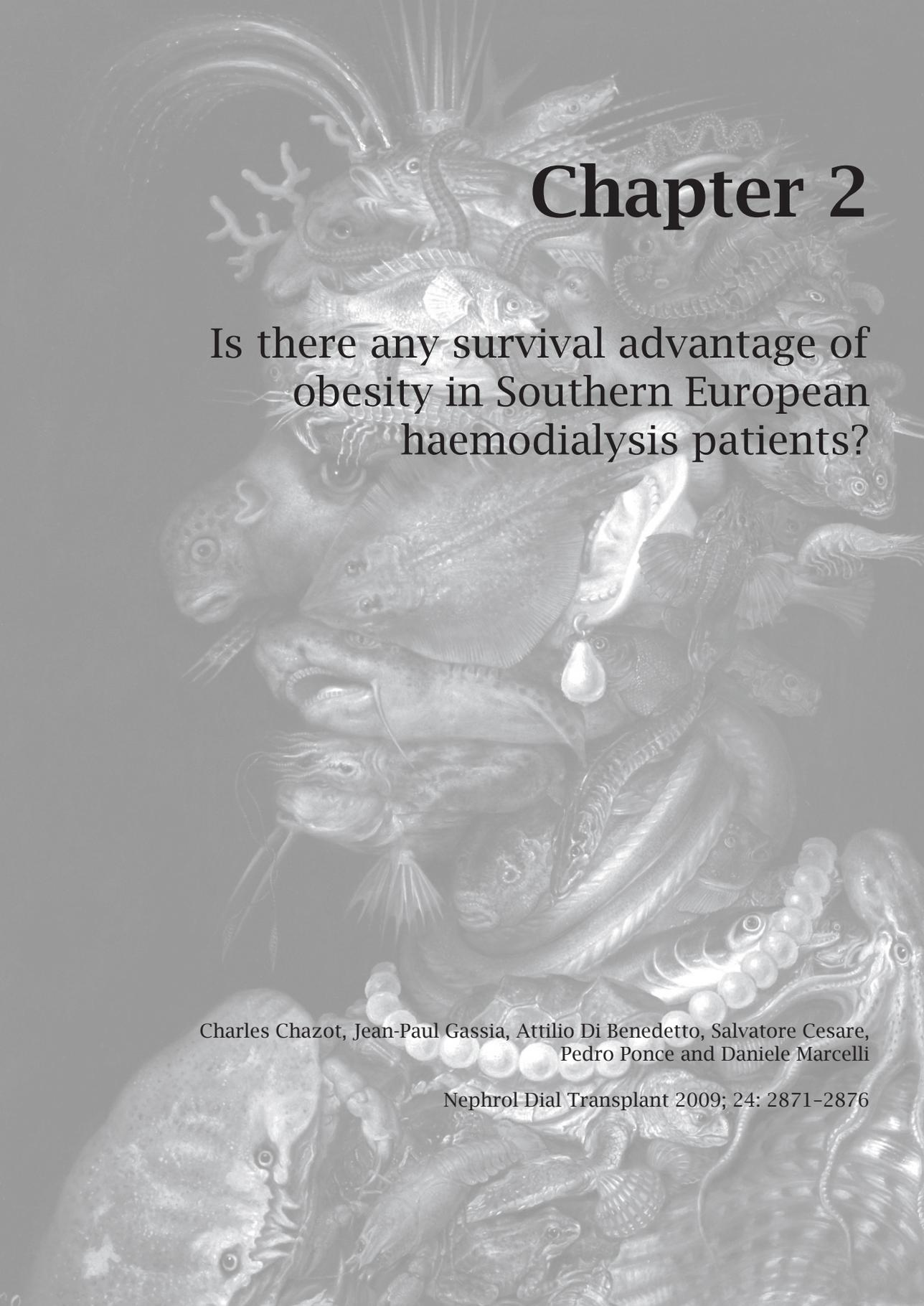
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# Chapter 2

Is there any survival advantage of  
obesity in Southern European  
haemodialysis patients?

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## Abstract

### Background

In the general population, a high body mass index (BMI) is associated with increased cardiovascular disease and all-cause mortality. However, according to US epidemiological evaluation in maintenance haemodialysis (HD) patients, a reverse epidemiology is described and baseline obesity appears paradoxically associated with better survival. The aim of this study is to examine in a Southern European HD population the relationship between survival and BMI at the start of HD treatment, and how survival is influenced by the bodyweight (BW) variations during the first year of treatment.

### Methods

A total of 85 dialysis centres located in Portugal, France and Italy and belonging to the FME European dialysis chain were included. The current prospective analysis focuses on incident patients admitted to these centres between 1 January 2000 and 30 September 2005 with <1 month of previous follow-up on RRT. Data were gained from the FME EuCliD database. Patients were classified at baseline in four categories according to the BMI: underweight, normal range, overweight and obese. Also, the patient survival was analysed according to five quintiles of BW changes during the first year of HD treatment <-5.8%, -5.8 to -1.1%, -1.1 to 1.7% (reference category), +1.7 to +5.5% and >+5.5%. Survival analysis was adjusted for a set of demographic and comorbidities using Kaplan–Meier curves and Cox model. Hazard ratios and their 95% confidence intervals were calculated with the use of the estimated regression coefficients and their standard errors.

### Results

A total of 5592 patients were analysed (40.9% females), and the mean age at admission was  $64.4 \pm 16.5$  years. Of them, 27.7% were diabetic. The mean follow-up was  $2.0 \pm 1.6$  years. Almost half of the patients (46.4%) were in the normal range of BMI ( $20\text{--}24.9 \text{ kg/m}^2$ ). When analysed with the Cox model, the categories of baseline BMI (underweight, normal range, overweight and obese) significantly influenced the survival with the respective hazard ratio (HR) and confidence interval at 1.14 (0.96–1.35), 1, 0.74 (0.67–0.9) and 0.78 (0.56–0.87). The strength of the association as well as the shape of the curve remains unchanged after considering age, diabetes and comorbidities. Moreover, when compared to patients for whom BW remained stable during the first year of HD treatment, survival was significantly lower in patients presenting in the lower quintile of BW variation (<-5.8% in 1 year) with an HR of 1.6.

### Conclusions

Despite increased comorbidities, overweight and obese patients on maintenance HD carry a significant lower mortality risk than patients in the normal and lower BMI ranges. This confirms the reverse epidemiology previously reported in US HD patients for these categories of BMI. Also BW variation during the first year of HD treatment is associated with patient survival, highlighting the importance of nutrition in this setting.

## Introduction

In the general population, being overweight and obese is found to be associated with the increased prevalence of cardiovascular disease and overall causes of mortality<sup>1</sup>. However, in haemodialysis (HD) patients, an unusual relationship between body mass index (BMI) and survival was reported for the first time almost 25 years ago<sup>2</sup> with increased mortality in patients with low BMI and no increased mortality in overweight and obese HD patients.

More recently, this association was reported in US HD patients, showing an inverse linear relationship between survival and BMI, that is a survival advantage, even in morbidly obese patients<sup>3</sup>. Also, since the Diaphane study, the DOPPS study has reported this type of relationship in a set of US and European patients<sup>4</sup>. This inverse and unexpected correlation is part of the recent concept called reverse epidemiology, reported also for instance with predialysis blood pressure<sup>5</sup> and that has been thoroughly reviewed<sup>6</sup>. Nevertheless, the inverse relationship between BMI and survival in HD patients is not universally recognized.

Several studies have failed to find this association in Asian patients like in Japan<sup>7</sup> or among Asian American HD patients<sup>8,9</sup>. The first objective of our study was to examine the relationship between BMI and survival in Southern European incident HD patients whereby the case-mix of the dialysis population has changed dramatically since the DIAPHANE study and because the epidemiology of cardiovascular disease and especially coronary artery disease (CAD) is dramatically different between the US and Northern and Southern Europe<sup>10</sup>. The second objective was to evaluate the effect of the bodyweight (BW) variation during the first year of HD treatment on the prognosis of maintenance HD patients.

## Patients and methods

This prospective study included 76 dialysis centres owned by Fresenius Medical Care (Bad Homburg, Germany) in Italy, Portugal and France (see the Appendix). All incident end-stage renal disease (ESRD) patients, with less than 1-month previous HD treatment in another facility, were included in the study between 1 January 2000 and 30 September 2005. Amputated patients were excluded from analysis in order to avoid miscalculation of BMI. Data were retrieved from the EuCliD (European Clinical Database) database that is updated monthly with patient characteristics including demographics, comorbidities, dialysis prescriptions, ancillary therapies, lab results and outcome. At the start of HD, the patients were categorized into four categories of BMI: BMI <20, 20–24.99, 25–29.99 and >30 kg/m<sup>2</sup>. The upper two categories corresponded to the WHO standard classification of overweight (25–29.99 kg/m<sup>2</sup>) and obese (≥30 kg/m<sup>2</sup>).

According to the general acceptance and also in order to have meaningful numbers, a BMI <20 was defined as being underweight, and BMI =20–24.99 was considered to be normal weight. The comorbid conditions present in the study were defined according to the International Classification of Diseases, tenth revision code (ICD-10): CAD (I20-I25, Z95.1, Z95.5), heart failure (I50-I51, I13-I13.2, I42-I42.2, I42.6-I42.7, I11.0, I11.9, I97.1, I97.8, I42.9, I43.2), valvular heart disease (Z95.2, Z95.3, Z95.4, Z95.9, I01.1, I05.0, I08.9, I09.1, I09.8, I33.0, I38, I39.8, T82.0, Z48.8, Z95.9, T82.6, I45.2, I45.3, I45.6-I47.9, I49.3-I49.9, I44.1-I44.3, I48, I49.0, I34-I37.9, I39-I39.4), atherosclerosis disease (I70-I76.9), cerebrovascular disease (I60-I69), pulmonary disease (J41-J47.9, J96), depression (F32.1-F33.9, F06.3, F20.4, F25.1, F31.3, F31.9), cancer/neoplastic disease (C0-C97.9, D37-D48.9) and severe liver disease (K70-K77.9).

Distribution of comorbidities was analysed in each BMI category and according to age (below and above the age of 65). Survival was studied among the different BMI ranges and adjusted for the different comorbidities.

Also, survival from the second year of treatment has been analysed according to the evolution of the BW during the first year of HD treatment.

Patients were split into five quintiles according to this evolution expressed as a percentage normalized to the ideal BW (calculated from the Broca formula: ideal BW = (height in cm - 100) for males and ideal BW =(height in cm - 104) for females<sup>11</sup>): <-5.8%, -5.8 to -1.1%, -1.1 to 1.7% (reference category), +1.7 to +5.5% and >+5.5%.

## **Statistics**

The mean values and frequencies of the parameters were compared by ANOVA or the chi-square test, as appropriate. Survival functions according to baseline BMI were described using the Kaplan–Meier technique. The log-rank test was used for univariable comparisons. Cox proportional hazard models were used to compare survival according to baseline BMI adjusting for a set of demographic and comorbids.

All analyses were performed with adjustment for age, gender and for each of the following comorbidity indicators: diabetes, CAD, heart failure, valvular heart disease, atherosclerosis disease, cerebrovascular disease, pulmonary disease, depression, cancer/neoplastic disease and severe liver disease (Table 1). Only age has been introduced as a continuous variable, and all others as categorical variables (for gender: ref. females; for comorbidity indicators: ref. present/absent). Both Kaplan–Meier curves and Cox model used the same end-point (death), and patients were

censored when they were changed to peritoneal dialysis therapy, were transferred to another dialysis unit, received a kidney graft or were still on extra-corporeal treatment on the final observation date (30 September 2005). All patient characteristics considered in the study were reported.

When Cox proportional hazard regression was applied, all reported variables were used to obtain the final multivariate model. Estimated relative risks (hazard ratios) and their 95% confidence intervals (CI) were calculated with the use of the estimated regression coefficients and their standard errors. The contribution of covariates to explain the dependent variable was assessed by means of a two-tailed Wald test, with  $P < 0.05$  considered significant. The proportion hazard assumption was checked for each model by inspection of the complementary log minus log plots. To evaluate the impact of the BW changes on mortality, patients surviving more than 1 year were selected. The behaviour of BW during the first year was evaluated, classifying the patients according to decrease or stability and the increase of dry BW. Then, survival analyses were modelled considering the behaviour of BW change during the first year of follow-up as a predictor of subsequent mortality. All statistical analyses were performed using the SPSS software, version 14 (SPSS Inc., Chicago, IL, USA).

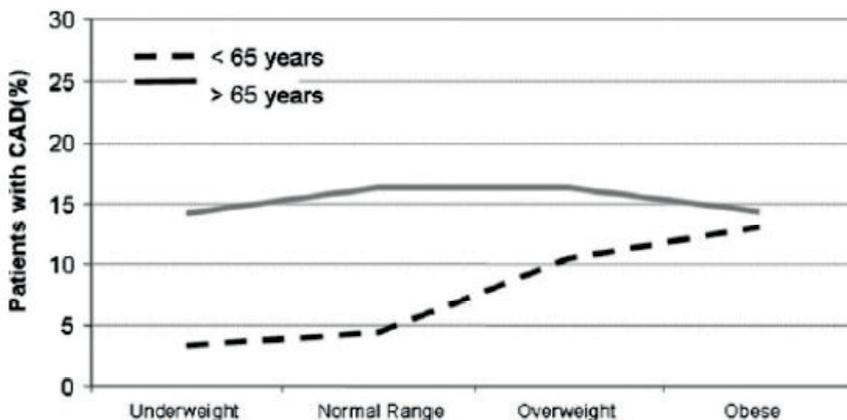
## **Results**

A total of 5592 patients were included in the study during this 57-month period. The average age was  $64.4 \pm 16.5$  years and the mean follow-up  $2.0 \pm 1.6$  years. Female gender represented 40.9% of the whole population. However, in obese patients, the proportion of female gender increased significantly (Table 1).

**Table 1.** Patients' characteristics according to the BMI distribution

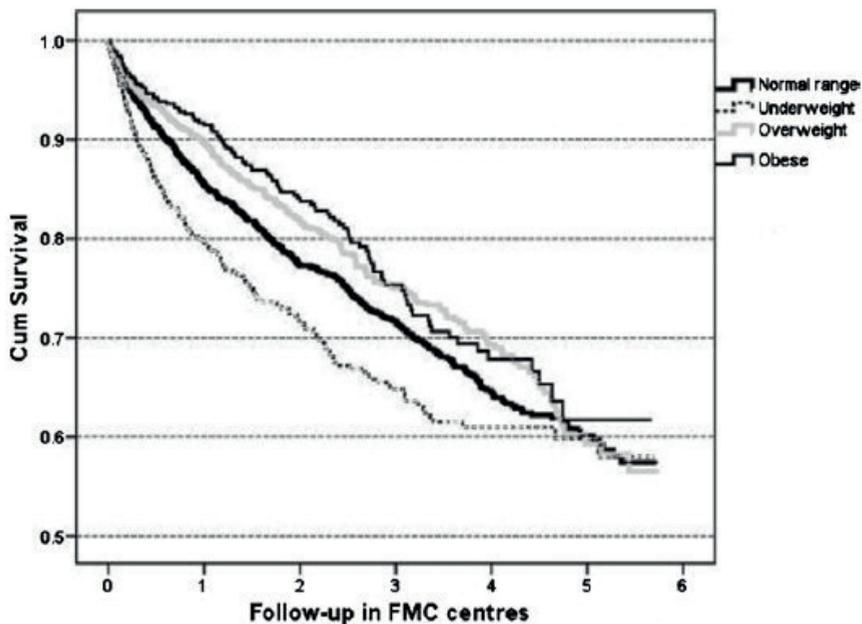
	Underweight	Normal range	Overweight	Obese
Patient number (%)	679 (13.9)	2261 (46.4)	1367 (28.0)	569 (11.7)
Age (years: mean ± SD)	65.7 ± 22.1	63.7 ± 16.7	65.2 ± 13.9	62.7 ± 13.8
Female (%)	46.7	36.8	39.1	56.9
Diabetes (%)	14.3	24.2	30.8	43.1
Coronary artery disease (%)	10.0	11.1	13.8	13.7
Heart failure (%)	13.7	13.4	14.3	17.6
Valvular heart disease (%)	6.8	6.6	7.2	6.9
Atherosclerosis disease (%)	8.0	5.4	6.1	4.9
Cerebrovascular disease (%)	6.6	6.8	7.0	5.8
Pulmonary disease (%)	5.0	4.0	4.2	4.6
Depression (%)	1.2	0.8	1.6	1.6
Cancer/neoplastic disease (%)	8.7	6.7	5.6	7.9
Severe liver disease (%)	1.6	2.1	2.1	0.9

The prevalence of diabetes was 27.7%, but the distribution of diabetic patients increased significantly in the overweight and obese BMI category (Table 1). The distribution of BMI among the studied patients is also shown in Table 1. Almost half of the patients (46.4%) were in the normal range of BMI (20–24.99 kg/m<sup>2</sup>). A BMI <20 (underweight category) was present in 13.9% of the patients. Overweight (BMI = 25–29.99 kg/m<sup>2</sup>) and obese (≥30) represented 28 and 11.7%, respectively. The prevalence of CAD was equally distributed in the different BMI ranges in maintenance HD patients over the age of 65. In younger patients below 65, CAD increased in overweight and obese patients compared to normal and underweight patients (Figure 1).



**Fig. 1.** Coronary artery disease according to BMI in patients below and over 65 years.

The heart failure prevalence was significantly higher in the obese patients when compared to normal BMI patients, both below and over 65 years of age. The other BMI categories were not significantly different for the prevalence of this comorbidity. The proportion of patients who were transplanted was 6.6% ranging from 5.2% in underweight to 7.3% in the normal range. The proportion of those transferred to peritoneal dialysis was 9.4% ranging from 8.9% in overweight to 10.6% in underweight. The total number of deaths during the follow-up was 1147 (23.5%). The Kaplan–Meier survival curve for the four BMI ranges is displayed in Figure 2.



**Fig. 2.** Kaplan–Meier survival curves according to the BMI categories.

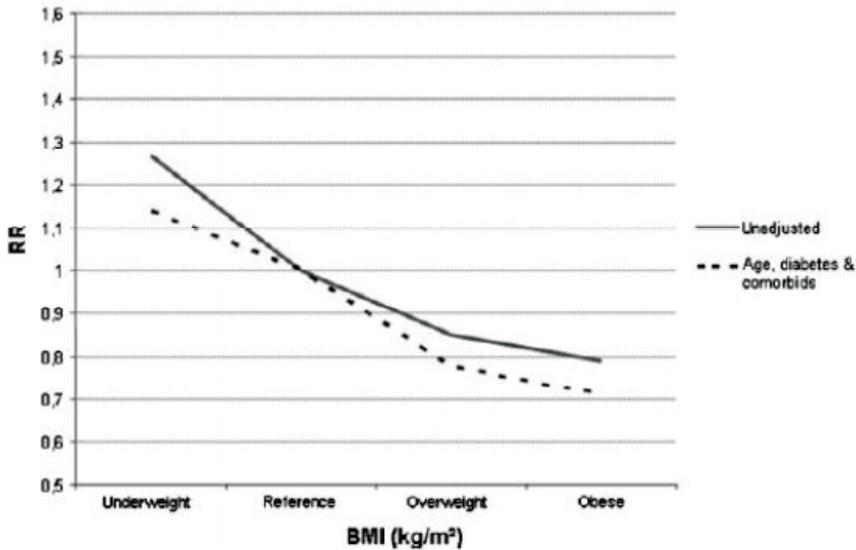
Survival was significantly better for overweight and obese patients compared to that for normal and underweight patients. In Figure 3 and Table 2, the unadjusted and adjusted relative risks of death are displayed for the four BMI categories, with the normal BMI range used as a reference. Overweight and obese patients had an adjusted hazard ratio of 0.78 (0.67–0.9) and 0.71 (0.56–0.87), respectively.

**Table 2.** Survival and BMI categories; crude and adjusted hazard ratios for the four BMI categories

BMI categories	Crude hazard ratios			Adjusted hazard ratios		
	HR	95% CI	<i>P</i> -value	HR	95% CI	<i>P</i> -value
Underweight	1.23	1.08–1.49	0.004	1.14	0.96–1.35	0.138
Normal	1	–	–	1	–	–
Overweight	0.85	0.74–0.98	0.026	0.78	0.67–0.90	0.001
Obese	0.79	0.65–0.97	0.024	0.71	0.56–0.87	0.001

HR, hazard ratio; CI, confidence intervals; BMI, body mass index.

The second part of the study was the report of survival according to the evolution of the BW during the first year of HD treatment. The patients were divided into five categories according to the quintile distribution: <–5.8%, –5.8 to –1.1%, –1.1 to 1.7% (reference category), +1.7 to +5.5% and >+5.5%. Table 3 reports the patient characteristics and the patient outcome of the studied population including the adjusted hazard risks and the corresponding 95% CI. Mortality was significantly higher in the lowest quintile, in patients who had a weight loss over 5.8% during the first year of HD treatment, whereas it was not influenced in other quintiles, in patients with a weight loss lower than 5.8%, or who remained stable or gained weight.



**Fig. 3.** Relative risk curve according to BMI categories. Adjustment included age, diabetes, CAD, neoplastic disease, cerebrovascular disease, heart failure, liver disease, atherosclerosis and valvular heart disease. For *P*-values, see Table 2.

## Discussion

In this study, we confirm among a large prospective Southern European cohort of incident HD patients that a high BMI range in the overweight and obese is associated with better survival than in normal and underweight BMI categories. This unexpected relationship between BMI and survival had been previously suggested in French patients in 1982 by Degoulet et al.<sup>2</sup> in the DIAPHANE study. It was confirmed later by Fleischmann et al.<sup>12</sup> using different BW ranges, showing a clear survival advantage in patients with a BMI >27 kg/m<sup>2</sup>, mostly in African American patients. Our findings in an incident HD patient cohort are close to what has been reported in incident<sup>8,13</sup> and prevalent US patients<sup>3</sup>. These latter reports used different categories of BMI, from simple (three ranges: <23.1, 23.1–27.8, >27.8 kg/m<sup>2</sup>)<sup>13</sup> to very sophisticated distribution (from <18 or 19 to >37 to 45 kg/m<sup>2</sup> in 8 to 11

categories<sup>8,14</sup>). The other studies<sup>2,4,13</sup> did not analyse specifically the issue of the morbidly obese patients.

Our analysis was not able to confirm the survival advantage in morbidly obese patients, as reported by Kalantar-Zadeh et al.<sup>14</sup> because the number of patients in this category (BMI>40 kg/m<sup>2</sup>) was too small (0.7% of the total patients) and they were pooled with the 30–39.99 kg/m<sup>2</sup> category.

Moreover, we report in the incident patients the distribution of severe comorbidities such as diabetes, CAD and heart failure. Adjustments for these comorbid conditions, absent in the Kalantar-Zadeh study<sup>14</sup>, did not change the paradoxical relationship between BMI and patient survival. It confirms the findings of the DOPPS study<sup>4</sup> in which obese and overweight patients with high-risk comorbidities were found to have better survival than low and normal BMI patients with the same risk profile.

Studying the mortality among a cohort of Spanish HD patients, Marcen et al.<sup>15</sup> did not find any difference of BMI between the patients who survived and who died.

However, in this prevalent cohort, the relationship between BMI and survival was not studied independently and was not adjusted for other factors such as age, vintage and comorbidities.

More surprisingly, the Dutch NECOSAD study did not find advantages of a high BMI on the survival of incident female and male HD patients<sup>16</sup>, whereas it reports the expected increased mortality risk in the low range of BMI (<18.5 kg/m<sup>2</sup>). However, this study only included patients between 50 and 65 years of age and used a BMI reference range of 22.5–25 kg/m<sup>2</sup>. Even when these criteria are applied to our studied population, it does not change our findings and does not explain the discrepancy between the Dutch and Southern European dialysis patients regarding survival and BMI ranges. The explanation may be related to the difference of

cardiovascular disease epidemiology between the Netherlands and Southern Europe. As reported by Levy and Kannel<sup>10</sup>, the death rate from CAD in both sex and in the age range 35–74 is much lower in France, Spain and Portugal (three of the four countries involved in the current study) when compared to the Netherlands. A higher prevalence of cardiovascular disease in Dutch obese HD patients may blunt the survival advantage observed in Southern European obese HD patients, especially because young patients, aged between 18 and 50 years, were not included in the Dutch study. The high prevalence of cardiovascular disease in the studied age ranges may have outweighed the benefit of a high BMI.

We have also confirmed that a BW loss >5.8% (first quintile) during the first year of HD treatment was associated with significantly increased mortality. The second quintile (–5.8 to –1.1%) had no significant reduction in survival, whereas Kalantar-Zadeh et al.<sup>14</sup> reported that each category below –1% of BW loss had a significant increase in patient death. In this last study, prevalent patients were analysed whereas our cohort included only incident ones. Previous reports have shown a different evolution of BW in both prevalent and incident cohorts. In the HEMO study<sup>17</sup>, prevalent HD patients displayed a progressive decline of BW all along the 3-year follow-up, whereas in patients starting HD<sup>18,19</sup>, BW initially decreased during the first 2–4 months corresponding to the fluid removal and then increased again because of appetite recovery. Whereas in prevalent patients BW loss is mainly interpreted as progressive malnutrition, in incident patients it is possible that fluid removal for extracellular volume accumulated in the pre-dialysis period may interfere with the interpretation of the BW variation during the first year of treatment. This may explain why only an important BW loss >5.8% in incident HD patients is found to have a detrimental effect regarding survival, similar to other nutritional markers such

as serum albumin and prealbumin whose lowest quartiles were associated with a higher mortality rate in a study by Combe et al.<sup>20</sup>.

Trying to explain this paradoxical association of obesity with better survival among HD patients, Johansen et al.<sup>8</sup> ruled out from their data the influence of lean body mass and inflammation suggesting that fat itself might be protective in the catabolic condition of HD treatment. For Kwan and Beddhu<sup>21</sup>, the paradox is related to the balance between the deleterious effect of adipose tissue (inflammation, increased associated comorbid conditions, . . .) and the nutritional advantage of adiposity represented by fat tissue in the catabolic situation of HD treatment. Another hypothesis is raised by Sarkar et al.<sup>22</sup>. They have shown that the visceral compartment, also referred to as the high metabolic rate compartment, is inversely related to the BMI and is correlated with the protein catabolic rate, a surrogate of uraemic toxin generation. The interpretation is that patients with low BMI have a relatively higher production of uraemic toxins than high BMI patients, leading to decreased survival by higher or increased dialysis needs. However, this appealing hypothesis cannot be the unique explanation since reverse epidemiology for BMI also exists in other fields, like heart failure for instance<sup>23</sup>. Moreover, it has been recently reported that the reverse epidemiology concept regarding pre-dialysis blood pressure is time dependent<sup>24</sup> with an increased mortality risk associated with the low blood pressure level in the first 2 years of dialysis treatment followed by an increased risk of mortality associated with high blood pressure from the third year of HD treatment. This time-dependent relationship between BMI and mortality may exist but remains to be confirmed.

That was not the case in the NECOSAD study in which the 7-year follow-up did not display any change in the relationship of BMI and survival, both in HD patients and normal subjects<sup>16</sup>.

In conclusion, we confirm the reverse epidemiology regarding BMI and survival in incident Southern European HD patients even after adjustments for comorbidities, except in the case of morbid obesity. Also, it is confirmed that losing weight during the first year of HD treatment is associated with an increased mortality risk. This stresses the pivotal role of nutritional issues in dialysis patients, and strengthens the need for the regular assessment of nutritional markers and nutritional intervention studies.

*Acknowledgment.* These data have been presented at the XLIII EDTAERA meeting (Glasgow, Scotland, July 2006).

*Conflict of interest statement.* All authors are employees or consultants of the chain of clinics quoted in the paper.

## **Appendix**

### *Participating Centres: France*

Centre de Rein Artificiel de Tassin, Centre Hemodialyse du Languedoc Mediterranee, Centre Nephrologique D'Occitanie (CNO), Saint Simon, La Vall'ee, CRAT Montalieu, CRAT Rillieux, CRAT Unite d'Autodialyse (Chateau), Centre d'Autodialyse du Lunel, Centre Hemodialyse du Beziers, C.T.S.I.R., C.H.L.M. Nimes, DIALYTEC, Fondial Fontenay sous Bois, Fondial Vincennes, Fondial Champigny, AERA—Gennevilliers,

AERA—Pontault Combault, AERA—Villejuif.

*Participating Centres: Italy*

NephroCare Dialnova, NephroCare Nephros Cassino, NephroCare Centro Dialisi Riviera del Conero, NephroCare Nefrosal, NephroCare Mirabial, NephroCare Malpighi, NephroCare Sodial, NephroCare Emodial Vesuvio, NephroCare SM2, NephroCare Emodial, NephroCare Nephros Venafro, NephroCare Alfadial, NephroCare Dialcenter, NephroCare Cilento Dial Dianoval, NephroCare Kidney, NephroCare Nefrodial, NephroCare Cilento Dial Olidial, NephroCare Renal Center, NephroCare Nodial, NephroCare Nodial Napoli, NephroCare Cercos, NephroCare Beta Dial, NephroCare Rusdial, NephroCare Omnia Dial, NephroCare Dial Torre, NephroCare Fanus c/o Clinica Ruesch, NephroCare Enne E, NephroCare Fanus Somma Vesuviana, NephroCare Dialten, NephroCare Ruscosa, NephroCare Dialy Center, NephroCare Il Nefrologico, NephroCare Centro Diagnostico e Terapeutico delle Malattie Renali.

*Participating Centres: Portugal*

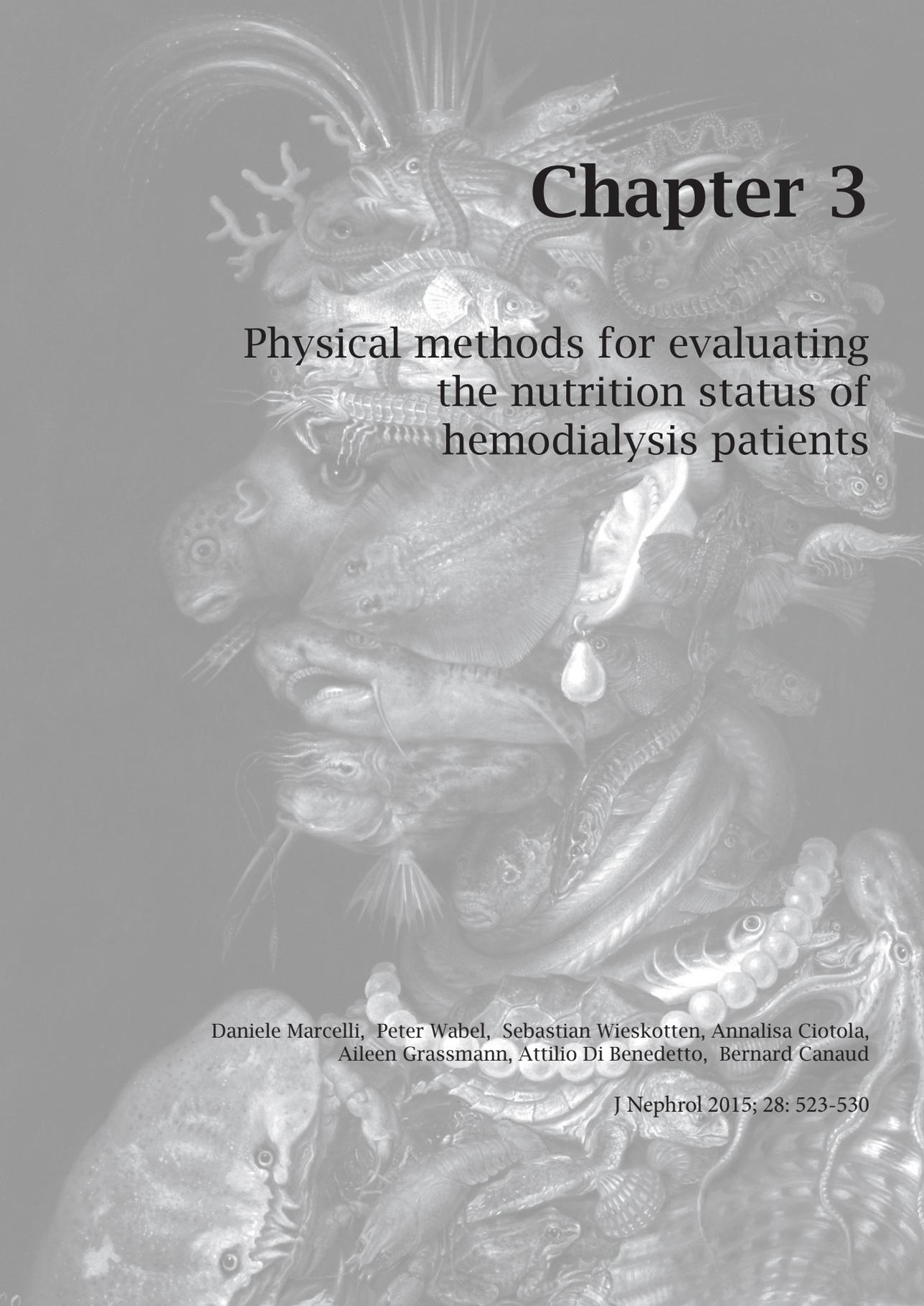
Abrandial – Clinica de Doenc,as Renais, FMC—Amadora, Centro Medico Nacional – Clinica de Beja, Braga, Cancho, Almada, FMC-NMC Centro Medico Nacional, FMC Entroncamento, FMC Centro de Hemodialise de Evora, Centro de Hemodialise de Fafe, FMC – Clinica Hemodialise de Faro, Egidial, FMC Lumiar, FMC Restelo, FMC – Ponte da Barca, FMC-NMC Centro Medico Nacional Portimao, Ribadial – Clinica de Dialise de Santarem, FMC Setubal, Tavira, FMC Torres Vedras, Hemodial – Vila Franca Xira, Vila Nova de Gaia, Visodial – Centro de Dialise de Viseu, Tagus Dial.

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# Chapter 3

## Physical methods for evaluating the nutrition status of hemodialysis patients

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## **Abstract**

This article aims to provide an overview of the different nutritional markers and the available methodologies for the physical assessment of nutrition status in hemodialysis patients, with special emphasis on early detection of protein energy wasting (PEW).

Nutrition status assessment is made on the basis of anamnesis, physical examination, evaluation of nutrient intake, and on a selection of various screening/diagnostic methodologies. These methodologies can be subjective (e.g. the Subjective Global Assessment score (SGA)) or objective in nature (e.g. bioimpedance analysis). In addition, certain biochemical tests may be employed (e.g. albumin, pre-albumin). The various subjective-based and objective methodologies provide different insights for the assessment of PEW, particularly regarding their propensity to differentiate between the important body composition compartments – fluid overload, fat mass and muscle mass.

This review of currently available methods showed that no single approach and no single marker is able to detect alterations in nutrition status in a timely fashion and to follow such changes over time. The most clinically relevant approach presently appears to be the combination of the SGA method with the bioimpedance spectroscopy technique with physiological model and, additionally, laboratory tests for the detection of micro-nutrient deficiency.

## Introduction

Multiple metabolic and nutritional derangements are present in 20–50% of patients with advanced chronic kidney disease (CKD)<sup>1</sup>. A variety of terms have been coined to describe this condition, such as uremic malnutrition<sup>2</sup>, uremic cachexia<sup>3</sup>, protein energy malnutrition<sup>4</sup>, malnutrition-inflammation atherosclerosis syndrome<sup>5</sup> or malnutrition-inflammation complex syndrome<sup>6</sup>. More recently, the term “malnutrition” has been replaced by “wasting” in recognition that the disorder cannot be corrected by simply increasing dietary nutritional intake. In fact, the deterioration of kidney function is often associated with inflammation, metabolic acidosis, and impaired insulin/insulin-like growth factor functionality, all of which negatively affect protein anabolism even in presence of an adequate nutritional intake. As a consequence, the International Society of Renal Nutrition and Metabolism defined protein-energy-wasting (PEW) according to the presence of at least three out of the following four characteristics<sup>7</sup>: (1) Abnormal levels of circulating biomarkers (low serum albumin, pre-albumin, or cholesterol concentrations); (2) Decreased body mass (low or decreased body mass or fat mass, or weight loss with decreased protein and energy intake); (3) Decreased muscle mass; and (4) Abnormal nutritional score. However, even severely reduced muscle mass can be masked by a parallel increase in non-muscle body weight (even to overweight and obese levels), making a diagnosis of PEW difficult in such cases.

While the nutritional and metabolic derangements observed in advanced CKD cannot be attributed to any one single factor, a common feature is the high rate of protein degradation. Chronic inflammation can be a cause of PEW in CKD patients<sup>8,9</sup>. Chronic inflammation is not only associated with advanced kidney disease itself, but also with the biological response to the dialysis treatment

(including catheters) and with the presence of comorbid conditions, such as diabetes mellitus, tumors or infections. Another factor that plays a role is the inadequate intake of nutrients, for example due to loss of appetite, dietary restrictions, pill-burden, lack of physical activity, the disruptive influence of dialysis scheduling on meal times, and failure to compensate for nutrient losses (e.g. in the dialysate). Lastly, intercurrent events leading to frequent hospitalizations of dialysis patients have been associated with worsening of the patient's nutrition status<sup>10</sup>.

When PEW is present, patient mortality risk and hospitalization rate increase dramatically<sup>11</sup>. In order to hinder, or at least delay, the development of PEW in dialysis patients, the European Best Practice Guidelines recommend a close follow up of patient nutrition status from the initiation of renal replacement therapy<sup>12</sup>.

This article aims to provide an overview of the different nutritional markers and the available methodologies for the physical assessment of nutrition status, with special emphasis on early detection of PEW.

**Table 1** Scoring methods with a subjective component used for nutritional assessment

SGA—Subjective Global Assessment	The SGA combines weight loss with information about dietary intake and physical examination results, e.g. comorbidities, gastrointestinal symptoms or signs of muscle loss
MIS—Malnutrition Inflammation Score	The MIS combines the traditional test parameters of the SGA test with additional laboratory information (e.g. serum albumin or iron binding capacity)
MNA-SF—Mini Nutritional Assessment Short Form	The MNA-SF, which was developed to screen for the risk of malnutrition, includes also information on psychological problems but does not take any laboratory values into account
NRS—Nutritional Risk Score	The NRS was developed for hospitalized patients. It is very similar to the MNA-SF in the tested parameters
MUST—Malnutrition Universal Screening Tool	The MUST score was developed for multidisciplinary use and was adopted by the British National Health Service (NHS) to be used in the general population. The test is not adjusted to the specific population of CKD patients
GNRI—Geriatric Nutritional Risk Index	This index is a mathematical calculation based on patient weight and albumin levels. This test takes the potential fluid overload of the patients into account

## Available methods for evaluating the nutrition status of hemodialysis patients

Nutrition status assessment relies on anamnesis, physical examination, evaluation of nutrient intake, and on a selection of various screening/diagnostic methodologies.

The last can be subjective in character (such as the Subjective Global Assessment score, see **Table 1**) or objective in nature (for example, employing anthropometric indices, body composition tools or functionality tests, see **Table 2**).

**Table 2** Objective methods used for nutritional assessment

<b>Anthropometrics</b>	
Weight	Patient weight can be easily measured using a weighing scale
BMI—body mass index	BMI is the weight of the patient normalized to the height squared ( $BMI = \text{weight}/\text{height}^2$ )
Mid-arm circumference	Measurement of the circumference of the upper mid arm using a measuring tape
Skin fold thickness	Calipers are used to measure skin fold thickness. Several measurements have to be performed at various predefined anthropometric measuring sites of the body, and several measurements have to be averaged
Waist–hip ratio	Ratio of the waist to hip circumference measured using a measuring tape
<b>Functional test</b>	
Hand-grip strength	The isometric contraction force of the hand is used to determine the hand grip strength. The measured force can be used to determine the muscle strength of a subject and also allows extrapolation to the muscle mass. Several studies show a correlation between hand-grip strength and survival. With the proper dynamometer, the test is easy to conduct and results are replicable. It does not provide information on fat mass or potential fluid overload [47]
<b>Body composition</b>	
DEXA—dual X-ray absorptiometry	The total body of the patient is scanned with two different X-ray intensities, allowing determination of bone mass, fat mass and residual mass
Four-compartment modelling	This method combines the bone mineral content (DEXA), total body water (deuterium dilution), weight and body volume/density (air displacement plethysmography) [34]
BIA—mono-frequency or multifrequency bioimpedance analysis	An alternating current is applied, typically at the wrist and at the ankle of the patient, and the response is measured (resistance at reactance). Mono-frequency bioimpedance devices typically use a frequency of 50 kHz. Multifrequency bioimpedance devices typically use 5, 50 and 100 kHz. Empirical equations exist to convert measured impedances into fat mass and fat-free mass [48, 49]
BIVA—bioimpedance vector analysis	BIVA combines the use of a monofrequency bioimpedance device with a graphical analysis. Reactance and impedance are normalized to the height of the patient and plotted against each other, and the vector of normalized resistance and reactance at 50 kHz is drawn. Ellipses are set up, based on a healthy population. The position of the patient vector to the reference ellipses indicates the hydration status and the muscle mass of the patient [50]
Bioimpedance spectroscopy without physiological model	Bioimpedance spectroscopy based on the functional principal of monofrequency bioimpedance. Instead of a single (or three) measurement frequencies, a whole sweep of frequencies is applied. A measurement frequency range from 5 to 1000 kHz is covered. At very low frequencies, the current passes nearly exclusively round the cells, while at high frequencies the current passes through the cell membranes. This allows differentiation between extracellular and the intracellular compartments. Based on Cole modelling and the Hanai equations, the extracellular (ECW) and the intracellular water (ICW) can be determined [51–53]. The fat mass and the fat-free mass are estimated using empirical equations [48, 49]
Bioimpedance spectroscopy with physiological model	Bioimpedance spectroscopy with physiological model uses the bioimpedance spectroscopy measurement technique described above. ECW and ICW are calculated using the Hanai and Cole model. Additionally, a sophisticated physiological model is applied that allows separation of the fluid overload from the muscle mass. The physiological model is based on normohydrated tissue properties and has been validated in various studies [21]

In addition, certain biochemical tests may be employed, for example measurements of serum albumin, pre-albumin, creatinine index, and protein catabolic rate. **Table 3** provides an overview of which PEW relevant factors are included in the different scoring tests commonly used today.

**Table 3** Comparison of different scores with subjective components for the assessment of protein energy wasting (PEW)

Test/parameter	SGA	MIS	MNA-SF	NRS	MUST	GNRI
Weight loss	X	X	X	X	X	X
Dietary intake	X	X	X	X	–	–
Gastrointestinal symptoms	X	X	–	–	–	–
Comorbidity	X	X	X	X	–	–
Functional capacity	X	X	X	X	X	–
Signs of muscle/fat loss or edema	X	X	–	–	–	–
Psychological problems	–	–	X	–	–	–
Laboratory value (e.g. serum albumin)	–	X	–	–	–	X

*SGA* subjective global assessment, *MIS* Malnutrition Inflammation Score, *MNA-SF* Mini Nutritional Assessment Short Form, *NRS* Nutritional Risk Score, *MUST* Malnutrition Universal Screening Tool, *GNRI* Geriatric Nutritional Risk Index

The ability to objectively identify reduced muscle mass is clearly critical for the diagnosis of PEW. Today, several methodologies are available targeting detection of changes in body composition and especially reductions in muscle mass (**Table 2**). These include anthropometric approaches, evaluation of creatinine generation<sup>13</sup>, dual-energy X-ray absorptiometry<sup>14</sup> bioimpedance-based evaluations of body composition<sup>14,15</sup>, and other methodologies less likely to be used in routine clinical practice (e.g. nuclear magnetic resonance, computerized tomography, total body nitrogen, and total body potassium)<sup>16</sup>. In addition, an indirect assessment of muscle mass can be performed via muscle strength, i.e. by using hand-grip test, or via subjective evaluation of the daily routine activities, as reported in specific questions of the SF-36 questionnaire<sup>17</sup>.

### **Special requirements for early identification of PEW**

A main target of nutrition status assessment is the detection of early warning indicators for PEW, especially as such may offer opportunities for timely interventions with the potential to stop ongoing cachectic processes. For the assessment of nutrition status it is essential to perform a precise and specific compartment evaluation, identifying fat mass, muscle mass and potential fluid

overload separately. This compartmental approach is important as the therapeutic approaches to addressing impairments can be very different from compartment to compartment. For example, CKD patients can accumulate significant amounts of fat mass<sup>18</sup>, but at the same time suffer from depletion in the muscle mass<sup>19,20</sup>, e.g. in protein energy malnutrition. Therefore, it is essential to assess fat and muscle mass independently. It is equally necessary to assess fluid overload independent from muscle mass and adipose mass: muscle is 72% water<sup>21</sup> and for every 1 kg of muscle mass a patient catabolizes 720 ml of fluid will be released. In the case of a patient with CKD 5, this fluid will accumulate in the body. The weight of the patient will decrease slightly, but at the same time muscle mass is replaced by fluid volume. Various technical methods exist that can assess the different composition properties of the human body. **Table 4** compares the different objective methods regarding their propensity for differentiation between the different body composition compartments – fluid overload, fat mass and muscle mass.

### **Which methods provide the best information?**

Methods for nutrition assessment are applied as diagnostic, prognostic and/or response-tracking tools. Which method is most suitable for which application is a matter of controversy since malnutrition is a continuum triggered by an imbalance between intake and requirements for energy, protein and other nutrients. In addition, these requirements also depend on the actual health status of the individual (i.e. healthy vs. chronically ill). Another important question to address is what exactly the different methods assess, and if they are actually capable of timely identification of PEW. Here it is important to separate between tools that are used for screening (like SGA) and methods that can be used for long-term follow-up of a patient (e.g.

bioimpedance spectroscopy based on physiological models). Consequently, different methods can be used in different stages of malnutrition, taking into consideration that detectable alterations in body composition occur rather late in the development of PEW.

**Table 4** Objective methods for measurement of different body compartments (fat, muscle, water)

Class	Objective method	Fluid over-load	Muscle	Fat	Muscle + fluid together	Fat + muscle + fluid together
Anthro-pometrics	Weight	–	–	–	–	X
	BMI (body mass index)	–	–	–	–	X
	Mid-arm circumference	–	–	–	–	X
	Skin fold thickness	–	–	X	–	–
	Waist-hip ratio	–	–	X (visceral)	–	–
Functional test	Hand-grip strength	–	X	–	–	–
Body compo-sition	DEXA (dual X-ray absorptiometry)	–	–	X	X	–
	Four-compartment modeling	–	–	X	–	–
	Monofrequency BIA—phase angle 50 kHz. Multifrequency BIA	–	–	X	X	–
	BIVA—bioimpedance vector analysis	–	–	X	X	–
	Bioimpedance spectroscopy without physiological model	–	–	X (indicator for nutrition status)	X (indicator for hydration status)	–
	Bioimpedance spectroscopy with physiological model	X	X	X	–	–

The first fundamental classification of methods used to assess nutritional status categorizes these as being either subjective or objective in character. **Table 3** provides an overview of the different malnutrition parameters tested by six recognized partially subjective methods. The subjective Global Assessment (SGA), the Malnutrition Inflammation Score (MIS) and the Mini Nutritional Assessment Short Form (MNA-SF) score cover a wider range of parameters than the Nutritional Risk Score (NRS), the Malnutrition Universal Screening Tool (MUST) and the Geriatric Nutritional Risk Index (GNRI). The SGA score is globally recognized as a validated tool for the cross-sectional assessment/screening for risk of PEW and also facilitates comparison of different populations<sup>22</sup>. In terms of nutritional dimensions, the SGA score offers the highest coverage. On the negative side, it requires clinical expertise for reliable application and is not sufficiently sensitive for longitudinal patient follow

up, as subtle changes in nutrition status would not be detectable<sup>23</sup>. GNRI is the most restrictive method, assessing only weight loss and laboratory values. These tests are not set up to reflect the special conditions of CKD patients. In addition to the methods listed in **Table 3**, many other partially subjective tests exist, but the decision to use a particular tool has to be considered carefully since many of them have not been validated<sup>24</sup>.

Objective methods with high clinical applicability are essential for the diagnosis of PEW. As previously mentioned, a reliable diagnosis requires differentiation between the three main body composition compartments: fat mass, lean mass and fluid overload. **Table 4** provides an overview of the ability of different objective methods to make such a differentiation. The advantages of the various methods depend on the exact kind of information they can provide, their ease of use, their respective costs and the respective clinical applicability.

From the anthropometric methods, neither weight, nor BMI nor mid-arm circumferences are able to differentiate between the different compartments (muscle mass, fat mass and fluid overload). Furthermore, the results can be affected by deviations in fluid balance in patients with CKD<sup>25</sup>. Consequently, large muscle mass and large fat mass will be interpreted in the same way when using these methods. Body fat accumulates to around 33% in subcutaneous tissue, to about 10% in intramuscular depots of males (4% in females), and to around 12% in visceral thoracic and abdominal fat depots in males (8% in females). Subcutaneous fat can be assessed by precision calipers, measuring the skin fold thickness. With skin fold thickness it is possible to get quite a good approximation for the fat mass but the results are highly clinician and device dependent, do not assess muscle mass and are affected by fluid overload and edema<sup>25</sup>. Consequently, the accuracy of the

results is quite low, even more so in obese people. Experienced clinicians usually need to make three consecutive measurements and average the results. In addition, skin fold thickness represents the subcutaneous fat that differs by location in the body with a distribution that is gender specific<sup>25</sup>. Waist-hip ratio gives a good indicator for the amount of visceral fat, and is therefore a better marker for obesity and for the fat mass than weight or the BMI. However, in general, anthropometric measures are considered vulnerable to errors and are not recommended for diagnosing sarcopenia<sup>26</sup>. Finally, according to Vagianos et al<sup>27</sup>, one should consider that subjects who appear well-nourished according to anthropometric measures can actually be malnourished because of a deficiency in multiple micronutrients (e.g. iron, vitamin B6, vitamin D). This was shown in a study of patients with inflammatory bowel disease, but cannot be ruled out in CKD patients.

The value of functional methodology for nutritional or PEW assessment is still under discussion. Muscle strength has been shown to be positively associated with muscle mass and, possibly, negatively associated with inflammation<sup>28,29</sup>. However, a recent systematic review<sup>30</sup> concluded that the protocol for hand-grip test is not standardized and that diagnostic criteria are still missing. Nevertheless, functional tests are generally considered a reliable indicator of short-term changes in nutrition status and surrogate of physical activity<sup>31</sup>.

Dual X-ray absorptiometry (DEXA) provides precise assessment of the fat mass and is sometimes regarded as the “gold standard” for the fat mass assessment<sup>32</sup>. DEXA was able to detect significant increases in fat mass and significant decreases in lean body mass during the first year on hemodialysis treatment<sup>33</sup>. Pitfalls of this method are its complexity and cost, thereby limiting its clinical practicability, especially in the measurement of obese patients. In addition, this method cannot provide diagnostic

separation of muscle mass and fluid overload. The best method for assessing fat mass in patients with an impaired fluid status is the four-compartment model, which takes into account the bone mineral mass measured with DEXA, the total water content of the body assessed with Deuterium dilution, the weight of the patients, and the density assessed with air displacement plethysmography<sup>34</sup>. This method can be regarded as a further development of the DEXA assessment of fat mass in patients with an impaired renal function, but is very complex in its application.

Bioimpedance analysis (BIA) methods, on the other hand, are comparatively inexpensive and easily applicable. In addition, they can be repeated frequently due to their non-invasive nature, i.e. patients are not exposed to additional health risks such as radiation. There are several different types of bioimpedance methods available today, and the results may vary depending on which type is applied. The simple mono-frequency or multifrequency bioimpedance analysis (BIA) methods are limited in their validity for CKD patients. This is especially because the empirical equations used here to convert measured impedances into clinically meaningful information were developed for healthy subjects, and the results cannot be extrapolated to a CKD population with markedly impaired hydration status. As a consequence, simple mono-frequency or multifrequency BIA cannot distinguish muscle mass from the fluid overload. However, by measuring the phase angle (i.e. the angular transformation of the ratio between reactance and resistance), one can anticipate the change in biochemical nutritional parameters<sup>35</sup>. Accordingly, by using single frequency BIA it was possible to unveil the risk of malnutrition also in apparently healthy overweight and obese patients on hemodialysis<sup>36</sup>, including also those on the waiting list for kidney transplantation<sup>37</sup>. The Bioimpedance Vector Analysis (BIVA) is a more advanced methodology. However, this also does not

provide absolute values of the various body composition compartments, and the results are always relative to the respective healthy reference population. It also cannot separate fat and fluid compartments. Bioimpedance spectroscopy without a physiological model provides a precise assessment of the extracellular and total body water. The extra- and intracellular compartments are distinguished already on measurement by using a frequency sweep from 3-5 kHz up to 1000 kHz. The body composition (fat free mass and fat mass) is then calculated using empirical equations and the resistance and reactance values of the 50 kHz frequency measurement<sup>38</sup>. These equations are identical to the equations used in the mono and multifrequency bioimpedance methods and are not valid in CKD patients. Furthermore, the important fluid overload compartment cannot be determined.

The Bioimpedance Spectroscopy method with physiological model is the only method available today that is able to distinguish between the 3 different body compartments. The well-validated physiological model<sup>39</sup> facilitates separation of the body into the three essential compartments, lean body mass, adipose tissue mass, and fluid overload. Therefore, the Bioimpedance Spectroscopy method with physiological model is the only clinically practical method that allows the diagnostic separation necessary for the diagnosis of PEW. Ratios of intracellular water or extracellular water to body weight, assessed with bioimpedance spectroscopy were found to be good markers of nutrition status in a Portuguese study of 75 HD patients<sup>40</sup>. Using the same method, Rosenberger et al<sup>41</sup> recently showed that lean tissue index levels in hemodialysis patients below the 10<sup>th</sup> percentile of an age and gender matched distribution in the normal population are associated with a significant 66% higher relative risk for mortality. Applying the same type of BIA, Broers et al<sup>42</sup> were able to detect a seasonal pattern to body composition and

hydration state, thereby potentially facilitating longitudinal evaluation of nutritional status.

### **The additional value of laboratory tests**

Despite our focus on physical measurements for nutritional assessment, laboratory measurements relevant to nutritional assessment should also be mentioned here. These are mainly albumin, pre-albumin, creatinine index and protein catabolic rate. Micro-nutrients are sometimes also measured in CKD patient laboratory tests (e.g. serum iron, vitamin D), but will not be discussed here. Serum albumin is not only a marker of visceral proteins, but also of overhydration and inflammation<sup>43,44</sup>. Therefore it is not the best indicator to assess nutrition status and, according to Fuhrman<sup>45</sup>, may be a more appropriate indicator for disease severity. Pre-albumin (transthyretin) is believed to be a better nutritional indicator than albumin, with higher sensitivity because of its shorter half-life (2.5 days)<sup>46</sup>. However, this may have limitations similar to albumin, and is not a standard laboratory parameter that is checked in CKD and dialysis patients. In patients with healthy metabolic status, creatinine generation is an indicator of muscle mass. In CKD patients, serum creatinine reflects muscle mass but is affected by renal function. For patients on dialysis, serum levels then also depend on dialysis dose (Kt/V) due to the small molecular weight and removal of creatinine during treatment. Canaud et al<sup>13</sup> developed a simplified formula to derive creatinine generation in dialysis patients from a combination of serum creatinine levels, Kt/V and anthropometric measures. However, this inexpensive indicator, even if potentially useful for monitoring the lean body mass of patients on dialysis, has its limitations in the high prevalence of

protein-energy wasting, the interference of dietary meat intake and the associated catabolic status. Finally, protein catabolic rate (nPCR) is considered a surrogate marker for dietary protein intake. In stable CKD patients this is estimated from the nitrogen balance (24-hour urine collection), whereas in patients on hemodialysis it is mainly derived from Kt/V. Again, it can be considered a reliable nutritional indicator only under stable metabolic conditions.

### **Summary**

This review of currently available methods to assess nutrition status showed that no single approach and no single marker is able to detect alterations in nutrition status in a timely fashion and to follow such changes over time. This is especially the case for patients with CKD and for patients on dialysis where issues of fluid overload may affect the results. Overall, the ideal nutrition assessment tool has to be specific, reliable, inexpensive, non-invasive and easy to use. Considering the intrinsic limitations of all nutritional markers, we support the multi-perspective approach with a combination of measures and a stepwise procedure:

1. A subjective clinical assessment, relying on validated SGA for screening and early detection of malnutrition; and
2. An assessment of the body composition by bioimpedance spectroscopy with the physiological model for quantifying and ensuring follow up of patients at risk of malnutrition, or patients with already established PEW, also in order to verify medium to long term improvement after the initiation of a nutritional therapy.
3. Use of available laboratory parameters, such as albumin or CRP for inflammation.

Special focus should be placed on patients at higher risk of PEW, such as diabetics, patients after failed kidney transplantation, and patients transferred from previous peritoneal dialysis treatment. A major advantage of bioimpedance spectroscopy with physiological model in CKD patients is the fact that it is the only method that is able to detect fluid overload. This method should be used on a routine basis not only in all CKD 5 but also already in the CKD 3 and 4 stages. Hand-grip tests may be very useful for early detection and even for short-term evaluation of a corrective therapy, but the current lack of standardization remains problematic.

In summary, the most clinically relevant approach to assessing nutrition status in hemodialysis patients presently appears to be the combination of the SGA method with the bioimpedance spectroscopy technique with physiological model and, additionally, laboratory tests for the detection of micro-nutrient deficiency, specifically in a very early phase of malnutrition.

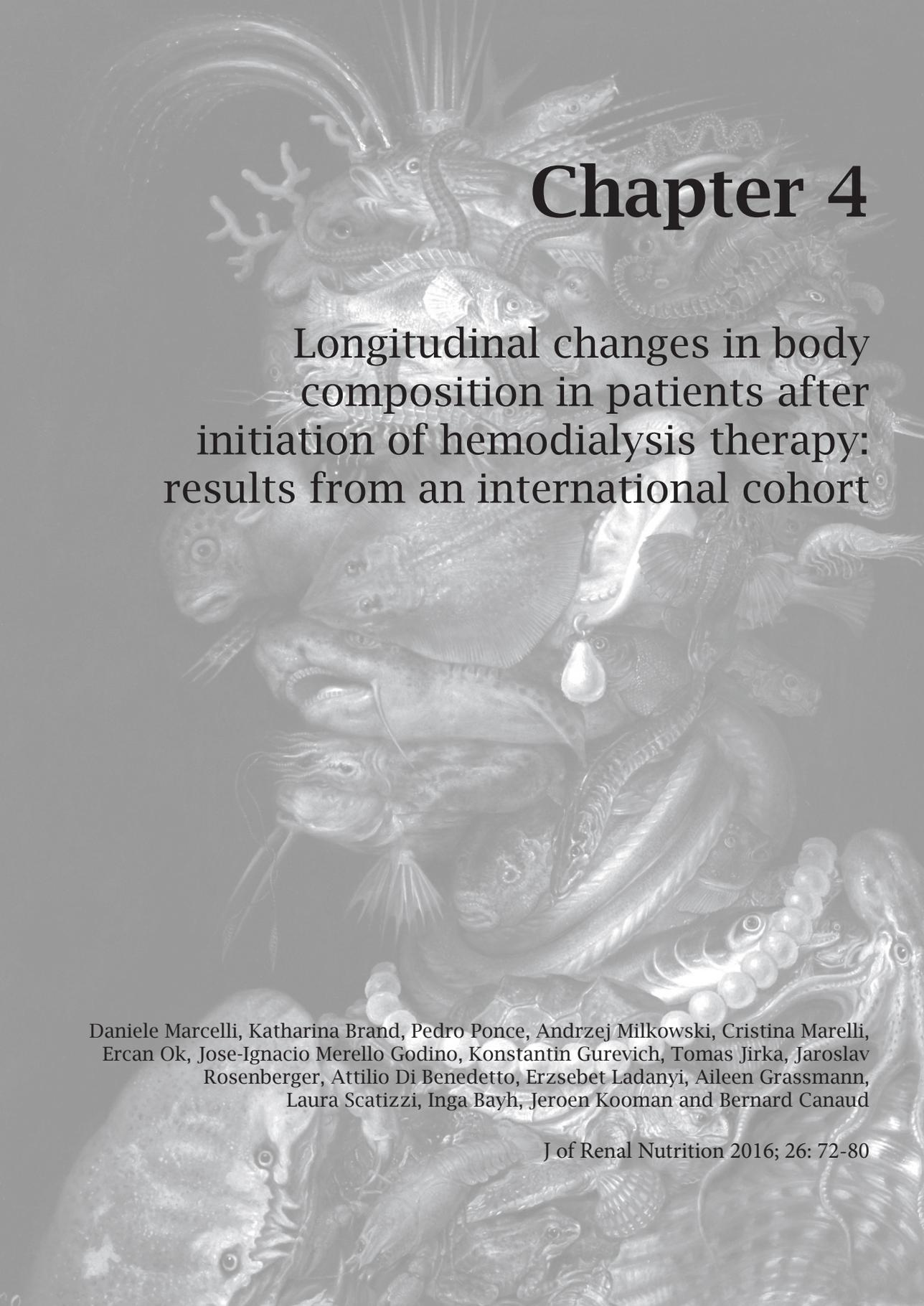
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# Chapter 4

## Longitudinal changes in body composition in patients after initiation of hemodialysis therapy: results from an international cohort

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## Abstract

### Objective

In patients with advanced kidney disease, metabolic and nutritional derangements induced by uremia interact and reinforce each other in a deleterious vicious circle. Literature addressing the effect of dialysis initiation on changes in body composition (BC) is limited and contradictory. Aim of this study was to evaluate changes in BC in a large international cohort of incident hemodialysis patients.

### Methods

8227 incident adult ESRD patients with BC evaluation within the initial first 6 months of baseline, defined as 6 months after RRT initiation, were considered. Body composition, including fat tissue index (FTI) and lean tissue index (LTI), were evaluated by Body Composition Monitor<sup>®</sup> (BCM, Fresenius Medical Care, Bad Homburg, Germany). Exclusion criteria at baseline were lack of a BCM measurement before or after baseline, BMI <18.5 kg/m<sup>2</sup>, presence of metastatic solid tumors, treatment with a catheter, and prescription of less or more than 3 treatments per week. Maximum follow-up was 2 years. Descriptive analysis was performed comparing current values with the baseline in each time interval (delta analysis). Linear mixed models considering the correlation structure of the repeated measurements were used to evaluate factors associated with different trends in FTI and LTI.

### Results

BMI increased about 0.6 kg/m<sup>2</sup> over 24 months from baseline. This was associated with increase in FTI of about 0.95 kg/m<sup>2</sup> and a decrease in LTI of about 0.4 kg/m<sup>2</sup>. Female gender, diabetic status and low baseline FTI were associated with a significant greater increase of FTI. Age >67 years, diabetes, male gender, high baseline LTI and low baseline FTI were associated with a significantly greater decrease of LTI.

### Conclusions

With the transition to hemodialysis, ESRD patients presented with distinctive changes in BC. These were mainly associated with gender, older age, presence of diabetes, low baseline FTI and high baseline LTI. BMI increases did not fully represent the changes in body composition.

## Introduction

The prevalence of protein-energy wasting is very high in dialysis patients<sup>1</sup> because of the synergic contribution of decreased protein and/or energy intake, chronic inflammation, physical inactivity, concurrent acute or chronic conditions or illness, and catabolism induced by hemodialysis process.<sup>2</sup> On the basis of the repetitive and prolonged nature of these processes, a deterioration of the nutritional status should be concurrent, and longitudinal studies should capture their close association.

However, the initiation of hemodialysis might also improve nutritional state, e.g. by improving appetite due to the partial reversal of the uremic state and correction of metabolic acidosis. Pupim et al<sup>3</sup> in 2002 showed that the initiation of hemodialysis was associated with significant improvement of most nutritional markers, including albumin, prealbumin, dietary protein intake derived from nitrogen appearance rate (nPCR), and body composition such as fat mass (1.29±2.20 kg). Vendrely et al<sup>4</sup>, in a study evaluating the fat and lean body mass development during the first year on hemodialysis in 15 patients previously treated with supplemented very low protein diet and 15 patients on less restricted diet, found a significant increase in fat mass (12.6±18.7% and 16.6±16.1%, respectively). Lean mass remained stable overall in the two groups of patients. On the other hand, other studies showed that lean body mass significantly decreased after 24 months.<sup>3, 5</sup> The increase in albumin and creatinine levels during the first 6 months of hemodialysis also reported by Goldwasser et al<sup>6</sup> can be explained by the decline of renal function and the progressive retention of proteins and creatinine. A study by Raffaitin et al<sup>7</sup> on a group of 10 diabetic patients starting dialysis and monitored by means of DXA confirmed a significant decrease in lean tissue mass and serum albumin over a period of two years.

A more recent study from Mathew et al<sup>8</sup>, evaluating 41 prevalent hemodialysis or peritoneal dialysis patients surviving 24 months after their baseline anthropometric evaluation, showed a significant increase in triceps and biceps skin fold thicknesses and mid-arm circumference. Finally, Kalantar-Zadeh et al<sup>9</sup> evaluated the body fat in 535 prevalent hemodialysis patients by near infrared interactance. After 6 months they found that the 411 patients still on follow-up were stratified as follows: 27.5% with significant fat loss and 29.9% with significant fat gain. Similarly, Johansen et al<sup>10</sup>, evaluating 54 prevalent hemodialysis patients with 4 measurements of fat mass and lean mass by DEXA over a year, did not find any significant trend.

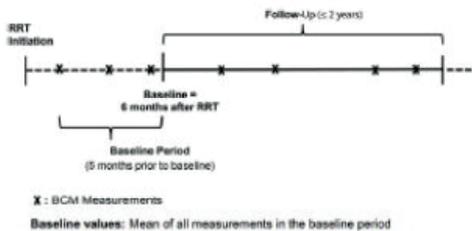
In summary, the number of studies evaluating changes in nutritional parameters after the initiation of dialysis is limited and largely based on longitudinal studies in prevalent patients, often with contradictory results. This observational study in a large cohort of incident hemodialysis patients regularly monitored with bioimpedance spectroscopy aims to assess changes in body composition in the initial phase of renal replacement therapy.

### **Methods**

The study population was extracted from a database of 49,846 patients on hemodialysis treatment in 417 NephroCare centres throughout 21 countries in Europe, Latin America and South Africa between January 1, 2007 and January 1, 2014. Patients were included if they were no longer than 6 months on RRT before admission to the unit (incident patients only) and if they underwent evaluation of body composition using the Body Composition Monitor (BCM<sup>®</sup>, Fresenius Medical Care, Germany). Baseline was defined as six months after hemodialysis initiation.

## Body composition changes in incident dialysis patients

Baseline values were defined as the mean of all measurements in the last 5 months before baseline (baseline period, **Figure 1**). Patients were followed for a maximum of 2 years. Patients without at least one BCM-measurement before and after baseline (defined as 6 months after RRT initiation) were excluded. In order to focus on a homogenous well-treated hemodialysis population and to avoid including patients already having a poor outcome at study start, patients were also excluded who were malnourished ( $BMI < 18.5 \text{ kg/m}^2$ ), had metastatic solid tumors, were receiving less or more than 3 treatments per week, or were treated with a catheter at baseline.

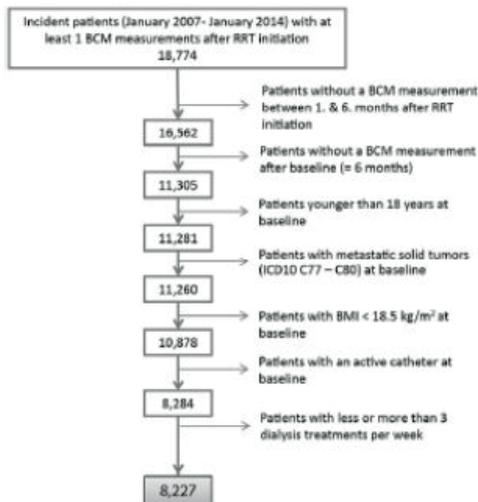


**Figure 1.** Study design. Hypothetical BCM measurement times are indicated with “x” as Body Composition Monitor (BCM) measurements were not done at strictly regular intervals. Body composition measurement was conducted with the BCM (FMC, Bad Homburg, Germany). RRT, renal replacement therapy.

Anonymized patient data were accessed through the European Clinical Database (EuCLiD), which has been described elsewhere.<sup>11, 12</sup> All patients consented that their data may be used for scientific research in anonymized form.

Lean Tissue Index (LTI) and Fat Tissue Index (FTI) were calculated as the ratio of the respective tissue masses divided by the height in meters squared. The definition of the age- and gender-dependent reference groups of LTI and FTI, respectively, was based on an evaluation of 1000 healthy individuals: “normal” LTI and FTI were defined as levels between the 10<sup>th</sup> and 90<sup>th</sup> percentile of the normal LTI or FTI

distribution, respectively, whereas “low” is defined as under the 10<sup>th</sup> percentile and “high” as above the 90<sup>th</sup> percentile of the respective normal distributions.<sup>13</sup>



**Figure 2.** Patient selection. Body composition measurement was conducted with the Body Composition Monitor (BCM; FMC, Bad Homburg, Germany). BMI, body mass index; ICD, International Classification of Diseases; RRT, renal replacement therapy.

Lean tissue index and fat tissue index were evaluated at intervals defined according to the network policy using the BCM<sup>®</sup> (FMC, Bad Homburg, Germany), which is based on multifrequency bioimpedance spectroscopy at 50 different frequencies ranging between 5 and 1000 kHz. BCM<sup>®</sup> measures overhydration, total body water (TBW in L), extracellular water (ECW in L), intracellular water (ICW in L), fat tissue mass (FTM in Kg), lean tissue mass (LTM in Kg) and body cell mass. BCM has been validated against the following gold standard reference methods: bromide dilution for ECW, total body potassium for ICW, deuterium dilution for TBW, dual X-ray absorptiometry for LTM, four compartment modelling, air displacement plethysmography, under water weighing for adipose tissue mass, magnet resonance

tomography for body cell mass and an expert clinical assessment for overhydration.<sup>14</sup>

### **Statistical analysis**

The outcomes body mass index (BMI), lean tissue index (LTI) and fat tissue index (FTI) were analysed to evaluate the nutrition status of the patients. Descriptive analysis was performed comparing current values for each patient with their baseline values for each 1-month interval (Delta-analysis). In cases without a measurement in the interval, the value of the previous interval was used (last observation carried forward).

To analyse differences between certain patient groups in the development of BMI, LTI and FTI, linear mixed models were used. We applied an autoregressive process of first order as covariance structure to consider the specific structure of the repeated measurements in irregular time intervals. For each outcome (BMI, LTI and FTI) a separate mixed model with an adjustment for the three cardiovascular comorbidities chronic ischemic heart disease (ICD10 code I25), heart failure (ICD10 code I50) and peripheral arterial diseases (PAD) (ICD 10 codes I70-I79) were fitted. In each model the time (in days) was included as covariate. In addition, the factors gender, age (in tertiles: 18-56; 57-66;  $\geq 67$ ), diabetes, LTI- and FTI-reference groups (“Low”, “Normal”, “High”) were included as main effects and as interactions with time. The main effects show average differences in the body composition between certain subgroups. Interaction terms between the factors and the time are used to identify if the changes in BMI, FTI and LTI differ in these subgroups over time during the 2 years of follow-up.

All covariates were categorical and therefore were included in the model as dummy-variables. The corresponding reference categories are reported in the tables in the Results section. Because of the high number of covariates, Akaike information criterion (AIC) was used to select covariates. AIC model selection balances between the goodness of fit and the complexity of the model and can therefore result in exclusion of some covariates that have a low impact on the outcome. The analysis was conducted with the statistical software SAS, version 9.4.

### Results

Patient selection is shown in **Figure 2**. After the selection process 8227 patients out of the original 49,846 patients were recruited. Baseline characteristics are displayed in **Table 1**. During the baseline period patients had a median of 4 (interquartile range (IQR): 2-5) BCM measurements. On average, patients had a median of 7 (IQR: 3-14) BCM measurements after baseline, the last of which was in mean 319 days after baseline. **Figure 3** shows the means of the delta analyses. In two years of follow-up, the BMI increased by  $\sim 0.6 \text{ kg/m}^2$  (or 2.2%). This change was mainly the result of an increase of  $0.95 \text{ kg/m}^2$  (7.4%) in FTI and a decrease of  $0.4 \text{ kg/m}^2$  (3.1%) in LTI. These changes in BMI, FTI and LTI were significant for all intervals ( $p < 0.001$ , paired t-tests).

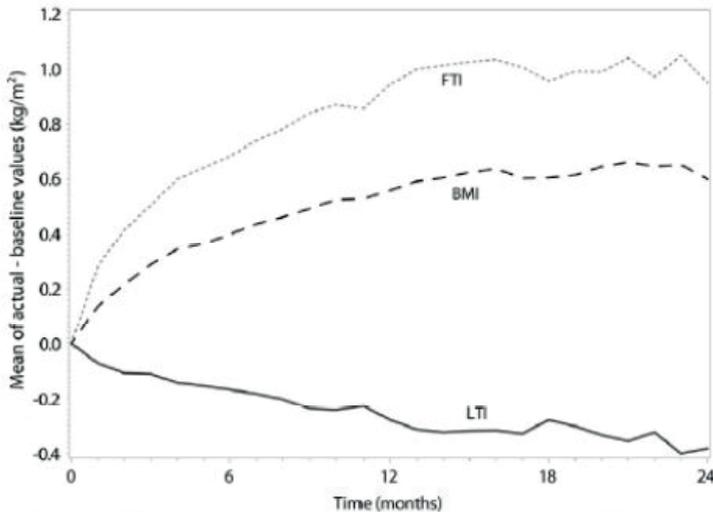
**Table 1.** Patient Characteristics at Baseline

List of Variables	
General characteristics	
Area (N)	
West Europe	2,459
East Europe	4,922
Latin America and South Africa	846
Age (y; mean $\pm$ SD)	61.46 $\pm$ 14.65
Age groups (%)	
$\geq 18$ - <57 y	33.27
$\geq 57$ - <67 y	27.15
$\geq 67$ y	39.58
Gender (female, %)	36.38
Body composition	
Dialysis post weight (kg; mean $\pm$ SD)	71.93 $\pm$ 15.05
BMI: body mass index (kg/m <sup>2</sup> ; mean $\pm$ SD)	26.78 $\pm$ 5.15
LTI: lean tissue index (kg/m <sup>2</sup> ; mean $\pm$ SD)	13.10 $\pm$ 2.84
LTI-reference groups (%)	
Low LTI	42.68
Normal LTI	54.30
High LTI	3.03
FTI: fat tissue index (kg/m <sup>2</sup> ; mean $\pm$ SD)	12.76 $\pm$ 6.01
FTI-reference groups (%)	
Low FTI	7.80
Normal FTI	72.14
High FTI	3.03
Pre-dialysis overhydration (L; mean $\pm$ SD)	2.02 $\pm$ 1.74
Post-dialysis overhydration (L; mean $\pm$ SD)	-0.18 $\pm$ 1.94
Comorbidities	
Charlson Comorbidity Index (%)	
2	46.36
3	15.24
4	21.16
$\geq 5$	17.24
Diabetes (%)	32.88
Chronic ischemic heart disease (%)	13.57
Heart failure (%)	8.12
Arrhythmia (%)	8.64
Peripheral artery disease (%)	13.68
Stroke (%)	8.21
Cirrhosis (%)	0.82
Malignancies (%)	6.70
Respiratory diseases (%)	6.32
Laboratory values	
Albumin (g/dL; mean $\pm$ SD)	3.81 $\pm$ 0.41
CRP (mg/L; median; interquartile range)	6.0 (2.47-14.45)
Hemoglobin (g/dL; mean $\pm$ SD)	10.90 $\pm$ 1.24
Creatinine pre (mg/dL; mean $\pm$ SD)	7.10 $\pm$ 3.99
Phosphate (mg/dL; mean $\pm$ SD)	4.81 $\pm$ 1.23
Total cholesterol (mg/dL; mean $\pm$ SD)	174.34 $\pm$ 44.07
Equilibrated Kt/V (mean $\pm$ SD)	1.36 $\pm$ 0.30

CRP, C-reactive protein; SD, standard deviation.

Details of patient numbers per country and of countries per geographical region: West Europe = France (211), Italy (271), Portugal (1,216), Spain (742), and the United Kingdom (19); East Europe = Bosnia (219), Croatia (4), Czech Republic (421), Estonia (8), Hungary (241), Poland (931), Romania (1,129), Russia (727), Serbia (90), Slovakia (319), Slovenia (64), Sweden (4), and Turkey (765); Latin America and South Africa = Chile (33), Colombia (797), and South Africa (16).

The results of the linear mixed models for the outcomes BMI, FTI and LTI are shown in **Tables 2-4**. All covariates are listed with the appropriate reference categories and the estimations for the specified groups, the standard errors and the p-values. The results for the time-interactions are highlighted in the following, these being most relevant to the study aim.



**Figure 3.** Delta analysis comparing current values of BMI, LTI, and FTI with baseline values. BMI, body mass index; FTI, fat tissue index; LTI, lean tissue index.

Results for BMI:

After AIC selection the model for BMI no longer contained periphery artery disease, heart failure and the interaction term of diabetes and time (**Table 2**). Diabetes did not significantly affect the development of BMI. BMI increased more for females than for males, more for patients <57 years than for patients ≥67 years, and more for patients with normal LTI and normal FTI than for patients with high LTI and FTI at baseline.

The model results can also be summarized as follows:

## Body composition changes in incident dialysis patients

$$\begin{aligned}
 BMI(time) = & 25.78 + 0.0028 * time + \begin{cases} -0.27 - 0.001 * time & \text{male} \\ 0 & \text{female} \end{cases} \\
 & + \begin{cases} 0.22 - 0.0009 * time & \geq 67 \text{ years} \\ 1.02 - 0.0004 * time & [57; 67) \text{ years} \\ 0 & < 57 \text{ years} \end{cases} + \begin{cases} 0.86 & \text{diabetes} \\ 0 & \text{no diabetes} \end{cases} \\
 & + \begin{cases} 0.35 & \text{ischemic heart disease} \\ 0 & \text{no ischemic heart disease} \end{cases} + \begin{cases} -2.06 + 0.0002 * time & \text{low LTI} \\ 2.76 - 0.0015 * time & \text{high LTI} \\ 0 & \text{normal LTI} \end{cases} \\
 & + \begin{cases} -4.97 + 0.0005 * time & \text{low FTI} \\ 8.69 - 0.0015 * time & \text{high FTI} \\ 0 & \text{normal FTI} \end{cases}
 \end{aligned}$$

**Example:** female, between 57 and 66 years old, no diabetes, no ischemic heart disease, with low LTI and high FTI:

$$\begin{aligned}
 BMI(time) = & 25.78 + 0.0028 * time + 1.02 - 0.0004 * time - 2.06 + 0.0002 * time \\
 & + 8.69 - 0.0015 * time = 33.43 + 0.0011 * time
 \end{aligned}$$

**After 2 years of follow-up:**  $BMI(730) = 33.43 + 0.0011 * 730 = 34.23$

Results for FTI:

After AIC selection heart failure and the interaction term between age and time were no longer in the model. In this model age did not appear to affect FTI development as the interaction term between age and time was not significant (**Table 3**). FTI increased more for females than for males, more for diabetics than for non-diabetics, more for patients with normal versus low baseline LTI, and more for patients with low baseline FTI. The increase of FTI over the time was smallest for patients with high FTI at baseline. The corresponding formula is:

## Body composition changes in incident dialysis patients

$$\begin{aligned}
 FTI(time) = & 11.53 + 0.003 * time + \begin{cases} -3.29 - 0.001 * time & \text{male} \\ 0 & \text{female} \end{cases} \\
 & + \begin{cases} 2.44 & \geq 67 \text{ years} \\ 1.99 & [57; 67) \text{ years} \\ 0 & < 57 \text{ years} \end{cases} + \begin{cases} 0.72 + 0.0009 * time & \text{diabetes} \\ 0 & \text{no diabetes} \end{cases} \\
 & + \begin{cases} 0.25 & \text{ischemic heart disease} \\ 0 & \text{no ischemic heart disease} \end{cases} + \begin{cases} 0.15 & \text{PAD} \\ 0 & \text{no PAD} \end{cases} \\
 & + \begin{cases} 0.88 - 0.0006 * time & \text{low LTI} \\ -0.50 + 0.0001 * time & \text{high LTI} \\ 0 & \text{normal LTI} \end{cases} + \begin{cases} -5.80 + 0.001 * time & \text{low FTI} \\ 9.24 - 0.002 * time & \text{high FTI} \\ 0 & \text{normal FTI} \end{cases}
 \end{aligned}$$

**Example:** female, between 57 and 66 years old, no diabetes, no ischemic heart disease, without PAD, with low LTI and high FTI:

$$\begin{aligned}
 FTI(time) = & 11.53 + 0.003 * time + 1.99 + 0.88 - 0.0006 * time + 9.24 - 0.002 \\
 & * time = 24.36 + 0.0013 * time
 \end{aligned}$$

**After 2 years of follow-up:**  $FTI(730) = 23.54 + 0.0004 * 730 = 23.83$

Results for LTI:

The same covariates were considered for the outcome LTI. After AIC selection the model no longer contained chronic ischemic heart disease (**Table 4**). LTI decreased more for males than for females, more for patients  $\geq 67$  years than patients  $< 57$  years, more for diabetics, and more for patients with high LTI or low FTI at baseline. The smallest decrease in LTZ was observed for patients with low LTI or high FTI at baseline. The model results can also be summarized as follows:

## Body composition changes in incident dialysis patients

$$\begin{aligned}
 LTI(time) = & 13.91 - 0.0002 * time + \begin{cases} 2.83 - 0.0002 * time & \text{male} \\ 0 & \text{female} \end{cases} \\
 & + \begin{cases} -2.69 - 0.0003 * time & \geq 67 \text{ years} \\ -1.22 - 0.0002 * time & [57; 67) \text{ years} \\ 0 & < 57 \text{ years} \end{cases} \\
 & + \begin{cases} -0.16 - 0.0007 * time & \text{diabetes} \\ 0 & \text{no diabetes} \end{cases} + \begin{cases} -0.11 & \text{heart failure} \\ 0 & \text{no heart failure} \end{cases} \\
 & + \begin{cases} -0.28 & \text{PAD} \\ 0 & \text{no PAD} \end{cases} + \begin{cases} -2.98 + 0.0008 * time & \text{low LTI} \\ 3.18 - 0.0019 * time & \text{high LTI} \\ 0 & \text{normal LTI} \end{cases} \\
 & + \begin{cases} 0.61 - 0.0006 * time & \text{low FTI} \\ -0.32 + 0.0003 * time & \text{high FTI} \\ 0 & \text{normal FTI} \end{cases}
 \end{aligned}$$

**Example:** female, between 57 and 66 years old, no diabetes, without heart failure, without PAD, with low LTI and high FTI:

$$\begin{aligned}
 LTI(time) = & 13.91 - 0.0002 * time - 1.22 - 0.0002 * time - 0.11 - 2.98 + 0.0008 \\
 & * time - 0.32 + 0.0003 * time = 9.28 + 0.0007 * time
 \end{aligned}$$

**After 2 years of follow-up:**  $LTI(730) = 9.28 + 0.0007 * 730 = 9.79$

### Discussion

This study, based on a large international cohort of incident hemodialysis patients, reports a mean BMI increase of  $\sim 0.6 \text{ kg/m}^2$  over 24 months from baseline. Patient nutritional status was monitored by bioimpedance spectroscopy and revealed that changes in BMI were associated with an increase of  $0.95 \text{ kg/m}^2$  in FTI and a decrease of  $0.40 \text{ kg/m}^2$  in LTI. These latter results confirm trends reported by previous small-sized studies,<sup>3, 4, 7</sup> albeit the increase in fat body mass reported by

Pupim et al<sup>3</sup> during the first year on hemodialysis was not associated with significant changes in weight and in body mass index. This is the opposite of what was reported by Mathew et al<sup>8</sup>, but it should be stressed that anthropometry measurements are not only operator dependent but also subject to artifacts from fluid accumulation. Therefore, it cannot be excluded that previous results can be distorted by the hydration status, especially considering that also DEXA cannot provide diagnostic separation of muscle mass and fluid overload. In the current study, the increase of fat and the decrease in lean body mass was associated with an increased BMI already after 1 year (**Figure 3**). This observation supports the theory<sup>3</sup> that fat mass may increase quickly during the first year of hemodialysis and continue to increase slowly thereafter for approximately 7 years.

**Table 2.** Estimations of the Mixed Model for the Outcome BMI

Variable	Reference Group	Estimate	Standard Error	P Value
Intercept		25.7846	0.0938	<.001
Time (d)		0.0028	0.0003	<.001
Gender: male	Female	-0.2657	0.0815	.001
Age: (57; 67)	Age < 57	1.0163	0.1001	<.001
Age: ≥67	Age < 57	0.2179	0.0918	.018
Diabetes: yes	No	0.8555	0.0696	<.001
LTI-ref: low	Normal	-2.0646	0.0821	<.001
LTI-ref: high	Normal	2.7639	0.2308	<.001
FTI-ref: low	Normal	-4.9664	0.1488	<.001
FTI-ref: high	Normal	8.6878	0.0995	<.001
Ischemic heart disease: yes	No	0.3452	0.0927	<.001
<b>Time × gender: male</b>	<b>Female</b>	<b>-0.0013</b>	<b>0.0002</b>	<b>&lt;.001</b>
<b>Time × age: (57;67)</b>	<b>Age &lt; 57</b>	<b>-0.0004</b>	<b>0.0003</b>	<b>.167</b>
<b>Time × age: ≥67</b>	<b>Age &lt; 57</b>	<b>-0.0009</b>	<b>0.0003</b>	<b>.001</b>
<b>Time × LTI-ref: Low</b>	<b>Normal</b>	<b>0.0002</b>	<b>0.0003</b>	<b>.519</b>
<b>Time × LTI-ref: High</b>	<b>Normal</b>	<b>-0.0015</b>	<b>0.0007</b>	<b>.031</b>
<b>Time × FTI-ref: Low</b>	<b>Normal</b>	<b>0.0005</b>	<b>0.0005</b>	<b>.288</b>
<b>Time × FTI-ref: High</b>	<b>Normal</b>	<b>-0.0015</b>	<b>0.0003</b>	<b>&lt;.001</b>

BMI, body mass index; FTI, fat tissue index; LTI, lean tissue index.  
The result of the time interactions are highlighted in bold.

An additional analysis was conducted to analyze if changes in the nutritional parameters differ in different regions (West Europe (reference category), East Europe and Latin America & South Africa). Here, geographical area was included in the linear mixed models as main effect as well as interaction with the time. Significantly higher increases in BMI (0.0008 kg/m<sup>2</sup> per day; p-value=0.002) and FTI

## Body composition changes in incident dialysis patients

(0.0011 kg/m<sup>2</sup> per day; p-value<0.001) were found for patients from East Europe compared to patients from West Europe. All other comparisons between the areas regarding the development of BMI, LTI and FTI were not significant.

Regarding the development of fat mass (**Table 3**), FTI increase was significantly lower for males compared to females, while the presence of diabetes was associated with a significantly higher increase of FTI over time. In agreement with the study of Pupim et al<sup>3</sup>, patients with the lowest baseline FTI showed the highest increase over time. In addition, patients with high baseline LTI showed the greater increase of fat tissue.

**Table 3.** Estimations of the Linear Mixed Model for the Outcome LTI

Variable	Reference Group	Estimate	Standard Error	P Value
Intercept		13.9088	0.0419	<.001
Time (d)		-0.0002	0.0001	.114
Gender: male	Female	2.8320	0.0362	<.001
Age: (57; 67)	Age < 57	-1.2249	0.0446	<.001
Age: ≥67	Age < 57	-2.6932	0.0409	<.001
Diabetes: yes	No	-0.1644	0.0378	<.001
LTI-ref: low	Normal	-2.9756	0.0366	<.001
LTI-ref: high	Normal	3.1785	0.1033	<.001
FTI-ref: low	Normal	0.6126	0.0668	<.001
FTI-ref: high	Normal	-0.3244	0.0443	<.001
Heart failure: yes	No	-0.1139	0.0424	.007
PAD: yes	No	-0.2830	0.0336	<.001
<b>Time × gender: male</b>	<b>Female</b>	<b>-0.0002</b>	<b>0.0001</b>	<b>.046</b>
<b>Time × age: (57;67)</b>	<b>Age &lt; 57</b>	<b>-0.0002</b>	<b>0.0002</b>	<b>.277</b>
<b>Time × age: ≥67</b>	<b>Age &lt; 57</b>	<b>-0.0003</b>	<b>0.0001</b>	<b>.017</b>
<b>Time × diabetes: yes</b>	<b>No</b>	<b>-0.0007</b>	<b>0.0001</b>	<b>&lt;.001</b>
<b>Time × LTI-ref: low</b>	<b>Normal</b>	<b>0.0008</b>	<b>0.0001</b>	<b>&lt;.001</b>
<b>Time × LTI-ref: high</b>	<b>Normal</b>	<b>-0.0019</b>	<b>0.0003</b>	<b>&lt;.001</b>
<b>Time × FTI-ref: low</b>	<b>Normal</b>	<b>-0.0006</b>	<b>0.0002</b>	<b>.007</b>
<b>Time × FTI-ref: high</b>	<b>Normal</b>	<b>0.0003</b>	<b>0.0002</b>	<b>.034</b>

FTI, fat tissue index; LTI, lean tissue index; PAD, peripheral artery disease.  
The result of the time interactions are highlighted in bold.

Regarding the loss of lean mass (**Table 4**), LTI decreased more in elderly patients (age ≥67 years) and males than in younger patients and females. It is known from previous studies assessing total body potassium in normal subjects that lean body mass peaks in the third and fourth decade of life, followed by a steady decline with advancing age.<sup>15, 16</sup> A more recent study<sup>17</sup> in older adults based on hydrodensitometry evaluations showed a 2% decrease in fat-free mass per decade

in men but not in women, whereas fat mass increased similarly in both genders (7.5% per decade). It is known that the uremic state is associated with several endocrine abnormalities including the axis hypothalamus-pituitary gland-gonads regulation, growth hormone and insulin growth factor regulation, and hormone receptor interaction.<sup>18</sup> The consequent hormonal derangement is known as uremic hypogonadism<sup>18</sup>, possibly affects the accelerated loss of muscle mass in men.<sup>17, 19</sup>

**Table 4.** Estimations of the Linear Mixed Model for the Outcome FTI

Variable	Reference Group	Estimate	Standard Error	P Value
Intercept		11.5251	0.0896	<.001
Time (d)		0.0030	0.0003	<.001
Gender: male	Female	-3.2891	0.0835	<.001
Age: (57; 67)	Age < 57	1.9908	0.0776	<.001
Age: ≥67	Age < 57	2.4403	0.0718	<.001
Diabetes: yes	No	0.7201	0.0865	<.001
LTI-ref: low	Normal	0.8755	0.0841	<.001
LTI-ref: high	Normal	-0.5028	0.2367	.034
FTI-ref: low	Normal	-5.8035	0.1528	<.001
FTI-ref: high	Normal	9.2372	0.1022	<.001
Ischemic heart disease: yes	No	0.2506	0.0887	.005
PAD: yes	No	0.1486	0.0879	.091
<b>Time × gender: male</b>	<b>Female</b>	<b>-0.0011</b>	<b>0.0003</b>	<b>&lt;.001</b>
<b>Time × diabetes: yes</b>	<b>No</b>	<b>0.0009</b>	<b>0.0003</b>	<b>.001</b>
<b>Time × LTI-ref: low</b>	<b>Normal</b>	<b>-0.0006</b>	<b>0.0003</b>	<b>.020</b>
<b>Time × LTI-ref: high</b>	<b>Normal</b>	<b>0.0001</b>	<b>0.0008</b>	<b>.938</b>
<b>Time × FTI-ref: Low</b>	<b>Normal</b>	<b>0.0013</b>	<b>0.0005</b>	<b>.009</b>
<b>Time × FTI-ref: High</b>	<b>Normal</b>	<b>-0.0020</b>	<b>0.0003</b>	<b>&lt;.001</b>

FTI, fat tissue index; LTI, lean tissue index; PAD, peripheral artery disease.  
The result of the time interactions are highlighted in bold.

In our study diabetes was also significantly associated with a greater decrease in lean tissue index, confirming previous reports for older adults with type 2 diabetes not on renal replacement treatment.<sup>5, 20</sup> Loss of lean tissue was higher in patients with lower baseline FTI and higher baseline LTI. One can speculate that if the energy reserve of fat is low, lean tissue (muscle mass essentially) catabolism is then facilitated. On the other hand, when the level of muscle mass is already low, the option to have further loss is quite limited.

Inflammation and metabolic acidosis cause wasting of muscle mass through a ubiquitin-mediated process.<sup>21</sup> Patients treated with a catheter six months after initiation of renal replacement therapy were excluded from the study to ensure a

homogenous well-treated population, ruling out catheter as an inflammatory source. Recovery of visceral proteins (specifically albumin) after inflammatory events occurs fairly quickly, but regeneration of lost somatic proteins, specifically muscle, is less well assured. Although inflammation occurs in episodes in hemodialysis patients<sup>22</sup>, patients who have evidence of inflammation at one point in time are more likely to experience inflammation later. In an additional analysis we also considered the presence of a microinflammatory process by means of a C-reactive protein higher than 10 mg/dL, but we did not find any significant association, possibly due to the paucity of data points (data not shown).

Non-CKD patients with other chronic diseases (e.g. breast cancer) frequently gain weight after diagnosis that is accompanied by either no change in lean tissue or with a loss of lean tissue. This pattern is defined as sarcopenic obesity and, like the pattern in dialysis patients, is associated with a long history of corticosteroid use, hypopituitarism, hypogonadism and prolonged physical inactivity.<sup>23, 24</sup>

This study has limitations inherent in any observation study, particularly that causality cannot be assumed. It is well known that physical exercise facilitates development of muscle and may blunt the negative impact of inflammation on muscle, but a surrogate, such as muscle strength, was not evaluated in this study. Also no direct comparison with a healthy population matched for age and gender was done. However, our previous study reported that as much as 47% of almost 38,000 prevalent hemodialysis patients studied had LTI lower than the 10<sup>th</sup> percentile of an age and gender-matched healthy population.<sup>25</sup> Diet changes may also affect the course of LTI and FTI changes, but the network does not prescribe diets nor were there adequate nPNA data available to facilitate investigation of this aspect. Another limitation was our assumption that the decrease in LTI was due to loss of

muscle mass. However, it is also possible that LTI loss may be affected by loss of bone, organ or connective tissue mass. Unfortunately, reliable data for residual renal function and for eGFR at time of initiation were not available. However, as baseline was defined at 6 months after initiation of renal replacement therapy, residual renal function can be assumed to be negligible. Strengths of the study lie in the prospective collection of data, the homogenous practice in all clinics, the reliability and frequency of body composition measurements, the large international cohort of incident patients and the statistical methodology applied versus previous studies. The level of homogeneity of hemodialysis practice in these clinics was high due to the clinics belonging to the same network and thus sharing a common set of medical and process targets, standard operating procedures and identical hemodialysis equipment/disposables. This was verified by a quality control tool that detects deviations and benchmarks outcome.<sup>26</sup>

After admission to hemodialysis, patients generally experience an improvement in appetite and general well-being.<sup>27</sup> This study reports an increase in weight in incident hemodialysis patients during two years of follow-up that is mainly the result of a significant increase in FTI. This was accompanied by a parallel, albeit of small magnitude, decrease in LTI. A recent retrospective study of 37,345 prevalent hemodialysis patients addressing the association between body composition and survival found mortality was lowest with both LTI and FTI in the 10th–90th percentile (reference group) and significantly higher at the lower LTI and FTI extreme.<sup>25</sup> Interestingly, the results of our longitudinal study support the hypothesis generated in that cross-sectional study<sup>25</sup> of a possible protective effect of high FTI in patients with low LTI. Since malnutrition, LTI and FTI are recognized important predictors of outcome in hemodialysis patients, incident patients should be closely monitored from

the initiation of dialysis. Bio-impedance spectroscopy offers clinicians a convenient method to assess both fluid status and body composition changes. The findings of this study deserve further investigation including aspects of physical exercise and muscle strength to address body composition changes in incident dialysis patients in a more comprehensive manner.

### **Practical Application**

In the months after start of dialysis therapy, body mass index increased, fat tissue index increased, and lean tissue index decreased in this cohort of 8,227 incident hemodialysis patients. Some patient characteristics were associated with higher risk for having/developing malnutrition. The magnitude of the problem supports regular monitoring of all kidney disease patients in terms of body composition, at least from time of admission to dialysis.

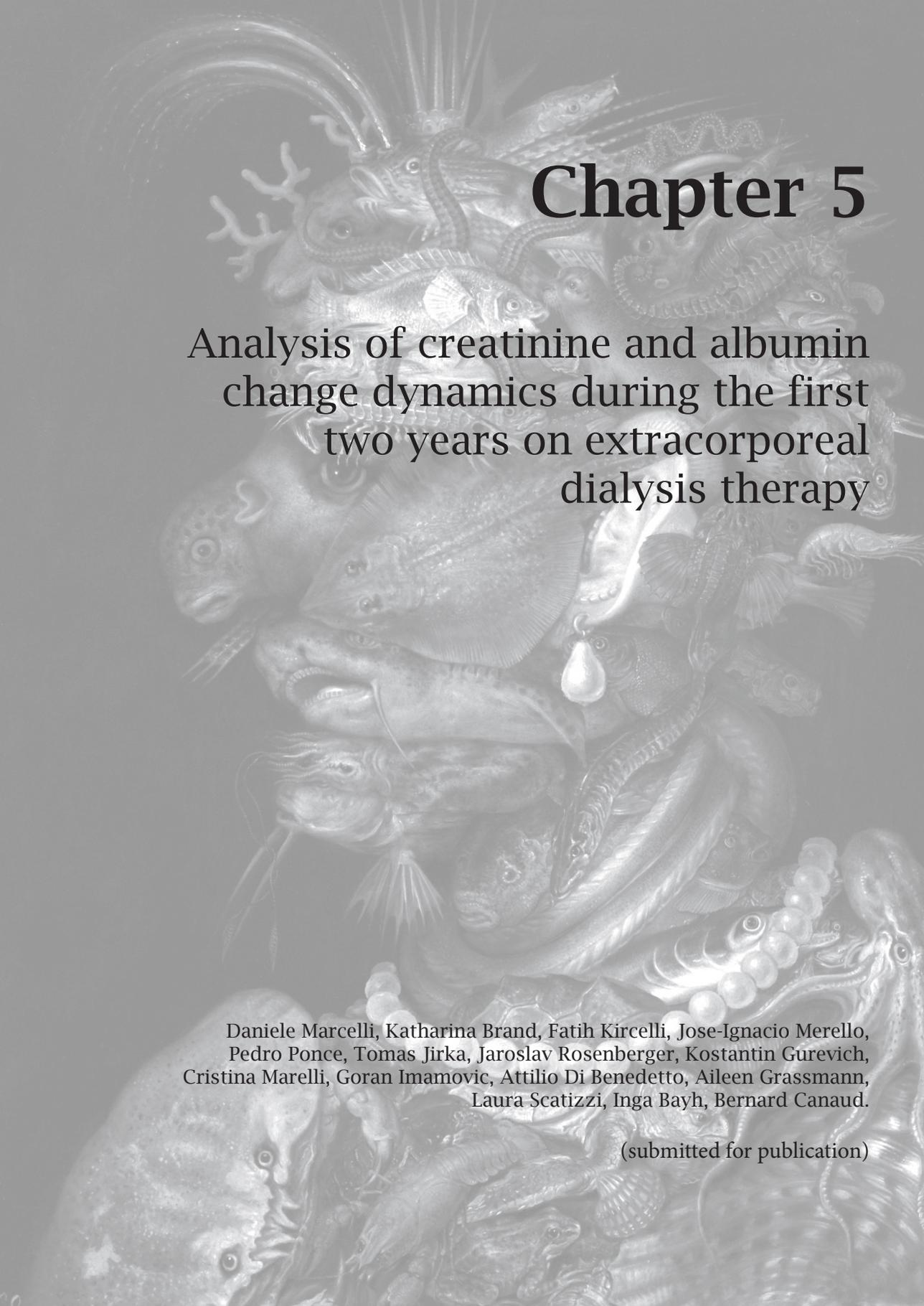
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# Chapter 5

## Analysis of creatinine and albumin change dynamics during the first two years on extracorporeal dialysis therapy

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(submitted for publication)

## **Abstract**

### *Background*

Dialysis patients are affected by protein energy wasting already early in renal replacement therapy. Serum albumin and creatinine levels are predictors of both nutritional status and of mortality risk in hemodialysis (HD) patients. We examined changes in these nutritional indicators in the 2 years following the first 3 months of HD.

### *Methods*

The study population comprised HD patients treated in 27 countries between 2007 and 2014. Albumin and creatinine values in 1-month intervals were compared with their baseline values. Differences in the development between patient groups were analyzed using linear mixed models with the correlation structure of an autoregressive process of first order.

### *Results*

Of the 3860 patients, 295 died during follow-up. In one and two years of follow-up, albumin increased respectively by 4% and 4%, while creatinine increased by 12% and 18%. Compared to reference categories, albumin was significantly lower for females, older patients, patients with liver disease and patients with low lean tissue index (LTI) or low fat tissue index (FTI) at baseline. Creatinine was significantly higher for males, for patients with high LTI at baseline and for patients with malignancies, but was significantly lower for older patients, patients with congestive heart failure, peripheral vascular or cerebrovascular disease and diabetes, and patients with low LTI or low and high FTI at baseline. Regarding the development of over time, higher post-dialysis fluid overload at baseline was associated with a greater increase of both albumin and creatinine.

### *Conclusion*

Serum albumin and creatinine levels improved in patients treated with a good dialysis protocol. The presence of post-dialysis fluid overload and certain comorbidities at baseline, such as vascular disease, liver disease and diabetes, can modify the positive trends.

## Introduction

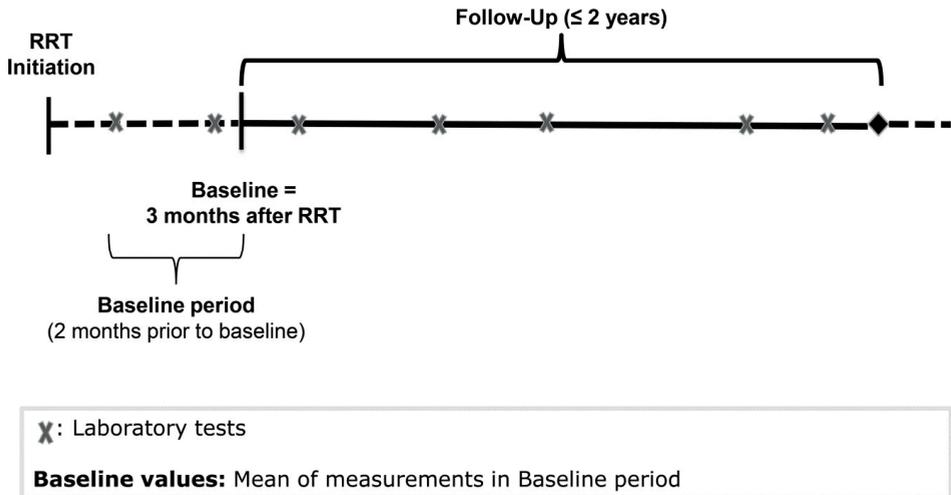
Multiple metabolic and nutritional derangements negatively affect the nutritional status of patients with advanced chronic kidney disease (CKD) as their kidney function deteriorates. Additionally, starting renal replacement therapy has a major impact on patients' nutritional status due to the following negative aspects: interference with regular meals, dietary restrictions imposed by clinician, loss of amino-acids and nutrients and dialysis-associated increased catabolic rate. These negative effects can only be partially counter-balanced by the increase in appetite and improvement in wellbeing resulting from uremia correction. Therefore, it is not surprising that many patients are affected by protein energy wasting (PEW) already in the initial phase of the renal replacement therapy. According to the International Society of Renal Nutrition and Metabolism<sup>1</sup> this syndrome is defined by the presence of at least three of the following four characteristics: 1) abnormal levels of circulating biomarkers (low serum albumin, pre-albumin, or cholesterol concentrations); 2) decreased body mass (low or decreased body mass or fat mass, or weight loss with decreased protein and energy intake); 3) decreased muscle mass; and 4) abnormal nutritional score. Low serum albumin is an important biochemical indicator of PEW and it is part of the routine labs in the follow-up of dialysis patients. In addition, this visceral protein is an acute-phase protein, decreasing during inflammatory episodes, and accordingly is an important predictor of the risk of mortality as established by many studies<sup>2,3</sup>. Serum creatinine is also regularly monitored in many units, often once a month. It is dialyzable and in patients on maintenance hemodialysis its clearance is relatively constant across dialysis prescription. Creatinine generation is, however, proportional to dietary meat intake and mainly to somatic protein mass, with higher levels in those patients whose superior nutritional status sustains an

increased musculature. Finally, creatinine, similarly to albumin, has been found to be a strong predictor of mortality risk.<sup>4</sup> Therefore, studies evaluating the development of the level of albumin and creatinine in the initial phase of dialysis should be considered in order to better use the information normally available from the routine continuous assessment. Strangely enough, few studies could be retrieved,<sup>4-11</sup> and probably the recently published Comprehensive Dialysis study<sup>9</sup> is the more exhaustive of these, showing an increased level of serum albumin in the initial months on dialysis. Another study from Goldwasser et al<sup>10</sup> showed a 12-13% increase of serum albumin and creatinine during the first half year of hemodialysis in a stable cohort. The slope of serum albumin versus time predicted survival, but it was not as predictive as the absolute albumin concentration. In fact, the progressive decline in urinary excretion of proteins and creatinine was correlated with their increases in the serum levels. However, extracellular fluid overload may play an important role, being one of the factors affecting the concentration of albumin.

Therefore, having available a large population of incident patients admitted to hemodialysis, we sought to examine the changes in laboratory nutritional indicators such as albumin (visceral protein) and creatinine (somatic proteins) in the 2 years following the first 3 months of hemodialysis, in a phase when residual renal function is not expected to have major significant role.

## Subjects and Methods

The study population was extracted from a database of 69,316 patients on hemodialysis treatment in 605 NephroCare centres throughout 27 countries in Europe, Latin America and South Africa between January 1, 2007 and January 1, 2014. Patients were included if they were no longer than 3 months on RRT (incident patients only). Baseline values were defined as the mean of all measurements in the last 2 months before baseline (baseline period, **Figure 1**). Patients were followed for a maximum of 2 years after baseline. Patients with no albumin or with no creatinine measurement during the baseline period were excluded. Typically the bromocresol green method for albumin measurement was applied. In cases where the bromocresol purple method was used, results were converted to bromocresol green by adding 0.55 g/dL.<sup>12</sup> Patients with no Lean Tissue Index (LTI) or with no Fat Tissue Index (FTI) measurement during this period were also excluded. LTI and FTI were calculated as the ratio of the respective tissue masses divided by the height in meters squared. The definition of the age- and gender-dependent reference groups of LTI and FTI, respectively, was based on an evaluation of 1000 healthy individuals: “normal” LTI and FTI is defined as levels between the 10<sup>th</sup> and 90<sup>th</sup> percentile of the normal LTI or FTI distribution, respectively, whereas “low” is defined as under the 10<sup>th</sup> percentile and “high” as above the 90<sup>th</sup> percentile of the respective normal distributions.<sup>13</sup>



**Figure 1.** Study design with hypothetical measurement times. RRT: Renal replacement therapy

In order to ensure a homogenous, well-treated study population, patients younger than 18 years, with metastatic solid tumors, with a BMI lower 18.5 kg/m<sup>2</sup>, receiving less or more than 3 treatments per week, or treated with a catheter at baseline were also excluded. Catheter patients were also excluded as it has been reported that central venous catheters are associated with a higher level of inflammation than fistula, as defined by C reactive proteins levels in incident hemodialysis patients<sup>14,15</sup> with consequent significantly lower serum albumin concentration.<sup>9</sup> Anonymized patient data were accessed through the European Clinical Database (EuCliD), which has been described elsewhere.<sup>16,17</sup> All patients consented that their data may be used for scientific research in anonymized form.

Lean tissue index and fat tissue index were evaluated at intervals defined according to the network policy using the BCM<sup>®</sup> (FMC, Bad Homburg, Germany), which is

based on multifrequency bioimpedance spectroscopy at 50 different frequencies ranging between 5 and 1000 kHz. BCM<sup>®</sup> measures fluid overload, total body water (TBW in L), extracellular water (ECW in L), intracellular water (ICW in L), fat tissue mass (FTM in Kg), lean tissue mass (LTM in Kg) and body cell mass. BCM has been validated against the following gold standard reference methods: bromide dilution for ECW, total body potassium for ICW, deuterium dilution for TBW, dual X-ray absorptiometry for LTM, four compartment modelling, air displacement plethysmography, under water weighing for adipose tissue mass, magnet resonance tomography for body cell mass and an expert clinical assessment for fluid overload.<sup>18</sup>

### **Statistical analysis**

Albumin and creatinine were analyzed during follow-up time to evaluate the changes in laboratory values after the start of dialysis. To describe the general changes of these two outcomes, a descriptive analysis was performed comparing current values with the baseline value in each 1-month interval (Delta-analysis). The mean delta and the standard error are plotted for each interval of the 2 years of follow-up thereafter. In case of an interval without measurement the value of the interval before was used (last observation carried forward). The Wilcoxon signed rank sum test was used to test the changes in albumin and creatinine in each month-interval compared to baseline. To consider the multiple comparisons, a Bonferoni correction was performed thereafter. P values less than 0.002 were considered to be significant in this case.

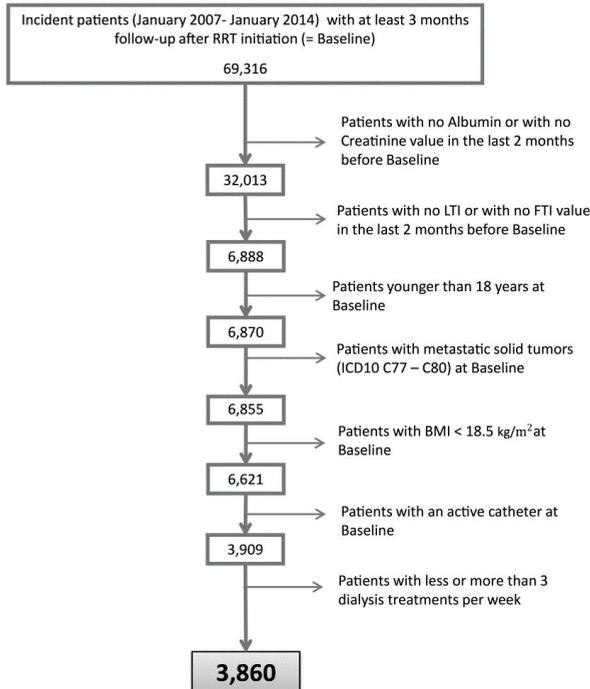
To analyze differences in the development of the laboratory values between certain patient groups, linear mixed models<sup>19</sup> with the correlation structure of an autoregressive process of first order<sup>20</sup> were used to consider the specific structure of

the repeated measurements in irregular time intervals.<sup>20</sup> For both outcomes a separate mixed models were fitted. In each of them the time (in days) was included as covariate. Main predictors were gender, age ( $\geq 18$  -  $< 56$ ;  $\geq 56$  -  $< 69$ ;  $\geq 69$ ), LTI-reference and FTI-reference groups (“Low”, “Normal”, “High”), post-dialysis fluid overload and the comorbidities myocardial infarction, congestive heart failure, vascular disease (peripheral vascular disease or cerebrovascular disease), diabetes with chronic complication, malignancy and liver disease (defined according to the ICD10 coding algorithm,<sup>21</sup> present (yes/no) at baseline). All these main predictors were included as main effect and as interaction with time. With the main effects general group differences could be analyzed. In addition the interaction terms are used to identify if the changes in albumin and creatinine over follow-up time differ in these subgroups.

With the exception of the continuous variable fluid overload, all covariates are categorical and therefore were included in the model as dummy-variables. The corresponding reference categories are specified in detail in the tables. Because of the high number of covariates, Akaike information criterion (AIC) was used to select covariates. AIC model selection balances between the goodness of fit and the complexity of the model and can therefore result in exclusion of some covariates that have a low impact on the outcome. All analysis was conducted with the statistical software SAS, version 9.4. The SAS procedure MIXED together with the REPEATED statement and SPATIAL POWER correlation structure was used to fit the mixed models.

## Results

Patient selection is shown in **Figure 2**. After the selection process, 3860 patients out of the original 69,316 patients were included in the study. 97% of the selected patients were treated with arteriovenous fistula (3% grafts) and 97% with high-flux polysulfone dialyzers (Fresenius Medical Care, Germany).



**Figure 2.** Patient selection. RRT: Renal replacement therapy; LTI: Lean tissue index, FTI: Fat tissue index; BMI= Body mass index

295 patients (7.6%) died during follow-up. Baseline characteristics are displayed in

**Table 1.**

**Table 1.** Baseline patient characteristics

<b>General characteristics</b>	
Age (years; mean±SD)	61.03±14.87
<b>Age groups (%)</b>	
[18,56) years	32.23
[56,69) years	33.65
≥ 69 years	34.12
Gender (female, %)	35.75
<b>Body composition</b>	
Post-dialysis weight (Kg; mean±SD)	72.73±14.90
BMI: body mass index (kg/m <sup>2</sup> ; mean±SD)	26.74±5.18
LTI: lean tissue index (kg/m <sup>2</sup> ; mean±SD)	13.06±2.94
<b>LTI-reference groups (%)</b>	
Low LTI	45.10
Normal LTI	51.79
High LTI	3.11
FTI: fat tissue index (kg/m <sup>2</sup> ; mean±SD)	12.70±6.16
<b>FTI reference groups (%)</b>	
Low FTI	8.45
Normal FTI	70.85
High FTI	20.70
Pre-dialysis fluid overload (L; mean±SD)	2.16±1.96
Post-dialysis fluid overload (L; mean±SD)	0.16±2.13
<b>Comorbidities</b>	
Myocardial Infarction (%)	3.32
Congestive heart failure (%)	10.31
Peripheral vascular disease (%)	7.20
Cerebrovascular disease (%)	7.07
Dementia (%)	0.47
Chronic pulmonary disease (%)	5.83
Rheumatic disease (%)	0.96
Peptic ulcer disease (%)	3.03
Diabetes with chronic complication (%)	28.37
Hemiplegia or paraplegia (%)	0.10
Malignancy (%)	5.36
AIDS/HIV (%)	0.05
Mild, moderate or severe liver disease (%)	4.84
<b>Laboratory values</b>	
Albumin (g/dL; mean±SD)	3.77±0.44
Creatinine (mg/dL; mean±SD)	6.71±2.26
CRP ( mg/L; median; interquartile range)	5.66 [2.20-14.10]
Hemoglobin (g/dL; mean±SD)	10.37±1.47
Total cholesterol (mg/dL; mean±SD)	171.87±43.06
Phosphate (mg/dL; mean±SD)	4.82±1.33
Equilibrated Kt/V (mean±SD)	1.34±0.33

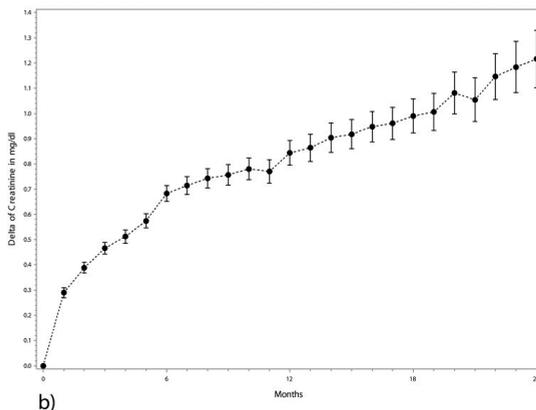
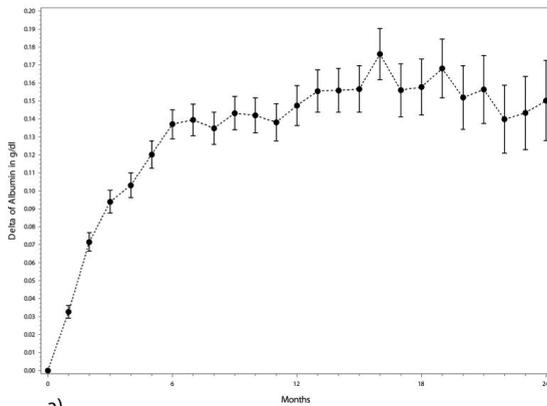
During the baseline period patients had a median of 1 (interquartile range (IQR): 1-2) albumin and a median of 2 (IQR: 1-2) creatinine measurements. On average, patients had a median of 4 (IQR: 2-9) albumin and 6 (IQR: 2-13) creatinine measurements after baseline. The last albumin measurement was in mean 289, the last creatinine value 285 days after baseline. **Figure 3** shows the means of the delta analyses. In one and two years of follow-up, albumin increased by 0.15 g/dL (or 4 %) and 0.14 g/dL (4 %), respectively. Creatinine increased by 0.8 g/dL (or 12 %) in one year and by 1.2 (18 %) in two years. These changes in albumin as well as in creatinine were significant for all intervals ( $p < 0.001$ ). The results of the linear mixed models for the outcomes albumin and creatinine after AIC variable selection are shown in **Tables 2-3**. All predictors are listed with the appropriate reference categories and the estimations for the specified groups, the standard errors and the p-values.

Results for albumin:

Albumin is significantly higher for males than for females. In comparison to their reference categories, albumin is significantly lower for patients older/equal than 56, patients with liver disease and patients with low LTI or low FTI at baseline. Higher post-dialysis fluid overload is also associated with lower albumin level at baseline, but regarding the development over the follow up time, higher post-dialysis fluid overload at baseline is associated with a greater increase of albumin. For patients without vascular disease, albumin increased more during follow-up time than for patients with this disease. The model results can also be summarized as follows:

## Protein dynamics in the first 2 years of dialysis

$$\begin{aligned}
 \text{Albumin (time)} = & 3.92 + 0.00033 * \text{time} + \begin{cases} 0.10 & \text{male} \\ 0 & \text{female} \end{cases} + \begin{cases} -0.20 & \geq 69 \text{ years} \\ -0.09 & [56; 69) \text{ years} \\ 0 & < 56 \text{ years} \end{cases} \\
 & + \begin{cases} -0.09 & \text{low LTI} \\ 0.04 & \text{high LTI} \\ 0 & \text{normal LTI} \end{cases} + \begin{cases} -0.12 & \text{low FTI} \\ 0.05 & \text{high FTI} \\ 0 & \text{normal FTI} \end{cases} + \\
 & \begin{cases} 0.04 & \text{myocardial infarction} \\ 0 & \text{no myocardial infarction} \end{cases} \\
 & + \\
 & \begin{cases} 0.01 - 0.00017 * \text{time} & \text{peripheral vascular or cerebrovascular disease} \\ 0 & \text{no peripheral vascular or cerebrovascular disease} \end{cases} \\
 & + \begin{cases} -0.02 - 0.00008 * \text{time} & \text{diabetes} \\ 0 & \text{no diabetes} \end{cases} + \begin{cases} -0.09 & \text{liver disease} \\ 0 & \text{no liver disease} \end{cases} \\
 & + (-0.04 + 0.00007 * \text{time}) \text{ per 1 liter postdialysis fluid overload}
 \end{aligned}$$



**Figure 3.** Delta analysis for outcome albumin (a) and creatinine (b). Means and standard deviations are shown, comparing current values of albumin with baseline values.

Variable	Reference group	category	Estimate	Standard Error	p-value
Intercept			3.9171	0.01145	<0.001
Time (days)			0.00033	0.00003	<0.001
<i>Baseline predictors</i>					
Gender	Female	Male	0.0966	0.00879	<0.001
Age (years)	<56	[56;69)	-0.0886	0.01032	<0.001
		≥69	-0.2038	0.01038	<0.001
LTI-reference group	Normal	Low	-0.0925	0.00884	<0.001
		High	0.0357	0.02481	0.151
FTI-reference group	Normal	Low	-0.0796	0.01562	<0.001
		High	-0.0009	0.01058	0.929
Myocardial Infarction	Absent	Present	0.0426	0.02240	0.057
Peripheral vascular disease or Cerebrovascular disease	Absent	Present	0.00900	0.01720	0.601
Diabetes with chronic complication	Absent	Present	-0.0233	0.01301	0.074
Liver disease	Absent	Present	-0.0879	0.01777	<0.001
Post-dialysis fluid overload			-0.0410	0.00276	<0.001
<i>Predictors over time</i>					
Time * (Peripheral vascular disease or Cerebrovascular disease)	Absent	Present	-0.00017	0.00006	0.002
Time * Diabetes with chronic complication	Absent	Present	-0.00008	0.00004	0.064
Time* Post-dialysis fluid overload			0.00007	9.551E-6	<0.001

**Table 2.** Estimations of the mixed model for the outcome albumin

To give a better understanding of the model results, the above formula is translated for a concrete but fictive patient with the following attributes: female, aged 56-68 years, with low LTI and high FTI, diabetes, and 1 L post-dialysis fluid overload:

$$Albumin(time) = 3.92 + 0.00033 * time - 0.09 - 0.09 + 0.05 - 0.02 - 0.00008 * time + (-0.04 + 0.00007 * time) * 1$$

## Protein dynamics in the first 2 years of dialysis

$$= 3.73 + 0.00032 * time$$

After two years (730 days) of follow up, the albumin level is increased for this patient example from 3.73 to 3.96 g/dL.

Variable	Reference group	category	Estimate	Standard Error	p-value
Intercept			7.8996	0.06047	<0.001
Time (days)			0.001536	0.000131	<0.001
<i>Baseline predictors</i>					
Gender	Female	Male	1.1282	0.04639	<0.001
Age (years)	<56	[56;69)	-1.0934	0.05456	<0.001
		≥69	-2.1196	0.05551	<0.001
LTI-reference group	Normal	Low	-0.4303	0.04658	<0.001
		High	0.2827	0.1318	0.032
FTI-reference group	Normal	Low	-0.1612	0.08188	0.049
		High	-0.1444	0.05594	0.010
Congestive heart failure	Absent	Present	-0.2933	0.07254	<0.001
Peripheral vascular disease or Cerebrovascular disease	Absent	Present	-0.3362	0.09098	<0.001
Diabetes with chronic complication	Absent	Present	-0.8736	0.06843	<0.001
Malignancy	Absent	Present	0.2644	0.09449	0.005
Liver disease	Absent	Present	-0.06052	0.1368	0.658
Post-dialysis fluid overload			-0.07915	0.01450	<0.001
<i>Predictors over time</i>					
Time* (Peripheral vascular disease or Cerebrovascular disease)	Absent	Present	-0.00051	0.000295	0.081
Time* Diabetes with chronic complications	Absent	Present	0.000402	0.000230	0.081
Time* Liver disease	Absent	Present	-0.00106	0.000454	0.020
Time*Post-dialysis fluid overload	Absent	Present	0.000142	0.000051	0.005

**Table 3.** Estimations of the mixed model for the outcome creatinine

Results for creatinine:

In comparison to their reference categories creatinine is significantly higher for males, for patients with high LTI at baseline and for patients with malignancy, whereas creatinine is significant lower for patients older/equal than 56, patients with congestive heart failure, peripheral vascular or cerebrovascular disease and diabetes and patients with low LTI or low and high FTI at baseline. Higher post-dialysis fluid overload is also associated with lower creatinine level at baseline, but regarding the development over the follow up time, higher post-dialysis fluid overload at baseline is associated with a greater increase of creatinine. The increase of creatinine is higher for patients with liver disease than for patients without liver disease. The model results can also be summarized as follows:

$$\begin{aligned}
 \text{Creatinine (time)} = & 7.90 + 0.00154 * \text{time} + \begin{cases} 1.13 & \text{male} \\ 0 & \text{female} \end{cases} + \begin{cases} -2.12 & \geq 69 \\ -1.09 & [56; 69) \\ 0 & < 56 \end{cases} \\
 & + \begin{cases} -0.43 & \text{low LTI} \\ 0.28 & \text{high LTI} \\ 0 & \text{normal LTI} \end{cases} + \begin{cases} -0.16 & \text{low FTI} \\ -0.14 & \text{high FTI} \\ 0 & \text{normal FTI} \end{cases} + \\
 & \begin{cases} -0.29 & \text{congestive heart failure} \\ 0 & \text{no congestive heart failure} \end{cases} \\
 & + \\
 & \begin{cases} -0.34 - 0.00051 * \text{time} & \text{peripheral vascular or cerebrovascular disease} \\ 0 & \text{no peripheral vascular or cerebrovascular disease} \end{cases} \\
 & + \begin{cases} -0.87 + 0.00040 * \text{time} & \text{diabetes} \\ 0 & \text{no diabetes} \end{cases} + \begin{cases} 0.26 & \text{Malignancy} \\ 0 & \text{no Malignancy} \end{cases} \\
 & + \begin{cases} -0.06 - 0.00106 * \text{time} & \text{liver disease} \\ 0 & \text{no liver disease} \end{cases} \\
 & + (-0.08 + 0.00014 * \text{time}) \text{ per 1 liter postdialysis fluid overload}
 \end{aligned}$$

To give a better understanding of these model results, the formula is as follows for a concrete but fictive patient with the attributes: female, aged 56-68 years, with low LTI and high FTI, diabetes, 1 L post-dialysis fluid overload:

$$\begin{aligned}
 \text{Creatinine}(time) &= 7.90 + 0.00154 * time - 1.09 - 0.43 - 0.14 - 0.87 + 0.00040 * \\
 &time \\
 &+ (-0.08 + 0.00014 * time) * 1 \\
 &= 5.29 + 0.00208 * time
 \end{aligned}$$

After two years (730 days) of follow up, the creatinine level is increased for this patient example from 5.29 to 6.81 g/dL.

## Discussion

This study showed a significant rate of increase in serum albumin and creatinine during the two years following the first 3 months of dialysis, and examined the relationship of the trends to demographics, body composition and comorbidities. More specifically, evaluating 2 years from baseline to 27 months after initiation of dialysis, a significant increase in serum albumin of  $0.15 \pm 0.45$  g/dL was found using the delta analysis. Of note, the serum albumin increased most significantly in the first 6 months. During the first six months on hemodialysis, Parker et al<sup>11</sup> reported a mean increase of 0.3 g/dL in serum albumin. Evaluating the first 12 months of RRT in 266 patients on hemodialysis or peritoneal dialysis, Dalrymple et al<sup>9</sup> reported a significant but lower increase - about  $0.08 \pm 0.04$  g/dL. This is close to the level observed in our study. Since patients with lower albumin and creatinine are more likely to die earlier,<sup>22,23</sup> increasing trends can result from a positive selection of patients. However, also comparing paired values of surviving patients at each time point, similarly to what was presented in Figures 1 and 2, Parker et al<sup>11</sup> were able to confirm the rise in serum albumin levels. During the first months on hemodialysis many factors can play roles and act in opposite directions. For example, in patients with significant proteinuria, the loss of residual renal function will decrease albumin loss. However, in parallel, hemoconcentration (resulting from the achievement of a

lower hydration status) would increase albumin levels. With the initiation of renal replacement therapy, dietary protein intake is expected to improve, but the loss of amino acids through the dialysis membrane may negatively affect the protein balance. Currently, the almost standard prescription of biocompatible dialysis membranes should avoid significant membrane-associated inflammatory stimulus. After 3 months from the initiation of hemodialysis, because of a policy of strict volume control applied in our dialysis network as evidenced by the low post-dialysis fluid overload ( $0.16 \pm 2.13$  liters), residual diuresis is expected to be negligible.<sup>24</sup> However, in our study the even residual presence of baseline post-dialysis fluid overload was still associated with a significantly higher rate of albumin increase, showing that hemoconcentration may still play an important role. In long-standing hemodialysis patients with negligible renal function, serum albumin has been reported to decline slowly over time. For example, in a group in prevalent, stable patients followed up for 2 years in a study comparing different membranes and dialysis technologies, Locatelli et al<sup>25</sup> reported a progressive decline of serum albumin in the range of 0.11 - 0.22 g/dL. In our study the presence of peripheral and cerebrovascular disease was not associated with a significantly different albumin value at baseline, but patients with this comorbidity showed a significant negative trend during the 2 year follow-up ( $-0.062 \pm 0.022$  g/dL per year lower than in patients without). The link between vascular disease and plasma proteins has been already reported<sup>26</sup> and it is associated with inflammation, even if the question whether inflammation is the cause or just a marker of an existing disease is still unresolved. However, inflammation affects plasma proteins composition and specifically albumin levels even more than malnutrition, as already reported by several studies and confirmed by our results, since extremely low levels of albumin are nearly always

associated with the presence of the acute-phase response.<sup>5</sup> Unfortunately, due to a very high number of missing values (43 %) C-reactive protein (CRP) could not be included in our main analysis. However, as an exercise, we included CRP (<10 mg/dL; ≥10 mg/dL) as main effect and as interaction with the time (in days) in our mixed models. Patients with CRP ≥10 mg/dL had significantly lower albumin (-0.1355 mg/dL difference, p-value<0.001), but had a significantly higher albumin increase over the follow-up time (by 0.00019 per day, p-value<0.001). No significant effect was found for creatinine, neither for the main effect of CRP nor for the interaction term. Finally, it has to be mentioned that, as already reported by Dalrymple et al,<sup>9</sup> males had a significantly higher serum albumin than females (+0.097±0.009 g/dL) as well as did patients younger than 56 years in comparison to those of ≥56-<69 and ≥69 years (+0.089±0.010 g/dL and +0.204±0.010 g/dL, respectively), but no significant difference in rates were detected during the 2-year follow-up.

Diabetes was associated with a borderline lower baseline albumin level (-0.0233±0.0130 g/dL difference, p= 0.074) and also during the 2-year follow-up diabetics showed a borderline lower increasing rate (-0.0292±0.0146 g/dL per year difference, p= 0.064). Dalrymple et al,<sup>9</sup> probably because of a lower sample size, however reported that diabetes was not statistically associated with a different level of albumin, neither at baseline nor during the follow-up.

In regards to serum creatinine, this study showed a significant increase of  $0.84 \pm 1.94$  mg/dL in the first year, mainly in the first 6 months of the study follow-up (from the baseline of 3 to 9 months after the initiation of extracorporeal dialysis). Similarly, Culp et al<sup>4</sup> showed an initial increase of serum creatinine from the baseline (mean, SE) of  $8.56 \pm 3.35$  to  $9.52 \pm 3.58$  and to  $10.16 \pm 3.55$  mg/dL after 6 and 12 months of

follow-up, respectively. Their values after 2 and 3 years were not significantly different ( $10.54 \pm 3.39$  and  $10.97 \pm 3.58$  mg/dL, respectively). Jadoul et al<sup>27</sup> reported that, in a series of 15 incident patients on hemodialysis three times a week, serum creatinine after an initial slight increase declined on average by 19.6% after 5-years of follow-up; the decline correlated with age. The cause of the increasing creatinine level in incident dialysis patients during the first 6-month of follow-up on dialysis is very likely to be due not only to the increase of protein intake due to an improved appetite, but also the parallel decline in residual renal function, mainly occurring in earlier phases of maintenance hemodialysis.<sup>28</sup> Patients with liver disease did not show statistically different levels of baseline serum creatinine but a significantly lower rate of increase compared to those without this comorbid condition during the 2-year follow-up. The decline in hepatic functional capacity results in decreased creatine production. In addition, patients with liver disease are known to have less skeletal muscle mass, resulting in diminished creatine storage and lower conversion of creatine to creatinine.<sup>29</sup> Therefore, the significant lower change rate in the level of serum creatinine during the 2 years of follow-up in patients with liver disease can be considered an expected result. The direct relationship between muscle mass and serum creatinine level was also confirmed by the significant  $0.430 \pm 0.047$  mg/dL lower creatinine level in patients with baseline lean tissue index below the 10<sup>th</sup> percentile in respect of normal 10<sup>th</sup> to 90<sup>th</sup> range of the normal population distribution by age and gender. On the other hand, patients with a lean tissue index beyond the 90<sup>th</sup> percentile showed a significantly higher serum creatinine level in the range of  $0.283 \pm 0.132$  mg/dL. However, despite the fact that lean tissue index has been reported to decline by about  $0.4 \text{ kg/m}^2$  during the 2-year follow-up in incident patients from a baseline of 6 months to 30 months,<sup>30</sup> this did not significantly affect the trend of

serum creatinine in our study, probably because magnitude was not the most relevant factor.

The presence of diabetes and of vascular diseases were both associated with a significantly lower serum creatinine compared to those patients without these comorbidities ( $-0.874 \pm 0.068$  and  $-0.336 \pm 0.091$  mg/dL differences, respectively). In addition, diabetics showed a borderline higher increase and patients with vascular disease a comparable borderline lower increase during the 2-year follow-up. Creatinine clearance is expected to be very low from 3 to 27 months after the start of extra-corporeal dialysis, probably in the range of 1 to 8 mL/min<sup>4</sup> and without relevant differences between different dialysis modalities. Therefore, higher levels of pre-dialysis creatinine can be found in patients who either receive a lower dialysis dose or whose superior nutritional status sustains an increased musculature. As already mentioned, in the presence of vascular disease and inflammation, the higher risk of malnutrition could already explain the current results. In diabetics, poorer dialysis tolerance with more frequent predialytic symptoms, such as nausea and vomiting, has been reported.<sup>31</sup> Whether the higher rate of intra-dialysis symptoms actually compromises the dialysis dose in term of small molecules, with consequent higher increase of creatinine, is still open for proof.

Finally, also for creatinine the presence of post-dialysis fluid overload at the 3-month baseline was associated with lower creatinine level and a higher increase during the 2-year follow-up, probably reflecting the correction of dry body weight.

This study has limitations associated with its retrospective and observational nature. The exclusion of catheter patients reduces the generalizability of the findings. Of particular note are the absence of data on residual renal function and the paucity of data on C-reactive protein and serum bicarbonate. The strength of the study lies in

the broad geographical distribution in Europe, Middle East and Latin America, ensuring a certain generalizability of the results, as well as in the selection of patients on a homogeneous treatment (i.e. all have three times weekly 4-hour dialysis sessions, treatment by fistula or graft, adequate dialysis dose, and strict fluid overload management according to the treatment protocol of the dialysis chain network).

### **Conclusions**

This study showed that serum albumin and creatinine levels, which belong to the strongest independent predictors of survival in hemodialysis patients,<sup>4,32</sup> improved in patients treated according to a good dialysis protocol. The presence of fluid overload and certain comorbidities, such as vascular disease, liver disease and maybe diabetes, may modify the trends. Therefore, monitoring of such visceral and somatic proteins remains of high priority in the follow-up of patients on renal replacement therapy, independent of the need for comprehensive and regular assessments of body composition.

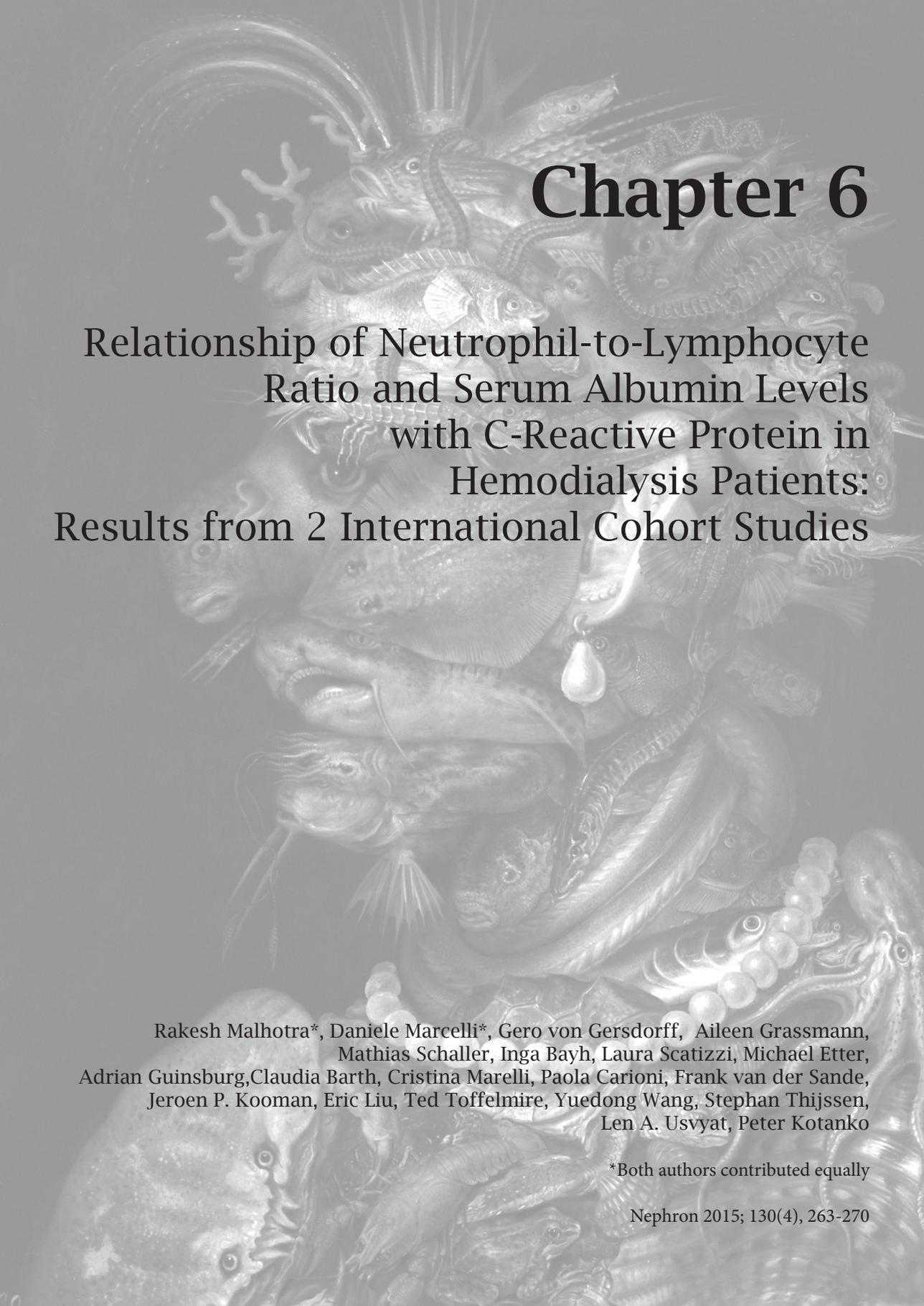
### **Practical Application**

In the two years after the start of dialysis therapy, serum albumin and creatinine levels increased in this cohort of 3,860 incident dialysis patients. The presence of post-dialysis fluid overload and certain comorbidities, such as vascular disease, liver disease and diabetes, modified these positive trends. Given that albumin and creatinine are predictors of both nutritional status and mortality, and that many dialysis patients suffer from protein energy wasting already in the initial phase of the renal replacement therapy, these results show the importance of monitoring such visceral and somatic proteins from the beginning of dialysis therapy.

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# Chapter 6

## Relationship of Neutrophil-to-Lymphocyte Ratio and Serum Albumin Levels with C-Reactive Protein in Hemodialysis Patients: Results from 2 International Cohort Studies

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# Relationship of NLR and Albumin with CRP in hemodialysis patients

## **Abstract**

### ***Background/Aim***

The neutrophil-to-lymphocyte ratio (NLR), defined as the neutrophil count divided by lymphocyte count, is an inexpensive and readily available parameter, which may serve as a surrogate for inflammation markers, such as C-reactive protein (CRP). The aim of this study was to determine the utility of NLR in the prediction of elevated CRP levels in hemodialysis (HD) patients.

### ***Methods***

We analyzed 43,272 HD patients from 2 distinct cohorts within the Monitoring Dialysis Outcomes research collaboration in whom contemporaneous measurements of neutrophil and lymphocyte counts, serum albumin and CRP levels were available. Logistic regression was used to determine the relationship of trichotomized NLR (<2.5, 2.5–5 and >5.0) and albumin levels (<3.1, 3.1–4.0 and >4.0 g/dl) with elevated CRP levels (>10.0, >20.0 and >30.0 mg/l). Congruence of the prediction models was examined by comparing the regression parameters and by cross-validating each regression equation within the other cohort.

### ***Results***

We found that NLR >5.0 vs. <2.5 (cohort 1: OR 2.3;  $p < 0.0001$  and cohort 2: OR 2.0;  $p < 0.0001$ ) was associated with CRP levels >10.0 mg/l. Stepwise increase in odds ratio for CRP >10.0 mg/l was observed with the combination of high NLR and low albumin levels (NLR >5.0 and albumin <3.1) (cohort 1: OR 7.6;  $p < 0.0001$  and cohort 2: OR 11.9;  $p < 0.0001$ ). Cross-validation of the 2 regression models revealed a predictive accuracy of 0.68 and 0.69 in the respective cohorts.

### ***Conclusion***

This study suggests that NLR could serve as a potential surrogate marker for CRP. Our results may add to diagnostic abilities in settings where CRP is not measured routinely in HD patients. NLR is easy to integrate into daily practice and may be used as a marker of systemic inflammation.

### Introduction

Cardiovascular events, infections, anemia and malnutrition are well recognized complications in hemodialysis (HD) patients and are associated with increased morbidity and mortality<sup>1-5</sup>. The underlying causes for the development of these complications are complex, multifactorial and poorly understood. Over the past decade, there has been increasing evidence linking oxidative stress and inflammation with multiple disease states including myocardial infarction and stroke<sup>6,7</sup>. Several studies in the chronic HD patient population have shown elevated levels of circulating inflammatory markers, suggesting susceptibility for both micro and macro vascular complications<sup>8,9</sup>. Various biomarkers including total lymphocyte count, C-reactive protein (CRP), interleukin (IL)-6, IL-18, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), pentraxin-3, and proteases such as matrix metalloproteinase-9 have been proposed to measure systemic inflammation and are associated with increased hospitalization trends and mortality in HD patients<sup>10-21</sup>. CRP, an acute-phase reactant, has emerged as the most widespread inflammatory biomarker for clinical use. CRP is chemically stable and has a relatively long half-life without diurnal variation<sup>22,23</sup>. Recently, neutrophil-to-lymphocyte ratio (NLR) has been increasingly recognized as a marker of systemic inflammation in oncology and cardiology literature, where elevated levels of NLR have been reported to be associated with poor outcomes<sup>24-26</sup>. We have recently shown that elevated NLR may also be linked with poor prognosis in chronic HD patients. However, there is scarcity of clinical studies concerning NLR and its relationship to other inflammatory biomarkers. The aim of our study was to evaluate whether NLR correlated with CRP levels. Furthermore, we aimed at determining whether a combination of inflammatory markers (NLR and albumin levels) improves prediction of CRP levels in chronic HD patients. We suggest that NLR can be a surrogate marker of systemic inflammation and serve as a useful tool to identify subgroups of patients who are at a heightened risk of cardiovascular and non-cardiovascular complications. Additionally, NLR because of its easy availability and cost-efficiency may be used to assist physicians and nephrologists to evaluate nutritional and cardiovascular status and prognostic outcome in HD patients in locations where CRP is not available.

## Material and Methods

### Study Population

This cross-sectional and retrospective study was conducted at the Renal Research Institute, New York, N.Y., USA. Patients included in this study were part of MONDO (Monitoring Dialysis Outcomes). MONDO is a global, multicenter research collaboration that was designed to explore the determinants of patient survival in worldwide HD population, where longitudinal clinical data were collected in 148,772 patients from 27 countries in 5 continents (Asia, Europe, North America, South America and Australia)<sup>27</sup>. The institutional review board committee at each of the participant institutions approved the study independently. Informed consent was

obtained as required in each country. This analysis was restricted to HD patients with available demographic information (age, sex and dialysis vintage) and contemporaneous laboratory parameters (serum albumin, neutrophil count, lymphocyte count and CRP levels). Consequently, the study population comprised a sample of 27,326 HD patients from Fresenius Medical Care (FMC) clinics in 17 European countries (cohort 1) and 15,946 HD patients from Kuratorium für Heimdialyse (KfH) centers in Germany (cohort 2).

### Data Collection and Measurements

The independent variables of interest were NLR and albumin levels. Total white cell count, neutrophils and lymphocytes counts were determined using an automated blood cell counter. NLR was calculated as a simple ratio between the absolute neutrophil and the absolute lymphocyte counts. The same blood samples were analyzed to measure albumin levels according to local laboratory standards by one of the following methodologies: nephelometry, bromocresol green or bromocresol purple (Sigma-Aldrich, St. Louis, Mo., USA)<sup>28,29</sup>. Bromocresol purple measurements were later converted to the bromocresol green method<sup>30</sup>. The independent variables NLR and albumin levels were trichotomized (NLR <2.5, 2.5–5 and >5.0 and albumin <3.1, 3.1–4.0 and >4.0 g/dl) based on practical clinical

## Relationship of NLR and Albumin with CRP in hemodialysis patients

cut-off points described in the literature<sup>31,32</sup>. The primary dependent variable was CRP measured in mg/l. CRP values were trichotomized at (>10.0, >20.0 and >30.0 mg/l). These cut-offs were selected based on reference ranges for CRP reported in literature<sup>33,34</sup>. We have chosen categorization because it is easier to interpret and also because of its simplicity in reporting results.

### Statistical Analysis

A logistic regression was used to determine the relationship of trichotomized NLR and albumin levels, respectively, with elevated CRP levels (>10.0, >20.0 and >30.0 mg/l) as an outcome variable.

Continuous variables were expressed as the mean (SD) or median and interquartile range (IQR). Categorical variables were expressed as absolute (n) and relative (%) frequency. Natural log transformation was applied to non-normal distributions. Spearman rank correlation was used to examine the relationship between continuous variables (NLR and CRP). A forward stepwise logistic regression model was developed to determine the relationship between trichotomized NLR (<2.5, 2.5–5 and >5.0) and albumin levels (<3.1, 3.1–4.0 and >4.0 g/day), respectively, with elevated CRP levels (>10.0, >20.0 and >30.0 mg/l), adjusting for confounders including age, sex and dialysis vintage. The entry and retention p value thresholds were set at  $p < 0.05$  and  $p < 0.1$ , respectively.

Statistical analysis was performed using SPSS software version 17.0 (SPSS, Chicago, Ill., USA) and R (version 2.12.0). A 2-tailed p value <0.05 was considered statistically significant.

**Table 1.** Characteristics of the patients in cohort 1 (n = 27,326) and cohort 2 (n = 15,946)

Characteristics	Cohort 1	Cohort 2
Age, years	63.4±15.3	63.1±16.5
Gender, male, n (%)	15,946 (60.4)	9,503 (59.6)
Countries, n (%)		
Germany	-	15,946 (100.0)
Turkey	7,159 (26.2)	-
Spain	6,630 (24.3)	-
Portugal	5,571 (20.4)	-
Italy	2,117 (7.7)	-
Hungary	1,671 (6.1)	-
Romania	1,254 (4.6)	-
Czech Republic	975 (3.6)	-
France	696 (2.5)	-
Russia	529 (1.9)	-
Serbia	334 (1.2)	-
United Kingdom	223 (0.8)	-
Slovakia	139 (0.5)	-
Slovenia	28 (0.1)	-
Blood chemistry		
Hemoglobin, g/dl	11.4±1.6	11.5±1.3
Serum sodium, mg/dl	138.5±1.0	138.3±3.4
Potassium, mg/dl	5.1±0.8	5.1±0.8
Creatinine, mg/dl	7.6±2.5	7.5±3.0
Calcium	8.9±0.8	9.1±0.8
Phosphorous, mg/dl	4.7±1.5	5.4±1.5
Total leucocytes, ×10 <sup>9</sup> /l	6.9±2.5	7.6±10.5
Neutrophils, ×10 <sup>9</sup> /l	4.3±2.1	5.0±7.1
Lymphocytes, ×10 <sup>9</sup> /l	1.6±0.9	1.5±3.1
Platelets, ×10 <sup>9</sup> /l	212.9±75.7	230.1±79.1
Albumin, g/dl	3.9±0.5	3.8±0.5
CRP, mg/l	6.3 (0.10–160)	5.9 (0.10–459)
HD vintage, months	33.2 (11.30–73.67)	13.0 (4.0–44.0)
Kt/V	1.4±0.3	1.5±0.5
Urea reduction ratio	73.6±8.0	69.0±8.9

Continuous variables are expressed as mean ± SD or median (IQR) and categorical variables as frequency (n) and percentage (%).

**Results**

Baseline characteristics of both cohorts are shown in table 1. In cohort 1, 27,326 patients enrolled with the mean age of 63.5 years (SD 15.2), and 16,251 (60.4%) were male. Cohort 2 consisted of 15,946 patients with a mean age of 63.1 years (SD 16.5), and 9,503 (59.6%) were male.

The median dialysis vintage in both cohorts were 33.2 months (IQR 11.3–73.7 months) and 13.0 months (IQR 4.0–44.0 months), respectively. The mean total leukocyte, absolute neutrophil and absolute lymphocyte counts in cohorts 1 and 2 were  $6.9 \pm 2.5 \times 10^9/l$ ,  $4.3 \pm 2.1 \times 10^9/l$  and  $1.6 \pm 0.9 \times 10^9/l$  and  $7.6 \pm 10.5 \times 10^9/l$ ,  $5.0 \pm 7.1 \times 10^9/l$  and  $1.5 \pm 3.1 \times 10^9/l$ , respectively (table 1).

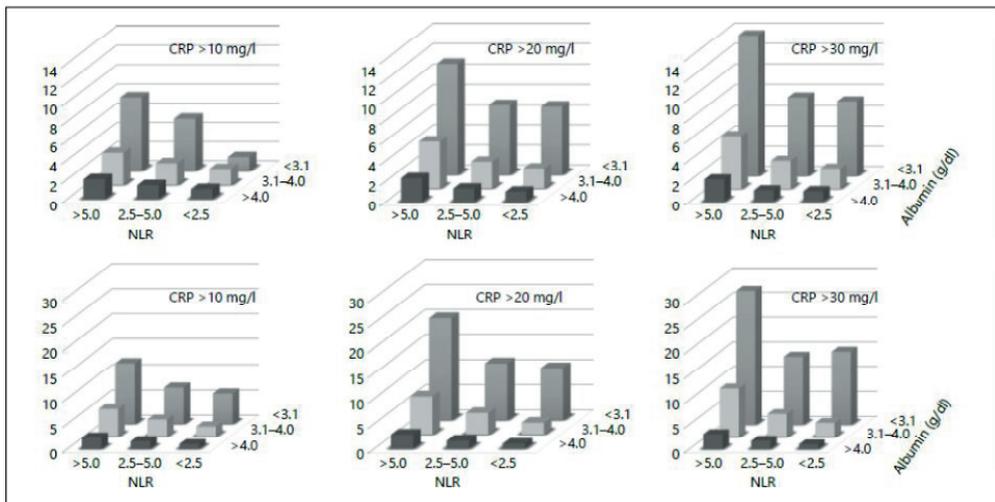
**Table 2.** Multivariate regression analysis for association between NLR and CRP levels (>10 mg/l) in HD patients

	Coefficient	OR	95% CI for OR	
			lower	upper
<b>a Cohort 1 (n = 27,326)</b>				
Age, years*	0.015	1.015	1.014	1.017
Gender				
Male*	0.156	1.168	1.109	1.231
Female		1.0 (reference)		
Neutrophils, $\times 10^9/l^*$	0.105	1.111	1.068	1.155
Total leukocytes, $\times 10^9/l^*$	0.063	1.065	1.036	1.096
NLR				
>5.0*	0.826	2.284	2.046	2.549
2.5–5.0*	0.352	1.421	1.331	1.518
<2.5		1.0 (reference)		
<b>b Cohort 2 (n = 15,946)</b>				
Age, years*	0.019	1.019	1.016	1.021
Gender				
Male*	0.210	1.234	1.148	1.326
Female		1.0 (reference)		
Lymphocytes, $\times 10^9/l^*$	-0.322	0.725	0.664	0.790
Total leukocytes, $\times 10^9/l^*$	0.241	1.273	1.205	1.345
NLR				
>5.0*	0.714	2.042	1.746	2.389
2.5–5.0*	0.336	1.400	1.258	1.557
<2.5		1.0 (reference)		
A dichotomous datum CRP ( $\leq 10.0 / > 10.0$ mg/l) was the dependent variable. * p < 0.0001.				

## Relationship of NLR and Albumin with CRP in hemodialysis patients

Bivariate correlation analysis showed a significant positive correlation between NLR and CRP in cohort 1 (Spearman rank correlation  $\rho = 0.23$ ;  $p < 0.0001$ ) and negative association between NLR and serum albumin levels in both cohorts ( $\rho = -0.18$  and  $\rho = -0.27$ ;  $p < 0.0001$ , respectively). Correlation between NLR and CRP was 0.04 in cohort 2. Stepwise multiple logistic regression analysis for both cohorts showed that high levels of NLR are independently associated with high CRP levels ( $>10.0$  mg/l) (NLR  $>5.0$  vs.  $<2.5$ ; adjusted OR 2.3, 95% CI 2.1–2.6;  $p < 0.0001$  and adjusted OR 2.0, 95% CI 1.8–2.4;  $p < 0.0001$ , respectively; table 2 a, b). Similarly, low levels of albumin were associated with high CRP levels (albumin  $<3.1$  vs.  $>4.0$  g/dl; cohort 1: adjusted OR 3.39, 95% CI 2.99–3.85;  $p < 0.0001$  and cohort 2: adjusted OR 5.59, 95% CI 4.94–6.33;  $p < 0.0001$ ). There was stepwise increase in odds ratio for high CRP ( $>10.0$  mg/l) with stepwise increase in NLR and low albumin levels in both study cohorts (table 3 a, b).

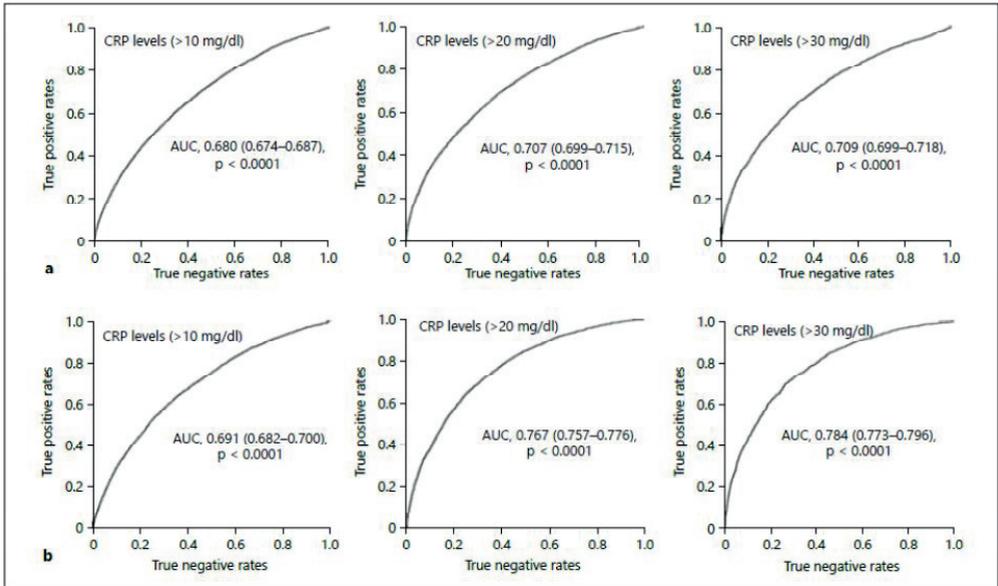
When CRP cut-off values were increased ( $>10.0$ ,  $>20.0$  and  $>30.0$  mg/l), odds of prediction improved in both the study cohorts (fig. 1).



**Fig. 1.** Relationship between the adjusted odds ratio for CRP  $>10.0$ ,  $>20.0$  and  $>30.0$  mg/l and the predictor variables albumin and NLR in cohorts 1 and 2, respectively.

## Relationship of NLR and Albumin with CRP in hemodialysis patients

Cross validation of the 2 regression models for CRP cut-off >10.0 mg/l revealed predictive accuracy of 0.68 (95% CI 0.67–0.69) for prediction in cohort 2 and 0.69 (95% CI 0.68–0.70) for prediction in cohort 1, respectively (fig. 2). The predictive models exhibited a higher and statistically significant diagnostic accuracy with higher CRP cut-off points in both study cohorts (fig. 2).



**Fig. 2.** Cross-validated receiver-operating curves: cohort 1 training models in cohort 2 (a) and cohort 2 training models in cohort 1 (b).

## Discussion

In this study, a statistically significant positive association was found between NLR and CRP levels in the MONDO cohort. This result is not surprising because leukocytes have long been recognized as principal effectors cell in the acute

inflammatory

 reaction<sup>35</sup>.

**Table 3.** Multivariate regression analysis for association between combination of NLR and albumin levels and CRP levels (>10 mg/l) in HD patients

	Coefficient	OR	95% CI for OR	
			lower	upper
<b>a Cohort 1 (n = 27,326)</b>				
Age, years*	0.012	1.012	1.010	1.013
Gender				
Male*	0.199	1.220	1.156	1.287
Female		1.0 (reference)		
Total leukocytes, $\times 10^9/l^*$	0.068	1.070	1.040	1.101
Absolute neutrophils, $\times 10^9/l^*$	0.105	1.111	1.067	1.157
Vintage, months*	0.001	1.001	1.000	1.001
NLR >5.0 and albumin <3.1*	2.031	7.620	5.875	9.885
NLR 2.5–5.0 and albumin <3.1*	1.678	5.356	4.430	6.476
NLR <2.5 and albumin <3.1*	1.399	4.052	3.282	5.003
NLR >5.0 and albumin 3.1–4.0*	1.184	3.269	2.850	3.749
NLR 2.5–5.0 and albumin 3.1–4.0*	0.793	2.209	2.002	2.414
NLR <2.5 and albumin 3.1–4.0*	0.469	1.598	1.470	1.737
NLR >5.0 and albumin >4.0*	0.681	1.976	1.677	2.328
NLR 2.5–5.0 and albumin >4.0*	0.314	1.369	1.246	1.505
NLR <2.5 and albumin >4.0		1.0 (reference)		
<b>b Cohort 2 (n = 15,946)</b>				
Age, years*	0.017	1.017	1.015	1.020
Gender				
Male*	0.311	1.365	1.268	1.469
Female		1.0 (reference)		
Total leukocytes, $\times 10^9/l^*$	0.109	1.115	1.070	1.163
Absolute neutrophils, $\times 10^9/l^*$	0.087	1.091	1.032	1.154
Vintage, months*	0.002	1.002	1.002	1.003
NLR >5.0 and albumin <3.1*	2.482	11.965	9.113	15.170
NLR 2.5–5.0 and albumin <3.1*	1.966	7.145	5.747	8.884
NLR <2.5 and albumin <3.1*	1.791	5.994	4.609	7.796
NLR >5.0 and albumin 3.1–4.0*	1.695	5.446	4.480	6.621
NLR 2.5–5.0 and albumin 3.1–4.0*	1.214	3.366	2.861	3.961
NLR <2.5 and albumin 3.1–4.0*	0.675	1.964	1.656	2.329
NLR >5.0 and albumin >4.0*	0.816	2.262	1.808	2.831
NLR 2.5–5.0 and albumin >4.0*	0.428	1.534	1.285	1.831
NLR <2.5 and albumin >4.0		1.0 (reference)		

A dichotomous datum CRP ( $\leq 10.0$ / $>10.0$  mg/l) was the dependent variable. \*  $p < 0.0001$ .

Experimental models have shown that inflammatory cells, mainly neutrophils, possibly regulate CRP levels through secretion of pro-inflammatory cytokine TNF- $\alpha$  and IL-6<sup>36</sup>. In fact, cellular immune response to infection is characterized by the elevation of neutrophil count and decline in lymphocyte count<sup>37</sup>. Recently, Turkmen et al.<sup>38</sup> have shown positive correlation between NLR and TNF- $\alpha$  in HD patients. In

this study, serum albumin levels were negatively correlated with both NLR and CRP levels. These findings are consistent with the published literature that albumin behaves as a negative acute phase protein and also support the concept of Malnutrition-Inflammation Complex in HD patients<sup>39,40</sup>.

One of the findings of this study is that high NLR significantly predicts high CRP levels (NLR >5.0 vs. <2.5; cohort 1: OR 3.9, 95% CI 3.5–4.2;  $p < 0.0001$  and cohort 2: OR 3.2, 95% CI 3.0–3.6;  $p < 0.0001$ ) in HD patients. A high CRP level was defined as values >10 mg/dl. This relationship remains materially unchanged even after adjusting for age, gender and dialysis vintage (NLR >5.0 vs. <2.5; cohort 1: adjusted OR 2.3, 95% CI 2.1–2.6;  $p < 0.0001$  and cohort 2: adjusted OR 2.0, 95% CI 1.8–2.4;  $p < 0.0001$ , respectively). Interestingly, in the multivariate regression model, absolute neutrophil and absolute lymphocyte counts separately were not strongly associated with high CRP levels as compared to NLR. There is also evidence about a similar trend in relationship between absolute neutrophil and lymphocyte counts and serum TNF- $\alpha$ <sup>37</sup>. These findings are clinically relevant, suggesting NLR to be a better predictor of CRP than the total number of white cell count.

We also found an additive relationship between albumin and NLR levels in terms of predicting an individual likelihood of high CRP level. As shown in table 3 a, subjects with high NLR and low albumin levels (NLR >5.0 and albumin level <3.1 g/dl) had a 7.6-fold greater odds of having elevated CRP level >10 mg/l (95% CI 5.5–9.9) as compared to the 2-fold odds with high NLR alone (95% CI 1.7–2.4) and the 3.39-fold odds with low albumin alone (95% CI 2.99–3.85) in cohort 1 (table 2 a). Similar trends were seen in cohort 2 (tables 2 b and 3 b). In this study, we showed that the regression model derived from NLR and albumin can predict CRP levels in HD

population with an area under an ROC curve of 0.68 and 0.69 in 2 different cohorts (fig. 2).

We suggest that the use of an NLR as an inflammatory biomarker in HD patients has significant diagnostic benefits. Considering the short half-life of neutrophils, NLR can be used as an effective marker of acute inflammation and reflect the intensity of systemic inflammation. Serial measurements of NLR may be used to identify HD patients at high risk for an adverse outcome and thus help in informed medical decision making. Recent studies have suggested that the epidemiological association of CRP with cardiovascular events is widely exaggerated<sup>41-45</sup>. In fact, other inflammatory markers, including serum albumin and leukocyte count, have shown similar associations with cardiovascular disease risk<sup>41-45</sup>. A systemic approach incorporating NLR with other inflammatory biomarkers and traditional risk factors may improve the diagnostic accuracy of cardiovascular and non-cardiovascular risk prediction models. In addition, NLR may also be used as a tool to monitor the effect of anti-inflammatory therapies<sup>46</sup>. Most importantly, NLR is routinely available and easy and inexpensive to measure, and thus could be used as a cost-effective surrogate to CRP in dialysis units (approximate CBC with differential cost per sample; Europe: EUR 3.50 and United states: USD 4.25; CRP cost per sample; Europe: EUR 11.66 and United States: USD 7.04); it can also be used in resource limited settings lacking the facility for measuring CRP for therapeutic decision making. An additional consideration is the fact that in most European countries, CPR is measured no more than once a month on a routine basis, limiting its utility to detect inflammation at the right time.

This study has several strengths. This was a multicenter study with a large sample size and wide geographic representation, thus increasing the generalization of the

results. The study also has limitations. First, it was a cross-sectional analysis because NLR, albumin and CRP levels were considered only once in our study. Second, the majority of the patient population was Caucasian. Also, leukocyte and neutrophil counts are nonspecific and have high false-positive and false-negative rates to predict cardiovascular complications<sup>47</sup>.

In summary, the results of the present study highlight the relationship between NLR, albumin and CRP levels. It is shown that NLR may be a surrogate marker of systemic inflammation in its ability to predict CRP levels. Addition of albumin to NLR substantially improves the prediction of CRP. NLR may not be the best available laboratory-based assay to measure inflammation. However, from a pragmatic standpoint, it can certainly be a useful assay for systemic inflammation in resource-limited settings. In-depth and epidemiological studies of high standards are further required to establish the potential clinical utility and applicability of NLR. Further studies are also warranted to assess the contributing role of albumin and NLR in predicting other inflammatory biomarkers. Lastly, it will be interesting to study if NLR can provide independent prognostic information over and above that provided by inflammatory biomarkers such as CRP.

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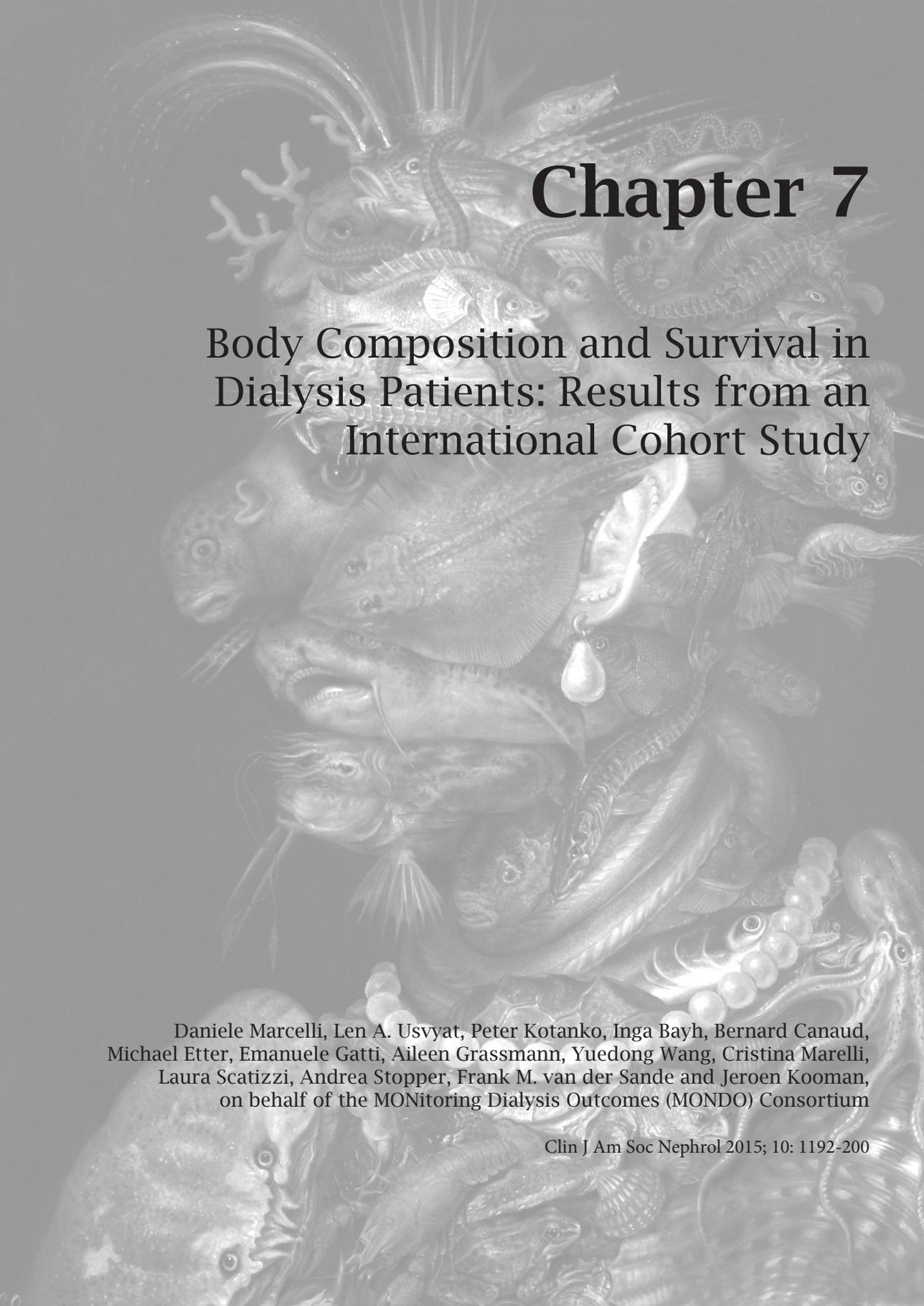
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# Chapter 7

## Body Composition and Survival in Dialysis Patients: Results from an International Cohort Study

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## Abstract

### Background and objectives

High body mass index appears protective in hemodialysis patients, but uncertainty prevails regarding which components of body composition, fat or lean body mass, are primarily associated with survival.

### Design, setting, participants, & measurements

Data between April 2006 and December 2012 were extracted from the Fresenius Medical Care Europe subset of the international MONitoring Dialysis Outcomes initiative. Fresenius Medical Care Europe archives a unique repository of predialysis body composition measurements determined by multifrequency bioimpedance (BCM Body Composition Monitor). The BCM Body Composition Monitor reports lean tissue indices (LTIs) and fat tissue indices (FTIs), which are the respective tissue masses normalized to height squared, relative to an age- and sex-matched healthy population. The relationship between LTI and FTI and all-cause mortality was studied by Kaplan–Meier analysis, multivariate Cox regression, and smoothing spline ANOVA logistic regression.

### Results

In 37,345 hemodialysis patients, median (25th–75th percentile) LTI and FTI were 12.2 (10.3–14.5) and 9.8 (6.6–12.4) kg/m<sup>2</sup>, respectively. Median (25th–75th percentile) follow-up time was 266 (132–379) days; 3458 (9.2%) patients died during follow-up. Mortality was lowest with both LTI and FTI in the 10th–90th percentile (reference group) and significantly higher at the lower LTI and FTI extreme (hazard ratio [HR], 3.37; 95% confidence interval [95% CI], 2.94 to 3.87; P<0.001). Survival was best with LTI between 15 and 20 kg/m<sup>2</sup> and FTI between 4 and 15 kg/m<sup>2</sup> (probability of death during follow-up: .5%). When taking the relation between both compartments into account, the interaction was significant (P=0.01). Higher FTI appeared protective in patients with low LTI (HR, 3.37; 95% CI, 2.94 to 3.87; P<0.001 at low LTI–low FTI, decreasing to HR, 1.79; 95% CI, 1.47 to 2.17; P<0.001 at low LTI–high FTI).

### Conclusions

This large international study indicates best survival in patients with both LTI and FTI in the 10th–90th percentiles of a healthy population. In analyses of body composition, both lean tissue and fat tissue compartments and also their relationship should be considered.

## Introduction

Contrary to the general population, higher body mass index (BMI) in hemodialysis (HD) patients is associated with better survival<sup>1</sup>. Similar results were found in older adults and in many chronic diseases<sup>2-6</sup>. Numerous HD studies have addressed this phenomenon, with largely inconsistent results. Discrepancy exists even regarding the upper BMI limit for survival advantage, with some studies reporting a positive effect even at BMI values  $>35 \text{ kg/m}^2$  and others indicating an upper limit of  $25 \text{ kg/m}^2$ <sup>7,8</sup>.

Postulated reasons for improved survival with high BMI include potential benefits of adipose tissue per se, for example as a source of TNF- $\alpha$ -soluble receptors and lipoproteins that counteract the effects of TNF- $\alpha$  itself or the inflammatory effects of circulating endotoxins<sup>8-10</sup> or as a vital energy source in undernourished patients<sup>10</sup>. In health, aging is associated with loss of muscle mass in the range of 2–4 kg over 10 years<sup>11</sup>.

This physiologic process is believed to precede at a faster rate in patients with ESRD where several catabolic factors accelerate muscle wasting<sup>12,13</sup>. In addition, anabolic pathways are compromised by low testosterone levels<sup>14</sup> and abnormalities in the insulin growth factor-1 pathways<sup>15,16</sup>. It is therefore not surprising that patients with ESRD develop protein energy wasting (PEW), not because of reduction in fat mass, but because of loss of lean tissue. PEW is present in 18%–75% of patients with CKD<sup>17,18</sup>.

BMI measures do not differentiate between fat and lean body mass so it is challenging to understand quantitatively which components of body composition are related to survival in patients with ESRD: fat tissue mass (FTM), lean tissue mass (LTM), or both.

Table 1. Patient characteristics and indicators of nutritional status and inflammation in an international European cohort of 37,345 hemodialysis patients

Characteristic	All (N=37,345)	LTI<10th Percentile, FTI<10th Percentile (n=1586)	LTI<10th Percentile, FTI<10th Percentile (n=14,608)	LTI<10th Percentile, FTI<10th Percentile (n=1309)	LTI 10th-90th Percentile, FTI<10th Percentile (n=4855)	LTI 10th-90th Percentile, FTI<10th Percentile (n=12,776)	LTI 10th-90th Percentile, FTI<10th Percentile (n=532)	LTI>90th Percentile, FTI>90th Percentile (n=874)	LTI>90th Percentile, FTI>90th Percentile (n=769)	LTI>90th Percentile, FTI>90th Percentile (n=36)	P Value
Age (y)	62.7±15.2	62.2±13.0	61.5±15.1	58.8±14.6	63.3±15.6	64.0±15.4	59.1±14.0	67.3±14.6	65.4±13.5	61.0±10.0	<0.001
Vintage (y)	2.8 (0.7-6.1)	2.8 (0.5-7.2)	3.1 (0.9-6.8)	2.4 (0.9-4.9)	2.6 (0.4-6.4)	2.5 (0.7-5.4)	2.1 (0.7-4.5)	2.5 (0.5-5.8)	2.4 (0.5-4.7)	1.5 (0.7-3.5)	<0.001
BMI (kg/m <sup>2</sup> )	26.0±5.3	19.0±1.9	25.4±3.9	36.3±5.0	21.2±2.3	27.6±4.0	40.3±4.4	23.9±3.2	31.6±4.8	48.0±6.4	<0.001
Men (%)	57±49	72±45	64±48	61±49	60±49	51±50	40±49	43±49	26±44	19±40	<0.001
Diabetic (%)	24±43	17±37	28±45	42±49	13±34	23±42	37±48	16±36	29±45	39±49	<0.001
Fat mass (kg)	26.1±11.7	14.0±4.1	28.8±8.7	52.0±10.2	12.7±4.6	27.0±8.3	52.3±8.9	10.2±5.0	26.5±8.0	55.4±10.9	<0.001
Lean tissue mass (kg)	34.4±10.5	32.0±7.3	29.7±8.6	27.4±9.5	39.6±9.3	36.9±9.9	36.2±10.3	48.8±11.5	45.4±10.3	45.2±12.1	<0.001
Albumin (g/ dl)	3.8±0.4	3.6±0.5	3.8±0.4	3.8±0.4	3.8±0.5	3.9±0.4	3.9±0.4	3.7±0.5	3.8±0.5	4.1±0.4	<0.001
CRP (mg/L)	6.0 (2.5-15)	7.8 (2.4-21.6)	6.7 (2.8-16.9)	9.5 (4-19.7)	4.6 (1.6-12.0)	5.5 (2.3-12.7)	9.9 (5-17.7)	5 (2.1-13.2)	7.0 (3.1-14.8)	10.3 (3.3-16.6)	<0.001
Creatinine (mg/dl)	7.7±2.5	6.8±2.0	7.6±2.4	7.9±2.5	7.6±2.4	8.0±2.6	8.0±2.7	7.4±2.4	7.5±2.6	8.5±3.0	<0.001
Total cholesterol (mg/dl)	172.0±44.0	164.6±41.9	169.6±44.2	177.0±46.6	167.1±40.4	175.5±43.9	179.3±38.3	174.5±46.4	187.3±52.2	168.7±40.0	<0.001
HDL cholesterol (mg/dl)	42.1±14.6	45.9±16.1	41.2±14.8	39.1±14.4	46.1±15.0	41.5±13.8	36.4±10.1	46.8±16.2	41.7±14.0	38.6±11.3	<0.001
LDL cholesterol (mg/dl)	100.3±37.6	94.7±34.4	99.3±37.6	101.4±39.0	97.9±35.2	102.2±38.2	105.2±39.1	97.9±38.3	109.7±38.4	97.0±36.1	<0.001
Triglycerides (mg/dl)	161.8±99.4	121.9±62.9	159.9±92.3	200.6±120.3	123.3±67.0	174.8±107.9	224.2±134.0	149.9±103.3	191.6±122.3	240.1±102.1	<0.001
Predialysis systolic BP (mmHg)	136.4±19.5	137.2±20.5	134.8±20.4	134.6±20.8	139.3±18.4	137.0±18.5	137.5±19.2	138.5±18.7	138.7±18.0	128.6±15.7	<0.001
Serum sodium (mmol/l)	137.9±3.4	137.5±3.5	137.7±3.5	137.8±3.4	138.1±3.5	138.2±3.3	138.2±3.3	138.4±3.3	138.3±3.3	139.4±3.4	<0.001
Body surface area (m <sup>2</sup> )	1.8±0.2	1.6±0.2	1.8±0.2	2.0±0.2	1.6±0.2	1.8±0.2	2.1±0.2	1.7±0.2	1.8±0.2	2.1±0.3	<0.001
FTI (kg/m <sup>2</sup> )	9.8±4.5	5.1±1.5	10.6±3.4	19.6±4.2	4.7±1.7	10.2±9.3	20.2±3.9	3.9±1.9	10.5±3.2	22.8±4.3	<0.001
LTI (kg/m <sup>2</sup> )	12.5±3.1	11.4±1.9	10.7±2.3	10.0±2.6	14.4±2.4	13.6±2.5	13.6±2.4	18.4±3.0	17.7±3.2	18.3±3.0	<0.001
Dead (%)	9±29	17±40	10±30	9±28	10±30	7±25	7±26	10±30	9±28	8±28	<0.001

Values are mean baseline values ±SDs. Median baseline values (interquartile range) are reported for CRP and dialysis vintage (25th-75th percentiles). Baseline was defined as the first BCM Body Composition Monitor measurement available for a patient in the study period (April 1, 2006-December 31, 2012), whereby all other values were averaged for the period ±30 days of the first BCM Body Composition Monitor measurement. P values were estimated with one-way ANOVA (standard with Gaussian assumption or Kruskal-Wallis test). BMI, body mass index; CRP, C-reactive protein; FTI, fat tissue index; LTI, lean tissue index.

Studies with surrogate markers of FTM and LTM suggest a dominant protective role of LTM over FTM<sup>7,17,19,20</sup>.

However, other studies concluded that survival is dependent more on FTM than LTM<sup>10,21</sup>. Recently, multifrequency bioimpedance spectroscopy (MF-BIS) devices have been introduced to assess body composition in HD patients. MF-BIS directly measures FTM and LTM on a routine basis. In this study, predialysis standard of care body composition assessed by MF-BIS was used to explore the relationship between lean tissue, fat tissue, and survival.

### **Materials and Methods**

The MONitoring Dialysis Outcomes initiative comprises HD databases from Renal Research Institute clinics in the United States; Fresenius Medical Care (FMC) clinics in Europe, Asia Pacific, and Latin America; KfH clinics in Germany; and clinics associated with the Imperial College (United Kingdom), Hadassah Medical Center (Israel), and Maastricht University Medical Center (The Netherlands)<sup>22</sup>. Electronic medical records are assembled in a primary database after obtaining informed consent and in accordance with local privacy and data protection regulations.

For this study, data from European FMC clinics were extracted for all patients who had at least one routine measurement using the BCM Body Composition Monitor (FMC, Bad Homburg, Germany) between April 1, 2006, and December 31, 2012<sup>23</sup>. In contrast to earlier bioimpedance methodologies, the BCM Body Composition Monitor expresses body composition as a three-compartment model, providing overhydration, lean tissue index (LTI), and fat tissue index (FTI), whereby LTI and FTI are the respective tissue masses normalized to height squared. Also, LTI and FTI percentiles (<10th percentile [low]; 10th–90th percentile [normal]; and >90th

percentile [high]) relative to an age- and sex-matched healthy population are supplied. The three compartment model of the BCM Body Composition Monitor has been validated against standard reference methods for assessment of fluid status and body composition in dialysis patients, albeit partly against gold standard techniques in healthy controls only<sup>24-27</sup>.

Baseline was defined as the first BCM Body Composition Monitor measurement available for a patient in the study period (April 1, 2006–December 31, 2012). All other values were averaged for the period 630 days of the first BCM Body Composition Monitor measurement. Measurements were performed predialysis.

Table 2. Matrix presentation of body mass index values in the nine LTI and FTI percentile categories

LTI (kg/m <sup>2</sup> )	FTI (kg/m <sup>2</sup> )			
	<10th Percentile	10th–90th Percentile	>90th Percentile	
<10th percentile	19.0±1.9	25.4±3.9	36.3±5.0	WHO: normal–obese class II
10th–90th percentile	21.2±2.3	27.6±4.0	40.3±4.4	WHO: normal–obese class III
>90th percentile	23.9±3.2	31.6±4.8	48.0±6.4	WHO: normal–obese class III
	WHO: normal	WHO: overweight–obese class I	WHO: obese class II–III	

Mean body mass index ±SD are presented. Definitions of normal to obese class III are according to the WHO classification for the general population and are on the basis of the group means. LTI, lean tissue index; FTI, fat tissue index; WHO, World Health Organization.

### Statistical Analyses

Primary outcome was all-cause death. In survival analysis, baseline values of LTI and FTI were entered as categorical variables. Univariate Kaplan–Meier analyses were conducted to explore the association of combinations of LTI and FTI categories and the time between first body composition measurement and death. Survival differences between groups were assessed using the log-rank test. Multivariate Cox proportional-hazard models adjusted for age, sex, and dialysis vintage assessed the association of LTI, FTI, and different FTI/LTI category combinations with mortality. The robustness of the results was tested with sensitivity analyses controlling for Kt/V

and restricted to patients with dialysis vintage <6 months. Cox proportional hazards and linearity assumptions were confirmed using diagnostic plots of scaled Schoenfeld and Martingale residuals. Censoring events were transfer to non-FMC clinics, transplantation, or study end on December 31, 2012. To confirm the results, we fitted a smoothing spline ANOVA logistic regression model for the probability of death 1 year later. This treats both LTI and FTI as continuous variables and allows the data to decide the joint effect of LTI and FTI. The same covariates as included in the Cox regression analysis were included in the spline model. In addition, sex-specific spline analyses were also performed to test the observations pertaining to the relationship between BMI and FTI. The R package gss was used to fit the smoothing spline ANOVA model. A P value <0.05 was considered significant. Analyses were performed with SAS version 9.3 (Cary, NC) and R statistics software system version 3.0.2.

## **Results**

We analyzed data from 37,345 HD patients (57% men) from 380 clinics in the following 17 European countries: Bosnia and Herzegovina, Czech Republic, France, Hungary, Ireland, Italy, Poland, Portugal, Romania, Russia, Serbia, Slovakia, Slovenia, Spain, Sweden, Turkey, and the United Kingdom. At the time of first body composition measurement, mean patient age was  $62.7 \pm 15.2$  years, and mean BMI was  $26.0 \pm 5.3$  kg/m<sup>2</sup>. Median (25th–75th percentile) follow-up time was 266 (132–379) days. Median (25th–75<sup>th</sup> percentile) of LTI and FTI were 12.2 (10.3–14.5) kg/m<sup>2</sup> and 9.8 (6.6–12.4) kg/m<sup>2</sup>, respectively. Between the first body composition measurement and study end 3458 (9.2%) patients died.

**Table 3. Results of Cox proportional-hazards models**

Model	Models Adjusted for Age, Vintage, and Sex				Fully Adjusted Models <sup>a</sup>			
	HR	95% LCI	95% UCI	P Value	HR	95% LCI	95% UCI	P Value
<b>Model 1: LTI without FTI</b>								
Low LTI	1.68	1.56	1.80	<0.001	1.53	1.40	1.66	<0.001
Normal LTI (reference)								
High LTI	1.20	1.01	1.41	0.03	1.02	0.84	1.24	0.85
<b>Model 2: FTI without LTI</b>								
Low FTI	1.34	1.24	1.45	<0.001	1.19	1.08	1.31	<0.001
Normal FTI (reference)								
High FTI	1.19	1.01	1.40	0.03	1.23	1.02	1.47	0.03
<b>Model 3: LTI and FTI combined</b>								
Low LTI, low FTI	3.37	2.94	3.87	<0.001	2.51	2.12	2.96	<0.001
Low LTI, normal FTI	1.81	1.67	1.97	<0.001	1.63	1.48	1.81	<0.001
Low LTI, high FTI	1.79	1.47	2.17	<0.001	1.74	1.40	2.17	<0.001
Normal LTI, low FTI	1.57	1.40	1.75	<0.001	1.42	1.25	1.62	<0.001
Normal LTI, normal FTI (reference)								
Normal LTI, high FTI	1.36	0.99	1.89	0.06	1.41	0.99	2.01	0.06
High LTI, low FTI	1.42	1.14	1.76	0.002	0.99	0.75	1.32	0.95
High LTI, normal FTI	1.28	1.00	1.64	0.05	1.31	1.00	1.73	0.05
High LTI, high FTI	1.73	0.56	5.38	0.34	1.91	0.48	7.65	0.36

Outcome was all-cause mortality; predictors were categories of low (<10th percentile) and high (>90th percentile) LTI and FTI, respectively. Models were adjusted for age, vintage, and sex or as otherwise indicated. LTI, lean tissue index; FTI, fat tissue index; reference, normal LTI and FTI (10th–90th percentile of age- and sex-matched healthy population); HR, hazard ratio; 95% LCI, lower 95% confidence interval; 95% UCI, upper 95% confidence interval.

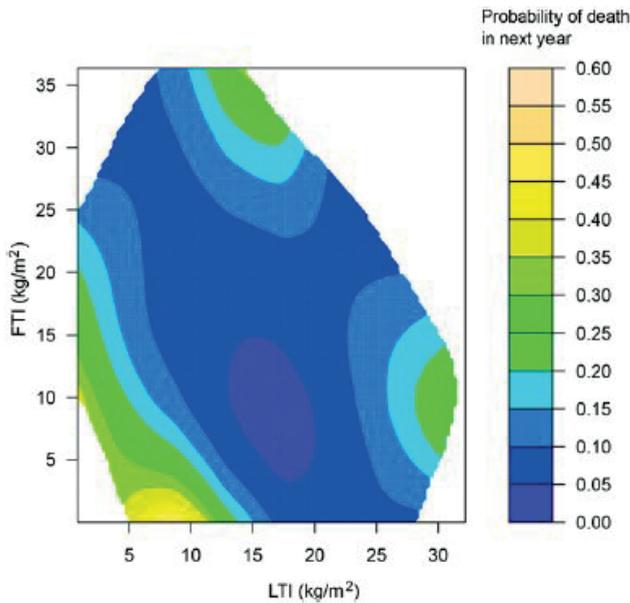
<sup>a</sup>Adjusted for age, vintage, sex, geographic region, albumin, hemoglobin, diabetes, and BP.

Table 1 shows key patient characteristics, inflammation markers, and nutritional parameters at baseline and nine distinct body composition categories: (1) low LTI and low FTI; (2) low LTI and normal FTI; (3) low LTI and high FTI; (4) normal LTI and low FTI; (5) normal LTI and normal FTI; (6) normal LTI and high FTI; (7) high LTI and low FTI; (8) high LTI and normal FTI; and (9) high LTI and high FTI. Although 85% of patients had a normal FTI, almost 50% had low LTI (Table 1). Reliable data on residual renal function were not available.

Of the six groups with normal or high FTI, BMI values were higher than the cohort average value (i.e., 26.0±5.3 kg/m<sup>2</sup>) in five groups (41.3% of the study population). A BMI value slightly lower than the cohort average was observed for the remaining group with normal FTI and low LTI (39.1% of the study population; BMI, 25.41±3.89 kg/m<sup>2</sup>). Sex-specific spline analyses of the relationship between BMI and FTI revealed that BMI increases with increasing FTI (Supplemental Figures 1 and 2). Of

the six groups with normal or high LTI, BMI values were higher than the cohort average in four groups (37.8% of the study population). Lower than cohort average BMI was observed for the remaining two groups with low FTI (15.3% of the study population). Table 2 provides a summary of the BMI categories as defined by the World Health Organization (WHO) (in 2004) and the LTI and FTI groups analyzed in this study. BMI levels were higher than one would expect given the lean and fat tissue amounts: respectively, 20% and 47% of patients had FTI and LTI below the 10th percentile, but they had normal to obese BMI levels.

Univariate Kaplan–Meier analyses indicated a survival benefit for patients with normal LTI and concomitant normal FTI. The worst survival was observed for patients with a combination of low LTI and low FTI. The results of the multivariate Cox proportional-hazard analyses are presented in Table 3. First, we constructed three explanatory models with LTI and FTI as categorical variables and with normal FTI and normal LTI as references. Model 1 included LTI but not FTI; model 2 included FTI but not LTI; and model 3 included combinations of LTI and FTI categories. Model 1 indicated that both low and high LTIs were associated with higher mortality (hazard ratio [HR], 1.68; 95% confidence interval [95% CI], 1.56 to 1.80;  $P < 0.001$  and HR, 1.20; 95% CI, 1.01 to 1.41;  $P = 0.03$ , respectively). Model 2 indicated that also both low and high FTIs were associated with higher mortality (HR, 1.34; 95% CI, 1.24 to 1.45;  $P < 0.001$  and HR, 1.19; 95% CI, 1.01 to 1.40;  $P = 0.03$ , respectively). Model 3 indicated the highest HR for the combination of low LTI and low FTI; the lowest HR was for normal LTI and FTI. Sensitivity analyses controlling for Kt/V and restricting dialysis vintage confirmed the robustness of these results (data not shown).



**Figure 1. | Risk of death across levels of FTI and LTI.** Contour plot of the estimated probability of death in the next year as a joint function of LTI and FTI for female patients with age and body mass index fixed at their median values. Estimates of the joint effects are shown in a region with sufficient data decided by posterior SDs. FTI, fat tissue index; LTI, lean tissue index.

All three explanatory models were only adjusted for age, sex, and vintage to avoid collinearity, but the addition of other potential variables were included in a predictive model (fully adjusted model, Table 3): only the statistical significance at high LTI–low FTI was reduced. Next we conducted a 1-year survival analysis for the joint effect of LTI and FTI as continuous variables. The contour plot of the estimated death probability as a function of LTI and FTI with other covariates fixed on the basis of the smoothing spline ANOVA logistic regression model is shown in Figure 1. The result of FTI and LTI slices through the contour plot at discrete LTI and FTI values (5, 10, 15, 20, and 25 kg/m<sup>2</sup>) are displayed in Figures 2 and 3, respectively. The results corroborate the Cox regression results, revealing optimal survival at LTI and FTI in

the middle ranges. However, although the range 15–20 kg/m<sup>2</sup> appeared optimal for LTI, the optimal range for FTI appeared to be lower (4–15 kg/m<sup>2</sup>).

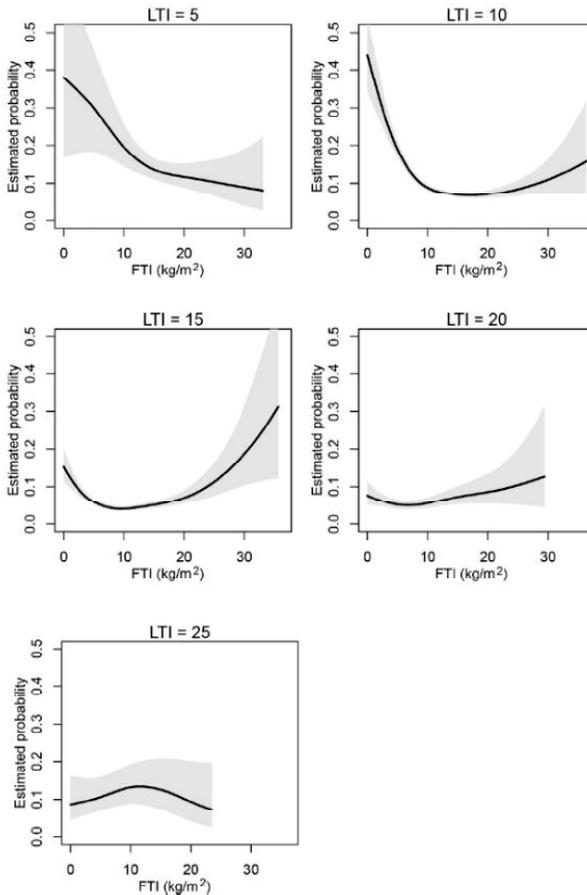


Figure 2. | FTI slices at different LTI ranges. Sections through the contour plot (Figure 1) at five LTI levels. FTI, fat tissue index; LTI, lean tissue index.

## Discussion

In this study of prevalent HD patients, body composition was determined as part of standard of care using a validated multifrequency bioimpedance device. LTI and FTI within the 10th–90th percentile of an age- and sex matched healthy population were associated with best survival, whereas low FTI and low LTI, and especially the combination of both, were associated with higher mortality. The average patient BMI was 26.0±15.2 kg/m<sup>2</sup>, which is overweight for the general population (normal BMI

defined by WHO: 18.5–24.9 kg/m<sup>2</sup>). In fact, the BMI distribution was found to approximately parallel the FTI distribution, but starting already at WHO normal status and progressing to overweight and then even obese: irrespective of LTI, patients with low FTI had on average a WHO normal BMI (normal, 18.5–24.9 kg/m<sup>2</sup>); patients with normal FTI had a high WHO BMI (overweight, 25–29.9 kg/m<sup>2</sup>); and patients with high FTI were obese according to the WHO classification (30 to  $\geq 40$  kg/m<sup>2</sup>) (Table 2). This observation, which was also confirmed by sex-specific analyses, indicates a shift toward higher BMIs in this HD population and can explain some previous interpretations of a protective effect of high BMI per se. Furthermore, patients in our study with even a low LTI did not appear to be underweight, but rather to have normal weight or even be obese according to the WHO BMI classification. Therefore, BMIs of HD patients are incompletely informative in predicting body composition. This can only be partially explained by the effect of fluid loading on BMI in dialysis patients (e.g., approximately +0.6 kg/m<sup>2</sup> for an interdialytic weight gain from 75 to 77 kg in a patient of height 1.75 m), but appears to be mainly related to an altered relation between fat and lean tissue in this population.

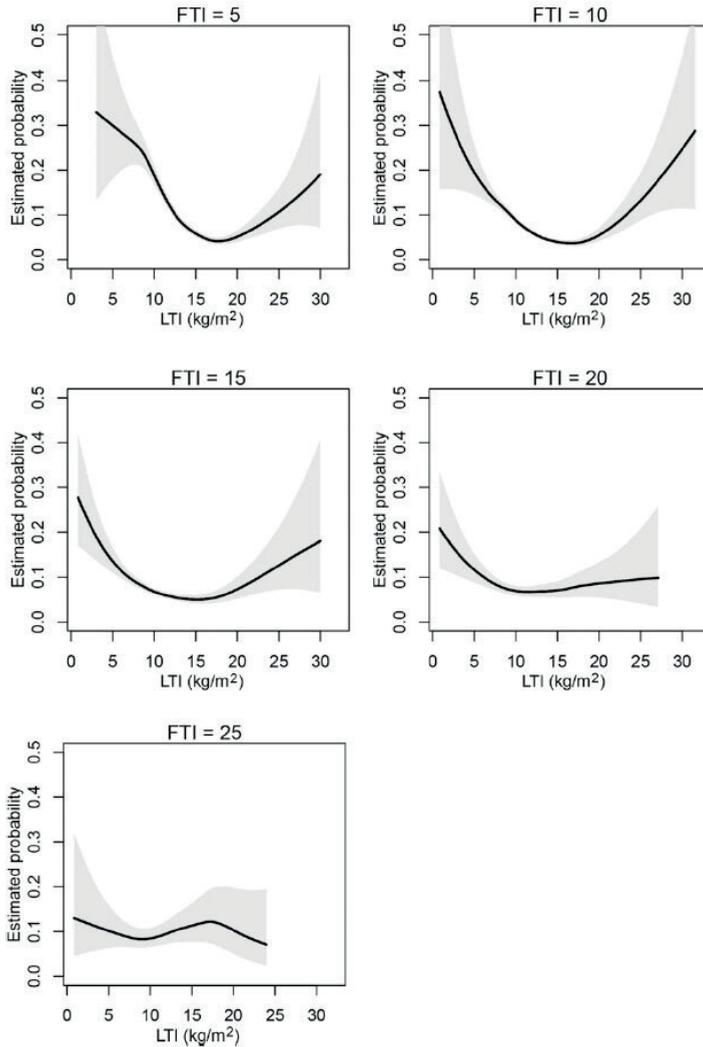


Figure 3. | LTI slices at different FTI ranges. Sections through the contour plot (Figure 1) at five FTI levels. FTI, fat tissue index; LTI, lean tissue index.

These findings add valuable insight to understanding the observation that HD patients with high BMI experience a better survival compared with fellow patients with lower BMI. In essence, our results indicate that body composition, and not just BMI, is related to outcome and that patients with the same BMI but different ratios of lean to fat mass may have different outcomes. The robustness of the result was tested with various models incorporating LTI and FTI as categorical and continuous

variables and by a sensitivity analysis when Kt/V is controlled for or when restricted to patients with dialysis vintage <6 months.

Almost half of the patients in our study had LTIs below the 10th percentile of an age- and sex-matched healthy population, whereas only 4.5% had LTIs above the 90<sup>th</sup> percentile (Table 1). Low lean body mass is a component of the PEW syndrome, and it is thought to be associated with a parallel decrease in BMI. However, our results show that most patients with low LTI had normal FTI and BMI levels ranging between normal and obese. Honda et al. demonstrated that low lean BMI (defined in their study as lean body mass determined by dual energy x-ray absorptiometry and divided by height squared) is not exclusively a characteristic of patients with low BMI<sup>21</sup>.

In fact, the prevalence of patients with PEW according to the Subjective Global Assessment was 60% in patients with a BMI <20 kg/m<sup>2</sup>, 39% in patients in the normal 20–25 kg/m<sup>2</sup> group, and 16% in patients in the overweight group (BMI 25–29.9 kg/m<sup>2</sup>). Patients with PEW in the latter group were characterized by a higher fat BMI over lean BMI, a condition labeled obese sarcopenia. On the basis of the current findings, we suggest that the presence of a normal BMI is insufficient to refute diagnose of malnutrition and that LTI, FTI, and their relation must be taken into account.

Knowledge of predictors of low and high LTI and FTI may help to develop interventional corrective strategies. Inflammatory markers are known to be higher in patients with PEW; therefore, it is not surprising that a low LTI is associated with mortality<sup>21</sup>. This relationship suggests that malnourished (even obese) HD patients have a low LTI because of the catabolic effects of inflammatory mediators. The C-reactive protein levels in Table 1 support this. It has also recently been demonstrated

that an excess of fat mass in obese patients can amplify the oxidative stress and inflammation caused by renal insufficiency<sup>28</sup>. This is in line with the results of our model 2, but not model 3 (whereby the latter may be because of the small numbers of patients in the upper categories). As shown in this study, the relation between LTI and FTI has to be taken into account. In patients with normal LTI, higher FTI was associated with a borderline significant outcome ( $P=0.06$ ) compared with normal FTI, and in patients with low LTI it was associated with improved outcome. Fat mass can also serve as an energy reservoir in case of energy depletion, which might provide an explanation for the latter phenomenon because patients with low LTI are likely to be protein-energy wasted. Therefore, there may be a discrepancy between the long-term health risks with increased fat mass and short-term positive effects of higher fat mass in patients with low LTI and protein energy wasting<sup>10,29</sup>.

Interventions aimed to reduce inflammation may translate into a higher LTI. One such intervention would be to reduce catheter use because even subclinical infections can trigger inflammation<sup>30</sup>. Another intervention would be timely and effective treatment of periodontitis, a frequently neglected source of inflammation<sup>31</sup>. Strategies to improve nutritional competence may include oral supplemental nutrition programs.

Strategies to increase creatinine by increasing muscle mass could possibly benefit both LTI and FTI<sup>32</sup>. An unexpected finding of this study is the adverse association between high LTI and survival in the simple model, which was only adjusted for age, sex, and vintage. Theoretically, underdialysis might explain this<sup>10,29,33,34</sup>. However, adjustment for Kt/V did not materially change the results. Adjustment for age, vintage, sex, geographic region, albumin, hemoglobin, diabetes, and BP in the background predictive models resulted in a loss of statistical significance for high

LTIs. This could either indicate a problem of multicollinearity in the extended model or a low degree of robustness of the association at high LTI.

This study had certain limitations. The number of patients with high LTI and FTI was small (n=36), which could compromise achievement of statistical significance here. Prevalent HD patients were studied, and the dialysis vintage at the time of first body composition measurement varied between patients. In an attempt to address this we adjusted all Cox models for dialysis vintage. MF-BIS by the BCM Body Composition Monitor was the sole source of body composition assessment. However, this specific methodology has been validated in several studies<sup>24-27</sup> (although fat mass was validated against gold standard techniques in healthy controls only), and the strong relationship to outcome supports the functional validity of the device and its measurements. Moreover, the BCM Body Composition Monitor is not able to distinguish between appendicular and visceral muscle mass. LTM and adipose tissue mass were normalized to height squared for reporting of LTI and FTI, but other normalizations could better reflect differences in body compositions between races and geographic areas. However, inclusion of geographic area into the model did not change the results substantially. Also, indexing to height squared is common in sarcopenia studies<sup>35</sup>, and use of this facilitates comparison of study results. BCM Body Composition Monitor measurements were performed for clinical reasons, and supervising clinicians could use these for interventions (not blinded). However, we do not believe that this should be considered a confounder because all clinicians followed a common policy (i.e., to target relative overhydration to be  $\leq 15\%$ ); therefore, an individual effect may be overruled. Strengths of this research lie in its multinational and diverse study population. The fact that this is the largest study on this subject conducted so far improves generalizability of the findings. Moreover, the

BCM Body Composition Monitor is used on a routine basis, which reduces the chances of bias by indication.

In conclusion, this large multinational study demonstrated that LTM and FTM, as determined by whole-body MF-BIS, are important predictors of survival in chronic HD patients. Both low LTI and FTI, but especially the combination of both, are associated with poorer survival. Although BMI values for HD patients are generally higher than those for the general population, many patients with apparently overweight BMI levels have LTI levels below the 10th percentile of healthy controls. These results suggest that routine assessment of body composition by bioimpedance adds to the clinical care of HD patients. Trials of interventions to maintain lean body mass and fat mass in middle ranges are warranted to further explore the relationship between body composition and survival.

### **Acknowledgments**

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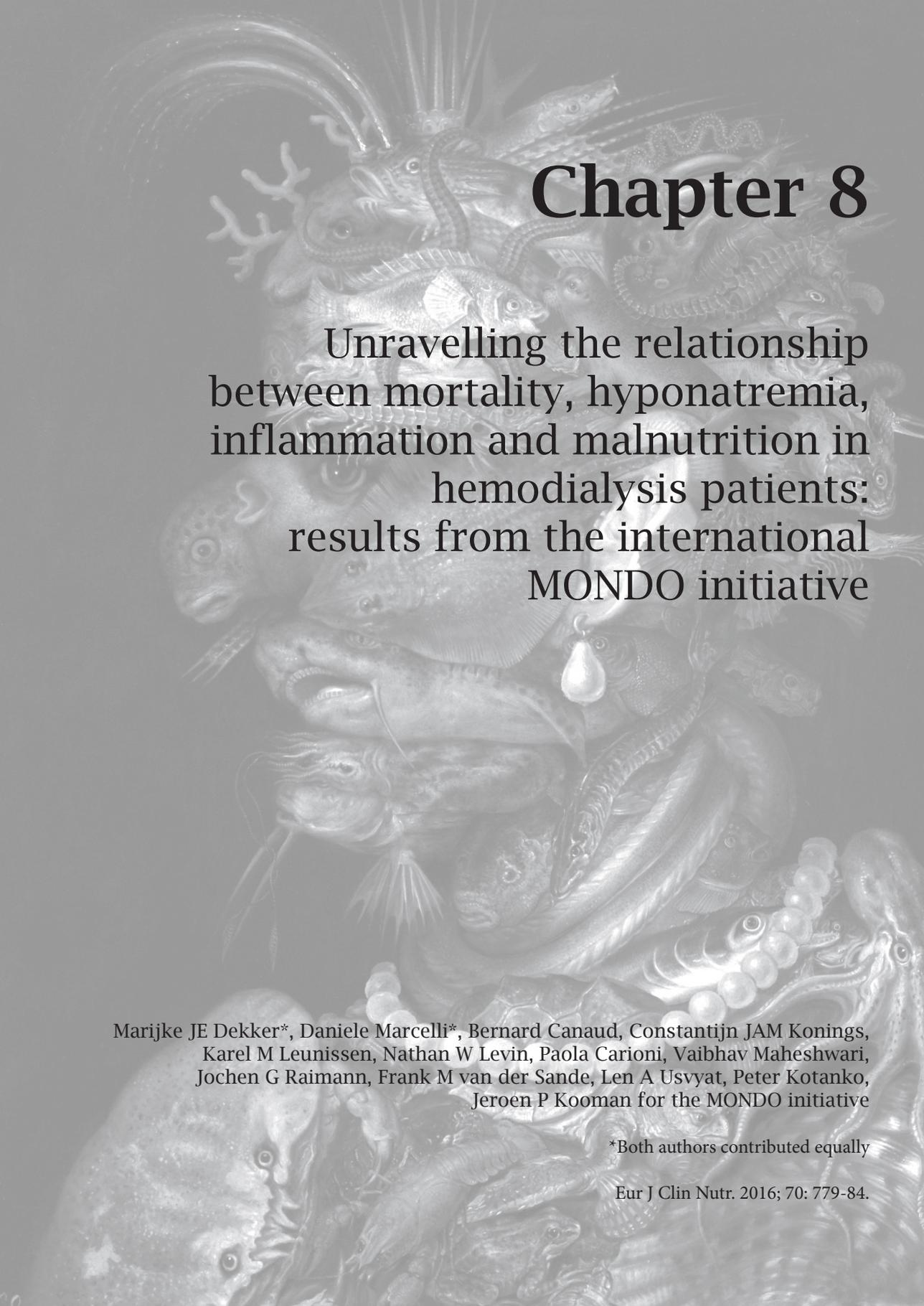
### **Disclosures**

D.M., L.A.U., I.B., B.C., M.E., E.G., A.G., C.M., L.S., and A.S. are employees of Fresenius Medical Care and may hold stock in the company. P.K. holds stock in Fresenius Medical Care.

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# Chapter 8

## Unravelling the relationship between mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients: results from the international MONDO initiative

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## **Abstract**

### **Background:**

Hyponatremia is a risk factor for mortality in hemodialysis patients. It is not well known to which extent the comorbidities, malnutrition, fluid status imbalance and inflammation are related to hyponatremia and affect outcomes.

### **Methods:**

We studied 8883 patients from the European subset of the international MONitoring Dialysis Outcomes initiative. Nutritional and fluid statuses were assessed by bioimpedance spectroscopy. Fluid depletion was defined as overhydration  $\leq -1.1$ L and fluid overload as overhydration  $> +1.1$ L, respectively. Malnutrition was defined as a lean tissue index below the 10<sup>th</sup> percentile of age- and gender-matched healthy controls. Hyponatremia and inflammation were defined as serum sodium levels  $< 135$  mEq/L and C-reactive protein levels  $> 6.0$  mg/L, respectively. We used logistic regression to test for predictors of hyponatremia and Cox proportional hazards analysis to assess the association with all-cause mortality.

### **Results:**

Hyponatremia was predicted by the presence of malnutrition (odds ratio (OR) 1.50 (95% CI 1.31-1.71), inflammation (OR 1.41 (95% CI 1.24-1.61)), and moderate fluid overload ( $> +1.1$ L to  $+2.5$ L) OR 0.86 (95% CI 0.76 0.65-0.89)), but neither severe fluid overload ( $> +2.5$ L) nor fluid depletion (OR 1.31 (95% CI 0.90-1.91)). Malnutrition, inflammation, fluid overload, fluid depletion and hyponatremia (hazard ratio 1.73 (95% CI 1.48-2.02)) were independent predictors for all-cause mortality.

### **Conclusion:**

In hemodialysis patients hyponatremia is associated with malnutrition, inflammation and mild fluid overload. Hyponatremia maintained predictive for all-cause mortality after adjustment for malnutrition, inflammation and fluid status abnormalities. The presence of hyponatremia may assist in identifying hemodialysis patients at increased risk of death.

## **Introduction**

Hyponatremia has recently emerged as a risk factor for mortality in chronic hemodialysis (HD) patients<sup>1-3</sup>. The association between hyponatremia and mortality is not limited to HD patients, but has also been observed in patients with other acute and chronic diseases, such as congestive heart failure, pulmonary embolism and myocardial infarction<sup>4-6</sup>. It has been suggested that hyponatremia is associated with a “frail phenotype”, given its association with low body mass index (BMI) and biochemical markers of malnutrition<sup>1,2,7</sup>. A relationship between hyponatremia and malnutrition has also been observed in other diseases<sup>6,8</sup>. Hyponatremia may also be associated with abnormalities in fluid status, both with regard to fluid overload, as well as fluid depletion<sup>7,9</sup>, or with inflammation, possibly as a reflection of the so called “sick cell syndrome”<sup>9-11</sup>. However, the determinants of hyponatremia in HD patients have not been comprehensively addressed, partly because of absence of routine measurements of C-reactive protein (CRP) as marker of inflammation and objective indicators of body composition and fluid state in previous studies. The paucity of research has complicated the interpretation of the relative importance of confounding mechanisms when exploring the relation between hyponatremia and outcomes<sup>1,8</sup>. Our study aimed to address that knowledge gap and explore the relationship between hyponatremia, nutrition, inflammation and fluid status. We drew on data from dialysis facilities where body composition and laboratory markers of inflammation are assessed routinely as part of standard of care. The primary goal was to determine predictors of hyponatremia, including biomarkers of inflammation, fluid status and malnutrition. The secondary goal was to assess the association between hyponatremia and mortality with appropriate adjustments for malnutrition, fluid status and inflammation.

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## Subjects

This retrospective observational study was performed in a European subset of the international MONitoring Dialysis Outcomes (MONDO) initiative<sup>12,13</sup>.

At the time of analysis, MONDO consisted of hemodialysis databases from Renal Research Institute clinics in the US, Fresenius Medical Care clinics in Canada, Europe, Asia Pacific and Latin America, Kuratorium für Dialyse und Nierentransplantation clinics in Germany, Imperial College in United Kingdom, Hadassah Medical Center in Israel and Maastricht University Medical Center in The Netherlands.

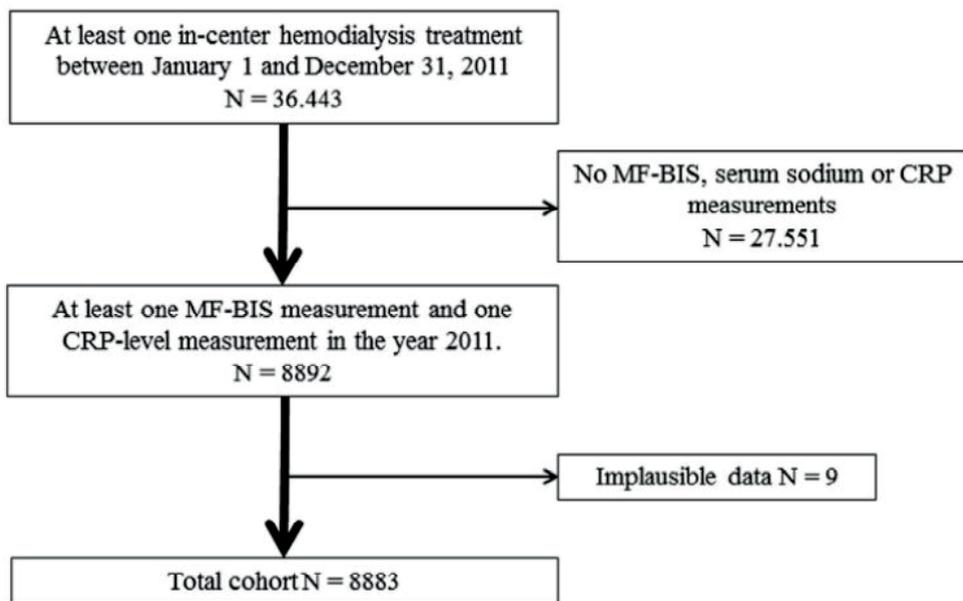
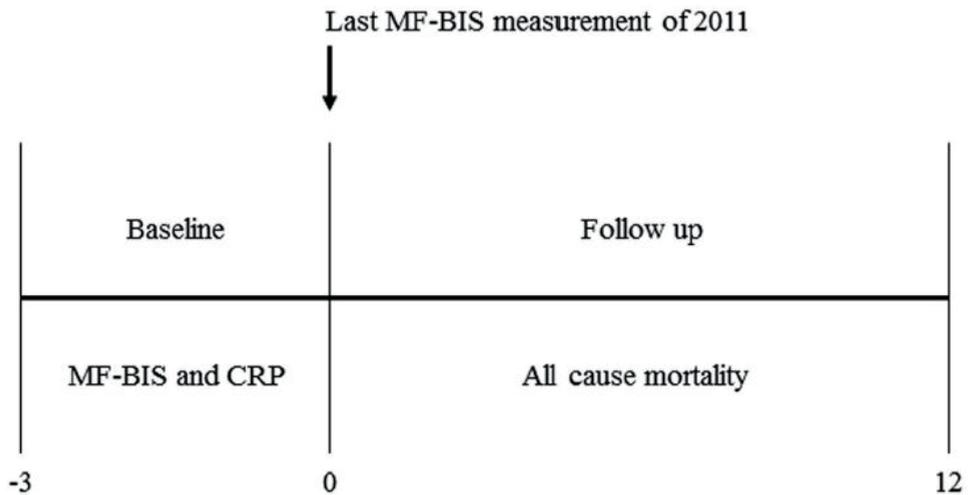


Figure 1: Study flow chart

For this research we only included dialysis facilities in which body composition and CRP were determined simultaneously as part of routine care. We considered the

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routine assessments essential to prevent bias by indication. The final analysis included patients from 380 clinics in 17 European countries, namely: Bosnia and Herzegovina, Czech Republic, France, Hungary, Ireland, Italy, Poland, Portugal, Romania, Russia, Serbia, Slovakia, Slovenia, Spain, Sweden, Turkey and the United Kingdom. Countries were stratified into four regions (Northern, Eastern, Southern and Western Europe), based on the United Nations geographic scheme<sup>14</sup>. Analyses were adjusted for these regions because of possible differences in practice patterns. Patients with at least one routine body composition measurement, one CRP and serum sodium measurement during the baseline year January 1 to December 31, 2011 were included (**Figure 1**). The latest body composition measurement in 2011 served as the start date of a 12 months follow up period (**Figure 2**).



**Figure 2:** Study design. All patients of the European subset of the MONDO initiative database with at least one whole body multifrequency bioimpedance spectroscopy (MF-BIS) and C-reactive protein (CRP) measurement in 2011 were included. Baseline was defined as the 3 months preceding the last bioimpedance measurement in 2011, plus the day of that respective MF-BIS measurement. Baseline was followed by a 12 months period, during which outcomes were noted.

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Averages of clinical and laboratory data collected in the 3 months prior to the body composition measurement were used for subsequent logistic regression and Cox proportional hazard analyses. Patients consented to the use of their data in anonymized form for research in epidemiological studies; absence of that consent was the sole exclusion criterion. The MONDO database includes information on all patients treated in the respective provider network with the data directly extracted from the electronic health record systems. Every individual provider has its own procedures for data cleaning before data end up in the respective provider system. The MONDO database contains only de-identified data. Research conducted by MONDO complies with the Declaration of Helsinki. MONDO partner organizations are responsible for the primary collection and safeguarding of patient data in accordance with all applicable local data protection laws and privacy protection regulations. They also ensure full compliance with laws and regulations regarding the secondary use of data in the context of MONDO. For data collection and analysis, local ethical, compliance, and legal standards are followed<sup>12,13</sup>. The New England Institutional Review Board (IRB) has reviewed the claim of exemption for the study and has determined that this research activity is exempt from IRB review.

## **Methods**

### *Determination and definition of fluid status and body composition*

We used the body composition monitor (BCM; Fresenius Medical Care, Bad Homburg, Germany) to assess body composition and fluid status. The BCM is a whole body multifrequency bioimpedance spectroscopy (MF-BIS) device that has been validated against gold standard techniques in HD patients<sup>15-17</sup>. The BCM

mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients reports overhydration (OH) as a separate compartment in reference to normohydration. We defined normohydration as pre-dialysis OH in a range of -1.1 to +1.1L around normohydration. These thresholds were chosen according the hydration reference plots provided by the manufacturer, based on the reference values for normohydration as derived from the 10<sup>th</sup> and 90<sup>th</sup> percentiles in the healthy population<sup>18</sup>. Fluid depletion was defined as pre-dialysis OH ≤ -1.1L, moderate fluid overload as pre-dialysis OH > +1.1L to +2.5L and severe fluid overload as pre-dialysis OH > +2.5L to +5.0L. Definitions of the fluid overload groups were chosen according to literature<sup>19</sup>. We accounted for differences in body-phenotype by adjusting for body surface area (BSA), calculated by the Du Bois Formula,  $BSA (m^2) = 0.007184 \times (\text{pre-dialysis weight (kg)})^{0.425} \times (\text{patient height(cm)})^{0.725}$ <sup>20</sup>.

Malnutrition was defined as a lean tissue index (LTI) below 10<sup>th</sup> percentile of age and gender matched healthy controls. BCM reports the LTI, which is lean tissue mass normalized to the height in meters squared, and also age- and gender-matched LTI percentiles. Fat tissue index (FTI), also assessed by BCM, was included as an additional independent parameter in the Cox regression model. LTI was chosen as the prime nutritional parameter because derangements in lean tissue mass are expected to have larger effects on sodium distribution as compared to changes in fat mass.

#### *Definition of hyponatremia and inflammation*

In agreement with the literature<sup>2,21</sup>, hyponatremia was defined as a pre-dialysis serum sodium level below 135 mEq/L. Serum sodium was measured using standard indirect ionometry. Inflammation was defined as CRP levels > 6.0 mg/L<sup>22-24</sup>. Serum glucose levels were not routinely available at the time of sodium measurements.

## **Statistical analysis**

Continuous variables are reported as mean  $\pm$  standard deviation (SD), or median and 25<sup>th</sup>-75<sup>th</sup> percentile depending on their distributions. Logistic regression models with adjustment for age, gender, dialysate sodium, dialysis vintage, access (arterio-venous versus catheter access), region, body surface area (BSA) diabetes mellitus and congestive heart failure, were used to analyse predictors of hyponatremia. Cox proportional hazards models with adjustment for inflammation, malnutrition, fluid status abnormalities, age, gender, dialysate sodium concentration, dialysis vintage, diabetes mellitus, congestive heart failure, cerebrovascular disease, peripheral vascular disease and presence of a malignancy, were developed to assess the association between hyponatremia and mortality. We constructed both a basic Cox model, including only LTI as a parameter of nutrition, and an extended Cox model including also systolic blood pressure, normalized protein catabolic rate (nPCR) and serum albumin levels. We report point estimates and 95% confidence intervals (CI) of the odds ratios (OR) and hazard ratios (HR). Censoring events were transfer to another dialysis facility, kidney transplantation, recovery of renal function, modality change, or the end of study on December 31, 2012. Differences between patients with and without hyponatremia were analysed by independent samples Student t-test, Mann-Wittney U Test or Pearson chi square analyses, as appropriate. Computations were performed with SAS version 9.3 (Cary, NC, USA) and R statistics software system version 3.0.2.

## **Results**

*Prevalence and predictors of hyponatremia*

We included 8883 patients, their demographic characteristics, body composition and dialysis treatment characteristics are shown in **Table 1**.

**Table 1.** Patients characteristics at baseline (N = 8883)

Variable	Total cohort % of total		Serum sodium < 135 mEq/l % of total		Serum sodium ≥ 135 mEq/l % of total		P
Number of patients	100		12.7		87.3		NA
Age (years) (mean (s.d.))	63 (14.8)		64 (14.8)		63 (14.7)		
Male gender	57.2		55		57.6		0.11
<i>Comorbidities</i>							
Diabetes mellitus (yes)	18.6		29.5		17		< 0.001
Cerebrovascular disease (yes)	2.2		2.7		2.2		0.31
Congestive heart failure (yes)	0.9		0.5		0.9		0.19
Peripheral vascular disease (yes)	0.8		0.8		0.9		0.85
Malignancy (yes)	3.5		3.1		3.6		0.43
<i>Region</i>							
Northern Europe	0.2		0.7		0.2		< 0.001
Eastern Europe	3.7		2.9		2.9		< 0.001
Western Europe	46.7		42.9		47.2		< 0.001
Southern Europe	49.4		53.5		48.7		< 0.001
	Mean/median	s.d./I/Q-range	Mean/median	s.d./I/Q-range	Mean/median	s.d./I/Q-range	
<i>Body composition</i>							
Pre-dialysis fluid status (l)	1.66	0.78 to 2.62	1.62	0.69 to 2.70	1.66	0.79 to 2.62	0.37
Normohydration weight (kg)	70.79	16.05	68.38	15.73	71.14	16.07	< 0.001
Fat tissue index (kg/m <sup>2</sup> )	9.78	4.42	9.91	4.61	9.76	4.39	0.31
Lean tissue index (kg/m <sup>2</sup> )	12.55	2.97	11.85	2.87	12.66	2.97	< 0.001
Body surface area (m <sup>2</sup> )	1.79	0.21	1.76	0.2	1.8	0.21	< 0.001
Body mass index (kg/m <sup>2</sup> )	25.96	5.23	25.35	5.42	26.05	5.2	< 0.001
<i>Clinical characteristics</i>							
Dialysis vintage (years)	3.6	1.63 to 6.94	3.47	1.41 to 6.85	3.62	1.66 to 6.96	0.08
Pre-dialysis systolic BP (mmHg)	139.1	19.88	139	21.32	139	19.66	0.6
Pre-dialysis weight (kg)	72.69	16.01	70.25	15.66	73.04	16.03	< 0.001
Inter-dialytic weight gain (kg)	2.1	0.84	2.23	0.84	2.08	0.84	< 0.001
Ultrafiltration volume (l)	2.09	0.83	2.22	0.83	2.07	0.83	< 0.001
Dialysate sodium (mEq/l)	139	1.85	138	2.06	139	1.81	< 0.001
Sodium gradient (mEq/l)	0.54	-1.67 to +2.67	5.33	3.67 to 7.00	0.00	-2.0 to +2.0	< 0.001
Serum sodium (mEq/l)	138	136 to 140	133	132 to 134	139	137 to 140	NA
Albumin (g/dl)	3.85	0.39	3.7	0.43	3.87	0.38	< 0.001
C-reactive protein (mg/l)	5.7	2.40 to 13.0	7	3.0 to 18.6	5.43	2.33 to 12.4	< 0.001
Creatinine (mg/dl)	7.95	2.27	7.48	2.32	8.02	2.25	< 0.001
nPCR (g/kg per day)	1.07	0.13	1.06	0.13	1.07	0.13	0.04

Baseline demographic characteristics at the start of the study of the total cohort and of patients with or without hyponatremia, respectively. The P-value applies to group differences; it was estimated by Pearson chi-square analysis for the binominal variables and Student's t-test or the Mann-Whitney U-test for continuous variables, respectively, depending on their distribution. Abbreviations: BP, blood pressure; NA, not applicable; nPCR, normalized protein catabolic rate.

Patients' median age was 63 years, 57.2% males, and median dialysis vintage was 3.6 years. Median serum sodium was 138 mEq/l (136–140), and 1129 (12.7%) patients were hyponatremic. Significant predictors for hyponatremia were malnutrition (OR = 1.49 (95% CI = 1.30–1.70)) and inflammation (OR = 1.44 (95% CI = 1.26–1.64)). Fluid overload, moderate (>+1.1 l to +2.5 l (OR = 0.73 (95% CI = 0.62–0.85)))

mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients and severe (>+2.5 l to 5.0 l (OR = 0.79 (95% CI = 0.65–0.94)), was associated with a protective effect, whereas fluid depletion (OR = 1.34 (95% CI = 0.92–1.96)) was not predictive for hyponatremia (**Table 2**). Diabetes was more prevalent in hyponatremic patients (29.5% vs 17.0%; Po0.001). CRP levels were higher in hyponatremic patients (7.0 vs 5.43 mg/l; Po0.001; Table 1). Mean dialysate sodium was 139 mmol/l (s.d. 1.81) in the ‘normal’ group and 138 mmol/l (s.d. 2.06) in the hyponatremia group. The sodium gradient (calculated as dialysate sodium minus plasma sodium level) was higher in the hyponatremia group (median 5.33 mmol/l (3.67–7.0 (25th–75th percentile))), compared with the group without hyponatremia (median 0.00 mmol/l (–2.00 to +2.00 (25th – 75th percentile))).

**Table 2.** Predictors of hyponatremia

	95% CI		
	OR	Lower	Upper
Inflammation (CRP > 6.0 mg/l)	1.44	1.26	1.64
Malnutrition (LTI < 10th percentile)	1.49	1.30	1.70
Fluid status pre-dialysis < –1.1l	1.34	0.92	1.96
Fluid status pre-dialysis +1.1 to +2.5 l	0.73	0.62	0.85
Fluid status pre-dialysis +2.5 to +5.0 l	0.79	0.65	0.94

Logistic regression model adjusted for age, gender, dialysis vintage, dialysate sodium, body mass index, region, catheter access and the presence of the comorbidities diabetes mellitus and congestive heart failure. Abbreviations: CI, confidence interval; CRP, C-reactive protein; LTI, lean tissue index; OR, odds ratio.

### Hyponatremia and mortality

In multivariate analysis, hyponatremia, malnutrition, inflammation, moderate and severe fluid overload and fluid depletion were associated with mortality (**Table 3**).

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**Table 3.** Predictors of mortality (basic model)

Predictor	Definition	HR	95% CI			P-value
Hyponatremia	Serum sodium < 135 mEq/l	1.70	1.46	1.99	< 0.001	
Malnutrition	Lean tissue index < 10th percentile	1.41	1.24	1.60	< 0.001	
Inflammation	Serum CRP > 6.0 mg/l	2.13	1.86	2.44	< 0.001	
Fluid depletion	Pre-dialysis fluid status $\leq$ - 1.1 l	2.00	1.31	3.05	0.001	
Moderate fluid overload	Pre-dialysis fluid status >+1.1 to +2.5 l	1.60	1.34	1.91	< 0.001	
Severe fluid overload	Pre-dialysis fluid status > +2.5 to +5.0 l	2.53	2.10	3.05	< 0.001	

Cox regression model adjusted for age, gender, dialysis vintage, dialysate sodium, region, access type (arterio-venous versus catheter access), body mass index, diabetes mellitus, congestive heart failure, cerebrovascular disease, peripheral vascular disease and the presence of malignancy. Abbreviations: CI, confidence interval; CRP, C-reactive protein; HR, hazard ratio.

The extended model yielded materially identical results with respect (**Table 4**) to hyponatremia (HR = 1.65 (95% CI = 1.40–1.95)), malnutrition (HR = 1.49 (95% CI = 1.23–1.81)), inflammation (HR = 1.87 (95% CI = 1.62–2.16)), and the various fluid status groups, fluid depletion  $\leq$  - 1.1 l (HR = 1.86 (95% CI = 1.20–2.91)), moderate fluid overload (>+1.1 to +2.5 l; HR = 1.65 (95% CI = 1.36–2.00)) and severe fluid overload (>+2.5 to +5.0 l; HR = 2.44 (95% CI = 1.99–3.00); Supplementary Material).

**Table 4.** Predictors of mortality (extended model, supplementary material)

Predictor	Definition	HR	95% CI			P-value
Hyponatremia	Serum sodium < 135 mEq/l	1.65	1.40	1.95	< 0.001	
Malnutrition	LTI < 10th percentile	1.49	1.23	1.81	< 0.001	
Inflammation	Serum CRP > 6.0 mg/l	1.87	1.62	2.16	< 0.001	
Fat Tissue Index(m <sup>3</sup> )		0.95	0.91	0.99	0.05	
nPCR (g per kg per day)		0.71	0.40	1.26	0.25	
Albumin (g/dl)		0.35	0.30	0.41	< 0.001	
Moderate fluid overload	Pre-dialysis > +1.1 to +2.5 l	1.65	1.36	2.00	< 0.001	
Severe fluid overload	Pre-dialysis > +2.5 to +5.0 l	2.44	1.99	3.00	< 0.001	
Fluid depletion	Pre-dialysis $\leq$ -1.1 l	1.86	1.20	2.91	0.006	
Pre-dialysis systolic blood pressure (mmHg)		1	0.99	1	0.18	
Age (years)		1.04	1.04	1.05	< 0.001	
Gender	Male	0.97	0.83	1.14	0.67	
Dialysis vintage (years)		1	0.98	1.02	0.94	
Dialysate sodium (mEq/l)		0.99	0.95	1.03	0.64	
Diabetes mellitus	Present	1.18	1	1.39	0.06	
Catheter as vascular access	Yes	1.35	1.16	1.58	< 0.001	

Cox regression adjusted for age, gender, dialysis vintage, dialysate sodium, body mass index, region, congestive heart failure, cerebrovascular disease, peripheral vascular disease and the presence of a malignancy. Number of patients per pre-dialysis fluid status group: moderate fluid overload (>+1.1 to 2.5 l) N= 3396; severe fluid overload (> +2.5 to 5.0 l) N=2061; fluid depletion ( $\leq$  -1.1 l) N= 234. Reference group normovolemia: > -1.1 to +1.1 l, N=2720.

## Discussion

This study resulted in two main insights. First, we found a robust association between hyponatremia, malnutrition and inflammation. Second, hyponatremia remained a

mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients significant predictor of death in Cox models with adjustment for malnutrition, inflammation and deranged fluid status.

Our study adds to the literature by including objective indices of body composition, malnutrition and fluid status determined in a large multinational dialysis patient cohort. In contrast, previous studies employed primarily biochemical nutritional markers<sup>1,2</sup>. We defined malnutrition as LTI below the 10<sup>th</sup> percentile of age and gender matched healthy subjects, reflecting more protein energy wasting<sup>25</sup>. In a previous study, low LTI assessed by bioimpedance, was highly predictive of mortality<sup>26</sup>. The LTI measured by the BCM is not influenced by fluid overload, which is expressed as a separate compartment in a 3-compartment model<sup>15,16</sup>. Although various means allow the diagnosis of malnutrition in dialysis patients<sup>27</sup>, we prefer LTI as a standardized and objective indicator of somatic phenotype, as the main nutritional marker. However we used several statistical models to assess the association between hyponatremia, malnutrition and mortality. In the basic model, we deliberately did not include other parameters of malnutrition because of potential collinearity with either CRP or LTI. However, the extended model which included these parameters, namely, albumin, FTI and nPCR, showed materially identical results.

The pathophysiology of the relation between malnutrition and hyponatremia is being quite intensively discussed<sup>1,2,7</sup>. Insufficient intake of sodium or excess of free water intake in anuric patient, but also the derangements in body composition itself, could contribute to this phenomenon. Flear et al. observed differences in the relation between muscle water and serum sodium in seven patients suffering from a variety of diseases<sup>28</sup>. They observed a higher sodium-to-water ratio, suggesting an abnormal electrolyte distribution, although the relation to serum sodium was not analysed<sup>28</sup>. In addition, catabolism of organic phosphates in the intracellular compartment (IC) could

mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients result in the excretion of inorganic phosphate and potassium into the extracellular compartment (EC), accompanied by a concomitant water shift from the IC to the EC<sup>29</sup>. An increased water shift from the IC to the EC could also be explained by defective cell membrane integrity, allowing movement of intracellular solutes to extracellular water compartment. Both mechanisms have been described in the “sick-cell syndrome”, a rather controversial condition<sup>30</sup>.

Inflammation was associated with hyponatremia independent from the presence or absence of malnutrition. Data are limited regarding the relation between inflammation and serum sodium in dialysis patients. Hecking et al. observed an inverse relation between white blood cell count and serum sodium levels in their cohort<sup>2</sup>. Interestingly, hyponatremia was associated with infection-related mortality in HD patients, and is frequently associated with other inflammatory diseases<sup>3</sup>. It has been suggested that either hyponatremia *per se*, or by mucosal barrier breakdown through cellular edema, could stimulate inflammation<sup>3</sup>. Also, non-osmotic storage of sodium may induce the synthesis of interleukin-17 by CD4+ T-helper cells, which may contribute to chronic systemic inflammation<sup>31</sup>.

Another potential cause of hyponatremia, explored in the present study, is sodium dilution due to fluid overload. In congestive heart failure dilutional hyponatremia frequently occurs, which is related to an increased release of copeptin, vasopressin and impaired renal free water excretion<sup>4,9,32</sup>. Whereas this mechanism is absent in anuric HD patients, dipsogenic factors such as angiotensin II, could contribute to increased thirst<sup>33,34</sup>; of note, an inverse relation between interdialytic weight gain and pre-dialysis sodium has been observed<sup>1,2</sup>. In the present study we found moderate fluid overload (pre-dialysis OH > +1.1L to +2.5L) to be associated with a decreased change on hyponatremia. Although we suggest that this might be due to the isotonic

mortality, hyponatremia, inflammation and malnutrition in hemodialysis patients increase in body fluid with a good appetite, we acknowledge that this hypothesis is still conjectural. Dialysate sodium levels were slightly lower in patients with hyponatremia as compared to patients with normal serum sodium levels. However, the sodium gradient (difference between dialysate and serum sodium) was higher in the hyponatremic patients, with likely higher diffusive sodium gains in hyponatremic patients<sup>35</sup>. From the present database, it cannot be concluded whether the lower dialysate sodium is a cause or consequence (e.g. due to individualization of the dialysate) of lower pre-dialytic serum sodium levels. However, large cohort studies did not observe a significant correlation between both variables in large cohort studies<sup>36,37</sup>. Possibly, higher dialysate-serum sodium gradients may lead to increased water intake in the inter-dialytic period, in order to compensate for the diffusive sodium gain and plasma tonicity during dialysis, leading to increased inter-dialytic weight gain without a change in serum sodium<sup>38</sup>. Additional factors, most notably diabetes, were associated with hyponatremia, corroborating previous studies<sup>39</sup>. Unfortunately, we do not have availability of serum glucose and HbA1C measurements on a routine basis in the present database.

Despite its strong association with malnutrition and inflammation, hyponatremia remained an independent risk factor for mortality after adjustment for nutritional status, inflammation and fluid overload. This finding corroborates previous studies employing biochemical parameters or BMI as nutrition markers<sup>1,2</sup>. Our study expands on these reports by providing detailed measures of body composition, and CRP levels. Since all these results are observational, we cannot address the question whether hyponatremia *per se* is causally related to mortality, or whether this observation is due to residual confounding<sup>40,41</sup>.

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We recognize that our study has limitation beyond its observational nature. First, bioimpedance and laboratory studies were not always done concurrently. Therefore we used time averaged values over a short baseline period to mitigate this problem. We able to use data of 25% of the entire cohort, due to the fact that both bioimpedance measurements, serum sodium and CRP measurements had to be performed within these predefined limits. Although bioimpedance measurements are used on a routine basis in the cohort, we cannot exclude some selection bias in our study due to the fact that measurements might also have been performed on an additional clinical indication. Second, one could argue that thresholds e.g. for sodium and CRP are arbitrary. However, we deliberately chose clinically useful cut-off limits to improve clarity and to relate to literature<sup>2,21-24,42</sup>. Third, while serum sodium levels are strongly influenced by hyperglycemia<sup>43</sup>, concurrent measurements of glucose and sodium were not routinely available. To alleviate that shortcoming, we adjusted for the presence of diabetes, which - not unexpectedly - turned out to be a risk factor for hyponatremia and to be associated with outcome<sup>39</sup>. Forth, we lack reliable data on residual renal function, a potential determinant of pre-dialytic serum sodium<sup>2</sup>. In conclusion, malnutrition and inflammation, and moderate pre-dialysis fluid overload, but not severe fluid overload nor fluid depletion, were associated with hyponatremia in a large multinational HD patients cohort. Importantly, even after adjustment for these parameters, hyponatremia remained a significant predictor of mortality. Whether hyponatremia and outcome are causally related or a mere reflection of residual confounding cannot be concluded from this study. However, next to markers of inflammation, malnutrition and fluid status, serum sodium appears to be an important clinical parameter relevant for the care of HD patients.

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**Authors' contribution to the manuscript:**

DM, JK, LU: conceived the idea; LU: performed the statistical analyses; MD, DM, JK: wrote the paper. MD: had primary responsibility for final content; BC, PC, CK, KL, NL, JR, FvdS, PK: revised the manuscript. All authors critically revised the manuscript for intellectual content and approved the final version of the manuscript.

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### Conflicts of interest:

DM, BC, PC and LU are employees of Fresenius Medical Care and may hold stock in the company. PK and NL hold stock in Fresenius Medical Care. MD, CK, KL, JR, FvdS, JK have no conflict of interest.

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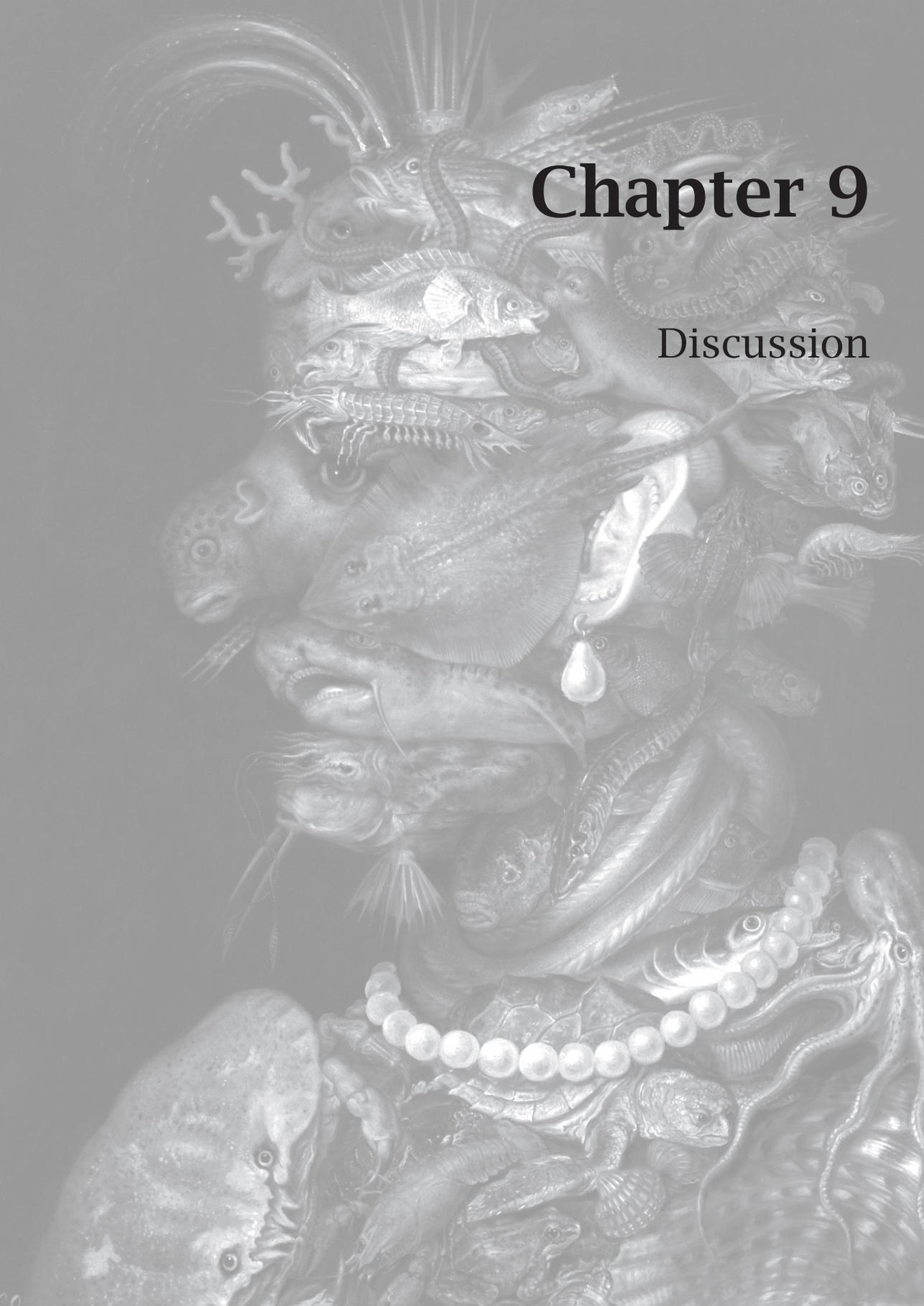
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# Chapter 9

## Discussion

## General discussion

The first aim of this thesis was to clarify the reason for the improved survival in obese end-stage renal disease (ESRD) patients, which is different from the general population where obesity is a cardiovascular risk factor. Since obesity is defined by the ratio of body weight to height squared, it is also important to differentiate its components. Therefore, the relation between fat mass, lean tissue mass and outcome was investigated.

The second aim was to assess the dynamics of nutritional parameters, including body composition, following the start of dialysis treatment. This is of importance as dialysis treatment may have both beneficial and adverse effects on nutritional state, whereas the available data on this subject are both limited as well as controversial.

Abnormalities in nutritional state have various correlates in ESRD patients. Whereas the relation with inflammation is well established, hyponatremia appears to be an emerging risk factor for mortality which is both associated with inflammation and nutritional abnormalities. However, there are limited data on this relation in ESRD patients. Therefore, the third and last aim dealt with the relationship between nutritional status with inflammation and hyponatremia.

As reported in **chapter 2**, higher body mass index in the range of overweight and obesity was associated with better survival in a large prospective Southern European cohort of patients on hemodialysis (HD)<sup>2</sup>. This paradoxical association of obesity and even morbid obesity with improved outcome was confirmed by several studies not only in patients with ESRD but also in patients with other chronic diseases<sup>3,4</sup>, including chronic heart failure<sup>5</sup>. However, the categorization of patients according to nutritional status as defined by body mass index (BMI) is a strong limitation of this

large mass of medical research. In fact, as deeply discussed in **chapter 2**, this index created by Quetelet<sup>6</sup> in 1835 is based on an inexact assumption about the distribution of body mass between muscle and fat. BMI has the tendency to overestimate adiposity in those with more lean body mass, e.g. athletes, and to underestimate excess adiposity on those with less lean body mass. The risk of such a misclassification is particularly high in the intermediate level between 20 and 30 kg/m<sup>2</sup>, which is associated with a wide range of body fat percentages<sup>7</sup>. In addition, as recently highlighted by Carrero et al<sup>8</sup>, BMI does not capture the aging changes in body composition, the gender-variation in body shape, and the difference between central and peripheral fat accumulation. Finally, BMI is falsely increased by fluid overload, which is very important in patients on dialysis. Therefore, the conclusion of **chapter 3** was that considering the intrinsic limitations of all nutritional markers, a multi-perspective approach with a combination of measures and a stepwise procedure is recommended<sup>9</sup>. The first step for the purpose for screening and early detection of malnutrition is to use subjective clinical assessment, relying on validated subjective global assessment (SGA)<sup>10</sup>. The second is the assessment of the body composition by bioimpedance spectroscopy with the physiological model for quantifying and ensuring follow-up of patients at risk of malnutrition or for monitoring patients with already established protein-energy wasting, as discussed in **chapter 4** and **7**. The third step is to deal with the use of routine laboratory parameters, such as albumin or CRP for monitoring inflammation level, as discussed in **chapters 5** and **6**.

The verification of a medium to long term improvement of patients with compromised nutritional status after the initiation of a proper nutritional therapy or other important interventions, such as the start of dialysis treatment, would be a major benefit. In fact, as shown in **chapter 2** patients losing more than 5.8% of the initial body weight

are those with the higher risk of death. It is likely that this subgroup is composed of patients whose loss in lean tissue is not balanced by an increase in fat tissue, as pointed out in **chapter 4**. This subgroup should be the main target of a nutritional therapy but, as discussed, results cannot be judged only on the basis of a proportion of recovery in body weight.

In bioimpedance spectroscopy with physiological model technique, the extra- and intra-cellular water compartments are calculated using the Hanai and Cole model<sup>11,12</sup>. Additionally, using the physiological model based on normohydrated tissue properties<sup>13</sup>, it is possible to distinguish fluid overload from muscle mass. The importance of estimating a proxy to muscle mass has been recently recommended by the ESPEN consensus statement<sup>14</sup>, advocating the general use of body composition measurements in all health care settings, i.e. in primary care, in nursing homes, and home elderly care. Recently, the National Health and Nutrition Examination Survey (NHANES)<sup>15</sup>, reported results on the association between sarcopenia (defined as appendicular skeletal muscle mass index  $<5.45 \text{ kg/m}^2$  in women and  $<7.26 \text{ kg/m}^2$  in men) and chronic kidney disease (CKD) stages of 11643 subjects who underwent dual-energy X-ray absorptiometry (DXA) evaluation. The main result was an almost linear association between the increasing proportions of patients with sarcopenia with more advanced stages of CKD.

Therefore, loss of muscle mass is commonly observed among CKD and dialysis patients, but since muscle mass normally declines with age with gender specific trajectories, a consensus criteria has to be developed in order to properly classify patients<sup>17</sup>. In the absence of such diagnostic criteria, in **chapter 7** patients with lean tissue index (LTI; lean tissue mass/height<sup>2</sup>) and fat tissue index (FTI; fat tissue mass/height<sup>2</sup>) measured using bioimpedance were compared with the distribution in

age and sex-matched healthy controls. Patients with LTI or FTI values below the 10<sup>th</sup> percentile were considered as low LTI or FTI patients, respectively. Similarly, those patients with LTI or FTI values over the 90<sup>th</sup> percentile of the distribution in age and sex-matched healthy controls were considered high LTI or FTI patients, respectively. In summary, **chapter 7** showed that LTI and FTI within the 10<sup>th</sup>–90<sup>th</sup> percentile of an age- and sex matched healthy population were associated with the best survival, whereas low FTI and low LTI, and especially the combination of both, were associated with higher mortality<sup>18</sup>. Skeletal muscle accounts for about 40% of body weight and 50% of the body protein<sup>19</sup> and is the major protein physiological reserve of the body, used when renal function declines. Muscle mass decreases when protein or amino acids are needed because, contrary to fats, excess proteins are not stored, and protein balance is physiologically based on sufficient protein intake. In cases of inadequate protein intake, the priority will be on the maintenance of critical metabolic functions, even sacrificing muscle mass. Therefore, a low level of muscle mass may indicate that the protein reserves are already exhausted and that these critical functions cannot be ensured anymore, explaining the reported association between low LTI and mortality<sup>20</sup>. Regarding the fat tissue, fat is the largest energy reserve in mammals. Adipose tissue is involved in fatty acid metabolism, with a store of triacylglycerol that can be hydrolyzed in a regulated way to directly release fatty acids into the circulation for delivery to other tissues<sup>21</sup>. The presence of a fat tissue energy reserve can prevent an additional catabolic stress to the muscle, from the quantitative point of view the second tissue involved in the metabolism of fatty acid<sup>21</sup>. However, the protective effect of normal and even high FTI appears to be somehow contradictory since the pro-inflammatory properties of fat, mainly visceral, have been demonstrated in the general population. Finally, it has to be stressed that fat may be

associated with greater secretion of anti-inflammatory cytokines than pro-inflammatory molecules in dialysis patients<sup>22,23</sup>.

The start of dialysis treatment is a major transition in the life of a patients of ESRD. Starting dialysis treatment can have both beneficial as well as adverse effect on nutritional state. Longitudinal studies, should capture this close association. **Chapter 4** showed that, following the transition to HD, ESRD patients presented with distinctive changes in body composition, namely with a decrease of 0.40 kg/m<sup>2</sup> of lean tissue index (LTI) and a parallel increase of 0.95 kg/m<sup>2</sup> of fat tissue index (FTI)<sup>24</sup>. These were mainly associated with gender, older age, presence of diabetes, low baseline FTI, and high baseline LTI. As expected, BMI increases of about 0.60 kg/m<sup>2</sup> did not fully represent the changes in body composition.

Albumin is a marker of visceral protein, inflammation and overhydration<sup>25</sup>. Low albumin levels not only mean low oncotic pressure, with water shift between the intravascular and interstitial space, but may also be associated with increased blood viscosity<sup>26</sup> and hypercoagulable states<sup>27</sup>. Finally, reduced albumin binding capacity for drugs and endogenous ligands is a characteristic of CKD<sup>28</sup>. The association with negative outcome in patients having low albumin levels is well known. However, since low albumin levels can be the result of different processes, it is not clear if the outcome is causally related or if albumin is just an indicator of events associated with increased mortality. Creatinine generation is proportional to dietary meat intake and mainly to somatic protein mass, with higher levels in those patients whose superior nutritional status sustains an increased musculature. Finally, creatinine, similarly to albumin, has been found to be a strong predictor of mortality risk.<sup>29</sup> The study reported in **chapter 5** evaluated the development of the level of albumin and creatinine in the initial phase of dialysis, with the aim to maximize the benefit of

laboratory measurements normally available from the routine continuous assessment. Evaluating 2 years from baseline to 27 months after initiation of dialysis, a significant increase in serum albumin of  $0.15 \pm 0.45$  g/dL was found using delta analysis. Of note, the serum albumin increased most significantly in the first 6 months. During the first months on HD many factors can play roles and act in opposite directions. For example, in patients with significant proteinuria, the loss of residual renal function (RRF) will decrease albumin loss. In fact, after 3 months from the initiation of HD, because of a policy of strict volume control applied in the dialysis network as evidenced by the low post-dialysis fluid overload ( $0.16 \pm 2.13$  liters), residual diuresis is expected to be negligible<sup>30</sup>. In parallel, hemoconcentration (resulting from the achievement of a lower hydration status) would increase albumin levels. Finally, with the initiation of renal replacement therapy (RRT), dietary protein intake is expected to improve while the loss of amino acids through the dialysis membrane may negatively affect the protein balance. It has to be stressed that patients with C-reactive protein (CRP)  $\geq 10$  mg/dL had significantly lower albumin ( $-0.1355$  mg/dL difference,  $p$ -value $<0.001$ ), but had a significantly higher albumin increase over the follow-up time (by  $0.07$  mg/dL per year,  $p$ -value $<0.001$ ). No significant effect was found for creatinine, neither for the main effect of CRP nor for the interaction term. The conflicting trends of lean body mass and albumin following the initiation of dialysis deserve further comments. It is clear that serum albumin cannot be considered the best marker to verify nutritional status because it is not only a marker of visceral protein but also of inflammation and overhydration<sup>25</sup>. Gama Axelsson et al<sup>31</sup> clearly demonstrated that serum albumin is a weak predictor of nutritional status as defined by SGA scores, with association between serum

albumin and other markers of nutritional status such as handgrip strength and lean body mass being even weaker.

The relationship between inflammation and albumin as a biochemical marker for malnutrition was more deeply discussed in **chapter 6**. CRP is clinically the most popular inflammatory marker routinely used. It is an acute phase reactant, chemically stable and with a relatively long half-life without diurnal variation. However, neutrophil-to-lymphocyte ratio (NLR) is becoming increasingly more recognized as a marker of systemic inflammation in oncology and in cardiology<sup>32,33</sup>, and finally also in CKD patients<sup>34</sup>. Oxidative stress and inflammation are both known to inhibit albumin synthesis<sup>35,36</sup>, and they are often present in dialysis patients<sup>37,38</sup>. In the study reported in **chapter 6**, serum albumin levels were negatively correlated with both NLR and CRP<sup>39</sup>. These findings are consistent with the hypothesis that albumin behaves as a negative acute phase protein and also support the concept of malnutrition-inflammation complex in patients on dialysis<sup>40</sup>.

An emerging risk factor in dialysis patients is the presence of hyponatremia (defined as a serum sodium below 135 mmol/l). Waikar et al reported an association of low predialysis serum sodium concentration with an increased risk of death<sup>41</sup>. In principle this observation is not surprising since hyponatremia is very often associated with several medical conditions, including congestive heart failure<sup>42</sup> and cirrhosis<sup>43</sup>. In patients with congestive heart failure and cirrhosis, hyponatremia partially derives from high arginine vasopressin levels, which are directly correlated with the severity of heart failure and liver disease. In these conditions, hyponatremia is mediated by the non-osmotic release of arginine vasopressin and a reduced free water clearance by the kidney, which in turn may reflect the severity of the underlying disease process through mechanisms such as reduced glomerular filtration rate and

activation of the sympathetic nervous system. However, a relationship between hyponatremia and malnutrition was already observed in other diseases<sup>44</sup>. Waikar et al<sup>41</sup> excluded the possibility that the analysis may be confused by cachexia and malnutrition because of adjustment with measures of dietary intake. This conclusion was discussed by Lin et al<sup>45</sup>, who noted that patients with hyponatremia in the first quartile of the distribution of baseline predialysis serum sodium concentration had the lowest estimated dry body mass index and creatinine level. This reflects a malnutrition status and was associated with a higher death rate in a maintenance dialysis population. In line with Lin's opinion, results reported in **chapter 8** confirm a robust association between hyponatremia, malnutrition and inflammation. An additional association was found with moderate fluid overload, but not with severe fluid overload or fluid depletion. Similarly to the findings of Waikar et al<sup>41</sup>, it was found that hyponatremia remained a significant predictor of mortality even after adjustment for these parameters.

In conclusion, with this thesis the importance of disease-related malnutrition<sup>14</sup> - or more precisely protein-energy wasting - regarding the outcome of dialysis patients has been confirmed. Moreover, the paradoxical association between obesity and improved outcome has been explored in more detail using more detailed assessment of body composition in large international cohorts of dialysis patients.

Protein energy wasting is very frequent and develops over time after admission to RRT. Several factors are associated with malnutrition, including inflammation and hyponatremia. However, whether they are causally related or not cannot be definitely concluded by this study. Regarding future research, a move from body weight, normalized or not for squared height, to body composition<sup>1</sup> would surely open new promising fields. In fact, the availability of a simple, inexpensive and easily

repeatable technique able to estimate lean and fat body mass means that a powerful surrogate outcome is available to test all new therapeutical options in the management of chronic diseases. For example, it is possible to make comparisons not only between different dialysis modalities but also between intensities of treatment (standard vs. frequent or long HD).

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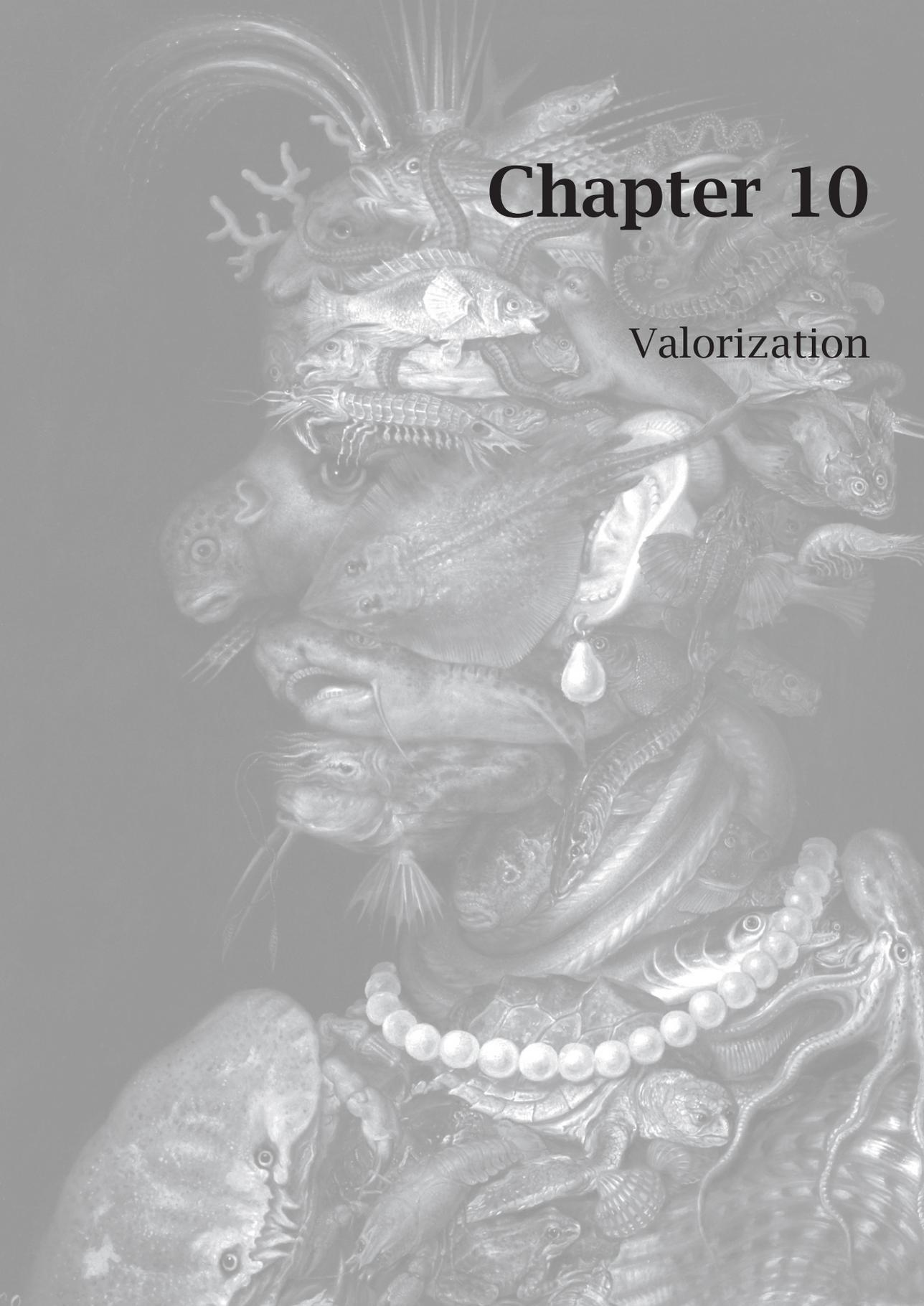
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# Chapter 10

## Valorization



## Valorization

The collection of manuscripts included in this thesis highlights the high prevalence of protein-energy wasting in patients on hemodialysis and its relationship with mortality. Additionally, the dynamics of the development of malnutrition during the first 30 months on dialysis treatment were clarified. Therefore, the problem of malnutrition is clear and it is likely to be at least partially responsible for the high risk of clinical complications during the follow-up, associated with a significant component of the total cost burden of the disease due to hospitalization costs.

However, in front of a clear need to improve the nutritional therapy of patients on hemodialysis, as recently stressed by Hand et al<sup>1</sup>, in US a dietician has to follow about 150 patients and the focus is mainly on mineral and bone disorder. Dieticians spend more checking phosphate intake and compliance to phosphate binders. It is not the aim of this chapter to judge the importance of this specific complication, but the evidence that today in US the yearly pharmaceutical budget spent for mineral and bone disorder is about 30-fold higher than that of parenteral nutrition is impressive<sup>2</sup>. In absence of clear data, the general opinion is that the US situation is common with many other countries.

Protein-energy wasting should be prevented, or at least detected and also followed-up after start of renal replacement therapy in a very early phase according to a judicious choice of methods discussed in this thesis, in combination with a serious nutrient intake assessment and consequent dietary advice. However, it is well known that the change of the nutritional habits is one of the hardest tasks, and requires competence, dedication and time of experience dieticians, nephrologists and dialysis

nurses. Patients have to be strictly followed-up, educated on the benefit of maintaining proper dietary standards. It is a matter of fact that patients starting dialysis after a pre-dialysis phase on low protein diet do not present major nutritional problems and are likely more compliant to dietary advices<sup>3</sup>. Patient empowerment is clearly a strong tool in the hands of nephrologists to improve the outcome of patients on dialysis, and it is expected to be very cost-effective. However, it is clear that the very limited resources in term of available dieticians will not allow an efficient realization of this strategy. But whereas in US and other countries (e.g. France, Portugal, UK) the discussion is on the limited number of renal dieticians available, at least they are mandatory members of the team taking care of dialysis patients. In other countries their presence is even considered as optional and close collaboration between dialysis and dietetic staffs remains essential to handle this challenge. Web based educational tools may become in future effective approaches, but the current generation of patients will hardly benefit.

The uncover of a progressive protein-energy wasting disease should also trigger the search for hidden foci of infection/inflammation, facilitating the earlier reclaim.

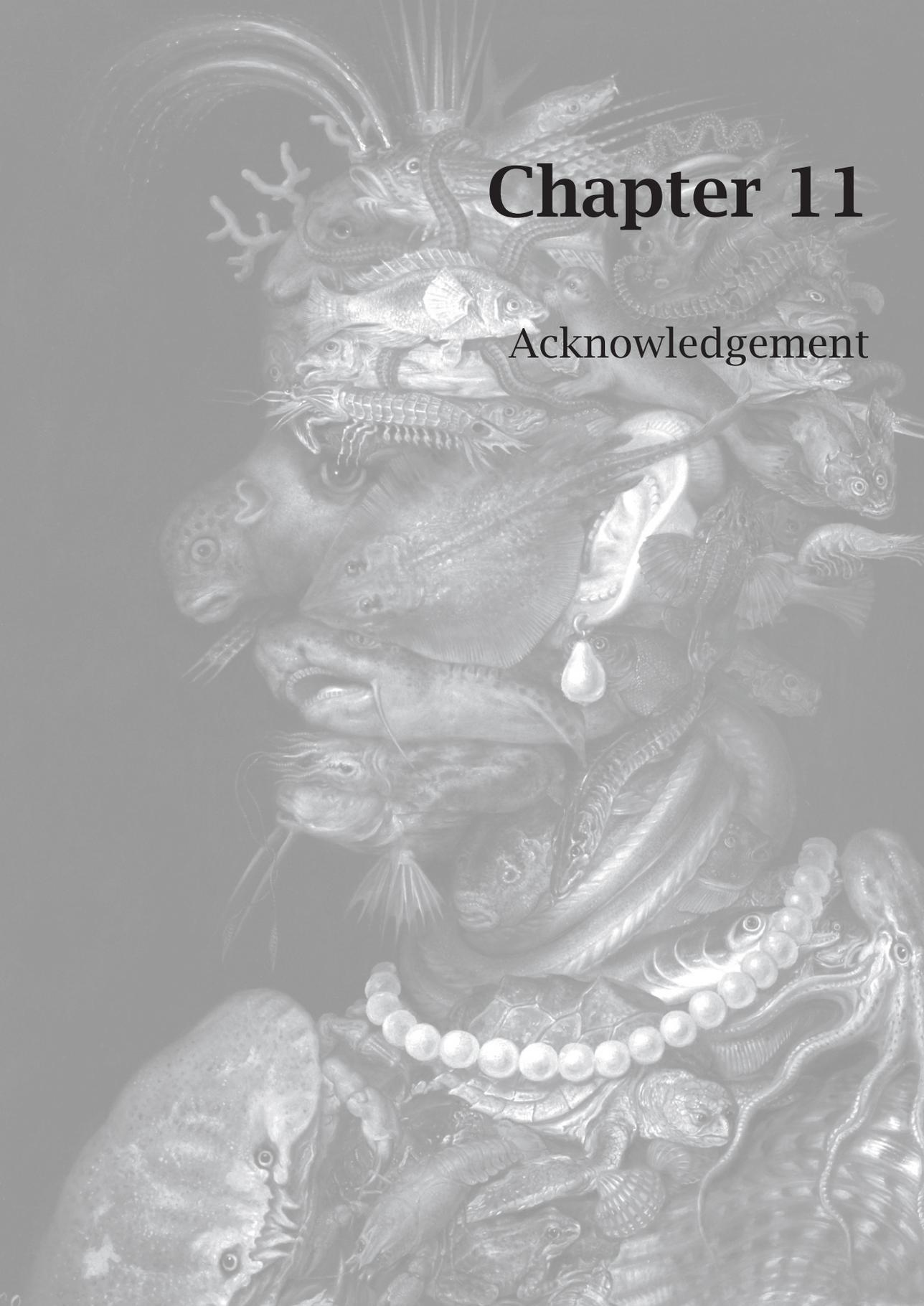
Since nutritional therapy is so important in the follow-up of renal patients before and after the initiation of renal replacement therapy, it should be an important component of the education of nephrologists, so improving the communication with renal dieticians. Quality indicators related to the regular and frequent performance of nutritional assessment and of the obtained results in term of corrected nutritional habits should be part of the follow-up of dialysis patients. Likely, this should be the next logic development as the system of reimbursement for the treatment of dialysis patients is moving to total capitation<sup>4</sup>, in future including also cost of hospitalization. If it is true that nutritional secondary prevention is cost effective in avoiding or

delaying certain complications requiring expensive hospitalizations, providers of dialysis care will quickly move to implement a strong nutritional follow-up and therapy in their treatment protocols.

In conclusion, the research manuscripts included in this thesis supports a change in the management of patients on dialysis, with more focus on the nutritional aspects and the implementation of therapeutical measures able to prevent or correct in early phase protein-energy wasting. More research is required to define which therapy is more effective, and appropriately designed randomized clinical trials are urgently needed.

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# Chapter 11

## Acknowledgement

## **Acknowledgement/Dankwoord**

This thesis is the result of collaborations with many people who have highly influenced and helped me since the first days of my medical career at the Nephrological Division of the 'Alessandro Manzoni' Hospital in my birth town Lecco (Italy), under the guidance of Prof. Francesco Locatelli. This thesis was compiled after 30 years of work in the field of chronic diseases, and during this time in various positions within the clinical ward, in the dialysis industry and in governance of a network of dialysis units. In each I had to face and solve many problems of different nature. The common denominator of my continuous research activity was the always the patient, permanently in focus of all that I did. Two years ago, during a brainstorming in New York dedicated to a difficult question (see chapter 8), Prof. Dr. Jeroen P. Kooman approached me with the surprising suggestion to consolidate my research in the form of a PhD in Maastricht. Initially, considering my age, I was a bit skeptical, but then I realized that it could be the way to somehow round off my professional academic qualifications. Additionally, at that time I was focusing on the topic nutrition, which was coincidentally also my first research topic in the early days of my medical career.

My deepest gratitude goes to my advisors and mentors from the Maastricht University Medical Center, Prof. Dr. Jeroen P. Kooman and Dr. Frank M. van der Sande. They have been key persons in making this thesis possible and guiding me through the dissertation process. On multiple occasions they worked very late hours or during weekends, most certainly giving up their personal time with their families and friends. Also, their guidance with the completion of the main manuscripts included in this thesis was of primary importance as well as in creating a thesis

which covers the complex and fundamental unsolved problem of nutritional aspects in chronic kidney disease. Actually, working deeper and deeper on this topic, it gradually became clear that this is the common unifying issue of chronic diseases, and is not just confined to the field of renal disease. I am grateful beyond words to them for what they have done and the dedication they put into their work. I am also obliged to Prof. K. M. Leunissen, who agreed to accept me into this PhD program. I am honoured and grateful for all he has done.

I have to express my deepest and most sincere appreciation to Prof. Dr. Francesco Locatelli. He was my first mentor, teaching me the fundamentals of scientific investigation. Many years ago he incited a change in my career path by stimulating me to consider all problems have a logical solution and not to be afraid of the difficulties along the way. Based in a hospital of a town in the deep northern province of Italy he was able to become an important Key Opinion Leader and finally the president of the ERA-EDTA society, one of the most prestigious international scientific societies in the field of nephrology. His impressive example was certainly very inspirational for me in facing many problems of life.

There are many other people I have to mention. Prof. Alessandra Marinoni, Director in the Medical Statistical School in the historical University of Pavia. During her 3 year course I was formally trained in the principles of scientific research. Prof. Ferruccio Conte, Dr. Aurelio Limido, Dr. Donatella Spotti from the Lombardy Renal Registry. With them I learned to appreciate the spirit of team work in research, building an everlasting friendship. Also Prof. Friedrich Port, Director of the US Renal Registry (USRDS) in Ann Arbor - under his guidance I made a significant step in my professional life, moving from the regional to the international scope. Dr. Giancarlo Orlandini guided me in another significant step of my professional career, supporting

the change from the public hospital ward to the Headquarters of a private biomedical device company. I have to mention also Dr. Peter Kotanko, Director of the prestigious Renal Research Center in New York. Together we founded the international collaborative project MONDO, today probably the largest dialysis database worldwide. Finally, last but not least, I have to express my gratitude to Prof. Bernard Canaud, by definition the expert of the most innovative extracorporeal dialysis treatment and Prof. Claudia Barth, my current mentor. Their continuous challenging during my scientific publication activity was of extreme value in enhancing the quality of my work.

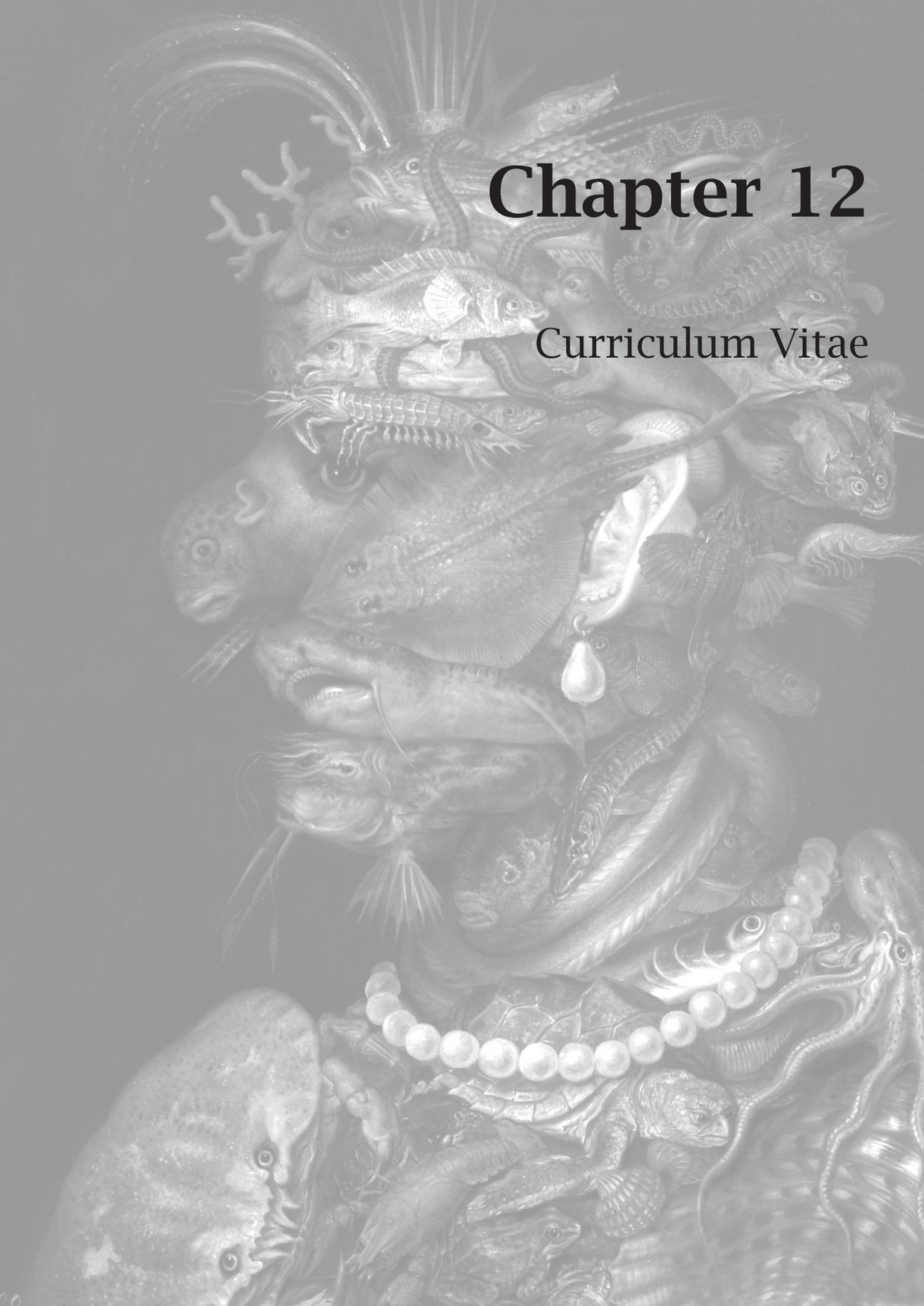
I would also like to express my gratitude to the people with whom I worked most closely in recent years. A special mention is dedicated to Dr. Laura Scatizzi, precious coworker of 20 years, Dr. Aileen Grassmann, who is able to manage and finalize all kinds of scientific projects, Ms. Gerdi Klinkner for her very precious support in addressing almost impossible projects, and the statisticians Inga Bayh and Katharina Brand for support in all the more complex statistical requests.

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Finally, I have to emphasize that my entire professional career was supported by my family. First in this context I would like to name my wife Dr. Giovanna Colombo, who shared with me the challenging decision to move to Germany in 1999. Second the

children Tommaso D. Marcelli and Martino J. Marcelli, currently University students in Frankfurt am Main and in Zürich, who had to grow up with a forever-student father.





# Chapter 12

## Curriculum Vitae

## Curriculum Vitae

30 years' diverse leadership experiences in Nephrology (University of Modena Certification) with significant accomplishments in organizing dialysis care process (i.e. see publications 63, 67, 83, 115) derived from 10 year experience in the nephrological ward and in the kidney transplantation outpatient follow-up (Hospital Manzoni, Lecco, Prof. Locatelli) and 20 years in medical device companies vertically integrated with dialysis service (Fresenius Medical Care, Bad Homburg, Germany and B. Braun Avitum, Melsungen, Germany).

The key points of my curriculum in renal care were related to scientific research, healthcare organization managements, dialysis medical device and renal pharmaceuticals.

In the research field, I started my career as technician in the chemical lab in CRTN, the research division of ENEL, at that time the monopolist Electric Energy producer in Italy, with involvement in a project funded by the European Economic Community (EEC) aimed at evaluating an innovative efficient multi-flash process in producing pure water from sea water. After medical graduation and the degree in Medical Statistics (University of Pavia) I remained in the research field publishing several papers in the field of progression of chronic renal failure and in dialysis (outcome and cardiovascular complication of ESRD diabetic and non-diabetic patients), being involved in the design, analysis and finalization of manuscripts on randomized clinical trials. However, large part of the research activity was conducted as epidemiological research, using existing databases (Lombardy Dialysis Registry, US Renal Data System) and an ad-hoc renal Database (EuClID, European Clinical

Database), developed according to my input. As result 141 publications in peer-review journals have been accomplished, in the field of: Medical device validation and application, Epidemiology, Healthcare management, Health economics, Nephrology, Cardiology, Nutrition and Hypertension. My Hirsch index is 29 (Google scholar). I also served several scientific journals as reviewer (Nephrology Dialysis Transplantation, J of Nephrology, British Medical Journal, Int J Artificial Organs, etc).

In the field of dialysis medical device, I was involved in the design of the study proving the superiority of high-flux membranes (ref. 14, 23), in epidemiological analysis supporting the evidence of the superior outcome of convective therapies (ref. 35, 109) and in the validation of components of the dialysis equipment: blood temperature monitor (ref. 38), on-line clearance measurement (ref. 52), tool for the maximization of convective dose in online HDF (ref. 113, 125).

In the pharmaceutical environment I cooperated with different Pharma companies (Ciba-Geigy, Glaxo, Amgen, Sigma-Tau) in generating research protocols, analysing data from clinical trials, organizing and managing steering committees and finalizing manuscripts aimed to evaluate the impact of different therapies on outcome (i.e. ref 79).

Clinical governance and in general healthcare management is one of the topic I was mainly involved, being also MBA certified on International Hospital and Healthcare Management (Frankfurt school of Finance and Management). More in details, I was Responsible for Clinical Governance of the NephroCare Dialysis Clinics Network in Europe, Middle East and Africa for more than 500 dialysis clinics located in 23 countries (about 50,000 patient), leading a team of professionals in the field of dialysis, nursing, data analysis, clinical risk management and working within a matrix

organization with the Country Medical and Nursing Directors of all related countries (additional 46 people). The activity required the implementation of Practice Medical Guidelines, the development of standards operating procedures (SOPs), the provision of GCP (“Good Clinical Practice”) trainings, and the preparation of internal and external audits. Additionally, with my contribution a Crisis response organization for the same geographical area was founded.

In healthcare policy, I was a member of the Dialysis Registry commission of the Regional Healthcare Authority of Lombardy (Italy), and then I followed up the reimbursement issues of different National Healthcare Authorities, initially focusing on premium price for innovative therapies (i.e. see publication on HTA evaluation 89 and on cost-effectiveness 126 and 139) and then on new reimbursement policies (from bundled reimbursement to full capitation, see publication 93).

As physician, I still have strong motivations to play a role not only in the scientific world but also on educational activities for the population. For this reason from 2012 to 2016 I cooperated with the Journal “Corriere d’Italia”, published in Germany for the Italian Community (30,000 copies per issue). Every month a patient-empowerment like articles on different relevant topics for elderly people was published.

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